

EXHIBIT 4

**Transcript of Interview of
“Former Deputy Chief of Staff”
February 8, 2018**

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1 Jeff Brown: We'll get started. Alright, this is Jeff Brown with the Office of
2 Congressional Ethics. With me is Paul Solis and Ashley Williams. It is
3 February 8th, 2018. It is 10:53am. "Former Deputy Chief of Staff" has
4 been previously provided with a copy of the False Statements Warning
5 and reminded that it applies here today.

6 "Former Deputy Chief of Staff", the first thing that we want to circle
7 up on is did Oliver Schwab ever loan any monies to Representative
8 Schweikert or his campaign?

9 Former Deputy C.O.S.: That I know of for sure, no. He did occasionally complain that David
10 owed him money on his credit card. I don't know specific details
11 beyond that.

12 Jeff Brown: Complaints. How frequently were these sorts of complaints?

13 Former Deputy C.O.S.: Every so often. Maybe every couple months, two or three months.
14 Mostly it was when Oliver was worked up and angry at David, he'd let
15 it slip.

16 Jeff Brown: Was there ever any discussion about the amount of money that was
17 owed?

18 Former Deputy C.O.S.: Sometimes . . . Rarely did he bring up the money. I do remember a
19 couple of times where he brought up something in the excess of
20 \$30,000.

21 Jeff Brown: Okay. Can you tell us more about these conversations or these -- what
22 it sounds like might have been sort of angry discussions about-

23 Former Deputy C.O.S.: Really not a lot. I don't have a lot of detail other than he would just go
24 on rants and occasionally while he was ranting about how much he
25 hated David, he'd bring up, "David owes me \$30,000 for money that I
26 put on my credit card." That's basically the extent of what-

27 Jeff Brown: Do you know if it was money for personal expenses, for campaign
28 expenses?

29 Former Deputy C.O.S.: I'm unaware.

30 Jeff Brown: Okay. Do you know when these expenditures would have occurred?
31 Would they have occurred in 2016? 2011? Anywhere in between?

32 Former Deputy C.O.S.: I don't think the first time he ever said anything about it was until after
33 he came back from the Quayle campaign, as running the super PAC
34 against Ben Quayle. So, it would have been 2013 at the earliest and
35 definitely through the last two years that I was there.

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- 1 Jeff Brown: Okay, so that would have been probably end of 2012, early 2013
2 would have been the first time that you would have ever heard from
3 Oliver on this subject?
- 4 Former Deputy C.O.S.: Yeah.
- 5 Jeff Brown: And those comments or, sort of tirades as you described them, where
6 he would talk about this money would continue up through the time
7 you left the office?
- 8 Former Deputy C.O.S.: Yes.
- 9 Jeff Brown: Okay. Did Oliver Schwab ever give Representative Schweikert any
10 frequent flyer points to travel on?
- 11 Former Deputy C.O.S.: I'm unaware.
- 12 Jeff Brown: Okay. Did you ever hear of any discussions in the office about Oliver
13 donating frequent flyer miles to Representative Schweikert or his wife
14 to travel overseas?
- 15 Former Deputy C.O.S.: There may have been one or two times that Oliver in a haste may have
16 mentioned something about frequent flyer miles and getting Joyce on a
17 trip. I don't know where they came from or if they were his.
- 18 Jeff Brown: Okay. And Joyce is Representative Schweikert's wife?
- 19 Former Deputy C.O.S.: Yes.
- 20 Jeff Brown: Okay. So just sort of to summarize, it sounds like you heard at least
21 some conversations or ... well, I should ask, were these ... you
22 generally heard these grumblings from Oliver Schwab about being
23 owed money?
- 24 Former Deputy C.O.S.: Yes.
- 25 Jeff Brown: Did you ever hear or discuss these issues with anybody else on staff?
- 26 Former Deputy C.O.S.: I don't believe so.
- 27 Jeff Brown: Okay. So just to summarize, it sounds like you first heard Oliver
28 grumbling about being owed money sometime in or around early
29 2013, late 2012, and those complaints would periodically surface-
- 30 Former Deputy C.O.S.: I don't remember if it was 2013. Definitely 2014, '15, '16.
- 31 Jeff Brown: Okay. And the dollar figure that you recall is possibly \$30,000?
- 32 Former Deputy C.O.S.: Something in excess of that, yes.

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1 Paul Solis: Was Mr. White, was Ryan White at all present during any of those
2 conversations where Oliver brought up that amount of money?

3 Former Deputy C.O.S.: I wouldn't be surprised by it.

4 Paul Solis: I was wondering if you recall maybe a specific instance where you-
5 Former Deputy C.O.S.: No. When Oliver went on a rant he'd rant in the whole office. Usually
6 it was just with me. But there were definitely occasions where he
7 would rant, and the whole office would hear it.

8 Paul Solis: So other staffers would have had the occasion or would have had the
9 chance to hear him discuss the situation?

10 Former Deputy C.O.S.: Certainly within the realm of possibility.

11 Paul Solis: Okay.

12 Jeff Brown: Anything else there?

13 Paul Solis: No.

14 Jeff Brown: Okay. When we last spoke you had described Oliver's role as a bit of a
15 dual hat role- Chief of Staff but also fundraiser for Representative
16 Schweikert. Is that correct?

17 Former Deputy C.O.S.: Yes.

18 Jeff Brown: And I think you indicated that Representative Schweikert was leaning
19 on Oliver to raise more money - perhaps for a senate campaign. Is that
20 right?

21 Former Deputy C.O.S.: Yes.

22 Jeff Brown: I think you suggested that this was weighing on Oliver. It was getting
23 to Oliver. Is that a fair characterization?

24 Former Deputy C.O.S.: Yes.

25 Jeff Brown: Okay. I think the thing we want to focus on here today is, how much
26 time did Oliver Schwab spend in the congressional office working on
27 either campaign or fundraising activities?

28 Former Deputy C.O.S.: Actually in the office?

29 Jeff Brown: Right.

30 Former Deputy C.O.S.: I mean, I think he may have stuffed envelopes occasionally or stamped
31 envelopes.

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1 Paul Solis: I want to be clear that Jeff's question is pertaining to the congressional
2 office.

3 Former Deputy C.O.S.: Yeah, yeah, in Cannon.

4 Paul Solis: Right.

5 Former Deputy C.O.S.: Beyond that I didn't listen to his phone calls. I would assume that he
6 occasionally ... well, I'm fairly certain that he definitely stamped
7 envelopes at least a few times.

8 Paul Solis: Why do you say that?

9 Former Deputy C.O.S.: Just 'cause I saw him putting stamps on stuff, stamps that I knew were
10 campaign related material to send out. But I wouldn't say that was any
11 large amount of time. It may have just been to use as ... there's a good
12 mail system on the Hill, so to stamp and then dump it.

13 Jeff Brown: Just to jog your memory here, did you ever see him making any
14 fundraising calls in the halls outside the office?

15 Former Deputy C.O.S.: I don't know if they were fundraising calls. He didn't have a lot of calls
16 outside the office, walking the halls.

17 Jeff Brown: Walking the halls of Cannon.

18 Former Deputy C.O.S.: Mm-hmm (affirmative).

19 Jeff Brown: Okay.

20 Former Deputy C.O.S.: Cannon and Longworth.

21 Jeff Brown: Did you ever see him write or send any campaign or fundraising-
22 related emails while he was in the house office complex?

23 Former Deputy C.O.S.: I do remember a few occasions where he said, "Look at this email that
24 I wrote", but I don't remember him actually writing it. I remember him
25 being in the office and me being in the office and seeing a fundraiser
26 type email and him, you know, bragging about the content. But I don't
27 remember seeing him write it.

28 Jeff Brown: Was he asking you to review it in any way?

29 Former Deputy C.O.S.: No.

30 Jeff Brown: Okay. Would he have asked others to review these sorts of things?

31 Former Deputy C.O.S.: Not in ... I don't think of my team. If he did, it may have been the
32 scheduler who used to sit next to him ... a scheduler, it could have been
33 any of them. But none of the team that I supervised.

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- 1 Jeff Brown: Okay. Did you ever see him have any sort of campaign or fundraising
2 related emails in the congressional office?
- 3 Former Deputy C.O.S.: I know he's had his personal email up, which I know he did a lot of
4 campaign work through that personal email. But I don't know if he was
5 writing them or sending them.
- 6 Paul Solis: He had his own laptop? His own personal laptop? Or was it an official
7 piece of equipment?
- 8 Former Deputy C.O.S.: He had his own laptop at the office. I don't recall him using it that
9 often.
- 10 Paul Solis: If there was an email that you saw, you mentioned this one instance of
11 an email, were any other potential campaign related issues on his
12 personal email? Would that have been done on this personal
13 computer? Or would that have been done on an official computer?
- 14 Former Deputy C.O.S.: It could have been either. I don't remember.
- 15 Paul Solis: Okay.
- 16 Jeff Brown: Was Oliver Schwab ever absent from the congressional office in order
17 to attend campaign or fundraising events off site?
- 18 Former Deputy C.O.S.: Like throughout the day or-
- 19 Jeff Brown: Right. So, during any given day or any work week, would he take off
20 during the day in order to attend to fundraising or campaign-
- 21 Former Deputy C.O.S.: There were lunch events and after hours events but nothing that would
22 fall outside of the core hours of the office.
- 23 Jeff Brown: Was there a difference in the amount of time that he was spending out
24 of the office, off cycle versus on cycle, on a campaign cycle versus not
25 on a campaign cycle?
- 26 Former Deputy C.O.S.: No, I wouldn't say there was much in the way of difference. He did
27 keep fairly loose hours when we were in session versus out of session.
28 But as far as on cycle/off cycle, no, I don't think it was much in the
29 way of difference.
- 30 Jeff Brown: In advance of a primary election, would Oliver spend more or less
31 time in D.C., or the District?
- 32 Former Deputy C.O.S.: We didn't have a tough primary since Quayle, and he wasn't part of the
33 staff during the Quayle primary. I'm sure that he was probably out
34 there more. I wouldn't say substantially more, prior to the primary.

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- 1 Jeff Brown: And are you just referring to the ... You're not referring to the Quayle
2 primary?
- 3 Former Deputy C.O.S.: No, I'm referring to the normal every day cycles. Yeah.
- 4 Jeff Brown: Okay. Was he any more or less available, leading up to the primaries
5 or general elections?
- 6 Former Deputy C.O.S.: I never had any problems contacting him if I needed to contact him.
- 7 Jeff Brown: Just generally, what was Oliver's style as Chief of Staff? How hands-
8 on or hands-off was he?
- 9 Former Deputy C.O.S.: Fairly hands-off. I would say that the day to day runnings of the office
10 and the leg-shop fell to me. He and I talked regularly. We met with
11 David regularly. But as far as actually running the policy, informing
12 David ... That was my job.
- 13 Jeff Brown: Okay. In this dual hat role, who was Oliver working with, either in
14 D.C. or in Arizona on campaign issues?
- 15 Former Deputy C.O.S.: We had a primary D.C. fundraiser. The only two that I know were
16 Erica Crocker and McKenzie Smith was her maiden name. I don't
17 remember what her ... She recently got married. I can't remember what
18 her new name is, her new last name.
- 19 Jeff Brown: Those are D.C.-based?
- 20 Former Deputy C.O.S.: Those are D.C.-based. The only campaign person that I would say that
21 David worked with at Arizona was Chris Baker, but I would not say
22 that they had necessarily a good relationship.
- 23 Jeff Brown: Oliver and Chris Baker?
- 24 Former Deputy C.O.S.: And Chris Baker, yeah.
- 25 Jeff Brown: Okay. How frequently was Oliver in contact with any of these three
26 individuals in the House office?
- 27 Former Deputy C.O.S.: In the actual House office?
- 28 Jeff Brown: Right.
- 29 Former Deputy C.O.S.: I can't ... I think maybe once or twice a year Baker would come up
30 from Arizona, spend minimal time in the office. I think McKenzie may
31 have come up once. Erica more often, but Erica had a more friendly
32 relationship with the office as a whole. Mostly just to come up and
33 chat with the office.
- 34 Jeff Brown: Oliver got a bonus at the end of 2014. Do you know what that was for?

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- 1 Former Deputy C.O.S.: Probably work. You know, merit bonus.
- 2 Jeff Brown: Do you know it was a merit bonus, or you're just speculating?
- 3 Former Deputy C.O.S.: I ...
- 4 Jeff Brown: Yeah.
- 5 Former Deputy C.O.S.: ... wouldn't imagine it was anything but a merit bonus.
- 6 Jeff Brown: Did any official side staffers that you worked with ... Did any of them
7 have any role on the campaign, aside from Oliver?
- 8 Former Deputy C.O.S.: Officially, I would say no. Unofficially, there was a time that Kelly
9 Roberson was complaining that she wasn't being fairly compensated
10 by the campaign. I don't know how much she did with the campaign. I
11 do know there was a time when she was complaining about that.
- 12 Jeff Brown: Okay. Can you elaborate? Why did she feel like she wasn't being
13 appropriately compensated?
- 14 Former Deputy C.O.S.: Just the amount of time that she had to spend off work, doing
15 campaign-related work.
- 16 Jeff Brown: Aside from Kelly, was there anybody else who played any role on the
17 campaign, either paid or unpaid?
- 18 Former Deputy C.O.S.: I don't think so. I don't remember.
- 19 Jeff Brown: Okay. Did Oliver or Representative Schweikert ever direct any official
20 staff to perform any sort of campaign work?
- 21 Former Deputy C.O.S.: There were a handful of occasions where he asked me or some of the
22 other staff to ... If we knew anyone that might be interested in
23 attending an event for free, not paid, to just invite them. It was after
24 hours or off time. Other than that, I'm not aware of anything.
- 25 Jeff Brown: Okay. And what did you understand your role to be, if you attended an
26 event like that?
- 27 Former Deputy C.O.S.: If I attended any event like that, I was as a, serve as a policy expert. I
28 think there may have been one or two times over the six years that I
29 attended an event, either a breakfast or a dinner, where I came home
30 with a check, and immediately gave it to Oliver. I did not ask for the
31 money. It was given to me. A campaign-related check.
- 32 Jeff Brown: Were you going in a volunteer capacity for the campaign?
- 33 Former Deputy C.O.S.: I would-

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- 1 Jeff Brown: Or you were going in your official capacity?
- 2 Former Deputy C.O.S.: I was not going as a campaign staffer. I volunteered to go, mostly as an
3 opportunity to serve as a policy staffer, in case any questions policy-
4 wise came up. I never felt like I was required to attend.
- 5 Paul Solis: Prior to any of those campaign events, maybe that they happened on
6 the Hill, and you felt like you would volunteer your time to act as an
7 expert ... Would you ever prep Representative Schweikert in the office
8 prior to that event? Running down sort of the issues that a group who
9 was hosting the event might want to discuss or talk about?
- 10 Former Deputy C.O.S.: Usually any group that we had any sort of event with was lining up
11 with the day to day. And so, there may have been questions about
12 what's the most recent thing on this, but it wouldn't have been outside
13 the realm of anything that we were already doing.
- 14 Paul Solis: Okay. Do you ever recall an instance, though, where Oliver said to
15 you ... Again, this is hypothetically ... You know, "Representative
16 Schweikert's about to meet with this defense group for a campaign
17 committee event, or a PAC event. Can you brief him on the issues
18 before he goes to it?"
- 19 Former Deputy C.O.S.: We did that fairly regularly with official business. Off the top of my
20 head, I can't think of one that I felt was directly related to a campaign
21 event.
- 22 Paul Solis: Do you know if other staffers had that occur?
- 23 Former Deputy C.O.S.: If it was defense-related, Ryan would have been the one to brief, and
24 he would have a better idea than I would.
- 25 Jeff Brown: If Ryan ever said that he had been directed to prep Representative
26 Schweikert for a campaign or a fundraising activity in his official
27 capacity, would you have any reason to disbelieve Ryan on that?
- 28 Former Deputy C.O.S.: Disbelieve, no. Ryan had a tendency towards a little bit of hyperbole.
29 So if he was angry at the time ... He didn't have a good relationship
30 with the member by the end, either. And so, he may have been more
31 predisposed to remember those things. So, I guess, no. No, I wouldn't
32 have a reason to believe that he would be misleading on that.
- 33 Jeff Brown: How about Kat? Do you have any reason to disbelieve-
- 34 Former Deputy C.O.S.: So, Kat would have probably been David's go ... Well, Kat was
35 David's go-to on Israel issues. So if there were Israel issues that he was
36 going to a fundraiser event for, she would have been the person

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- 1 pegged to do that. I would not necessarily be surprised if Oliver had
2 asked her to do that, because that was such a sensitive issue. He might
3 have. I don't remember Kat ever complaining about it, but that's
4 definitely possible.
- 5 Paul Solis: Was there ever a time when you were directed to attend a campaign
6 event, either paid or unpaid? I know you said you specifically just
7 volunteered, but were you ever directed or required to go to an event
8 where you felt like there would be consequences to your job if you did
9 not attend?
- 10 Former Deputy C.O.S.: No. There was one event that I went to, probably September of '16,
11 around that time, that I was willing to go to. I didn't feel like I couldn't
12 say no. It was with Chairman Tiberi. But Oliver just didn't want to go.
13 He wanted to do other things, and he asked if I was willing. I told him
14 I didn't want to, but I would. I didn't feel like I would have
15 consequences if I told him no. That's the only one I could remember
16 being somewhat put off by.
- 17 Paul Solis: The same sort of question I had asked you before, about prepping, but
18 in the context of being required to go to events. Do you know if any
19 other staffers were required or told that they must attend an event or
20 reported to you that that happened?
- 21 Former Deputy C.O.S.: No. I don't remember that.
- 22 Jeff Brown: Would other staffers besides yourself, lower level staffers, would they
23 have been in a position and been comfortable enough to tell Oliver
24 “no” if he asked them to do campaign or fundraising-related activities?
- 25 Former Deputy C.O.S.: I think Ryan would, Kat probably would, anyone else, no. They
26 would've felt like they would've had to go, I think.
- 27 Jeff Brown: Okay. What sort of expectations were there for staffers, aside from
28 yourself, to do campaign-related activities?
- 29 Former Deputy C.O.S.: I don't think there were any, at least that were directly related to our
30 employment. That's why it was so surprising to me that Oliver said
31 that my performance, if I stayed with the office, would be based on
32 how much money I was bringing into the office because it had never
33 been part of my job description before.
- 34 Jeff Brown: We've talked a little bit about the Ben Quayle primary. Did any official
35 staffers ever help prepare Representative Schweikert or work with
36 Oliver to prepare Representative Schweikert for a Ben Quayle debate?

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1 Former Deputy C.O.S.: I certainly didn't work with Oliver. I think in that time period I had
2 one ... While he was on the campaign, it was one interaction with
3 Oliver. My wife and I joined his wife, he and his wife, on his sailboat,
4 minimal politics discussed. I wasn't asked to do anything for the
5 campaign then. I may have done prep work on some issues on behalf
6 of Matt Tully, but I don't remember entirely.

7 Jeff Brown: Okay. And that would've been prep work for the Ben Quayle debate?

8 Former Deputy C.O.S.: If there was prep work done, it would've been asked by Matt Tully. I
9 just don't remember if there was.

10 Jeff Brown: Okay. Do you recall any other staffers working on prep work for that
11 debate?

12 Former Deputy C.O.S.: I don't remember, no.

13 Jeff Brown: Just to kind of jog your memory a little here, do you recall any staffers
14 working on any other ... Sorry, let me rephrase that. Do you recall any
15 other official side staffers ever working on any primary or general
16 election issues in 2016? Either Wittenberg or Williamson.

17 Former Deputy C.O.S.: Wittenberg or Williamson?

18 Jeff Brown: The primary and the general.

19 Former Deputy C.O.S.: Oh. We paid so little attention to who we were running against. I think
20 that some of the District Office staffers walked doors and collected
21 signatures, but that would've been on the weekend and that probably ...
22 I don't think anyone in D.C. did. I wouldn't ... I don't think anyone in
23 D.C. did.

24 Jeff Brown: Okay. In advance of a primary or a general, how much discussion
25 would there have been in the office about upcoming elections?

26 Former Deputy C.O.S.: There would have been some discussion in the Quayle campaign,
27 during the Quayle campaign. I know Matt Tully called, and we had a
28 phone call. Basically, it was just saying "Hey, here's the state of the
29 things." It was less than five minutes. "Just want to let know what's
30 going on." I don't remember whether that was on the official lines or
31 we all got around a cell phone. We probably were in the office just
32 because that's where everyone was. But after the Quayle primary, we
33 were never challenged and so any discussion of a primary or a general
34 was basically "This is funny. Ha, ha, ha. We're getting primaried or
35 generated." It was beneath, quote-unquote, "beneath David's dignity"
36 to even entertain it being problematic.

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1 Jeff Brown: I'd like to switch gears a little bit to talk a little bit about campaign
2 donors.

3 Former Deputy C.O.S.: Okay.

4 Jeff Brown: Did you ever see, did you ever witness, campaign donors receiving
5 any sort of favorable or preferable treatment from either Oliver or
6 Representative Schweikert?

7 Former Deputy C.O.S.: Favorable treatment. You know, there were a couple of people within
8 the office that the office knew were campaign donors or did
9 campaign ... helped David. Marshall Brachman comes to mind. I'm
10 relatively certain that I probably spent more time on his policy issues
11 than others, not necessarily because of the campaign side but because
12 he was always around as far as he would come in, he'd sit, he'd chat-

13 Jeff Brown: Who is Marshall Brachman?

14 Former Deputy C.O.S.: Marshall Brachman is a lobbyist. He does work for APAC and
15 Lockheed and some others. He's the one that I can think of off the top
16 of my head, but I think that was more of a reflection ... We knew he
17 was important, but he just talked to us and became a friend of the
18 office. So I would say that my work with him was more ... If I spent
19 more time with him, it had nothing to do with the amount of money he
20 was giving to David, it was the amount of time that he spent with me.

21 Jeff Brown: Were there ever any discussions in the office or did you ever witness
22 anything in which campaign donations were linked to official action of
23 any kind, either by Representative Schweikert or Oliver Schwab?

24 Former Deputy C.O.S.: There are things that we did in the tribal community that got us
25 campaign donations but they were things that I was doing anyway, so
26 work that I would've done ... that I was doing that I think Oliver may
27 have fund-raised off of. But I don't think I was ever instructed to say
28 "Do this because they're giving us money."

29 Jeff Brown: Do you recall any sort of special treatment provided to an individual
30 who was looking for grant funding for research in Africa?

31 Former Deputy C.O.S.: Grant funding for research in Africa. No, I don't think so.

32 Jeff Brown: That doesn't ring any bells?

33 Former Deputy C.O.S.: Mm-mm (negative).

34 Jeff Brown: Okay. How about, was there every any sort of favorable treatment or
35 special favors that would've been done for the companies or the clients
36 that Ana Schwab worked with or for?

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1 Former Deputy C.O.S.: The only one that I can think of that might fit that bill was Taser ... or,
2 no, Firetrace. Ana did work with Teddy Eynon but ... who - Firetrace
3 was one of his clients. I don't think it was related ... The work that I
4 did with them was not related to donations at first, and then Ryan took
5 over. I don't know if he felt that he was doing it because they were
6 donors or Teddy might be a donor or ... definitely not because Ana was
7 there because we had a prior relationship with them before Ana went
8 to work with them. But that's the only one that I can think of off the
9 top of my head that we did anything for because Ana was working
10 with them.

11 Paul Solis: But why do you say ... When Jeff asked that question, you said "the
12 only one that could possible fit that bill." Why would you say that?

13 Former Deputy C.O.S.: As Firetrace?

14 Paul Solis: Yeah.

15 Former Deputy C.O.S.: Because Firetrace was a long-time client of Teddy Eynon and went
16 with him when he moved to a different company. When he moved to
17 his different company, Ana worked for him. But I was working with
18 them before Ana was ever working with them. I worked with Firetrace
19 before Ana went to go work for Teddy.

20 Jeff Brown: Do you have any recollection of donations being linked to official
21 actions with Firetrace?

22 Former Deputy C.O.S.: No, not that I can remember.

23 Jeff Brown: Were there any special rules in place or any office policies and
24 procedures on the way in which Oliver would deal with the companies
25 that Ana worked with/for?

26 Former Deputy C.O.S.: I don't think so, not that I remember.

27 Jeff Brown: Okay. Do you ever recall a conversation in the office, either with
28 Oliver or others, about him not taking requisite ethics trainings?

29 Former Deputy C.O.S.: I vaguely remember him talking about not taking ethics training, or
30 him being really far behind. But I don't know when that occurred, but
31 that does kind of ... That sounds right.

32 Jeff Brown: Even if we assume that Mr. Schwab didn't take mandatory ethics
33 trainings, would he have been aware of outside earned income limits
34 that would've been applicable to senior staffers?

35 Former Deputy C.O.S.: Oh, I would hope so. He and I talked quite a bit about outside earned
36 income in the sense that he would complain that the house doesn't pay

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1 enough. I was like, "Well, go ahead and get another job, but you're
2 limited." He should of known that there was outside limits.

3 Jeff Brown: Should of or did know? Did you have actual discussions about it?

4 Former Deputy C.O.S.: I mean, on more than one occasion I am certain that I told him. I
5 mentioned that you're limited in the amount of money that you can
6 earn outside of-

7 Jeff Brown: Do you have any recollection of when those conversations would have
8 occurred?

9 Former Deputy C.O.S.: I mean, it's probably a handful of times over the course of three or four
10 years. Multiple times.

11 Jeff Brown: Was there ever a point in time that you had a discussion with him or
12 there was a discussion in the office about him not wanting to earn a
13 senior staff rate of pay so that he didn't have to file financial
14 disclosures?

15 Former Deputy C.O.S.: Yes.

16 Jeff Brown: Can you tell us about that?

17 Former Deputy C.O.S.: I'm not sure. I don't think it ever actually occurred, but he said he
18 wanted to focus more, at one point in time, on growing a business and
19 spending less time in the office. So he wanted to earn less money so he
20 could make more money outside the office. I have no idea what he
21 would be wanting to do. But yes, he definitely said he wanted to make
22 less than the-

23 Jeff Brown: When were those conversations. Do you remember?

24 Former Deputy C.O.S.: Oh, I mean, again, probably a handful of times. Probably within the
25 same conversations of I said, "You're limited in the outside earned
26 income."

27 Jeff Brown: In those conversations, was there any discussion about his personal
28 wealth and not wanting to file financial disclosures because of his
29 personal wealth?

30 Former Deputy C.O.S.: No. More along the lines of, "I want to make more money."

31 Paul Solis: Did he adjust his salary so as to make an amount less than senior staff
32 level?

33 Former Deputy C.O.S.: There was a while where he had talked about that. I don't think it ever
34 actually occurred while I was doing the budget. If he did it, it was
35 before I started doing the budget.

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- 1 Jeff Brown: Remind us approximately what year was that?
- 2 Former Deputy C.O.S.: I think December '14.
- 3 Paul Solis: I just want to go back a little bit to FireTrace. Can you tell me what
4 this company is and what ...
- 5 Former Deputy C.O.S.: They... FireTrace uses its chemicals to put out fires in military, police,
6 airline vehicles. It's a pretty good product.
- 7 Paul Solis: Where's the company based?
- 8 Former Deputy C.O.S.: Arizona. I think it's in Scottsdale and maybe just outside of Scottsdale.
- 9 Paul Solis: Is it in Representative's Schweikert's district?
- 10 Former Deputy C.O.S.: I believe so.
- 11 Paul Solis: You had worked with the company or worked with this Mr. Eynon in
12 some official capacity?
- 13 Former Deputy C.O.S.: I mean, Teddy introduced me to the company. I went out there. I took
14 a site tour to see the products. I know they were trying to get the DOD
15 interested in their fire safety products that they thought saved lives.
- 16 Paul Solis: Does Teddy have a role with the company? Is he a lobbyist, what's-
17 Former Deputy C.O.S.: He's their contracted lobbyist.
- 18 Paul Solis: Contracted lobbyist. So he's with -- what's his name of his company?
19 Former Deputy C.O.S.: I think he was with a Greenberg Traurig. He may have moved since
20 then.
- 21 Paul Solis: At the time, was he based in DC or ...?
- 22 Former Deputy C.O.S.: He's based in DC.
- 23 Paul Solis: Okay. Then so how did Ana Schwab become related to FireTrace and-
24 Former Deputy C.O.S.: Ana Schwab lost her job at either the NRCC or Paul Gosar's office. I
25 can't remember the time frame.
- 26 Oliver had a relationship with Teddy. Teddy was looking for an assistant and hired Ana. I think
27 that Ana's relationship with FireTrace is coincidental. I don't think
28 FireTrace was trying to hire Ana. I think Teddy was trying to hire Ana.
- 29 Paul Solis: You had a relationship with Teddy then prior to Ana being hired?
- 30 Former Deputy C.O.S.: Yeah.

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- 1 Paul Solis: Okay. Did Oliver have a relationship with Teddy prior to Ana being
2 hired?
- 3 Former Deputy C.O.S.: Yes.
- 4 Paul Solis: How do you know that?
- 5 Former Deputy C.O.S.: I think Teddy came to our office opening. I mean, Oliver had a
6 relationship with Teddy before he had a relationship with Ana. Teddy,
7 I think, day one, day two, something like that. I met Teddy and well,
8 worked policy with him.
- 9 Paul Solis: While you were sort of handling the portfolio that included
10 FireTrace ... First of all is that safe to say that's correct?
- 11 Former Deputy C.O.S.: At the time, yes. When I became LD, Ryan took over the defense
12 portfolio so he would have, yeah. Defense Homeland was mine at first
13 and then it became Ryan's.
- 14 Paul Solis: Roughly, what are the dates that you had the defense portfolio where
15 you would have had contact with FireTrace representatives or Teddy
16 Eynon?
- 17 Former Deputy C.O.S.: January 11 through May, June of '12.
- 18 Paul Solis: What's going on? Are you meeting with them and you're meeting just
19 with him or representatives of the company?
- 20 Former Deputy C.O.S.: I met with him and then I met with Cavanaugh, I think is the company
21 owner's name, Mark Cavanaugh. Met with him just standard,
22 traditional office meeting stuff. I took a site visit.
- 23 Paul Solis: Okay. Then in sometime in 2012, Ryan took over the portfolio, right?
- 24 Former Deputy C.O.S.: Mm-hmm (affirmative)
- 25 Paul Solis: Were you aware of his meetings with FireTrace or Teddy Eynon?
- 26 Former Deputy C.O.S.: Generally. I mean, I don't remember what other issues Teddy worked
27 on, but I know that I had meetings with Teddy on other issues beyond
28 FireTrace. I just don't remember what the portfolio was at the time.
29 FireTrace was the only company that I basically remember pretty close
30 that they want going all the way through in talking with Schweikert
31 that Teddy worked for.
- 32 Paul Solis: How often is Ryan meeting with FireTrace representatives or Teddy
33 Eynon?

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1 Former Deputy C.O.S.: For FireTrace issues? Oh, maybe once a quarter. I think Cavanaugh
2 flew in maybe two times a year. He would meet with them when he
3 flew in. Same with me.

4 Paul Solis: What type of official acts did Representative Schweikert take or did
5 you all take that would have affected FireTrace?

6 Former Deputy C.O.S.: Ryan had language inserted in the conference report saying that there
7 was a need for fire suppression systems.

8 Paul Solis: What legislation is that and when?

9 Former Deputy C.O.S.: It was in one of the NDAA's. We would have been in the Cannon
10 office, so either '15 or '16 NDAA.

11 I thought Ryan believed in the product. I thought the product was a good product. It would
12 probably save lives. I had no ethical or moral quandaries with that. I
13 wasn't instructed and I don't think Ryan, well, I don't know if Ryan
14 was instructed to, insert the language. I was never instructed to do
15 anything related to campaign work with FireTrace. Anything that I did,
16 it was in an official capacity I did because I thought that they should
17 meet with people. I never had anything put into legislative language or
18 committee report for them.

19 Paul Solis: So you recall Ryan had some language affecting the industry?

20 Former Deputy C.O.S.: Yeah.

21 Paul Solis: Not FireTrace specifically.

22 Former Deputy C.O.S.: Not FireTrace.

23 Paul Solis: In a conference report in '15 or '16. Was Oliver aware of that insertion
24 of language?

25 Former Deputy C.O.S.: I'm sure he was. I mean, Ryan was pretty happy about getting the
26 language in there.

27 Paul Solis: At that time was Oliver Schwab's wife, Ana, was she working as a
28 lobbyist for FireTrace?

29 Former Deputy C.O.S.: I don't think so. Teddy let her go at some point in time or the company
30 did. Then she went back to law school. I don't remember what that
31 time frame was. I believe for most of last Congress she was
32 unemployed or at law school.

33 Paul Solis: Any other clients? Again you believe it's Greenberg Traurig, that's the
34 -

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- 1 Former Deputy C.O.S.: I believe so.
- 2 Paul Solis: Okay. Any other clients of Greenberg Traurig that were in the district?
- 3 Former Deputy C.O.S.: Not that I remember. There may have been. FireTrace is the only one
4 that I met with and had a relationship with as far as professional was
5 concerned that I developed anything with them.
- 6 Jeff Brown: How about clients at Fox Rothschild that were in the district?
- 7 Former Deputy C.O.S.: The name sounds familiar. If you had company names, I could
8 probably tell you maybe.
- 9 Jeff Brown: Best Best and Krieger?
- 10 Former Deputy C.O.S.: I don't remember.
- 11 Jeff Brown: Anything else there?
- 12 Paul Solis: Nope.
- 13 Jeff Brown: When we last spoke, I think you said you left the Schweikert office the
14 Monday before Thanksgiving 2016?
- 15 Former Deputy C.O.S.: Yes.
- 16 Jeff Brown: Okay and you were paid up through January 3rd, 2017.
- 17 Former Deputy C.O.S.: Correct.
- 18 Jeff Brown: I think the way you also described to us, you said you were essentially
19 going to be on contract for the next six months. That was the way that
20 severance was described to you?
- 21 Former Deputy C.O.S.: Yes.
- 22 Jeff Brown: What did you understand "on contract" to mean?
- 23 Former Deputy C.O.S.: Anytime they needed any sort of policy expertise that I had, that I
24 would be responsible for that.
- 25 Jeff Brown: How-
- 26 Former Deputy C.O.S.: And be completely and totally on-call, and if I were to ever find
27 another job, I was to leave the Schweikert employment.
- 28 Jeff Brown: How frequently were your expertises called upon during that, I guess
29 part of November, December, part of January?
- 30 Former Deputy C.O.S.: Two or three. My plan was to stay through, in the office, through
31 January 3rd, at which point in time I would move to the quote-unquote

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1 "contract status". The Friday before Thanksgiving, Oliver called me
2 and said I was causing confusion in the office and didn't want me to
3 come in anymore. I said "Okay. Let me know if you need anything."
4 He asked me to do a couple of writing pieces on Constitutional
5 amendments. Other than that, I think that was basically it.

6 Jeff Brown: How many hours in a given week?

7 Former Deputy C.O.S.: Oh, I probably spent ... I think that of the two projects, and they were
8 both related to the same thing, I probably spent 25, 30 hours.

9 Jeff Brown: Okay.

10 Former Deputy C.O.S.: Yeah.

11 Paul Solis: Total or on each issue?

12 Former Deputy C.O.S.: Combined, yeah.

13 Jeff Brown: So between the Monday before Thanksgiving, November 2016, and
14 January 3rd, you worked about 25 hours?

15 Former Deputy C.O.S.: Yeah, probably.

16 Jeff Brown: Okay. Did you have access to House email?

17 Former Deputy C.O.S.: Yes.

18 Jeff Brown: You did.

19 Former Deputy C.O.S.: Until I think ... I don't remember the exact dates. It was either maybe
20 the week before or the week after Christmas that I sent all of my tech
21 back. It was after Oliver said that he was not going to honor the
22 agreement that he had offered me. And I said "Fine. I don't like to talk
23 to you anymore anyway."

24 Jeff Brown: And in that time frame, I think you said this – you were not back in the
25 office after Thanksgiving?

26 Former Deputy C.O.S.: No, correct.

27 Jeff Brown: But you did get your full salary from November, December, and up
28 through January 3rd. Is that-

29 Former Deputy C.O.S.: Correct. Correct.

30 Jeff Brown: What was Representative Schweikert's knowledge of the severance
31 offer?

32 Former Deputy C.O.S.: Oliver said that David approved it. I am doubtful of that. I don't think
33 David ever approved a single salary for anyone.

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1 Paul Solis: Why do you think that?

2 Former Deputy C.O.S.: Because salaries were determined between Oliver and I while I was
3 doing the budget, and before that, just by Oliver. David wasn't
4 interested in the day-to-day budget minutia.

5 Jeff Brown: Is there anything else since we last met, or given our discussions
6 today, that you think we should discuss?

7 Former Deputy C.O.S.: Something to kind of ... Full disclosure, I talked to my wife about our
8 discussions. She's the only person I have talked to. Kelly Roberson did
9 ask if I had met with you. I told her that that was a question she should
10 not ask and she should not answer should anyone ask her. That is the
11 extent of my discussion with her about it.

12 Paul Solis: When did she contact you?

13 Former Deputy C.O.S.: Either last week or the week before. I can give you the exact date if
14 you want me to bring it up. Actually, so she had told me that she was
15 going to lunch with Oliver, and I was interested in what he would have
16 to say. Then I came and talked to you all, and I wanted nothing to do
17 with that anymore so I did not follow up with that and how she did.
18 But after her lunch with Oliver, she G-chatted me and asked if you all
19 had ... or if I had met with OCE.

20 Jeff Brown: So were there two conversations with Kelly?

21 Paul Solis: Yeah. I just want to get the timeline straight.

22 Former Deputy C.O.S.: Okay. I'm sorry. Kelly and I-

23 Paul Solis: Starting from moment one.

24 Former Deputy C.O.S.: Well, Kelly and I had been on and off in contact since I left. Right
25 around the time you asked me to come in, she said Oliver invited her
26 to lunch. I said she should go. I came in, met with you all, she G-
27 chatted me and said "I'm off to lunch." After I met with you all, I was
28 no longer interested. Maybe the next week, she asked me ... The next
29 Thursday or Friday maybe after that, she asked if I had met with you
30 and I responded with "That's not a question that you should ask or
31 answer." That was-

32 Jeff Brown: That was over the phone or over G-chat?

33 Former Deputy C.O.S.: G-chat.

34 Paul Solis: Did she talk to you in any way whatsoever about what she and Oliver
35 discussed?

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1 Former Deputy C.O.S.: No.

2 Paul Solis: Did she ask you whether Oliver requested ... Did she tell you whether
3 Oliver requested that she go to lunch with her?

4 Former Deputy C.O.S.: No. No, she emailed ... Yeah, she said "Oliver emailed me and I asked
5 if I wanted to do lunch." She asked if he had emailed me, and I said
6 "No, he has not."

7 Jeff Brown: What's Kelly and Oliver's relationship been like since Kelly left the
8 office?

9 Former Deputy C.O.S.: I thought negative. She felt she had been done a disservice by Oliver. I
10 would be inclined to agree.

11 Jeff Brown: Why?

12 Former Deputy C.O.S.: David would occasionally get bored with staffers, regardless of
13 whether or not they were doing good work, and then Oliver would
14 force the staffers out. Kelly was my direct ... was one of my ... I was
15 her direct supervisor. I thought she was doing a good job and she
16 didn't deserve to be forced out.

17 Jeff Brown: Just so we've got the timeline straight, Kelly contacted you within the
18 last two weeks about -

19 Former Deputy C.O.S.: I can bring my phone up and give you the exact dates.

20 Jeff Brown: If you don't mind.

21 Former Deputy C.O.S.: Yeah. Okay. All right. January 16th, she asked me if Oliver had
22 emailed me. She said that he emailed her. I said ... to have lunch. I said
23 "You should go have lunch," then talked about ... I had a quote in
24 Politico, which was cool. January 19th, she said she was on her way to
25 lunch with Oliver. January 30th, she said "Question, did you ever get
26 called by the Office of Congressional Ethics regarding Oliver?" I
27 responded "Not a question you should ask or answer." She responded
28 "That's a very political answer." I responded "Best I can give." She
29 responded "Noted."

30 Jeff Brown: We started this line with you saying there was something that was sort
31 of nagging-

32 Former Deputy C.O.S.: I'm sorry, yes. When I went home and talked to my wife, she said
33 occasionally ... and during Oliver's tirades, the worst of them where he
34 was threatening to quit, he would occasionally say that he was going to
35 quit and if he didn't get a good severance package that he'd go to the

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1 FEC and tell them where all the bodies were buried, essentially
2 something along those lines.

3 His tirades were fairly regular. That line of comment was not regular, maybe three or four times
4 over the course of ... from '13 to '16. The reason that I brought that
5 back up is because my wife said "You occasionally came home and
6 said stuff about that," and I was like "Yeah, I did." When you emailed
7 me with the transcript, I was planning on responding to you and telling
8 you that. But that did stick in my head or come back up when my wife
9 mentioned it.

10 Jeff Brown: What did you understand him to mean when he was talking about
11 "where the bodies are buried?"

12 Former Deputy C.O.S.: I have no idea, and I did not ask. I mostly attributed it to him just being
13 out of control and angry. Obviously, when your boss says something
14 like that, it's concerning, but it didn't happen enough for me to think
15 that it wasn't anything other than him being out of control.

16 Jeff Brown: But back to my question, I mean, what was the suggestion? What was
17 the context?

18 Former Deputy C.O.S.: Oh. Whenever he would get really, really angry with something that
19 David was doing, usually in his official capacity, either not going to an
20 official event or going to an official event and saying something that
21 was quasi-offensive, Oliver would get angry. When he would get
22 really angry, he'd make that comment, two or three times.

23 Jeff Brown: He made the "where the bodies are buried" comment two or-

24 Former Deputy C.O.S.: Yeah.

25 Jeff Brown: ... or three times?

26 Former Deputy C.O.S.: Yeah, about "I'm going to go the FEC and get David thrown in jail for
27 campaign fraud," something along those lines. "I know where the
28 bodies are buried." I have no context beyond that.

29 Jeff Brown: Do you have any idea what he may have been referring to?

30 Former Deputy C.O.S.: Honestly, I had no idea. I mostly chalked it up to him just being out of
31 control. But there's no doubt that if there were anything campaign-
32 related that was not on the up-and-up, Oliver would know about it and
33 would be able to do that. But I'm not aware if there was or what those
34 specifics were.

35 Paul Solis: To this point, you have not been contacted by Mr. Schwab, right?

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1 Former Deputy C.O.S.: Not about this. I have had no contact with Oliver Schwab since
2 November. I called Kevin when I saw the article in November. Kevin
3 was not aware of it at the time. Kevin called me back, he said "This is
4 terrible." I said "Well, I wasn't one of the sources." Kevin said "If you
5 ever get a call, make sure you cooperate," and I said "Okay."

6 Jeff Brown: Have you had any conversation with Kevin about us since then?

7 Former Deputy C.O.S.: Not about you in particular. He did call because he had a grandson,
8 and he just said "We are actually officially under investigation.
9 Cooperate if they call you." And I said "Okay." That's it.

10 Paul Solis: Did he say whether he was cooperating or not?

11 Former Deputy C.O.S.: He did not.

12 Jeff Brown: Did he say anything about whether the office was cooperating?

13 Former Deputy C.O.S.: He did not. At that point in time, we didn't talk again. I mean, I think
14 we may have talked one other time but nothing about the investigation
15 came up.

16 Jeff Brown: Anybody else-

17 Former Deputy C.O.S.: Anyway ... I'm sorry. To follow up, I told Oliver ... I think Kevin
18 told ... I'm sorry. I told Kevin that I saw the article, I wasn't one of the
19 sources. I believe Kevin told Oliver that I said that I wasn't one of the
20 sources. Oliver emailed me "Thank you for your kind words." I did not
21 respond, and that is the last I have heard from Oliver.

22 Jeff Brown: Have you spoken to anyone else either currently inside the Schweikert
23 office or a former Schweikert staffer about any of this article -

24 Former Deputy C.O.S.: About our meeting-

25 Jeff Brown: ... investigation-

26 Former Deputy C.O.S.: About my meeting. No.

27 Jeff Brown: Yeah.

28 Former Deputy C.O.S.: I talked to Ryan and I talked to Kelly that there was an article ... Kelly
29 was who tipped me off to the article being written ... or, sorry. No, it
30 was ... Kelly texted me the Friday after the ... or, sorry, the day after
31 the article ... or the day article came out. She texted me and said "Hey,
32 let's get lunch," and I was like "Okay. Let's get lunch." I had no idea -
33 had nothing to talk about. Ryan was the one the next morning who said
34 "Look at this article. I assume you're one of the sources?"

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- 1 Jeff Brown: What did Kelly say about the article to you?
- 2 Former Deputy C.O.S.: Basically, serves him right, if I remember correctly. Ryan, same thing.
3 I had felt that ... After I read the article, I was surprised about the
4 campaign things, but anyone who looked in the campaign ... or the
5 office would see we were spending money on office supplies that was
6 probably unreasonable, or not unreasonable, too much. We didn't need
7 to spend the money we were spending.
- 8 Jeff Brown: Why do you think Kelly said serves him right?
- 9 Former Deputy C.O.S.: It didn't end on good terms. I think that Oliver, like I said, Oliver did
10 her a disservice when she left. She was a good employee. At least for
11 me.
- 12 Jeff Brown: Same thing with Ryan, why do you think Ryan said something like
13 serves him right?
- 14 Former Deputy C.O.S.: At the end of last Congress, Oliver basically did Ryan and I pretty
15 similar, and so I can imagine Ryan wanting for Oliver to, if he was
16 doing anything wrong, to be gotten for it.
- 17 Jeff Brown: Only other question that I have is, last time we talked, we discussed a
18 little bit about the fact you may or may not have some G-mail
19 documentation -
- 20 Former Deputy C.O.S.: Oh. I did-
- 21 Jeff Brown: ... with-
- 22 Former Deputy C.O.S.: ... look. I do have a few ... I meant to print those and bring them to me.
23 I have a back-and-forth between me and Oliver that I also CC'd -
24 BCC'ed my wife on and she responded. That's what I have-
- 25 Jeff Brown: If you could send them along-
- 26 Former Deputy C.O.S.: I will, yeah.
- 27 Jeff Brown: ... with the transcript, that would be appreciated.
- 28 Former Deputy C.O.S.: Okay.
- 29 Jeff Brown: Anything else, Paul? Ashley? Thank you for coming back in, "Former
30 Deputy Chief of Staff".
- 31 Former Deputy C.O.S.: Yep.

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ERRATA SHEET

Page	Line	Correction	Reason
4	30	Strike "didn't"	Change to "did"

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: 

Witness Signature: 

Date: 2/21/18

EXHIBIT 5

**Transcript of Interview of
“Former Communications Director”
July 2, 2018**

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1 Jeffrey Brown: This is Jeff Brown with the Office of Congressional Ethics. With me is my
2 colleague, Kelly Brewington, and our law clerk, Ali Bazzi. Before us we
3 have "Former Communications Director". It is about 1:45 on Monday,
4 July 2nd. "Former Communications Director" has been given a copy of
5 the False Statements Act and has signed the acknowledgement form. And
6 with that, we will get started. Is it alright if I call you "Former
7 Communications Director" or do you prefer "Former Communications
8 Director"?

9 Former Comm. Dir.: It's fine.

10 Jeffrey Brown: Okay. So, "Former Communications Director", where are you currently
11 employed?

12 Former Comm. Dir.: District Media Group.

13 Jeffrey Brown: Okay, and what is District Media Group?

14 Former Comm. Dir.: It's a communication company.

15 Jeffrey Brown: Okay. Specializing in anything in particular?

16 Former Comm. Dir.: Political communications and media training.

17 Jeffrey Brown: Mm-hmm (affirmative). And your role there?

18 Former Comm. Dir.: I am the media relations director.

19 Jeffrey Brown: Okay. What is a media relations director do?

20 Former Comm. Dir.: Anything I want do. Anything anybody else doesn't want do.

21 Jeffrey Brown: Okay. At some point you were on the Hill. What roles did you have on the
22 Hill?

23 Former Comm. Dir.: I started off as a staff assistant and then I was a communication's director
24 and worked in press for several different congressmen.

25 Jeffrey Brown: Okay. Who are the different congressmen?

26 Former Comm. Dir.: James Sensenbrenner from Wisconsin, Mike Pence from Indiana, House
27 Republican Conference, and David Schweikert.

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- 1 Jeffrey Brown: Okay. And, what years were you with Representative Schwiekert?
- 2 Former Comm. Dir.: 2010? Whenever that wave hit, 2010 to 2014, I think?
- 3 Jeffrey Brown: Okay. How did you go about getting hired in his office, Representative
4 Schwiekert, that is?
- 5 Former Comm. Dir.: He called me and asked me to work for him.
- 6 Jeffrey Brown: Okay.
- 7 Former Comm. Dir.: He heard I was good and he asked me to work for him.
- 8 Jeffrey Brown: How did he know that he should call "Former Communications Director"
9 and ask her?
- 10 Former Comm. Dir.: I guess I was known for being good at pitching, and booking, and putting
11 people on, and my name had been passed to him by, I don't know who,
12 maybe Mike, I don't know. Somebody.
- 13 Jeffrey Brown: Mike?
- 14 Former Comm. Dir.: Pence?
- 15 Jeffrey Brown: Okay.
- 16 Former Comm. Dir.: I don't know.
- 17 Jeffrey Brown: I think this is actually, this is probably like January of 2011. That's when
18 he enters-
- 19 Former Comm. Dir.: Yeah, I mean, but I worked for him before that.
- 20 Jeffrey Brown: You worked for the Congressman-
- 21 Former Comm. Dir.: Were, I mean, yeah, like right. I mean, that was what ... December of
22 something.
- 23 Jeffrey Brown: Okay.
- 24 Former Comm. Dir.: Yeah.
- 25 Jeffrey Brown: So, December 2010 is kind of when you first-

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- 1 Former Comm. Dir.: It would have '09, right? Yeah, because the election would have been
2 November of '09.
- 3 Jeffrey Brown: '10.
- 4 Former Comm. Dir.: '10. Okay. Yeah, you're right. Yeah.
- 5 Jeffrey Brown: Okay. Who's already on board in the congressman's office at that point?
- 6 Former Comm. Dir.: I think just two employees. I think I was the third.
- 7 Jeffrey Brown: Okay.
- 8 Former Comm. Dir.: Yeah.
- 9 Jeffrey Brown: Who were they?
- 10 Former Comm. Dir.: Matthew Tully and Oliver Schwab. And maybe Beau Brunson, but I'm not
11 sure.
- 12 Jeffrey Brown: Did you ever work on Representative Schwiekert's, any of his campaigns?
- 13 Former Comm. Dir.: Mm-hmm (affirmative). I worked on his Quayle primary.
- 14 Jeffrey Brown: Okay. So, that's-
- 15 Former Comm. Dir.: 2012.
- 16 Jeffrey Brown: 2012. What's your role on that campaign?
- 17 Former Comm. Dir.: I took a leave of absence from the official, and worked and volunteered
18 there for a week or two and then would do stuff at nights or on weekends.
- 19 Jeffrey Brown: Is this in the August timeframe?
- 20 Former Comm. Dir.: Mm-hmm (affirmative).
- 21 Jeffrey Brown: Do you remember-
- 22 Former Comm. Dir.: It was when the primary was.
- 23 Jeffrey Brown: Relatively... or do you have a rough date of when that was?
- 24 Former Comm. Dir.: I don't remember when the primary was. I think it was the 13th?

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- 1 Jeffrey Brown: I'm sorry if you just said this and I missed it, but are you paid or are you
2 volunteering your time?
- 3 Former Comm. Dir.: Oh, I volunteered. I wasn't paid.
- 4 Jeffrey Brown: Do you still keep in touch with Representative Schweikert?
- 5 Former Comm. Dir.: I haven't talked to him for a while, no
- 6 Jeffrey Brown: Okay. When was the last time you spoke?
- 7 Former Comm. Dir.: Like 2014, 2015.
- 8 Jeffrey Brown: How about Oliver Schwab? Who's Oliver Schwab?
- 9 Former Comm. Dir.: I think he still is the current chief of staff for Congressman Schweikert.
- 10 Jeffrey Brown: Do you keep in touch with Oliver?
- 11 Former Comm. Dir.: No.
- 12 Jeffrey Brown: Okay. When was the last time you spoke with him?
- 13 Former Comm. Dir.: 2014.
- 14 Jeffrey Brown: Okay. And so, what sort of relationship do you and Mr. Schwab have?
- 15 Former Comm. Dir.: We don't have one.
- 16 Jeffrey Brown: At some point you had a professional relationship-
- 17 Former Comm. Dir.: Yeah, he was the chief of staff. Not necessarily my boss, because he
18 wasn't. I mostly reported to David.
- 19 Jeffrey Brown: Mm-hmm (affirmative).
- 20 Former Comm. Dir.: But he, I guess, by the figurehead of the structure of the office, was the
21 chief of staff at the time.
- 22 Jeffrey Brown: Okay. Walk me through that. Who is ... who were you reporting to?
- 23 Former Comm. Dir.: David.
- 24 Jeffrey Brown: Okay.

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- 1 Former Comm. Dir.: Yeah.
- 2 Jeffrey Brown: With some ... does Oliver play some role in the reporting structure?
- 3 Former Comm. Dir.: Not really. Not for me. I was kind of an autonomous ... I think David saw
4 the value and the knowledge that I had. Oliver never worked on the Hill
5 before. He wasn't quite familiar with how things worked and so, I just
6 didn't ... stayed in my lane and would deal with Oliver ... or a, sorry, David
7 directly.
- 8 Jeffrey Brown: Okay. What sort of relationship did Oliver and the congressman have?
- 9 Former Comm. Dir.: A weird one.
- 10 Jeffrey Brown: Why was it weird?
- 11 Former Comm. Dir.: Well, it was just ... I didn't see ... I didn't understand the facets of it. There
12 did seem to be some ... I wouldn't pick him out of a lineup to be hired as
13 my chief of staff because there wasn't much I would view as a value add in
14 how to run an office. So, I was just a little surprised by how much trust
15 was put into his hands.
- 16 Jeffrey Brown: Why do you say that you didn't think he's a value add?
- 17 Former Comm. Dir.: Because I essentially did everything that needed to be done. Coms or
18 policy-wise and he wasn't really there, or if he was, he wasn't very helpful.
- 19 Jeffrey Brown: What do you mean he wasn't there?
- 20 Former Comm. Dir.: I mean he was there sometimes, but it wasn't ... he's not a traditional chief
21 of staff as far as a top down approach.
- 22 Jeffrey Brown: What's a ... can you give me some understanding of what in your mind is a
23 traditional chief of staff and how Oliver-
- 24 Former Comm. Dir.: I mean every office is very different, right? Have you been on the Hill
25 before?
- 26 Jeffrey Brown: Sure.
- 27 Former Comm. Dir.: Yeah. I guess what you would view as a traditional would just be things
28 funnel down from the congressman to the chief of staff who then runs into,
29 okay, the leg shop's going to do this, the comm shop's going to do this, the
30 campaign's going to do this and then... There really wasn't much of that. It

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1 would just, kind of, he necessarily....because he didn't necessarily know
2 what to do. I don't think there was much of a making the trains run on
3 time. So, I mostly bypassed that and just got what I needed information-
4 wise from the congressman.

5 Jeffrey Brown: Okay. Daniel Caldwell. Who's Daniel Caldwell?

6 Former Comm. Dir.: He is a former campaign manager and former district staff for the
7 congressman.

8 Jeffrey Brown: Okay. You still keep in touch with him?

9 Former Comm. Dir.: Mm-hmm (affirmative). I do.

10 Jeffrey Brown: When was the last time you guys chatted?

11 Former Comm. Dir.: Probably three days ago.

12 Jeffrey Brown: What'd you talk about?

13 Former Comm. Dir.: Talked about some personal stuff and we talked about the fact that I was
14 talking to you today.

15 Jeffrey Brown: Okay. Who is Matt Tully?

16 Former Comm. Dir.: Former chief of staff for the congressman. Former LD.

17 Jeffrey Brown: Did he have any role in the campaign?

18 Former Comm. Dir.: Mm (neutral). No. He stayed in Washington the whole time.

19 Jeffrey Brown: Do you know why he left the congressman's office?

20 Former Comm. Dir.: To go be a lobbyist downtown.

21 Jeffrey Brown: Do you and Matt Tully have any relationship?

22 Former Comm. Dir.: Mm-mm (negative).

23 Jeffrey Brown: Okay. Do you keep in touch at all?

24 Former Comm. Dir.: Mm-mm (negative).

25 Jeffrey Brown: When was the last time you talked to Matt Tully?

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- 1 Former Comm. Dir.: 2014, maybe?
- 2 Jeffrey Brown: What sort of relationship do Oliver Schwab and Matt Tully have?
- 3 Former Comm. Dir.: I'd be surprised if they still talked.
- 4 Jeffrey Brown: Why do you say that?
- 5 Former Comm. Dir.: I mean, because they were, they weren't like BFFs, so.
- 6 Jeffrey Brown: When they were working together in the congressman's office?
- 7 Former Comm. Dir.: Oh, I mean, Matt reported to Oliver as the LD.
- 8 Jeffrey Brown: At some point, though, I think, Matt becomes the chief of staff.
- 9 Former Comm. Dir.: Mm-hmm (affirmative). Yeah. Oliver had left and taken a leave of
10 absence for the campaign. It was the primary of 2012 and then, um, yeah.
- 11 Jeffrey Brown: Ryan White. Who's Ryan White?
- 12 Former Comm. Dir.: He is ... he was a former leg staffer.
- 13 Jeffrey Brown: Okay. What sort of relationship did you and him have?
- 14 Former Comm. Dir.: We were very friendly.
- 15 Jeffrey Brown: Okay. Do you keep in touch with him?
- 16 Former Comm. Dir.: I haven't talked to him for a while.
- 17 Jeffrey Brown: Okay. What's a little while?
- 18 Former Comm. Dir.: Probably eight months, nine months.
- 19 Jeffrey Brown: Did he have any role in the campaign?
- 20 Former Comm. Dir.: Mm-mm (negative). Not that I was aware of.
- 21 Jeffrey Brown: Ever volunteer for it?
- 22 Former Comm. Dir.: No. Not that I was aware of.

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- 1 Jeffrey Brown: Besides the folks that I just mentioned, do you keep up with anyone else
2 from Representative Schwiekert's official office or campaign?
- 3 Former Comm. Dir.: Current office?
- 4 Jeffrey Brown: Current or former staff?
- 5 Former Comm. Dir.: Yeah, former.
- 6 Jeffrey Brown: Okay. Who else-
- 7 Former Comm. Dir.: Cassie Sonn.
- 8 Jeffrey Brown: Who's Cassie Sonn?
- 9 Former Comm. Dir.: She was a former leg staffer for Congressman Schweikert.
- 10 Jeffrey Brown: Okay. Anybody else?
- 11 Former Comm. Dir.: No. That's it
- 12 Jeffrey Brown: Beau Brunson?
- 13 Former Comm. Dir.: Mm-mm (negative).
- 14 Jeffrey Brown: When was the last time you talked to Beau?
- 15 Former Comm. Dir.: 2014.
- 16 Jeffrey Brown: How about, do you know Kat Dimenstein?
- 17 Former Comm. Dir.: Yeah.
- 18 Jeffrey Brown: Okay. When was the last time you talked to her?
- 19 Former Comm. Dir.: 2015 maybe? '14? I don't know, a while, '15.
- 20 Jeffrey Brown: So, you mentioned, well, let me ask you this. Has anybody reached to you
21 about the OCE's investigation? This investigation, excuse me.
- 22 Former Comm. Dir.: From?

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- 1 Jeffrey Brown: Anybody. Has anybody reached out to you to talk about the fact that the
2 OCE is investigating either Representative Schweikert, Oliver Schwab,
3 others?
- 4 Former Comm. Dir.: No.
- 5 Jeffrey Brown: Have you contacted anybody about this review? You just mentioned-
- 6 Former Comm. Dir.: I told Dan that I was going to see you today.
- 7 Jeffrey Brown: Okay. And what was the conversation you had with Dan?
- 8 Former Comm. Dir.: Just that I was going to sit down with you all today. It was as simple as
9 that. Nothing else.
- 10 Jeffrey Brown: Did you guys talk at all about what questions you should expect to hear
11 from us?
- 12 Former Comm. Dir.: Mm-mm (negative).
- 13 Jeffrey Brown: At some point, let me ask you this, have you seen this article before? This
14 is "A Lot of Cash Is Flowing to David Schwiekert's Chief of Staff Oliver
15 Schwab." It's an Examiner article by Philip Wegmann from November
16 2nd, 2017.
- 17 You're indicating you-
- 18 Former Comm. Dir.: I've read it. Mm-hmm (affirmative).
- 19 Jeffrey Brown: You're familiar with this article. Okay.
- 20 When did you first read it?
- 21 Former Comm. Dir.: Probably when it came out. I don't-
- 22 Jeffrey Brown: So, we're talking early November, somewhere around about November
23 2nd. What were your reactions to this article?
- 24 Former Comm. Dir.: I don't know if I had that many reactions to it. It didn't surprise me, but it's
25 also a long time ago, so, I've had several other bosses since then that I I've
26 had to worry about, so.
- 27 Jeffrey Brown: Why do you say it didn't surprise you?

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1 Former Comm. Dir.: I just ... there was always something sketchy with Oliver and so, it doesn't
2 surprise that that ended up finally coming out. I mean, it's been kind of, in
3 my opinion, obvious, and people just don't read FEC filings.

4 Jeffrey Brown: Why'd you say, "There's always something sort of sketchy with Oliver?"

5 Former Comm. Dir.: I don't know how else to say it. There just always was.

6 Jeffrey Brown: Okay. So, this article discusses improper MRA disbursements. Did you
7 ever see Oliver improperly using the MRA when you were in the
8 congressman's office?

9 Former Comm. Dir.: No. I mean, I don't ... I didn't. Our offices weren't in the same location. He
10 was around the corner from me. I didn't ever deal really much with him
11 aside from the him coming in and kind of just talking or checking in. I
12 didn't really ... to be quite honest, I didn't know what he did, so, I was not
13 really aware of the nitty gritty of what it day to day was like.

14 Jeffrey Brown: Okay. You said a couple times now... You sort of intimated that, you
15 know, you're really not aware of what role he's playing and that surprises
16 me.

17 Former Comm. Dir.: Why?

18 Jeffrey Brown: He's the chief of staff. I would think that he's involved in the day to day
19 goings on in the office.

20 Former Comm. Dir.: I mean, sure. I mean, I worked for Schweikert from the very beginning 'til
21 2014. I worked with three very, very competent, smart individuals who, to
22 be honest, we probably could have ... the reason we worked for David is
23 'cause we saw potential there and we could have worked for bigger fish.
24 And we saw something and we had a good team, and the machine of the
25 office is so well run, and so well oiled, and to be honest, I think we kind of
26 kept David on track. That I didn't ... he was not really a factor. We were
27 pros. Matt Tully worked on the Hill. I worked on the Hill before. I was
28 pretty good at my job. Tully was good at his job. We had a consultant that
29 helped on the campaign side. Nothing that really we couldn't take care of
30 ourselves, to be honest.

31 So, there wasn't much role, in my opinion, back then for him to play. Now,
32 obviously, we've all left, the people that we worked with then. So, things
33 may be different now. I don't know.

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- 1 Jeffrey Brown: You said there were three of us. And you said, "Three highly competent
2 individuals." You mentioned yourself, Matt Tully, and-
- 3 Former Comm. Dir.: Yeah, I think Cassie. Cassie would have been one. And then the consultant
4 ...
- 5 Jeffrey Brown: Okay.
- 6 Former Comm. Dir.: ... on the campaign side.
- 7 Jeffrey Brown: Who's the consultant?
- 8 Former Comm. Dir.: Chris Baker. Or, he was. I don't know if he still is.
- 9 Jeffrey Brown: Okay. Have you spoken to any current Representative Schweikert's staff
10 about this Examiner article?
- 11 Former Comm. Dir.: No.
- 12 Jeffrey Brown: Okay. Besides Dan, any former Representative Schweikert staffers- have
13 you spoken to anyone about this article?
- 14 Former Comm. Dir.: I texted briefly with Cassie about it.
- 15 Jeffrey Brown: Okay. What was that exchange?
- 16 Former Comm. Dir.: Just sending the article, something like that ... nothing.
- 17 Jeffrey Brown: Okay. Did either she or you express any sort of reaction to the article?
- 18 Former Comm. Dir.: No.
- 19 Jeffrey Brown: It was just, "Here's the article," and that's it?
- 20 Former Comm. Dir.: Yeah, I mean, pretty much. She's my best friend and we talk about
21 everything, so, I don't ... it was just like she was in the middle of changing
22 a diaper and I said, I was like, "FYI." And she said, "Oh, yeah. I saw that -
23 crazy." That's it.
- 24 Jeffrey Brown: Okay. So nothing else?
- 25 Former Comm. Dir.: No.

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- 1 Jeffrey Brown: How about any ... have you spoken to anybody about this article, any
2 former campaign staffers, that we haven't already touched on?
- 3 Former Comm. Dir.: Of David's campaign staffers? No.
- 4 Jeffrey Brown: Chris Baker?
- 5 Former Comm. Dir.: He's not a campaign staffer, though.
- 6 Jeffrey Brown: Consultant?
- 7 Former Comm. Dir.: Oh. Yeah, I think we did briefly text about it. We were working on
8 another race together, and we may have mentioned it. I can't remember.
- 9 Jeffrey Brown: Well, what was that conversation?
- 10 Former Comm. Dir.: It's crazy. That's it. Kind of like, "Did you see this? Crazy." Nothing really
11 much.
- 12 Jeffrey Brown: The article quotes former staffers. Do you have any ideas who those
13 staffers are?
- 14 Former Comm. Dir.: If I did, I probably wouldn't tell you.
- 15 Jeffrey Brown: Okay. Why not?
- 16 Former Comm. Dir.: Because, I mean, it would all be speculation and I would never ... as
17 someone who works with the media, day in and day out, I would never
18 want to speculate who I think of a source of an article would be.
- 19 Jeffrey Brown: Okay. Have you ever spoken to Philip Wegmann?
- 20 Former Comm. Dir.: I wouldn't tell you if I did. I work with a lot of reporters and I wouldn't.
- 21 Jeffrey Brown: Okay.
- 22 Former Comm. Dir.: That's my first amendment rights. I wouldn't.
- 23 Jeffrey Brown: Well, let's talk about your working relationship with Oliver a little further.
24 I think you sort of intimidated that it's fair to say he's a bit of a hands off
25 chief of staff.
- 26 Former Comm. Dir.: Yeah. I mean, to me he was. Mm-hmm (affirmative). Yeah.

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- 1 Jeffrey Brown: Okay. How did he treat other employees in the office?
- 2 Former Comm. Dir.: Not very well.
- 3 Jeffrey Brown: Okay. Why do you say that?
- 4 Former Comm. Dir.: He treats ... he treated those in the office, that he thought maybe could
5 help him out or, you know, were friends with his wife or whatever, very
6 well. But to those who were competent and knew what they were doing
7 and maybe he saw as a threat, he wasn't very good to. And he didn't ... he
8 treated a lot ... he didn't handle staffing very well. He wasn't a very good
9 people manager.
- 10 Jeffrey Brown: Okay. Who were those people that you were saying that he may or not
11 may have viewed as a threat? Are those the couple of people you
12 mentioned previously? Yourself? Cassie? Matt?
- 13 Former Comm. Dir.: Cassie not so much. Matt, definitely Matt. Probably, just me, Matt, and
14 Caldwell.
- 15 Jeffrey Brown: Dan? Yeah.
- 16 Former Comm. Dir.: Mm-hmm (affirmative).
- 17 Jeffrey Brown: Let's talk a little bit about, at some point Oliver decides to leave the
18 official congressional office. I think this is in May of 2012?
- 19 Former Comm. Dir.: Sounds right. I knew it was in 2012. I don't remember when it was, but ...
- 20 Jeffrey Brown: At some point, Oliver comes back to the office in a Senior Advisor role.
21 Do you remember this?
- 22 Former Comm. Dir.: Mm-hmm (affirmative). I remember when he came back. Mm-hmm
23 (affirmative).
- 24 Jeffrey Brown: And so Matt's the chief of staff at the time, correct?
- 25 Former Comm. Dir.: Mm-hmm (affirmative).
- 26 Jeffrey Brown: How does that relationship play out? You've got somebody who used to be
27 a chief of staff coming back in a Senior Advisor role. Just kind of help
28 walk me through what's Oliver role as senior staff?

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- 1 Former Comm. Dir.: I mean, I think it was probably the same thing it was as chief of staff. I
2 mean, I think everybody could have viewed Tully as a figurehead. Tully
3 kept the office running on time and was, obviously, someone that was very
4 procedural. He ... methodical. He had ... he knew how the Hill runs so he
5 was a very hands-on chief, but I think everybody kind of knew that
6 Oliver's always been connected with David and vice versa.
- 7 So, I think he was always more or less going to be the person kind of in
8 charge, regardless of what it came back as, so.
- 9 Jeffrey Brown: How did Matt take that?
- 10 Former Comm. Dir.: He seemed fine. I think he kind of knew that was the deal.
- 11 Jeffrey Brown: Were there ... I think you mentioned there are at least a couple people in
12 Representative Schwiekert's office that worked on the congressional side,
13 official staff, but they also either volunteered or they took a leave of
14 absence to work-
- 15 Former Comm. Dir.: I don't think ... I think I was the only one. And Caldwell as well. We were
16 the only ones.
- 17 Jeffrey Brown: Garrett Archer?
- 18 Former Comm. Dir.: Oh, yeah. I guess Garrett did some too. I think it would have been only
19 three of us. I forget about the district office. Yeah, probably only three of
20 us.
- 21 Jeffrey Brown: So, there's those three of you that took time off to go volunteer-
- 22 Former Comm. Dir.: Mm-hmm (affirmative). Yeah, I was there maybe a week. It may have
23 been a week and a half. I was not there longer than probably 10 days.
- 24 Jeffrey Brown: Besides Dan, Garrett, yourself, and Oliver, are there any other staffers that
25 are volunteering on the campaign?
- 26 Former Comm. Dir.: Mm-mm (negative).
- 27 Jeffrey Brown: How about Matt?
- 28 Former Comm. Dir.: Tully?
- 29 Jeffrey Brown: Yeah.

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- 1 Former Comm. Dir.: I don't think so. Not that I was aware of.
- 2 Jeffrey Brown: I'd like to get your perspective on whether and to what extent there were
3 sort of clear and appropriate separations for congressional staffers from
4 their campaign responsibilities or activities and their congressional
5 activities.
- 6 Former Comm. Dir.: Yeah, I mean, I think every office on the Hill ... not every office, a lot of
7 offices, especially in tough districts or in districts that have races, there's
8 always varying levels of expectancy or not. There was not at all any
9 expectancy as far as, I mean, I know a lot of ... having worked for the
10 House Republican Conference where I knew all House Republicans and
11 worked with them very closely. There were some, you know, like Tim
12 Murphy-like types of people and then David was very ... could care less if
13 he did. I loved him very dearly and wanted to help him out, so I took my
14 vacation time and helped him out. There was no obligation in that, but I do
15 know that there is some that goes around, but my experience was there
16 was never any expectancy that I volunteer on a campaign for him.
- 17 Kelly Brewington: Expectancy from whom? I just want to clarify.
- 18 Former Comm. Dir.: I think you guys were indicating expectancy from the congressional office,
19 right?
- 20 Kelly Brewington: From the congressional office, but from whom exactly?
- 21 Former Comm. Dir.: Oh, chief, member, whoever. Anybody.
- 22 Jeffrey Brown: Correct me if I'm wrong, but I think you're indicating there was no ...
23 Representative Schweikert wasn't applying any pressure to any anybody-
- 24 Former Comm. Dir.: No. Neither was Oliver.
- 25 Jeffrey Brown: Okay. Neither was Oliver?
- 26 Former Comm. Dir.: No. No.
- 27 Jeffrey Brown: So, we've spoken with other staffers who've indicted that Oliver would
28 perform campaign or fundraising activities in the congressional office. I'm
29 wondering what sort of activities you witnessed Oliver perform on the
30 congressional campus?
- 31 Former Comm. Dir.: Um. I mean, I couldn't, yeah, obviously, it wouldn't surprise me, but like I
32 said, there would be days where he wouldn't show up to work. There

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1 would be days where he's always on his cell phone. He was always
2 walking around. You know, again, I was in a different office, so I tried to
3 stay away, as far away from that side of the office as possible, because I
4 just wanted to do my thing. So, I didn't necessarily see that, but it would
5 not surprise me at all.

6 Jeffrey Brown: Okay. So, let me just see if I can jog your memory a little bit. Did you ever
7 see him do any ... make or receive any fundraising phone calls in the
8 congressional office?

9 Former Comm. Dir.: I mean, he was always on the phone. I didn't necessarily like eavesdrop or
10 listen to them. So, again, it wouldn't surprise me, but I don't necessarily
11 remember at any given moment hearing anything specifically that he
12 would have said, but like I said, I wouldn't be surprised if that was the
13 case.

14 Jeffrey Brown: Did you ever ... well, let me ask you like this. You indicated that your
15 office isn't really near his office-

16 Former Comm. Dir.: Well, you know how a Longworth office is. It was split. We were kind of
17 like everybody and then it was David and Oliver, and then a assistant at
18 the time.

19 Jeffrey Brown: Okay. So, even if you're not... I think you said you don't specifically recall
20 seeing Oliver perform any ... excuse me, campaign or fundraising work in
21 the congressional office. Did you ever hear about that from anybody else?

22 Former Comm. Dir.: No, I mean, I think where Oliver has a very vast and wide longstanding
23 reputation of being a very shady person that's not a man of his word, so,
24 the fact that any of that would take place, doesn't surprise me. But did
25 somebody come up to me and say, "Hey, did you know Oliver?" No. A,
26 because I think it happens a lot and B, I think of all of the things Oliver
27 may have been sketchy on, that was probably the least sketchy. I don't
28 know.

29 Jeffrey Brown: What was the most sketchy-

30 Former Comm. Dir.: I mean, I think you guys all, I mean you can take a look at these
31 documents. You can look at the FEC filings, look at the MRA expenses,
32 look at campaign. I think the phone calls are probably the least of, but no,
33 nobody came up to me and said, "Did you know Oliver was taking
34 campaign calls?"

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- 1 Jeffrey Brown: I think you said something like it was probably happening regularly and
2 that's why nobody mentioned it? Did I misunderstand what you said there?
- 3 Former Comm. Dir.: Happened right ... what do you mean?
- 4 Jeffrey Brown: Well you said nobody's saying, "Hey, "Former Communications
5 Director". I just saw Oliver ..."
- 6 Former Comm. Dir.: Are you talking about like fellow staff members?
- 7 Jeffrey Brown: Yeah.
- 8 Former Comm. Dir.: Oh. No.
- 9 Jeffrey Brown: Okay.
- 10 Former Comm. Dir.: No. Like I say, Oliver would barely ever be in that office, so, he'd be
11 pacing the hallways or whatever.
- 12 Jeffrey Brown: Okay. You said that a couple times now. So, Oliver is rarely in the office.
13 Where is he?
- 14 Former Comm. Dir.: He's just running around. I don't know. He's at the NRCC. He's at the
15 Capitol Hill Club. He's running ... walking around the office. I don't know.
- 16 Jeffrey Brown: Is he available to staffers when the staffers-
- 17 Former Comm. Dir.: Sure, if I would call him or need something, he would almost always
18 answer, absolutely. But again, if you're a hands-off boss, and the office
19 runs like clockwork, it's a, you know, plus or minus if you're there or not.
- 20 Jeffrey Brown: What do you mean? So, what's he doing? Is he working on political
21 activities, fundraising activities?
- 22 Former Comm. Dir.: I'm assuming. I assume. He wasn't going to the gym.
- 23 Kelly Brewington: Was Representative Schweikert aware of where he was or what he was
24 doing?
- 25 Former Comm. Dir.: I don't think so. If he was, I certainly didn't know. I was with David
26 probably more than most people.
- 27 Ali Bazzi: Did anybody express anything to you about where they thought he might
28 have been?

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- 1 Former Comm. Dir.: No. I mean, again, if he was ... I mean, he would always be kind of
2 around, in and out throughout the day, so. But sometime, it wouldn't be
3 abnormal for him to be in Arizona for a week and not tell anybody. I
4 mean, like, "Where's Oliver?" "Oh, he's in Arizona." "Okay. Cool."
- 5 Yeah. So. I don't know. Again, I kind of have ... it was almost like he
6 could have been chief or couldn't have been chief and the office would
7 have been the same, functioning the same.
- 8 Jeffrey Brown: Did Representative Schweikert have an awareness of concerns that it
9 sounds like you, or Dan, or Matt may have had about his absences?
- 10 Former Comm. Dir.: Absolutely. Oh, Absences? I think general incompetencies, I absolutely
11 talked to Schweikert about it.
- 12 Jeffrey Brown: And what was his reaction?
- 13 Former Comm. Dir.: Kind of dismissive.
- 14 Jeffrey Brown: Why do you think he was dismissive about that?
- 15 Former Comm. Dir.: I think he's ... I don't know. That's been something that I've been trying to
16 figure out for years. I think it's just general denial or it's ... I choose to
17 think it's denial, but I think at some point denial turns into something
18 malicious if you've been told enough times, so.
- 19 Jeffrey Brown: How many times do you think he was informed of Oliver's incompetence?
- 20 Former Comm. Dir.: I mean, I told him many times.
- 21 Kelly Brewington: Is there an example you can think of that you can share with us?
- 22 Former Comm. Dir.: Again, I can't-
- 23 Kelly Brewington: Brought something to the representative and-?
- 24 Former Comm. Dir.: Yeah, I mean, again, I was the communication director and so I was a very
25 aggressive comms job. We got him out there quite a bit and it wouldn't be
26 abnormal for me to just ... Oliver would be spouting off to reporters and
27 I'd see it in the paper and they'd call me and say the exact opposite of what
28 David and I had been saying. Or he'd been to over committing and just
29 running his mouth in Arizona and donors had heard something that wasn't
30 the case. Or he told leadership that when David got kicked off his
31 committee, we're going to come crawling back. We're going to be come

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1 crawling back. We're going to be moderate now. Do whatever you want
2 to. Just get us back on our A Committee. All these things that were in
3 stark disagreement to what I had talked to David about happening and, so,
4 those would have been instances where I said, "Why is he saying this?
5 And if so, it needs to stop. And if not, then, I'm leaving." Which I did. So.

6 Jeffrey Brown: Did you talk to the congressman about Oliver's absence from the office?

7 Former Comm. Dir.: No, I mean, because the chief can do whatever he wants. I mean, honestly,
8 the less he was around, the better for me. I wasn't complaining.

9 Jeffrey Brown: Were there complaints from staffers about Oliver's-

10 Former Comm. Dir.: Mm-hmm (affirmative). I think most people were pretty frustrated. I
11 mean, there were people that Oliver brought into the office as staffers that
12 I would have viewed just as incompetent as him. So, there was the three of
13 us that could do things really well, and the rest that knew that Oliver was
14 the hand that fed them and just did whatever he said.

15 Jeffrey Brown: You said just a couple of seconds ago that, you know, you had suggested
16 to Representative Schweikert that if things don't change you're going to
17 leave, and you did leave-

18 Former Comm. Dir.: Yeah, I mean, it wasn't an ultimatum. It was just a bunch of flags in the
19 ground. This is an issue. This is an issue. This is an issue.

20 Jeffrey Brown: Well, I should have asked you this earlier. Why did you ultimately leave?

21 Former Comm. Dir.: I left to go run a campaign in Kentucky. A primary against Mitch
22 McConnell for a man named Matt Bevin.

23 Jeffrey Brown: For?

24 Former Comm. Dir.: A man named Matt Bevin.

25 Jeffrey Brown: Okay. Did the decision to depart, did that have anything to do with your
26 frustrations in the office?

27 Former Comm. Dir.: It helped.

28 Jeffrey Brown: I'm just going to ... we spoke to a staffer who said he felt like the majority
29 of his time ... being Oliver's time as chief of staff, he was focused on
30 campaign work. Another person said, "Oliver would disappear for long

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- 1 stretches to do fundraising work." Are these sort of things consistent with
2 what you were seeing?
- 3 Former Comm. Dir.: Yeah. Yeah. I couldn't tell you what he was doing, but I knew it wasn't
4 working in the office. So, I just ... I guess that would be the logical
5 assumption.
- 6 Jeffrey Brown: And it wasn't working congressional activities?
- 7 Former Comm. Dir.: Correct.
- 8 Jeffrey Brown: Okay.
- 9 Former Comm. Dir.: Mm-hmm (affirmative).
- 10 Jeffrey Brown: So, he's not in the office and he's not working on official congressional
11 activities?
- 12 Former Comm. Dir.: Right, as a typical chief who had experience before would have been.
- 13 Jeffrey Brown: Okay. Did you ever see or hear of any congressional staff members, so,
14 official side staffers being asked to perform research, or talking points, or
15 memos that would ultimately be used for campaigns or fundraising
16 activities?
- 17 Former Comm. Dir.: No, I didn't see that. I mean, I did all of the ... I mean, I did most of the
18 day to day of the comms side of the campaign when I was there for the
19 two weeks up to the primary ... ten days up to the primary. So, I did all
20 that on my own. I didn't ever ask anybody or anything, so, but if Oliver or
21 somebody had asked, I ... I mean, probably, maybe, but I wouldn't have
22 known it was for a campaign or official 'cause I kind of stayed in my lane.
- 23 Jeffrey Brown: How about, let's see if I can jog your memory. Leading up to the debate
24 or the quasi-debate with Ben Quayle, do you recall any congressional
25 staffers being asked to prepare materials or talking points? We're talking
26 about official-
- 27 Former Comm. Dir.: Did we, we didn't ... I don't ... did they have it ... did we have it ... It's been
28 so long. Did we have a debate?
- 29 Jeffrey Brown: It was end of March 2-
- 30 Former Comm. Dir.: There's no way 'cause-

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- 1 Jeffrey Brown: It was end of March.
- 2 Former Comm. Dir.: Because Quayle ran away from every chance he got to speak in public.
3 Was it? I know, sorry. I didn't mean to interrupt.
- 4 Jeffrey Brown: No. I'm ... does that jog your memory at all? Or any memos on National
5 Defense Authorization Act? I realize that probably wouldn't have been
6 your lane.
- 7 Former Comm. Dir.: No. Sorry.
- 8 Jeffrey Brown: You mentioned Ana Schwab earlier. I think you mentioned others-
- 9 Former Comm. Dir.: Ana.
- 10 Jeffrey Brown: Ana. Ana Schwab. Okay. What connection did she have to the office?
- 11 Former Comm. Dir.: Uh, I don't ... I mean, I think she did some work on the campaign and got
12 paid for it, but aside from that, she was just around a lot. I don't think she
13 actually did anything in the congressional office.
- 14 Jeffrey Brown: Did she work for-
- 15 Former Comm. Dir.: Gossar?
- 16 Jeffrey Brown: ... lobbyists or any lobbyists?
- 17 Former Comm. Dir.: I think she still does, yeah.
- 18 Jeffrey Brown: Do you know what years that was?
- 19 Former Comm. Dir.: She left Gossar's office, probably in '13.
- 20 Jeffrey Brown: I'm curious. How does Oliver handle situations ... Well, let me back up
21 one step. Does Ana's lobbying firm or any clients, are they lobbying
22 Representative Schwiekert's office?
- 23 Former Comm. Dir.: I don't know anything about what she does. I'm sorry.
- 24 Jeffrey Brown: Are you aware of any of Ana Schwab's clients ever receiving any sort of
25 favorable treatment from the congressman or Oliver?
- 26 Former Comm. Dir.: Yeah, I don't ... I don't ... I mean, it wouldn't surprise me if Oliver got her
27 the job. I think she's had two jobs since Gossar, but I don't know anything

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1 about the company. I couldn't name any of the companies she ... I don't
2 know anything about that.

3 Jeffrey Brown: Did you ever hear anything second hand from anybody else?

4 Former Comm. Dir.: No. No.

5 Jeffrey Brown: Okay. Are you familiar with the company called Firetrace?

6 Former Comm. Dir.: Yeah, I think so.

7 Jeffrey Brown: Okay. Why does that ring a bell?

8 Former Comm. Dir.: I think they're a company out of Arizona? Maybe in our district? Our old
9 district.

10 Jeffrey Brown: You ever hear anything about Firetrace receiving any sort of favorable
11 treatment from Oliver?

12 Former Comm. Dir.: Do ... is somebody ... is there a name associated with Fire Trace?

13 Jeffrey Brown: Ah, there are a bunch of names associated with Firetrace. Do you
14 remember anybody over there?

15 Former Comm. Dir.: No, I don't ... is it in Arizona?

16 Jeffrey Brown: Yeah, Mark Cavanaugh or a Bill Eckholm. Do either of those names ring
17 a bell?

18 Former Comm. Dir.: The Cavanaugh name may sound familiar, yeah, I don't... I mean Oliver
19 would go around promising everybody everything, so yeah, this doesn't
20 surprise me.

21 Jeffrey Brown: How about Teddy Eynon?

22 Former Comm. Dir.: That name sounds familiar.

23 Jeffrey Brown: Do you know who that is?

24 Former Comm. Dir.: I don't know who that is.

25 Jeffrey Brown: Did Oliver ever loan Representative Schwiekert or his campaign any
26 money?

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- 1 Former Comm. Dir.: Hm? Loan Schweikert money?
- 2 Jeffrey Brown: Yeah, or his campaign?
- 3 Former Comm. Dir.: Oliver gave him the campaign money.
- 4 Jeffrey Brown: Tell me about that.
- 5 Former Comm. Dir.: I mean, it's in all the reports.
- 6 Jeffrey Brown: You're talking about a specific donation?
- 7 Former Comm. Dir.: I don't know if it's been one, or two, or what. I know he's given him
8 \$10,000, \$20,000.
- 9 Jeffrey Brown: And you know that from reviewing FEC filings?
- 10 Former Comm. Dir.: Yeah. All this stuff is out there.
- 11 Jeffrey Brown: Are you aware of ... you keep saying like all this stuff is out there. What ...
12 you're just specifically-
- 13 Former Comm. Dir.: I mean, if you are a nerd like me, and there's motivation ... you know
14 where to look and there's motivation to look, and you look at MRA
15 expenses, and you look at campaign expenses, and you know how it
16 works. Like you see, okay, some of these things don't add up.
- 17 Jeffrey Brown: Okay. Do you have any specific examples in mind?
- 18 Former Comm. Dir.: I mean, most of this happened like when I left, right? So, I ... I mean, I
19 think looking back, I think almost all of it happened after I left because
20 Caldwell, and I, and Tully had a pretty tight ship on the office, but so there
21 wasn't accountability after we left. But it looks like, from everything I can
22 see, yeah, he's paid himself a ton of money, office expenses, office supply
23 expenses, thousands of dollars every month. That's not how that works on
24 the Hill, so.
- 25 Yeah, I mean it's been in plain site for a long time, so I'm glad somebody's
26 now starting to look at it. It's taken eight years, 10 years. You guys are a
27 little slow on the uptake over here, aren't you?
- 28 Jeffrey Brown: Did a ... let me see if I can jog your memory a bit with respect to specific,
29 well, loans. Did you ever here Oliver ever make any comments that David
30 or the campaign owes him money?

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- 1 Former Comm. Dir.: Mm-mm (negative).
- 2 Jeffrey Brown: Okay.
- 3 Former Comm. Dir.: No. I mean, if I ... if he would have said that, I would have assumed that
4 meant just from the consulting fees he paid himself.
- 5 Jeffrey Brown: Did you have any involvement with campaign's finances during the 2012
6 cycle?
- 7 Former Comm. Dir.: No. I didn't get paid at all. I didn't deal with any of that.
- 8 Jeffrey Brown: What's Team Dave PAC?
- 9 Former Comm. Dir.: It's his congressional PAC.
- 10 Jeffrey Brown: Yeah. Do you know how that got set up?
- 11 Former Comm. Dir.: I don't.
- 12 Jeffrey Brown: Do you know if Oliver has a role on the-
- 13 Former Comm. Dir.: Oh, I'm sure he's probably the main person. I'm sure he probably set it up.
14 If anyone did, it was probably him.
- 15 Jeffrey Brown: Why do you say that?
- 16 Former Comm. Dir.: Because he's start to finish always been the campaign person.
- 17 Jeffrey Brown: As opposed to chief of staff, or the policy wonk, or the legislatively-
18 focused employee?
- 19 Former Comm. Dir.: Yeah, I mean, to some extent, every chief I've ever worked for has a
20 campaign hat. It's a responsibility.
- 21 Jeffrey Brown: Well, then let me ask you about that. So, it's not uncommon for chiefs to
22 do both political and official duties-
- 23 Former Comm. Dir.: Yeah, they're the only ones that have some of the leash to do, yeah.
- 24 Jeffrey Brown: Can you compare Oliver to other chiefs that you've worked with in terms
25 of the amount of time that he's working on official congressional side of
26 things versus political-

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- 1 Former Comm. Dir.: Yeah, I stated earlier. I mean it was, it seemed like was mostly that, yeah.
- 2 Jeffrey Brown: Mostly that?
- 3 Former Comm. Dir.: Yeah, it wouldn't surprise me if it was the most of it. Everything he did.
- 4 Jeffrey Brown: You've mentioned on a couple of occasions that it's all right there in plain
5 sight, but I'm curious with respect to individuals aside from yourself, who
6 do think the OCE should be having a conversation with about either Oliver
7 Schwab or Representative Schweikert?
- 8 Former Comm. Dir.: I mean, I think starting there will be a great place...
- 9 Jeffrey Brown: Starting where?
- 10 Former Comm. Dir.: With both of them. I'm not sure who are you, are-
- 11 Jeffrey Brown: Well, I'm just wondering... you, knowing what you know now, knowing
12 the things that we've asked you about, having read this article, having
13 looked at the FEC reports, having looked at MRA disbursement reports,
14 who do you think is the most appropriate people to talk to aside from the
15 congressman and Oliver?
- 16 Former Comm. Dir.: I mean, I think there's parties involved that probably aren't going to be
17 super willing to talk because they are beneficiaries and I think Ana may be
18 one of them. Kat may be one of them. I mean, like I said, I don't deal with
19 anybody in the office.
- 20 Jeffrey Brown: By Kat, you mean Kat Dimenstein?
- 21 Former Comm. Dir.: Mm-hmm (affirmative).
- 22 Jeffrey Brown: Okay. Anybody else?
- 23 Former Comm. Dir.: Ah, I couldn't tell you. I think Kat still works ... I couldn't tell you who
24 works there. I don't know anybody there. Maybe Kelly Roberson. April
25 Blankenship, maybe.
- 26 Jeffrey Brown: Who's April Blankenship?
- 27 Former Comm. Dir.: She was-
- 28 Jeffrey Brown: Financial administrator?

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- 1 Former Comm. Dir.: Yeah. I think she was somebody tempor- ... when I was there. Temporarily
2 hired to kind of run the books as an outside, shared employee. So, maybe
3 she saw somethings. I don't know. And, I'm sure she probably, actually,
4 did things right. I assume she did, so maybe she saw something that made
5 her leave. I don't know.
- 6 Jeffrey Brown: Okay. So, if you're sitting on this side of the table, what haven't we asked
7 you today that you think we should have asked you about?
- 8 Former Comm. Dir.: I'm just kind of curious the line of thought and like the line of questioning.
9 For me, it seems like ... I'm just curious why you're picking the line of
10 questioning and the things that you're choosing to focus on, with all of the
11 issues that could be with Oliver. I'm just curious what ... why you were
12 leading down the path of just simple. It seems like time. I was just curious
13 what ... why ... which of these line of questionings, which seems to be
14 going down one way, why are you picking that?
- 15 Jeffrey Brown: I don't follow.
- 16 Former Comm. Dir.: It seems like you're most concerned with how Oliver spent his time. Is that
17 correct?
- 18 Jeffrey Brown: That's for me to know. I'm going to ask questions-
- 19 Former Comm. Dir.: You said if I'm on that side of the table. You told me.
- 20 Jeffrey Brown: Well, a-
- 21 Former Comm. Dir.: I'm just curious why of all of, you know, what you've read in that article
22 and if you've asked him, you've gone over, you know, the Excel sheets, or
23 whatever, from what's made public for the last eight years.
- 24 Jeffrey Brown: You're curious why I didn't ask you more questions about the details in
25 this article?
- 26 Former Comm. Dir.: Or, just like the money itself. I mean, I don't, yeah, there's nothing I can
27 help you with because it's all there, like I said, but I just can't-
- 28 Kelly Brewington: What should we be asking you about the money?
- 29 Former Comm. Dir.: I mean, I guess I just assumed if you know like a congressional office,
30 which you guys do, you know that there's a lot of money that's missing
31 and I'm just curious why it seems like the time is more important to you.

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1 I'm just curious ... I don't even ... you asked me to ask you a question, and
2 I'm asking you that.

3 Jeffrey Brown: I didn't ask you to ask me a question. I asked, what-

4 Former Comm. Dir.: That's how I heard that.

5 Jeffrey Brown: ... should I ask you? Kelly did you have anything else for "Former
6 Communications Director" before we-

7 Kelly Brewington: Just if you had any other specifics. You said the line of questioning, any
8 specifics, that you know that you would share with us about issues that
9 you think should have been raised?

10 Former Comm. Dir.: I think I've mentioned it all. I mean, I think I've articulated my concerns
11 that I had at the time and, obviously, I've been on the outside for a long,
12 long time, so, anything I see is just as a recorder I like to check.

13 Jeffrey Brown: I mean, it sounds to me like you have a very low opinion of Oliver's
14 management style-

15 Former Comm. Dir.: Of him generally.

16 Jeffrey Brown: Of him generally. You ... I get the impression you're pretty diligently
17 looking after FEC disbursement reports related to the congressman-

18 Former Comm. Dir.: I mean to a lot of congressmen, but I always check within them too. Mm-
19 hmm (affirmative).

20 Jeffrey Brown: Is there ... I mean is there ... you've given us a lot of what I think is just ...
21 what I think is short on specifics and long on just the general distaste for
22 Oliver. Is there anything, more specific examples-

23 Former Comm. Dir.: I mean, I think that I-

24 Jeffrey Brown: ... of ways in which, perhaps-

25 Former Comm. Dir.: I don't think I gave you distaste. I think I gave some examples. So, if you
26 are walking away from this conversation thinking it's a personality
27 difference or an ax to grind, that would be inaccurate.

28 Jeffrey Brown: Why do you say that?

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- 1 Former Comm. Dir.: Because that's not what I said. I think there was a lot of issues in the way
2 he treated staff and the way that he treated the finances, as I said earlier,
3 that I'm ... that I was frustrated with and that's ultimately what led me to
4 have tough conversations with the boss and ultimately leave that office.
- 5 Jeffrey Brown: Well, I guess then-
- 6 Former Comm. Dir.: So, it's not a general distaste.
- 7 Jeffrey Brown: Well, then let's talk about it for a second. So, what are some of the ways in
8 which he mistreated staff?
- 9 Former Comm. Dir.: I mean, he fired one of my best friends in the office for really no reason.
- 10 Jeffrey Brown: How'd that happen?
- 11 Former Comm. Dir.: He's just a very whimsical person. Whatever he felt in the moment.
- 12 Jeffrey Brown: Any other staffers you recall being mistreated?
- 13 Former Comm. Dir.: I think by allowing some, what you would deem as a bad actor in the
14 office, to kind of be charge or let, kind of, run the ship and, kind of, punish
15 the hard working ones, which is why there was a decent exodus in the
16 middle of '14.
- 17 Jeffrey Brown: And you're talking about yourself, and Dan, and Matt?
- 18 Former Comm. Dir.: And, yeah, and Caldwell and Aryeh, and Garrett Archer.
- 19 Jeffrey Brown: Aryeh, who is that?
- 20 Former Comm. Dir.: A staffer that Oliver fired for no reason.
- 21 Jeffrey Brown: So, any other specific examples of mistreatment of staff before I move on
22 to the other issues you raised?
- 23 Former Comm. Dir.: I mean, I think ... I mean, not mistreatment, but just talking to reporters
24 about either staff or the congressman. I think that's disrespect for the
25 person in the office that should be talking to reporters. So it was-
- 26 Jeffrey Brown: It was you.
- 27 Former Comm. Dir.: Yeah, but it was that way with every staffer. Like Paul [inaudible
28 00:44:51] said he'd promised a member of Congress one thing and then

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1 the LD had been doing something different. So, it was just that all the
2 time.

3 Jeffrey Brown: Okay.

4 Former Comm. Dir.: So, I think I've given examples, so it's not general, so.

5 Jeffrey Brown: Okay. I'm not trying to put words in your mouth. I want to make sure you
6 get an opportunity to explain to us any concerns that you have.

7 You said mistreatment of staff and then you also raised finances ... issues
8 with Schwab's finances. So, let me make sure I'm clear on what you're
9 focused on ... problematic MRA disbursements-

10 Former Comm. Dir.: Yeah, I mean, I think-

11 Jeffrey Brown: Office supplies I think you mentioned?

12 Former Comm. Dir.: Yeah, no, I think obviously, probably lots of ... he's probably taken almost
13 all of the money if not most of it, on the campaign side, or as David. That
14 doesn't necessarily bother me as much as MRA money because that's
15 taxpayer money and I'm very, very passionate about the fact that if you're
16 going to pay staffers very little in order to save the taxpayer money so you
17 write it back to the Treasury and get a nice press release out of it, that
18 money shouldn't be going to one person who's getting all of it.

19 And, I was very, very stingy, like whenever I would travel for work, I
20 almost paid everything out of pocket. Now, I know that's ridiculous. I
21 probably should have gotten it reimbursed, but I was very paranoid about
22 not being that person, so, kind of looking back and seeing how he really
23 misused and abused the money in the office has been very troublesome
24 and frustrating to me.

25 Jeffrey Brown: So, let's talk about the MRA use. Are you talking ... this report here talks
26 about the trip to Arizona during the Super Bowl weekend in 2015. Did you
27 ever witness any other examples where, for example, Oliver's using MRA
28 funds to travel to the district, but instead of doing official work, he's doing
29 campaign and fundraising work-

30 Former Comm. Dir.: I wasn't, I don't know what he did on any of these trips, but I would be
31 very, very surprised if he did a single moment of work-work. It would
32 have only have been probably campaign, but I don't know who paid for his
33 trips. Again, he would just disappear and we wouldn't know where he'd

1 go. He'd travel a lot. I was always suspicious that maybe there was
2 taxpayer money there too. I couldn't tell you.

3 Jeffrey Brown: So, it sounds like you've looked at MRA disbursements to the
4 representative or for the representative pretty closely. Aside from the
5 Arizona trip that's mentioned in this article, were there other things that
6 you were particularly focused on or concerned about that you saw?

7 Former Comm. Dir.: I mean, I think, it's been a while, but I think 2017, I remember going
8 through all the MRA filings and he was the single-handedly, of all the
9 members of Congress, the most reimbursed-back chief of staff, upwards of
10 like tens of thousands more than anybody else. I did that work on my own.
11 I figured it out, but yeah, that frustrates me.

12 You know how, if you know the Hill well, you know how office expenses
13 work. You usually take the card, and you go downstairs, and you buy it
14 out of the shop. So, to see a lot of office expenses being expensed back
15 every month, that's not typically how a traditional office works.

16 Jeffrey Brown: Anything else on MRA disbursements before I move on? Okay. You also
17 raised concern about FEC disbursements, and I think the thing you were
18 most focused on was disbursements that are made by campaigns or PACs
19 affiliated with the representative to either Oliver or Chartwell, his
20 company. You had mentioned those, so at least we discussed this. Is there
21 anything else in those FEC reports that raised red flags for you?

22 Former Comm. Dir.: Um, I'm trying to think back. I mean, I think what I used to do, and I've
23 since gotten a life, but I would google address a lot of the companies that
24 the campaign, the PAC and the campaign don't give out a lot of money.
25 So, when they were non-Chartwell, non-Schwab, non-the other female
26 staffer on the campaign. If they were not given to them, I would then note
27 who these people ... who these different entities were and I don't even
28 remember what the name of one was, but one of them didn't seem to exist.
29 I looked at google address and it was like the middle of like, not even
30 Maricopa county, but I don't know. I didn't trace it down far enough, but
31 like it wouldn't surprise me if the other payments made by the campaign to
32 entities were actually not actually for a campaign. It wouldn't surprise me.
33 But I never ... I mean, that was all just, that's never been proven. I never
34 figured it out.

35 Jeffrey Brown: So, aside from ... so there's ... it's fair to say it's sort of a general suspicion
36 about some of the other entities that were receiving campaign funds, but
37 never to the point that you'd figured out that -

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- 1 Former Comm. Dir.: Yeah, and then this was years after I left and, I mean, I would honestly not
2 put too much past him, so I figured it was probably bad. It was probably
3 not campaign.
- 4 Jeffrey Brown: Um, Ali, did you have anything else before we wrapped up “Former
5 Communications Director”?
- 6 Ali Bazzi: Um, one thing earlier, you had mentioned that Oliver Schwab treated
7 those that were friends with his wife, Ana, fairly well compared to those
8 that he viewed as competent, he viewed them as a threat. Could you
9 clarify what you meant by treating them well if they were friends with
10 Ana, any specific examples?
- 11 Former Comm. Dir.: I think just payment, staff, allowing going on Staff-Dels, or payment,
12 excuse me, salary.
- 13 Ali Bazzi: Okay.
- 14 Jeffrey Brown: Kelly, do you have anything else?
- 15 Kelly Brewington: I do not.
- 16 Jeffrey Brown: Okay.
- 17 Kelly Brewington: I don't.
- 18 Jeffrey Brown: Anything else before we wrap up, “Former Communications Director”?
- 19 Former Comm. Dir.: No. I mean, if you have further questions, please let me know. I'd rather
20 you ask me than assume that it's just a general distaste of somebody-
- 21 Jeffrey Brown: Okay. Well, I didn't mean to misstate what you said there, but I felt like
22 we had ... you had on several occasions made comments that were very
23 indicative of a distaste, and I appreciate -
- 24 Former Comm. Dir.: Yeah, and it's because of activity, it wasn't because of a personality thing.
25 It was because of-
- 26 Jeffrey Brown: Well, I ... what I wanted to say was I appreciated you providing us a little
27 bit more detail on that, so if there are other examples of specific issues that
28 you recall, I'd be happy to hear those. I think part of what I'm also hearing
29 too is that you felt like when you were in the office, and Matt was in the
30 office, and Dan was in the office, and Cassie you said, there was a bit
31 more of a check and balance on Oliver and part of your concern-

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- 1 Former Comm. Dir.: Yeah, because-
- 2 Jeffrey Brown: ... that check and balance system isn't there right now.
- 3 Former Comm. Dir.: Yeah, I mean we all left.
- 4 Jeffrey Brown: Or hasn't been since 2014.
- 5 Former Comm. Dir.: Possibly. I think that's kind of where you can see too, that uptick of
6 money. Yeah, I mean, I'm sorry I don't have the emails in front ... I don't
7 have my emails anymore. I just know that, Oliver would go out of his way
8 to not say nice things about former colleagues and to ... even to reporters
9 to such and I think there's kind of a general consensus amongst a lot of his
10 staff that worked for him, not just in his office, but former, like financial
11 services staff, he used to be on that committee, and a lot of lobbyists. Like
12 there's just a very ... former members of Congress, even. Oliver is just a
13 name that they know to always avoid, so it's not a minor, "Okay, here's a
14 couple disgruntled former staffers." It's a very widespread problem that's
15 been a problem for a very long time and it's not been something that's been
16 quiet.
- 17 Jeffrey Brown: I want to ask you one more question. You said earlier, this isn't because
18 I've got an ax to grind. You're sort of, I think, following up on that a little
19 bit right there. Can you elaborate on what you meant by that? You said
20 this isn't me coming here with an ax to grind.
- 21 Former Comm. Dir.: Yeah, you saying that I'm coming here with a general distaste of
22 somebody. That's me saying that I don't think he treats people well, and
23 it's not because I didn't ... I don't like his personality, or I don't like him as
24 a person, it's that there's just been an abuse of things and people in the past
25 eight years, and their very widespread.
- 26 Jeffrey Brown: Aside from the things that we've talked about already, is there any other
27 more specific instance of mistreatment that you felt like Oliver had
28 engaged in relative to you?
- 29 Former Comm. Dir.: Oh, I mean, I knew he talked...when I left his office to go work for Matt
30 Bevin, he threw me understand the bus to a couple reporters and some
31 stories were written about me leaving the office.
- 32 Jeffrey Brown: I don't have anything else. I want to thank you for-

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- 1 Ali Bazzi: Oh, sorry. One more question. So, you had mentioned with the FEC
2 disbursements, there were certain entities that you said that you were
3 looking into that might-
- 4 Former Comm. Dir.: I just googled them, yeah.
- 5 Ali Bazzi: Yeah, they might ... were there any ... other than entities, and like
6 companies, or businesses, were there any individual disbursements to
7 individual people that might have-
- 8 Former Comm. Dir.: I haven't. I don't remember. I mean, I haven't seen it. It's been a while
9 since I last looked at FEC. It wouldn't surprise me. I'm sure there's a
10 couple of other people that got paid money from the office that weren't
11 working for the office.
- 12 Ali Bazzi: You just don't ... you don't know any names or anything?
- 13 Former Comm. Dir.: I mean, I've heard Brett Mecum got a lot of money from the Schweikert
14 office, but I don't know if that was campaign or official. I didn't see any of
15 it firsthand. I just heard from somebody.
- 16 Jeffrey Brown: And who's Brett Mecum?
- 17 Former Comm. Dir.: I don't know what he does now. He was a former GOP Chairman of
18 Arizona and a good friend of Oliver and he would be where ... he would ...
19 I don't know what he and Oliver did, but Oliver stayed with him and paid
20 him rent, which I assumed was from MRA to live in Arizona sometimes.
- 21 Ali Bazzi: And you mentioned you had heard it from who?
- 22 Former Comm. Dir.: I don't even remember who. It was probably pretty widespread.
- 23 Ali Bazzi: Okay. Other than Brett Mecum?
- 24 Former Comm. Dir.: Any other names? Mm (neutral). No. I mean, not that I can think of.
- 25 Jeffrey Brown: I missed what you said there. Sorry, you said something about Oliver
26 paying Brett to live-
- 27 Former Comm. Dir.: So, Oliver would go back to the district, like all the time, and I think
28 instead of sometimes staying at hotels, sometimes he would stay at very,
29 very nice hotels, sometimes he would also just stay with Brett and he paid
30 Brett rent and I assumed that was from campaign or MRA money.

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- 1 Jeffrey Brown: In other words, Oliver is taking MRA funds and, instead of applying that
2 to a hotel, he's getting reimbursed and-
- 3 Former Comm. Dir.: I don't know that for a fact. I just assumed that was what was happening
4 just based on what I'd heard.
- 5 Jeffrey Brown: From who?
- 6 Former Comm. Dir.: Probably other people. I don't know. Other people in the office, people in
7 Arizona, Brett, I don't know. I don't know. Brett was always around, so.
- 8 Jeffrey Brown: And did Brett, was he ever an employee of the-
- 9 Former Comm. Dir.: No, no, no. No. He's only ever been like a political operative in Arizona.
- 10 Jeffrey Brown: How are he and Oliver friends?
- 11 Former Comm. Dir.: I don't know. They probably just met through Arizona politics.
- 12 Jeffrey Brown: All right. Well, thank you for coming in. Thank you for your time. I think
13 we managed to keep this under an hour for you.
- 14 Former Comm. Dir.: Great. Well, thank you.
- 15 Kelly Brewington: Thank you.
- 16 Former Comm. Dir.: Yes.

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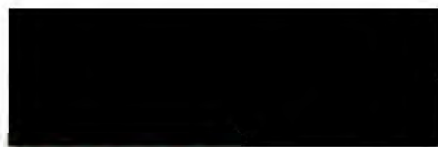
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ERRATA SHEET

Page	Line	Correction	Reason
		no corrections	

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name:



Witness Signature:

Date:

7/12/18

EXHIBIT 6

**Transcript of Interview of “Former District Staffer”
June 29, 2018**

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1 Jeffrey Brown: All right, this is Jeff Brown with the Office of Congressional
2 Ethics. With me is my colleague, Omar Ashmawy, and our law
3 clerk, Ali Bazzi. Before us, we have “Former District Staffer”. It is
4 Friday, June 29th, just before 11:00 a.m. “Former District
5 Staffer's” been given a copy of the false statements warning and
6 signed the acknowledgement form. And with that, we will get
7 started. It's alright if I call you “Former District Staffer”?

8 Former District Staffer: Yes.

9 Jeffrey Brown: “Former District Staffer”, where do you currently work?

10 Former District Staffer: I am the Executive Director for an organization called Concerned
11 Veterans for America.

12 Jeffrey Brown: And can you tell us a little bit about what the organization does?

13 Former District Staffer: We are a veteran's advocacy organization. We advocate for
14 policies that we feel will improve the lives of veterans and all
15 Americans. We've been very active, for example, on reforming and
16 fixing the Department of Veterans Affairs. We are a 501(c)(4), so
17 we do advocacy. We have a sister organization called Concerned
18 Veterans for America Foundation that is a 501(c)(3). It's a separate
19 entity, but I work with them as well, too. That is an educational
20 organization.

21 Jeffrey Brown: Does Concerned Veterans for America, do they have a connection
22 to Americans for Prosperity?

23 Former District Staffer: Yes. We are part of the wider, what they call, the Seminar
24 Network, also known as the Koch Network.

25 Jeffrey Brown: When I called the office, the individual who picked up-

26 Former District Staffer: Yes.

27 Jeffrey Brown: ... yeah, okay. So prior to ... You are currently the Executive
28 Director?

29 Former District Staffer: Yes.

30 Jeffrey Brown: Did you have a role at Concerned Veterans for America that was
31 something aside from Executive Director?

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- 1 Former District Staffer: I've had several roles there. I've been Issue Campaign Manager,
2 and then Policy Director. The titles changed but in effect, aside
3 from being Executive Director, I was managing large parts of the
4 policy portfolio for the organization. Also managing
5 communications team, and I also served for a brief time as a
6 grassroots organizer. So I've done a lot in the organization, from
7 our grassroots and comms and policy, and now the Executive
8 Director the last six, about seven, eight months now.
- 9 Jeffrey Brown: And prior to joining on with Concerned Veterans for America,
10 where you employed by Representative Schweikert?
- 11 Former District Staffer: I was, yes.
- 12 Jeffrey Brown: And in what capacities?
- 13 Former District Staffer: I originally, I was not paid but I started as an intern. And then from
14 there, I served as a constituent caseworker, Deputy District
15 Director, and left as Deputy Chief of Staff.
- 16 Jeffrey Brown: What timeframes are we talking about here, roughly?
- 17 Former District Staffer: Summer of 2011 to summer ... late summer, fall of 2013.
- 18 Jeffrey Brown: And you said, sorry, summer of 2011?
- 19 Former District Staffer: Yes.
- 20 Jeffrey Brown: How'd you first connect with the Schweikert office?
- 21 Former District Staffer: They were my representative in Congress at the time. I actually
22 had volunteered a little bit on the 2010 campaign. I was aware of
23 David. We'd kind of grown up ... obviously, he's much older than I
24 am, but we'd kind of grown up in the same area. Ideologically, we
25 were very aligned. When I left the Marine Corps, I was going to
26 school on the G.I. Bill and my wife encouraged me to get an
27 internship, so I applied for an internship with Congressman
28 Schweikert's office, and I got it, and actually within a couple of
29 months, they offered me a position.
- 30 Jeffrey Brown: And that's summer 2011 that that internship occurred?
- 31 Former District Staffer: Yes, yeah.

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- 1 Jeffrey Brown: Did you have a role on the campaign as well?
- 2 Former District Staffer: In 2012, I took a leave of absence from end of May to end of
3 August to serve as the Campaign Manager.
- 4 Jeffrey Brown: And that was your title, Campaign Manager?
- 5 Former District Staffer: Yes. I also from that point, I also in after hours and off work hours
6 did attend political events and handle campaign matters for the
7 Congressman. I was not, in the traditional sense of the word, I
8 didn't have many of the responsibilities of a traditional Campaign
9 Manager. Basically, my job was kind of more of an operations
10 manager is how I'd describe it.
- 11 Jeffrey Brown: I might come back to some of that in a minute or two. You said
12 you took a leave of absence in May through August of 2012.
- 13 Former District Staffer: End of May, around Memorial Day of 2012.
- 14 Jeffrey Brown: Did you have involvement with the ... I guess I'll ask two questions
15 here. Did you have involvement with the campaign prior to May
16 2012?
- 17 Former District Staffer: No. I would, I think, not an official role. I think I maybe attended
18 one or two political events, but I didn't have any operational role in
19 the campaign prior to that.
- 20 Jeffrey Brown: Were you volunteering? Because I think before May 2012, you're
21 on the official Congressional staff.
- 22 Former District Staffer: Mm-hmm (affirmative)
- 23 Jeffrey Brown: Are you volunteering your time either before or after work prior to
24 May 2012?
- 25 Former District Staffer: If I did, it was attending one or two events, and it wasn't even as ...
26 It was purely as an attendee. I don't recall having any operational
27 role in it, in that. I was actually very surprised when they asked me
28 to do it. It was really out of left field for me.
- 29 Jeffrey Brown: But you said you have volunteered on the 2010 campaign?
- 30 Former District Staffer: Yes, and I was not doing any ... I'd come in once a week and make
31 phone calls. I talked to David once or twice. I talked to Oliver

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1 Schwab, who was Campaign Manager at the time. That some of
2 this was a very high level race, had so many volunteers in there,
3 and I was maybe in there once or twice a week, if that.

4 Jeffrey Brown: You said Oliver Schwab was the Campaign Manager? You're
5 talking about the 2010 race.

6 Former District Staffer: Yes.

7 Jeffrey Brown: Well let me ask you this. What's your current relationship with
8 Representative Schweikert?

9 Former District Staffer: We talk every once in a while. I do lobby his office from time to
10 time to support veteran legislation. Actually, I don't officially do
11 the lobbying. I have a government affairs rep who does it, but we
12 are in touch with his office and encouraging him to support bills.
13 David has come to CVA events in the past. It is generally a good
14 one. Since he's not on a lot of the relevant committees we deal
15 with, particularly House Armed Services or Veterans Affairs, we
16 aren't in frequent contact. But I would say usually touch base about
17 once or twice a quarter, sometimes less.

18 Jeffrey Brown: And is that you're giving him a call or he's coming to an event, or
19 you're stopping by the office? How are you generally keeping in
20 touch with Representative Schweikert?

21 Former District Staffer: Just, I will give him a call directly, and I also will touch base with
22 the office through the scheduler, whose name at the time eludes
23 me, and Katherina, if she's still there, Legislative Director. Since
24 I've taken over as Executive Director, I pushed a lot of that down
25 to our government affairs rep and to our policy director.

26 Jeffrey Brown: We've mentioned Oliver Schwab. Who is Oliver Schwab to you?

27 Former District Staffer: When I first met Oliver in 2010, he was a Campaign Manager. And
28 when I was first hired as both an intern and then as a full-time
29 staffer in 2011, he was the Chief of Staff.

30 Jeffrey Brown: Do you and Oliver keep in touch?

31 Former District Staffer: Not frequently. I actually have not talked to Oliver in probably
32 close to a year. I connect normally directly with David or the
33 legislative director. I believe the last time I talked to Oliver was a

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1 stop-in in his office the early fall, late summer of 2017 maybe, if
2 that. It has been a long time.

3 Jeffrey Brown: You haven't connected with Oliver since November 2017?

4 Former District Staffer: Probably prior, even before that.

5 Jeffrey Brown: Before that, okay.

6 Former District Staffer: It's been awhile.

7 Jeffrey Brown: Can you talk to me a little about Representative Schweikert's
8 relationship with Oliver to the extent that you witnessed that?

9 Former District Staffer: David depended a lot on Oliver. He leaned on Oliver for a lot of
10 things, put a lot of trust in Oliver. Oliver was the staffer that he
11 was probably closest to, which is not surprising considering his
12 role as Campaign Manager and Chief of Staff.

13 Jeffrey Brown: Do you know how Oliver and Representative Schweikert first
14 connected?

15 Former District Staffer: Yes. David's general consultant, a gentleman by the name of Chris
16 Baker, was also a consultant for a group called Club for Growth,
17 and he knew Oliver when Oliver was a junior staffer at Club for
18 Growth. What I have been told by Oliver was that Oliver was kind
19 of looking to do something different. He'd been at the Club for
20 Growth for a while. Club for Growth had supported David, so he
21 kind of was aware of David from 2008. This is Schweikert; he
22 doesn't like to be called Congressman, so if I say David, I'm
23 referring to the Congressman.

24 So David was supported by the Club in 2008, and so Chris needed
25 a campaign manager, he knew that Oliver was looking to do
26 different things and brought Oliver out to Arizona I believe -- this
27 was, again, I don't have direct knowledge; this is what I've been
28 told second, third hand -- in the beginning of 2010. And Oliver, I
29 think actually for a period of time when he first came out here,
30 lived in David's house and then lived in one of his rental properties
31 while he was managing the campaign.

32 Jeffrey Brown: Subsequently, Oliver becomes Chief of Staff ...

33 Former District Staffer: Mm-hmm (affirmative)

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1 Jeffrey Brown: ... when the, I guess, when Representative Schweikert's sworn in in
2 2011.

3 Former District Staffer: Yes.

4 Jeffrey Brown: Rachel Semmel. Do you, who's Rachel Semmel?

5 Former District Staffer: Rachel Semmel was Communications Director at the time when I
6 first was hired.

7 Jeffrey Brown: In the official office?

8 Former District Staffer: Yes.

9 Jeffrey Brown: Did she have any role in the campaign?

10 Former District Staffer: Not directly. When we would communicate though, because we'd
11 often get campaign inquiries into the official office and she would
12 forward them over to me and Chris Baker, who was a general
13 consultant. But she was not officially part of the campaign, but just
14 the nature of how it was playing out, there would be campaign
15 inquiries that would go to her. I don't remember if she ever took an
16 official leave of absence or anything to work on the campaign. She
17 might have. Again, I don't recall specifically. She might have been
18 part of a group of staffers that, end of August, 2012 where she took
19 a leave of absence, which is fairly common, and came and helped
20 out the campaign, but never in an official capacity.

21 Jeffrey Brown: Do you know when and why she left the Representative's office?

22 Former District Staffer: She left voluntarily. She left to go work for Matt Bevin, and I think
23 that she was just looking to do other things. She left around the
24 same time I did, along with other group of staffers within this same
25 month. I think that we all kind of reached a point where David, we
26 kind of had thought that David was going to go in a certain
27 direction. I'm not talking ideologically; I'm talking more in terms
28 of where his career was going to go, and he wasn't going to go that
29 way. And so I think we all made a decision it was time to move on
30 to bigger and better things.

31 Jeffrey Brown: What do you mean the direction that his career was going to go?
32 Like run for another office?

33 Former District Staffer: Yes, yeah.

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1 Jeffrey Brown: Senate?

2 Former District Staffer: That was kind of the assumption, that he would run for Senate in
3 2016.

4 Jeffrey Brown: Let me ask you to follow up on that. Can you talk to me a little bit
5 about ... You said when you left the office, but a little bit more
6 about why you left the office?

7 Former District Staffer: I left the office. I resigned. I just, the last few months I was there, I
8 was kind of not happy. I was promoted to Deputy Chief of Staff. I
9 think that some other staffers resented that, so I had some tension
10 with some other staff. I was not particularly happy with kind of the
11 direction of where the office was going at the time. So after talking
12 to Oliver and Ernestina, who was our Constituent Service Director
13 out there, who I worked closely with and I originally reported to,
14 made the decision to resign.

15 Jeffrey Brown: Why were you unhappy? What was going on?

16 Former District Staffer: It wasn't ... it just was kind of the ... It really kind of goes back to
17 what I said earlier, is that we kind of thought that David was going
18 to be going in a direction that he didn't ultimately want to go in,
19 and that was setting himself up for a Senate run. The first two
20 years, with his first term it was pedal-to-the-metal, constant media
21 focus -- and when I say media focus, getting in front of the camera,
22 a lot of events, big focus on constituent services -- and then
23 building out a reputation as a solid conservative policy thinker,
24 which he is and still is, and that was to potentially run for Senate.

25 It'd become clear that by 2013, that David was very happy in the
26 House, and that he wanted to kind of stay there and turn the
27 volume ... when I say turn the volume down, and slow down a bit.
28 In retrospect, I think that he was a little worn out. He'd run in two
29 tough races, three -- 2008, 2010, and a very difficult race in 2012,
30 very brutal -- and I think that he wanted to slow down, take a
31 breath, and he had a very highly talented office. We had a lot of
32 people that were very talented, and that's a lot of Type-A, high
33 caliber people to have in a small office, and he didn't really need
34 all of us. And so I think a lot of us kind of looked around, and there
35 were some opportunities, and we made a decision to leave. And I
36 think in retrospect it was the right one for all of us, and I have
37 tremendous respect and admiration for David for what he allowed
38 me to do and what he did for me at that time.

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- 1 Jeffrey Brown: Who else, you keep saying 'we', who else is a part of this group?
- 2 Former District Staffer: Garrett Archer, who works now for the Arizona Secretary of State,
3 and he went to work for the Arizona Attorney General. He was
4 another staffer that took a leave of absence with me to go to the
5 campaign. He was kind of like my deputy. Rachel, and then Matt
6 Tully who left, who was the Chief of Staff when Oliver left in, I
7 forget the exact month, in 2012 to run the super PAC. And Tully
8 remained Chief of Staff for about a year after that, and he became a
9 lobbyist.
- 10 Jeffrey Brown: Rachel. What sort of relationship did she have with Oliver?
- 11 Former District Staffer: They had a very good one the first couple years. Towards the end
12 of Rachel's time there, I think it kind of fell apart. But I was mostly
13 in the Arizona office and I didn't directly witness a lot of that.
- 14 Jeffrey Brown: Why do you say it fell apart?
- 15 Former District Staffer: I think that Rachel, from what was told, that she felt that Oliver
16 wasn't being truthful with her and was not managing the office
17 well, in her mind. And she... I think the primary motivation,
18 though, for her was that she had an offer to do some campaign and
19 she wanted to build up her resume there, and that's I think the
20 primary reason why she left.
- 21 Jeffrey Brown: You said something about Oliver not being truthful. What were the
22 specifics on that?
- 23 Former District Staffer: I'll just be very candid. I think that Oliver ... When Oliver came
24 back from running the super PAC, I think a lot of us kind of
25 noticed he was a different person. And I think that Rachel
26 especially having dealt with him just didn't feel like he was being
27 honest and trustworthy and straightforward.
- 28 Jeffrey Brown: What do you mean 'different person'?
- 29 Former District Staffer: Again, we really couldn't trust what he was telling us in a lot of
30 cases. I think a lot of us felt... I think that he was a lot more
31 interested in building his own kind of ... I won't say network is the
32 right term, but kind of focusing a lot more on himself as opposed
33 to David and serving David. And it was frustrating I think for some
34 of the staffers, especially in D.C., to kind of see that dynamic, and
35 to see David so placing an inordinate amount of trust in Oliver.

1 And we felt that he was not being truthful about a lot of things,
2 things happening in the office, and things like that.

3 Jeffrey Brown: Anything specific come to mind that he wasn't being truthful on?

4 Former District Staffer: This has been five, six years in many cases. I will tell you that one
5 consistent problem is that Oliver would go and meet with another
6 office, usually with leadership, and they'd discuss something, and
7 then he'd come back and he'd tell the leadership team in the office
8 that this is what was discussed, and we'd find out after the fact it
9 was like, no, that didn't happen. That was happening with some
10 constituent service stuff internally, and it just became an ongoing
11 issue. He also wasn't present a lot in the office in the last few
12 months I was there. I'd hear from Rachel, I'd hear from Beau
13 Brunson, who was legislative director at the time, that they didn't
14 know where Oliver was. He'd disappear for four or five hours. And
15 just very odd behavior that wasn't present those first two years.

16 To sum it all up, it became a different office in the middle of 2013,
17 and it's hard to put my finger, say exactly what happened. And part
18 of it was driven by David wanting to focus kind of ... I think that
19 he needed to devote more time to himself personally to improve
20 his relationship. He had bought a new house and he wanted to
21 focus more on that because he had been running hard for, by that
22 point, close to six years, and he needed to take a break.

23 But I think that was only one part of it. And again, I was mostly
24 2,000, 3,000 miles away in Arizona, and I didn't see the day-to-day
25 interactions. I came out a few times, or I'd see David and Oliver
26 back in Arizona, and I'd hear a lot about this secondhand. So I just
27 want to emphasize that, that a lot of this stuff was secondhand in
28 that case, but in my interactions with David and with Oliver in the
29 middle of 2013, you could start to see a change.

30 Jeffrey Brown: Let me ask you a little bit more about that. So you were based out
31 of the Arizona office?

32 Former District Staffer: Yes.

33 Jeffrey Brown: And who were you reporting to when you started and eventually-

34 Former District Staffer: When I eventually, when I left ... Or excuse me, when started, I
35 reported to Ernestina Borquez-Smith, who was the Constituent
36 Service Director, and I believe she's still there. And then I also

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1 reported up to Kevin Knight, and then I reported to Matt Tully and
2 Oliver my last seven, eight months there.

3 Jeffrey Brown: As time goes on, who's reporting to you?

4 Former District Staffer: This is kind of one of the issues. There wasn't a clear org chart or
5 chain of command. You had that in the first two years, but it
6 became kind of muddled. Kevin Knight never really reported to
7 me. I'll just be honest; my title of Deputy Chief of Staff in
8 retrospect seems more honorific than anything, than operational. I
9 think they needed that so that when I was representing the
10 Congressman or they felt having that title carried weight, and I
11 think that's mainly why they gave it.

12 Jeffrey Brown: How frequently was Oliver in the District office when you were
13 there?

14 Former District Staffer: It all depended. He could be there as frequently as once or twice a
15 month. When I first started, it was usually once every other month.
16 And then, towards the end of our tenure, it became about once a
17 month.

18 Jeffrey Brown: I guess I'm trying to get a sense for how closely you were working
19 with Oliver, either over the phone, through email, or in the District
20 office?

21 Former District Staffer: Very closely towards, especially my last eight, nine months. I was
22 frequently in touch with him usually once a day at least.

23 Jeffrey Brown: Let me ask you about other folks in the office. Is there anybody
24 else either on Representative Schweikert's current staff or former
25 staff that you keep in touch with?

26 Former District Staffer: It's really only Rachel Semmel; actually there was an intern for
27 David who was way past my time. She wound up working for
28 CVA and stays with them in Koch Network, but I didn't work with
29 her. And David actually found out after the fact she worked with
30 David, and she was hired by somebody else.

31 Jeffrey Brown: Who was that?

32 Former District Staffer: Her name is Kara Blasier. She was, again, an intern I think 2015,
33 and I never knew her working or her capacity for David. I'm trying
34 to think... I touch in with Ernestina once in a while.

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1 Jeffrey Brown: How about Beau Brunson?

2 Former District Staffer: I have not talked to Beau since I ran into him on the Hill three or
3 four years ... it's been years since I've talked to him. Ryan White I
4 touch in with every once in a while, but it's been probably six,
5 seven months since I've talked to him.

6 Jeffrey Brown: Do you remember the last time you talked to him?

7 Former District Staffer: Again, it was awhile. It's not any time recently.

8 Jeffrey Brown: Prior... was it prior to probably November, 2017?

9 Former District Staffer: Yes, yeah.

10 Jeffrey Brown: How about, you said Kat. Do you keep up with Kat at all?

11 Former District Staffer: I will email with her every once in a while, but I haven't verbally
12 spoken to her since the middle of 2017. I don't recall speaking to
13 her verbally.

14 Jeffrey Brown: Has anybody reached out to you about the OCE's investigation?

15 Former District Staffer: No, no.

16 Jeffrey Brown: Have you spoken to anybody about coming in here for an
17 interview?

18 Former District Staffer: No. Well, let me back up. I did speak to my counsel at CVA about
19 coming in here.

20 Jeffrey Brown: Okay.

21 Former District Staffer: So I want to be clear, though, I haven't spoken to anybody in
22 Schweikert's operation about coming in here as of right now.

23 Jeffrey Brown: So nobody who's affiliated with the office or the campaign?

24 Former District Staffer: Nobody affiliated with David's office or campaign, to my
25 knowledge at this time. I don't think my counsel and my, just to
26 clarify, my immediate supervis- One of my people who manages
27 me as part of the Koch Network is working for David. I don't have
28 any knowledge of that.

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- 1 Jeffrey Brown: At some point in time, did you ever read an article published by the
2 Examiner that was titled-
- 3 Former District Staffer: Yes.
- 4 Jeffrey Brown: -A Lot of Cash is Flowing to David Schweikert's Chief of Staff,
5 Oliver Schwab? So you have seen that?
- 6 Former District Staffer: I have read that, yes.
- 7 Jeffrey Brown: And this article, it's November 2nd, 2017. Has anybody reached
8 out to you about this article?
- 9 Former District Staffer: I've had people, a lot of people ask me questions about the article
10 in Arizona, in my office, as saying "what's the deal here? This is
11 crazy. Candidly, what is David thinking? What is Oliver
12 thinking?" There was a lot of shock to that. And when I saw that,
13 and when I started hearing about and seeing some of the things that
14 was happening with the MRA and with the campaign account,
15 candidly, a lot of people that I'd worked with in the campaign
16 capacity -- and when I say work with, like volunteers and reporters
17 and stuff -- were kind of shocked because I have to tell you from
18 2011 to 2013, this stuff was not going on. It was a much more
19 frugal, spendthrift -- I think's the right term -- operation. Our goal
20 was to return a significant amount of money back to the treasury so
21 we could say in a tough race that we're giving money back.
- 22 When we were on the campaign, I mean we were incredibly cheap.
23 I worked out of an office with black mold. We used open source
24 operating systems so we didn't have to pay for licenses. We refilled
25 printer cartridges. Every dime was stretched to the limit, and to see
26 this and to see some of that was very shocking. And so I naturally
27 had a lot of people that I knew from Arizona or worked with or
28 worked around on the Hill that were like "what the heck is going
29 on here." And internally, too, within AFP and CVA since we
30 worked with David.
- 31 Jeffrey Brown: Have you talked to... have you spoken with anybody who was
32 either a current or former Representative Schweikert staffer about
33 this article?
- 34 Former District Staffer: I talked to Rachel about it.
- 35 Jeffrey Brown: Tell me about that conversation.

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1 Former District Staffer: We were both, we were both kind of surprised that it had gotten
2 this bad; that that behavior which ... we'd seen some of the stuff on
3 the FEC report, we'd seen some of the stuff on the MRA, and that
4 it had gotten that bad and that somebody had kind to totaled it up
5 and done that.

6 Jeffrey Brown: What you do mean when you say you saw stuff on the FEC
7 website or the MRA?

8 Former District Staffer: Well I mean, as part of my job, I check in to see how much people
9 are raising, what they're spending on. I don't want to say it's like a
10 hobby of mine, but just in the world I work in, it's something you
11 want to keep track of. So I check in to see how David's
12 fundraising, and it was surprising to me that David started ... or the
13 campaign, whoever was in charge of the account. The thing that
14 when I was working on the campaign and I was working in the
15 office, Joyce Schweikert, his wife, for most of the time, controlled
16 all the campaign books. And she was very tight with the money.

17 There were a couple mistakes made in 2012, and they made a
18 decision to move to Huckaby Davis Lisker. And from there, Joyce
19 lost control over that account. And if I recall, I think it was first
20 quarter, second quarter of 2013 where Huckaby Davis Lisker took
21 it over.

22 Jeffrey Brown: And what are the mistakes that led to Huckaby Davis Lisker
23 getting involved?

24 Former District Staffer: It's hard to remember specifics. I think it was how she coded some,
25 she coded some disbursements as receipts. And just some honestly
26 what I, this is just my opinion, felt were kind of minor things at the
27 time.

28 Jeffrey Brown: So back to this article. You and Rachel discussed it. You said that
29 you were both sort of surprised by this-

30 Former District Staffer: I mean, when we say surprised that how bad it'd gotten, candidly
31 we were not surprised that this was going on.

32 Jeffrey Brown: Okay. Why wouldn't you be surprised this is-

33 Former District Staffer: Again, like I'd said, we'd seen some of this stuff on the FEC
34 website and whatnot, and just that there was nobody in the room
35 anymore with David that would be restraining this type of behavior

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1 by Oliver. Previously, you had Matt Tully, you had Susan Marshall
2 who was Deputy Chief of Staff for a while, and her role as Deputy
3 Chief of Staff was much different than mine. I would consider her
4 more like a COO of the office. And Joyce, Schweikert's wife, was
5 very, very careful with the campaign dollars; didn't allow a lot of
6 unnecessary spending and things like that. And she would have
7 been questioning a lot of those expenditures.

8 So without people, without Matt, without candidly too, Rachel,
9 who was very press-focused and saying, "Okay, this is all public
10 info. This is going to blow up on you, Oliver, if you're doing this,
11 not to mention it's just flat-out wrong," it wasn't ... Without
12 anybody kind of really overseeing Oliver, and David had trusted
13 Oliver so much that it didn't completely surprise us that it was
14 happening. We were surprised how bad it got.

15 Jeffrey Brown: Had you ... so this article, again we're talking about this Examiner
16 article from November 2nd, 2017, it talks about Oliver using MRA
17 funds out to a trip to Arizona ...

18 Former District Staffer: Mm-hmm (affirmative)

19 Jeffrey Brown: ... Super Bowl weekend, 2015. Had you and/or Rachel seen
20 instances in which Oliver was using MRA funds inappropriately?

21 Former District Staffer: While I was in the District, when I was working for Congressman
22 Schweikert, I do not recall a specific time where I saw him directly
23 using MRA funds in a way that was inappropriate, illegal, or
24 anything like that.

25 Jeffrey Brown: There's some discussion in here about office supplies, problematic
26 office supply purchases. Did you see anything-

27 Former District Staffer: Candidly, I thought that was the strangest part, because when I
28 worked in the office we had a Staples account, and then it was the
29 ... I forget the name, the House Office Supply. I didn't understand
30 why those expenditures were being made.

31 Jeffrey Brown: Did you or Rachel ever talk to any current staffers about this
32 article?

33 Former District Staffer: I don't recall speaking to anybody about this article.

34 Jeffrey Brown: Have you ever talked to Philip Wegmann?

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1 Former District Staffer: Now, I'm going to be clear here, as part of my job I speak to
2 reporters. You can see that I've spoken a lot to the Examiner, but
3 I'm not going to go into any specific conversations I have with
4 reporters. It's protected. So I have spoken to ... again, you can
5 Google me. I speak to a lot of reporters, and I have spoken to
6 reporters at the Washington Examiner, but beyond that, I'm not
7 going to go any further on that.

8 Jeffrey Brown: Have you spoken to reporters at the Examiner about
9 Representative Schweikert ever?

10 Former District Staffer: I have spoken about him in regards to his support of V.A. and
11 other matters. But again, I'm not comfortable going into those
12 because of the First Amendment, and my job in dealing with the
13 press, that's kind of a sensitive area.

14 Jeffrey Brown: Well let me ask you... this article quotes a couple of sources. Do
15 you know who any of those sources are?

16 Former District Staffer: I can guess, but I'm not going to speculate here. I want to be clear,
17 this is actually an area going in this realm here, where I'm not
18 comfortable discussing, because of First Amendment, because of
19 the nature of the free press, that's an area where, that's a line I'm
20 not going to cross.

21 Jeffrey Brown: Okay.

22 Former District Staffer: This is all ... As you guys are all aware, I respect what you're
23 doing. This is all public info and this has been sitting out there for
24 a while.

25 Jeffrey Brown: Well let me ask ... You said you hadn't talked to ... I just want to
26 make sure I've got this right. You hadn't talked to anybody, any
27 current staffers about this article?

28 Former District Staffer: No.

29 Jeffrey Brown: Besides Rachel, anybody else? Any former staffers or anybody
30 associated with the campaign?

31 Former District Staffer: I think that I mentioned it to Kara Blasier.

32 Jeffrey Brown: And that is the former-

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1 Former District Staffer: Intern.

2 Jeffrey Brown: Intern.

3 Former District Staffer: And I did, I think, just basically ask ... I don't know what his
4 current involvement, if any, with the campaign is. Chris Baker,
5 former general consultant, he took a big step back in 2013. I don't
6 recall specifically what we said. I think it was more of a 'what the
7 hell's going on, Chris' type conversation. But we did, I think,
8 speculate about why it came out now. But beyond that, I haven't
9 spoken to Oliver, I haven't spoken to David about it. I haven't
10 spoken to Kat. Nobody that I'm aware of who's a current staffer,
11 I've spoken to about this, that I can recall right now.

12 Jeffrey Brown: Let me change directions a little bit. You and Oliver both leave the
13 official Congressional office at some point ...

14 Former District Staffer: Yes.

15 Jeffrey Brown: ... in two thousand and-

16 Former District Staffer: 12.

17 Jeffrey Brown: 2012. You joined the campaign, he joins a Super PAC.

18 Former District Staffer: National Horizon, yeah.

19 Jeffrey Brown: Why do you ... I'll ask you first. Why do you leave to join the
20 campaign?

21 Former District Staffer: Oliver had left previous to me. There were some people that were
22 put in charge of the campaign that were engaging in some
23 behaviors that were hurting the campaign. You can go online and
24 see it. They were booing Mitt Romney's son at a Republican event.
25 They sent a spy over to Congressman Quayle's campaign; just
26 really childish stuff. And David and Chris Baker needed somebody
27 who was an adult, I guess, to supervise the campaign, and they
28 asked me and Garrett to go over, to take a leave of absence from
29 the office.

30 Jeffrey Brown: Both of you.

31 Former District Staffer: And we went over for approximately three months to do that and
32 run the, manage the day-to-day operations of the campaign through

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1 to August. And when I say manage the campaign, my role was
2 very much keeping the trains running on time, making sure the
3 office is open, it's well stocked, volunteers were coming in, people
4 were making phone calls. I didn't have any real role in mail
5 development, television buys. I had no visibility on the campaign
6 bank accounts. I didn't have signing authority on the campaign at
7 that time. All I had was a campaign credit card, and Joyce
8 monitored that. Anytime I'd buy something, she'd get an alert on
9 her phone and usually would call me right away and ask me about
10 it. So my role as Campaign Manager was much different than, say,
11 my counterpart or opponent, however you want to call it, on the
12 Quayle campaign.

13 Jeffrey Brown: You mentioned National Horizon. Why does Oliver leave for
14 National Horizon as opposed to joining on the campaign?

15 Former District Staffer: I can't speak directly to why that decision was made. I can
16 speculate, again emphasis on speculation, that it was primarily
17 because that he could effectively manage that organization, and
18 that it was going to be an important organization in several key
19 races in Arizona. Beyond that, I was never told directly by David
20 or by Chris or Joyce this is specifically why we put Oliver over
21 there. And it wasn't, they didn't even have decision rights over who
22 went over there. It was, I want to be clear that why they were okay,
23 is a better way to say it. I want to be very clear on that because it
24 wasn't their organization. And Oliver went over there, and there
25 was a firewall between us and him on what he was doing over
26 there during that time.

27 Jeffrey Brown: Did you have any communications with Oliver during that time?

28 Former District Staffer: There were several times where he received a campaign inquiry
29 from a donor or somebody that he previously had contact with that
30 he would refer over to me. He did call couple times about a
31 lingering matter that he had not tied up as Chief of Staff, but he did
32 not discuss anything that National Horizon was doing in regards to
33 our race with me.

34 Jeffrey Brown: Did he discuss anything that National Horizon was doing with
35 anyone else on the campaign?

36 Former District Staffer: I can't, not to my knowledge. Again, if he was, I was not aware of
37 it.

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1 Jeffrey Brown: You said something about a firewall. What was the, tell me how'd
2 the firewall get set up?

3 Former District Staffer: As you know, Super PACs, outside organizations can't coordinate
4 with campaigns that they may or may not be supporting, and that's
5 basically the FEC rule.

6 Jeffrey Brown: I'm curious, just more specifically with respect to Oliver and
7 National Horizon and the Schweikert campaign, how did that get
8 set up in the 2012 campaign?

9 Former District Staffer: All I was told was you can't talk or coordinate with Oliver.

10 Jeffrey Brown: Who told you that?

11 Former District Staffer: I believe both Chris Baker and David Schweikert told me that
12 directly, and they were very clear about that.

13 Jeffrey Brown: Can I back up a step and ask you just how does National Horizon
14 get formed?

15 Former District Staffer: I can't speak to any of that. I know that there was another
16 consultant running it, a person who I had met at the time. I have no
17 idea who-

18 Jeffrey Brown: Was that David Satterfield?

19 Former District Staffer: That was actually ... I've worked with David on other things. He
20 was the campaign accountant. I have no idea who-

21 Jeffrey Brown: You don't know who else was working at National Horizon?

22 Former District Staffer: Believe that there was a gentleman who was a media consultant,
23 Nelson Warfield. But beyond that, I don't know who else was
24 working with them on that.

25 Jeffrey Brown: Do you know how Oliver got connected with anybody at National
26 Horizon?

27 Former District Staffer: I can't speak to that. All those decisions were made before I was
28 moved over to the campaign side. We were told on the official side
29 when he did make that move to reiterate it about this is Oliver's
30 new role, he's not with the official office anymore. He's not
31 working on the campaign anymore and we need to go from there.

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- 1 Jeffrey Brown: I asked you this before but I want to make sure I have the timing
2 down. So pre-May of 2012, you're not really involved in the
3 campaign in any ... certainly not an official capacity, but-
- 4 Former District Staffer: Other than attending events, I do recall that prior to me taking over
5 the campaign, my grandparents actually did host a fundraiser for
6 David. But that was, again, my grandparents hosting it. I was not ...
7 I would even hesitate to call myself a volunteer. I would attend
8 these events in my own personal capacity after work hours; just
9 kind of be there to observe, and that's it.
- 10 Jeffrey Brown: And then as of late May, 2012, you officially joined the campaign?
- 11 Former District Staffer: Yes.
- 12 Jeffrey Brown: You were paid by the campaign?
- 13 Former District Staffer: Yes.
- 14 Jeffrey Brown: And who were you reporting to?
- 15 Former District Staffer: Well, David and then I worked with Chris Baker, the general
16 consultant.
- 17 Jeffrey Brown: Who was reporting to you? You mentioned Garrett Archer.
- 18 Former District Staffer: Garrett Archer, and then some volunteers.
- 19 Jeffrey Brown: The individuals that you said had caused these problems, I think
20 you mentioned a spy in the Quayle campaign and the booing to
21 Mitt Romney, who was responsible for that? Who on the
22 campaign?
- 23 Former District Staffer: Who...
- 24 Jeffrey Brown: I guess what I'm trying to get at is when you came onboard, the
25 individuals who were responsible for those acts, were they still on
26 the campaign at that time?
- 27 Former District Staffer: They were all terminated by that point if I recall. I think one or two
28 of them who weren't kind of directly involved, they hung around
29 another week. But then within a week, they were all gone.
- 30 Jeffrey Brown: Who are those people?

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- 1 Former District Staffer: I've been struggling to come up with their names. If you Google
2 them, you'd find their names. It's hard to even recall specifically
3 who they are. They were involved a lot in Ron Paul's operation.
4 That's all that I specifically remember.
- 5 Jeffrey Brown: You mentioned that for the campaign you were working out of a
6 small office and I think you said it had mold problems. Where is
7 that office located?
- 8 Former District Staffer: It's off SR, State Route 51 in Arizona. I think it's Cactus or Bell;
9 forget the exact crossroads, but it's right off of 51. It was in a strip
10 mall, a very run down strip mall.
- 11 Jeffrey Brown: You started talking about your duties on the campaign. Can you
12 talk to me a little bit more about what you were in charge of?
- 13 Former District Staffer: I was in charge of running the phone banks, running the door-to-
14 door operation, ensuring that thank you cards went out, ensuring
15 that campaign meet-and-greets were properly set up, ensuring that
16 David got to campaign events on time, that he was prepared and
17 knowledgeable. The big thing was just the grassroots operations
18 and the working with Garrett on that: phone banking, door-to-door,
19 personalized mailers and things like that. Volunteers getting fed.
20 Some of our contractors outside of the paid media and fundraising
21 realm were paid on time as well.
- 22 Jeffrey Brown: So campaign finances. What's your involvement in campaign
23 finances?
- 24 Former District Staffer: I had no signing rights. I had no visibility on how much money
25 was in the bank account. Again, all I had was a credit card.
26 Occasionally, somebody would come and drop off a donor check.
27 I'd make sure it'd get to Joyce, and that was the extent of it.
- 28 Jeffrey Brown: So you didn't have access to campaign bank accounts?
- 29 Former District Staffer: No.
- 30 Jeffrey Brown: Do you know where the campaign had bank accounts?
- 31 Former District Staffer: I can't ... Maybe one of the banks might have been Metro-
32 something, but again, I can't recall specifically.
- 33 Jeffrey Brown: Metro Phoenix Bank?

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- 1 Former District Staffer: That might have been one of the banks.
- 2 Jeffrey Brown: Do you know if Chase was the other one?
- 3 Former District Staffer: Maybe. Again, this was some seven years ago. I don't recall.
- 4 Jeffrey Brown: It's Joyce who's managing the incoming donations and the
5 outgoing expenditures?
- 6 Former District Staffer: So when I say 'manages', she wasn't raising money specifically.
7 We would, if somebody came and dropped off a check, I would
8 make sure it got to Joyce. Same thing with others who were
9 helping to raise money.
- 10 Jeffrey Brown: But she's also, she's a signer on the bank account.
- 11 Former District Staffer: She was, yes. David and her I think were the only signers.
- 12 Jeffrey Brown: You don't know if there was anybody else?
- 13 Former District Staffer: Again, not to my knowledge. There might have been ... That was
14 an area where it was very opaque to me.
- 15 Jeffrey Brown: Why was it opaque?
- 16 Former District Staffer: Well, I think that Joyce and David had put a lot of their personal
17 money in there, and I think, not wrongly, they didn't want money
18 going out, they didn't want people that ... They didn't want money
19 going out that shouldn't have been going out. They didn't want
20 people that could potentially misuse funds having access to it. And
21 I think, too, on David's part he didn't want a situation where he has
22 a staffer or somebody at this time that hadn't worked a lot with him
23 having access to that.
- 24 Jeffrey Brown: You mentioned that David had put in a lot of personal money. I'm
25 wondering what you're referring to.
- 26 Former District Staffer: The loans he made to the campaign.
- 27 Jeffrey Brown: So you volunteered in 2010, and were more formally about 2012.
28 So prior to the 2012 campaign, Representative Schweikert had, I
29 think, loaned the campaign over \$500,000.
- 30 Former District Staffer: I think that's right, yeah.

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1 Jeffrey Brown: What discussions did you have with either Representative
2 Schweikert or Joyce about those funds?

3 Former District Staffer: I didn't have any real discussions. They made them without telling
4 me. I found out, I believe, after the fact, saying I think it was ...
5 Again, I'm not 100 percent clear on this. It was either Chris, it
6 might have been David telling me this, but I found out after the
7 fact. They didn't tell me that they were going to make any loans.
8 There was I recall one loan made in the last weeks of the
9 campaign, and David didn't tell me that he was going to do that
10 beforehand.

11 Jeffrey Brown: So you're referring to loans that were made in 2011, 2012, with
12 respect to the 2012 cycle.

13 Former District Staffer: That one loan I'm referring to, if I recall, was August, 2012
14 towards the last weeks of the campaigns, and I wasn't consulted
15 beforehand.

16 Jeffrey Brown: You weren't consulted beforehand. How did you become aware of
17 it?

18 Former District Staffer: Again, I'm not 100 percent on this. It was either David or Chris
19 Baker told me that they'd made the loan and there's probably going
20 to be a news story on it because we were in the cycle where within
21 48 hours, you had to make notifications. I forget the specific...but
22 it was going to come out very quickly because of reporting, and I
23 was just given a heads up about it.

24 Jeffrey Brown: Was anybody else given a heads up?

25 Former District Staffer: I don't recall who else was.

26 Jeffrey Brown: What was the response to any of the media coverage?

27 Former District Staffer: It was very intense at that point. I don't recall anything specific. I
28 think that somebody did, I think that some reporters tried to frame
29 it as act of desperation; that David felt he was going to lose and
30 that's why he did that.

31 Jeffrey Brown: Do you know what prompted the ... And so, I'm happy to show you
32 this. I can also just tell you that there was \$130,000 loan that was
33 made on, it's August of ... August 22nd, 2012 there's \$130,000
34 personal loan. Is that what you've been referring to?

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1 Former District Staffer: Yes.

2 Jeffrey Brown: Okay. What's the money spent on?

3 Former District Staffer: That, every dime of that went to TV and mail. It was a very intense
4 part of the race. The opposing campaign during that time had, its
5 super PAC had gone up with a very large buy and we were getting
6 outspent; we were really getting pounded. We did start to see some
7 slippage in our polling, and David and I, again knowing this after
8 the fact, I think David and Chris Baker and probably Joyce felt that
9 they needed to spend that money to match the Quayle campaign
10 and their super PAC supporting them more dollar for dollar. I think
11 that was the impetus for that. But again, I wasn't involved in the
12 initial discussion. I only found out that after the fact, and I'm
13 honestly more speculating about the exact reason for that.

14 Jeffrey Brown: Do you know specifically where that \$130,000 came from?

15 Former District Staffer: No idea.

16 Jeffrey Brown: Was it your understanding it was from Representative Schweikert's
17 personal funds?

18 Former District Staffer: It's classified as personal loan. I have to assume it's from some
19 personal account of his. What specific account, I'm not aware of.

20 Jeffrey Brown: So you don't know if it's ... Was there ever any discussion about
21 liquidation of a retirement account or just pulling this from
22 savings, or-

23 Former District Staffer: I might have heard an offhand remark about the retirement
24 account, but again, I have no direct, specific knowledge of where it
25 came from.

26 Jeffrey Brown: How about in your time on the campaign, did anyone ever talk
27 about Representative Schweikert receiving a bank loan for
28 campaign funds?

29 Former District Staffer: No, I don't recall hearing anything about a bank loan or a line of
30 credit. But again, it could have been discussed but I don't recall
31 hearing anything about that.

32 Jeffrey Brown: Do you have any insight into ... Well, let me back up and I'll ask
33 you this. So David is a, Representative Schweikert, excuse me, is a

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- 1 ... He's working for the state of Arizona. I believe that's up until
2 2007, at which time he resigns. And between 2007 and 2010, he's
3 not employed by the state of Arizona anymore, was not an elected
4 official. Do you know what he's doing during that period of time?
- 5 Former District Staffer: So when he resigned, he actually wasn't working for the state. He
6 was working for Maricopa County; he was the county treasurer.
7 And I believe after the 2008 campaign he restarted his real estate
8 business, and he was buying up a lot of homes turning them to
9 rental properties.
- 10 Jeffrey Brown: I guess what I'm trying to get at is what insight you had into the
11 fact ... Let me say this first. Before that August 22nd loan, do you
12 recall there being a loan made to the campaign, a personal loan
13 made to the campaign in December of 2011? I know you weren't
14 on the campaign at that time.
- 15 Former District Staffer: The only knowledge I have on that is seeing it in an FEC report.
16 Nobody mentioned to me at any point why it was made, where the
17 funds came from. The only time I saw that specific one was on a
18 FEC report.
- 19 Jeffrey Brown: Because we've got \$230,000 worth of personal loans that's
20 occurring in less than nine months, and I'm trying to get some
21 insight-
- 22 Former District Staffer: That's actually, to be honest with you, for wealthy individuals
23 running for Congress, it's not that all uncommon.
- 24 Jeffrey Brown: Well let me ask you about that. You say Representative
25 Schweikert's a "wealthy" ... are you implying he's a wealthy
26 individual?
- 27 Former District Staffer: I mean based on his publicly available financial, he's worth I think
28 up to six, \$10 million. I don't recall, but I think you could argue
29 over what defines wealthy, but I think it's fair to call him a
30 wealthier individual.
- 31 Jeffrey Brown: Did Oliver Schwab, did he ever loan Representative Schweikert or
32 his campaign any amount of money?
- 33 Former District Staffer: I don't have any direct knowledge of him doing that.

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1 Jeffrey Brown: Aside from your direct knowledge, have you ever heard anybody
2 talk about Oliver loaning either Representative Schweikert
3 personally or his campaign any amount of money?

4 Former District Staffer: I think Oliver complained one time about having to buy something,
5 it wasn't a big amount of money, for David and not getting
6 reimbursed. But it was a ... I don't remember the specifics but it
7 was a very minor thing. And that's, again, all I can recall right now.
8 That was kind of more, I think, venting out of frustration over
9 something, but I didn't hear anything about it after that. I think it
10 just was ... I don't even have specifics on that.

11 Jeffrey Brown: Do you remember what the thing was?

12 Former District Staffer: I think it might have been like an airline ticket or something,
13 something campaign related. I don't recall specifically, but it was a
14 very minor thing in the scheme of things. That's not ... I don't recall
15 any conversations right now.

16 Jeffrey Brown: Do you remember roughly what timeframe that conversation
17 occurred?

18 Former District Staffer: End of 2012, 2013.

19 Jeffrey Brown: Let me see if I can jog your memory a little bit. So we talked to
20 some other individuals and they indicated, I'll just quote them. It
21 says "Oliver expressed on several occasions that he did things for
22 the campaign and David owed him thousands of dollars that he had
23 personally put out for different things." Another staffer said, "At
24 one point I was told that he donated or loaned the campaign a
25 relatively significant amount." Another individual said, "Oliver did
26 occasionally complain that David owed him money. I do remember
27 a couple of times where he brought up something in excess of
28 \$30,000." Any of that help jog your memory?

29 Former District Staffer: So I will say that Joyce and David, at times, it was difficult to get
30 reimbursed, and you have to kind of track down Joyce and you
31 have to track down David and repeatedly ask them. And that, I
32 think, just goes back to their frugalness and the spendthrift attitude
33 they had during that time. And they were ... they wanted to ...
34 Sometimes there's an issue where somebody hands you an invoice
35 in the campaign and it's not what you agreed on or you're
36 suspicious of it, and Joyce and David wanted more context on it. I
37 think that during that time, and I experienced this a few times

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1 myself on the campaign, things were not reimbursed in a timely
2 manner and Oliver might have been expressing that.

3 But I'd also say this, is that Oliver was prone to hyperbole, and that
4 \$30,000 or whatever number was being thrown out there could
5 have just been as low as \$300 or something like that. It could have
6 been a dinner that Oliver had to pick up that he wasn't reimbursed
7 for quickly. I found when dealing with Oliver, especially towards
8 the end of my time there, that there's usually some hyperbole
9 involved in that.

10 Jeffrey Brown: Have you talked to Representative Schweikert ever about concerns
11 that you had with Oliver's management style?

12 Former District Staffer: No.

13 Jeffrey Brown: Has he ever raised any issues with you?

14 Former District Staffer: No.

15 Jeffrey Brown: Individuals on the campaign, where are individuals on the
16 campaign performing their responsibilities? Everybody's in that
17 office that you-

18 Former District Staffer: Primarily. There were some volunteers working out of a north, far
19 north Scottsdale in Fountain Hills. They'd come into somebody's
20 living room and do some stuff, and occasionally David and Joyce
21 would drop in and have them do ... There was one big project
22 called the Wife Letter. Large parts of that were produced outside of
23 the campaign office by these groups of volunteers in north
24 Scottsdale and then Fountain Hills.

25 Jeffrey Brown: What's the Wife Letter?

26 Former District Staffer: The Wife Letter was the bane of my existence the last month I was
27 on the campaign. It's this elaborate letter that is from Joyce to other
28 female voters about David. It's custom printed, it's put in a nice
29 high-quality envelope. It's probably the only thing on the campaign
30 that David and Joyce weren't frugal about, and it was stamped.
31 Normally on a bulk mail piece, with postage and everything I think
32 you're spending 35, 33 cents, I forget the exact number. I get it
33 mixed up because I have a non-profit stamp now through my
34 group, but this was usually about \$2.50 and it was a big project.
35 And large parts of that were done, managed primarily by Joyce

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1 outside of the campaign office. But then parts would be brought in
2 and we'd help out with it.

3 Jeffrey Brown: How often would you have been around Oliver when he was
4 performing political activities or he was doing campaign related
5 activities?

6 Former District Staffer: During my last part of the time I was there, last seven, eight
7 months... Just let's say that post-August, when I went back to the
8 office, pretty frequently after hours. I started... attended more
9 fundraisers with him, campaign lunches, things on the weekends.
10 I'd travel with him and David to fundraisers. So pretty frequently
11 after that timeframe.

12 Jeffrey Brown: We've spoken to a couple of other staffers who have indicated that
13 Oliver would perform campaign or fundraising activities in
14 Representative Schweikert's congressional offices, so in the
15 District or official. I'm curious what sort of campaign or
16 fundraising activities you saw him perform, either at the Scottsdale
17 official congressional office, or the D.C. office?

18 Former District Staffer: Let me tell you, in the Scottsdale office with Ernestina there, for
19 the most part, as far as I can recall, she would not allow that. We
20 actually opened up an office outside the district office but nearby
21 so that we had a place where Oliver could go and do that, and so it
22 wasn't in the District office.

23 Jeffrey Brown: Was that office opened because of any issues with Oliver
24 performing work in the District office?

25 Former District Staffer: I think it was a desire to avoid political work, the temptation to do
26 political work in the District office.

27 Jeffrey Brown: Have you ever seen Oliver do any sort of political work in that
28 office? In the Scottsdale office?

29 Former District Staffer: If he was doing political calls, he'd go outside. And again, it might
30 have happened. What I normally remember him doing is stepping
31 outside and taking those calls.

32 Jeffrey Brown: How about writing or sending fundraising emails? Did you ever
33 see him do that in the office? The Scottsdale congressional office?

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1 Former District Staffer: I wasn't standing over his shoulder while he did it, but I can't, I
2 don't have ... There might have been a specific instance where he
3 did it, but I don't recall seeing him specifically doing that stuff.

4 Jeffrey Brown: Did you learn of him doing it after the fact?

5 Former District Staffer: With a lot of these things, I've heard second and third-hand things
6 that Oliver was doing this or that in the D.C. office. But again, I
7 want to differentiate between me specifically seeing this and then
8 staffers mentioning it, 'oh, Oliver's doing this or that' during that
9 ...”

10 Omar Ashmawy: Which staffers have you heard from?

11 Former District Staffer: I heard some of it from Beau Brunson, from Rachel. Matt Tully
12 complained once or twice about Oliver kind of getting close to the
13 line on that. But I know that there was an effort to ensure that,
14 look, the NRCC which is just over here, has call booths and stuff.
15 If you need to do that stuff, go and do it there.

16 Omar Ashmawy: Do you specifically recall the concerns that Matt raised to you?

17 Former District Staffer: There was just some general concerns from a lot of the D.C. staff
18 about Oliver pushing the envelope and trying to push the line, and
19 having to keep him in check on that. And that kind of goes back to
20 the dynamic that I talked about earlier, is that it was a different ...
21 There was a very locked down environment on spending during
22 my time in the official office. My first time I went out here, I had
23 to stay at a hotel near the Pentagon, the Americana, which is one of
24 the cheapest hotels in D.C. Every receipt I had was heavily
25 scrutinized by Susan Marshall. It was a different office then.

26 Omar Ashmawy: You said that you specifically remember Matt Tully raising
27 concerns to you. I'm just wondering if you remember the concerns
28 that he raised.

29 Former District Staffer: Specifically about Oliver pushing the envelope in terms of wanting
30 to do certain things in the office that could have been considered
31 political, whether it's a specific situation with a specific outcome, I
32 can't recall that. But I do remember Matt kind of just saying
33 Oliver's trying to push the envelope, which is kind of part of his
34 personality there.

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- 1 Jeffrey Brown: How about Rachel? What's Rachel ... You said there were a couple
2 of staffers who are second, secondhand telling you that they have
3 concerns about Oliver. What's Rachel saying?
- 4 Former District Staffer: Rachel raised similar concerns about Oliver, again going back to
5 what I said earlier about him being out of the office a lot, what was
6 he doing. And then again, generally kind of pushing the envelope
7 on things.
- 8 Jeffrey Brown: And the pushing the envelope relates to pushing the envelope on
9 political activity in the congressional office?
- 10 Former District Staffer: There's that. There's that, wanting to do certain things. Not even ...
11 using the term politically more on the political front in David's
12 political career; wanting to do things there that aren't necessarily
13 an ethics issue, so to say, but more of a 'this is where David's
14 going' type thing. And Oliver generally is the type of individual
15 who kind of bucked up against structure and rules and stuff. Like
16 hey, this is how we handle this process.
- 17 One thing that I know that was frustrating was that Oliver would
18 have a conversation with somebody about helping him with
19 something, and David would be there and it'd either get back to
20 Rachel or Matt, and sometimes they'd have concerns about can we
21 really be doing this. And it just was frustrating to them because
22 they felt it was, again, another example of Oliver pushing the
23 envelope.
- 24 Jeffrey Brown: What do you remember ... You mentioned Beau, Rachel, and Matt
25 raising concerns. Do you remember any specific concern? Any of
26 them ever say anything like I think Oliver's violated this rule or
27 that rule?
- 28 Former District Staffer: I mean, it wasn't like they were reading from a rule book, saying
29 you violated section so-and-so of House Ethics. It was just general,
30 the general theme was that we're seeing Oliver less and less, he is
31 not abiding by some of the processes that we had in place
32 periodically, previously, and we were constantly having to reel him
33 back in. And he was just prone to hyperbole, and a lot of the
34 information that we're getting back from him just doesn't seem to
35 be accurate.
- 36 Jeffrey Brown: When you said seeing him less and less, where is he?

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1 Former District Staffer: That was the key question. Nobody really knew. We assumed that
2 maybe he was at the NRCC in one of the call booths or something,
3 but nobody really knew.

4 Omar Ashmawy: So I realize they weren't quoting from the rule book, but did they
5 ever say to you, yeah Oliver really ... I think what Oliver just did
6 was wrong?

7 Former District Staffer: In the sense of I don't think he should have done this, yes. That
8 was said, but it wasn't like a firm determination of this is an exact
9 rule that he broke. Again, up until the end of 2012 we had Susan
10 Marshall. Matt kept things under control as Chief of Staff. We had
11 Rachel in the office saying we're going to get caught doing
12 something dumb by the press if you go ahead and do this.

13 Omar Ashmawy: Did any of those...you use the term for pushing the envelope? Are
14 you aware of any times, not personally aware, but did you learn
15 from other people of any times where Oliver Schwab may have
16 crossed the line, so to speak?

17 Former District Staffer: I hadn't learned anything specific from anybody recently. But
18 looking at that MRA and looking at the FEC, I think it's very clear
19 that he did go ahead and cross the line. And I don't understand why
20 he made a lot of those disbursements or anything through the
21 MRA. That, to my knowledge, was not occurring when I was
22 there. And I actually went back and looked prior to coming to this
23 meeting and I didn't see a lot of these expenses that you see now
24 on the MRA.

25 Omar Ashmawy: Related. You mentioned that during one of these conversations, I
26 think perhaps with Rachel, that she raised a concern that Oliver
27 wanted to help somebody to do something for somebody, and the
28 conversation was out in front of the member. Could you put that in
29 a little bit more context?

30 Former District Staffer: So a lot of members, you get people coming up, and 'hey can you
31 help me with this'. Like a big thing ... We had a really great
32 constituent service operation, so somebody from Idaho would run
33 into Dave and it's like 'can you help me with this veterans issue.'
34 And he's like, 'yeah, yeah, yeah, just talk to Oliver.' And then
35 Oliver would come back to us with it and, again, we're not in that
36 state or district; we really can't help. And it just kind of put us in
37 awkward situations, and Oliver instead of doing what he probably
38 should have done and said hey, let me refer you to Raul Labrador,

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1 I forget the other person, Simpson or Smith or whoever is the other
2 Congressman from there. It's kind of like 'oh, we'll do this.' And I
3 don't think in most cases that was done out of malice or out of bad
4 intentions. It just was like, okay, we'll take a look at this type thing,
5 which is kind of a reflex for a lot of members and staff.

6 Omar Ashmawy: Did Oliver ever make those exceptions for campaign donors?

7 Former District Staffer: I mean I think that ... Most of the campaign donors that I dealt with
8 didn't need the constituent services I worked on. I mean I think that
9 if a campaign donor, like a lobbyist or a PAC donor, obviously if
10 they had specific questions about legislation or things like that, it's
11 like every other office, they'd answer them. But specifically ... I
12 don't have direct knowledge or I didn't see that.

13 Omar Ashmawy: Recognizing you don't have direct knowledge, have you heard, did
14 anyone complain to you or describe a time in which Oliver Schwab
15 linked constituent services to a campaign donation?

16 Former District Staffer: No. But that said, though, there was a problem of over promising
17 on constituent services; like saying we can wave a magic wand and
18 fix everything. And it kind of goes back to that hyperbole issue we
19 ran into. There was kind of a misconception about what our office
20 is actually capable of and what we could do.

21 So again, you'd get a veteran for example. I dealt primarily with
22 veterans issues. Say oh, you know, I'm having this issue with the
23 V.A., my claim, whatever. And they'd run to David or Oliver and
24 they'd say, 'oh, we got this great constituent service director,
25 Ernestina, and a guy, Dan, that can help you out with it, and they'll
26 fix it overnight,' and we couldn't do that. From what I've heard and
27 seen on the Hill, that's actually a fairly common problem.

28 Omar Ashmawy: While we're on this topic, have you heard of a company called
29 Firetrace?

30 Former District Staffer: Vaguely. I think I might have met a representative from them at
31 one point. I don't even remember ... Are they a gun company? Is
32 that the gun company?

33 Omar Ashmawy: Just asking.

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1 Former District Staffer: No. It sounds familiar. I might have met with, as part of my job,
2 met with them, but I don't recall anything specific about their,
3 interactions with them.

4 Jeffrey Brown: Did you ever see or did you ever hear Oliver Schwab direct
5 anybody, any Congressional employee to do campaign work or
6 fundraising work?

7 Former District Staffer: I can't recall a specific instance. I mean, there would be times
8 where we were after hours at an event and he'd ask for help, but it
9 was never in his official capacity as Chief of Staff saying 'you shall
10 do this'.

11 Jeffrey Brown: Did you ever seen any official Congressional staffers asked to
12 prepare any policy-related research or talking points for what
13 would ultimately be a fundraising event? So did Oliver ever ask
14 anybody in the Congressional office, 'I need talking points on this,
15 I need talking points on that', and it was a fundraiser later that-

16 Former District Staffer: I don't recall. Again, that might have been something more with
17 the D.C. crowd. It's in the realm of possibility that he might have
18 asked Beau to do that or somebody. I never saw it, but let me just
19 say this about David. David's not a talking points guy. I've never
20 given him a set of talking points or read anything...he just absorbs
21 it and spits it back out. He doesn't like to read off Teleprompters.
22 He doesn't like to read off prepared notes. I don't recall actually
23 ever being at a fundraising event ... in my world ... The
24 fundraising environment here is different where that occurred.

25 Jeffrey Brown: Okay. You said you hadn't seen Oliver do that. Had you ever heard
26 that Oliver was asking Congressional staffers to do campaign
27 work?

28 Former District Staffer: I can't recall a time where he asked a staffer over here to do
29 something like you've just described.

30 Omar Ashmawy: Have you heard anyone, has anyone told you that it's happened?
31 Have you heard of that?

32 Former District Staffer: No, not that I can recall. Most of the general complaints, again,
33 were about Oliver's absence, the hyperbole, the truthfulness; we
34 couldn't kind of trust where he was coming from. Oliver did
35 complain to me about the lack of reimbursement and just kind of
36 the general management of the office which I touched on earlier

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1 on, with kind of the lack of clear structure, chain of command
2 towards the end of my time there.

3 Jeffrey Brown: Can you talk a little bit more about Oliver's absences, and from
4 what you're hearing. How frequently is he gone?

5 Former District Staffer: So about every day towards the end, I was hearing from Rachel
6 and from Beau he was out four or five hours. And I never got a
7 firm answer where exactly he was. And I left the office after that,
8 when that really started to occur.

9 Jeffrey Brown: It sounds like Oliver's sort of the impetus for a fair bit of concern
10 among staff-

11 Former District Staffer: I think that is ... Towards the end of my time there, it definitely
12 was. I can't emphasize enough, and you're probably tired of me
13 saying this, it was a different office from 2011 to the beginning of
14 2013. Night and day to what I think exists, that allowed some of
15 these things that we're discussing here to happen.

16 Jeffrey Brown: Is there anything that we haven't talked about today that you
17 thought we probably would have touched on?

18 Former District Staffer: I would say that David liked to stay above the day-to-day
19 operations of his office. He didn't want to get down in the weeds
20 on a lot of things, a lot of how things were produced and things
21 like that. As long as it didn't blow back and touch him directly, he
22 was really a hands-off member in terms of day-to-day operations.
23 And he really empowered and leaned on people like Oliver, and
24 Tully when he was Chief of Staff, to do a lot of stuff.

25 Jeffrey Brown: If you were in our shoes, is there anything that we should have
26 asked you about that we haven't so far?

27 Former District Staffer: I would look at the leadership PAC.

28 Jeffrey Brown: What do you mean by that?

29 Former District Staffer: Why that was created.

30 Jeffrey Brown: And what leadership PAC are you talking about?

31 Former District Staffer: Team Dave PAC.

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- 1 Jeffrey Brown: And why that was created? Why do you say we should look at
2 that?
- 3 Former District Staffer: It was created by Oliver. It was not run by Joyce. It was the first
4 campaign entity that really wasn't run by Joyce. And it was
5 expressed to me that part of the reason it was created by Oliver
6 was because he could raise money into that and, in effect, have a
7 campaign account entity outside the control of Joyce. It was a way
8 to circumvent Joyce and David.
- 9 Jeffrey Brown: And who'd you hear this from?
- 10 Former District Staffer: I heard that, in effect, straight from Oliver.
- 11 Jeffrey Brown: Do you have any ... You had indicated earlier that you'll sort of
12 monitor FEC filings. Is there anything specific that you've looked
13 at with respect to the Team Dave PAC that raises concerns?
- 14 Former District Staffer: Kind of following the pattern of beginning of 2013, prior to the
15 first cycle it actually existed, it actually operated like a leadership
16 PAC should. But just like with the campaign account, the victory
17 committee that was set up, and then the main campaign account,
18 when it moved over to Huckaby Davis Lisker that's when you
19 started to see the disbursements to Oliver, or shortly after that and
20 within the first four or five months from when everything was
21 moved over to them. I believe that's the name of the firm. It's a big
22 one. The other thing, too, is what did ... At any point, did they get
23 rid of the accountant we used to have? I had heard that they had
24 stopped using an accountant in the official office.
- 25 Jeffrey Brown: When you say "accountant in the official office," you're referring
26 to a financial administrator?
- 27 Former District Staffer: Yeah, we had a shared employee between a number of different
28 offices.
- 29 Jeffrey Brown: Do you remember the name?
- 30 Former District Staffer: It was ... I just recall it was a female, and she worked for a number
31 of offices.
- 32 Jeffrey Brown: And that was when you were still in the Congressional office? Or
33 are you talking about an employee that was in the office
34 subsequent to your time?

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1 Former District Staffer: I believe that she was either hired after Susan Marshall left or
2 before. I don't recall, but there used to be a shared employee
3 between a number of offices that managed the day-to-day books.

4 Jeffrey Brown: Does Mary O'Connor ring a bell?

5 Former District Staffer: Mary O'Connor?

6 Jeffrey Brown: Yeah.

7 Former District Staffer: I don't recall if that was her.

8 Jeffrey Brown: So your concerns with the Team Dave PAC really revolved around
9 disbursements from Team Dave PAC to Oliver Schwab?

10 Former District Staffer: And others. I just ... that was, in retrospect that was kind of the first
11 attempt to kind of circumvent some of these controls that had been
12 put in place. Ironically, the move to Huckaby Davis Lisker was
13 done because of some accounting errors that were made by Joyce
14 that I felt were minor and that happen with every campaign. And
15 when I say accounting errors, I'm referring to the FEC report,
16 things like that.

17 Jeffrey Brown: You said disbursements to Oliver and 'others' who were concerns.
18 What are the 'others' that you're referring to? Who are the 'others'
19 that you're referring to?

20 Former District Staffer: I would just take a look at who ... why some of these disbursements
21 were being made. The goal of the leadership PAC is to support
22 other candidates that are aligned. Was it actually being used for
23 that process; were disbursements being made to friends of Oliver,
24 to people like that?

25 Jeffrey Brown: Do you know who else besides Oliver was involved in either
26 setting up or running or controlling Team Dave PAC?

27 Former District Staffer: Other than Oliver, I can't recall anybody else.

28 Jeffrey Brown: Omar, do you have anything else?

29 Omar Ashmawy: Other than the leadership PAC, anything else? That if you were
30 us, knowing everything you know, if you were us is there anything
31 that we haven't asked you that you think we would want to know?

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- 1 Former District Staffer: This is more a personal thing, but I just ... I don't under- I can't
2 really understand why this was... why a lot of these disbursements
3 and these consulting fees are all of a sudden just being made.
4 Oliver comes from a wealthier family. That might have been,
5 again, not entirely truthful to me. But why this started to occur,
6 why in the first term these consulting fees and whatnot ...
7 Obviously there were tighter controls, but why all of a sudden
8 these disbursements just started being made. And I just can't
9 understand completely what led to that starting to happen. And
10 what I mean by that is why Oliver started to take these
11 disbursements.
- 12 Omar Ashmawy: What do you know about Oliver's personal financial situation?
- 13 Former District Staffer: I don't have specifics. Again, I haven't seen a financial disclosure. I
14 was told that, by him, that he came from a wealthier family, had a
15 trust fund. He seemed to be financially comfortable when I first
16 started working with him, and obviously he has Chief of Staff and
17 senior advisor for that brief period when Tully was Chief of Staff,
18 he was making above six figures. And I know that in some parts of
19 this town and whatnot, having moved out here myself with a
20 family, that that is not exactly a income where he can live lavishly
21 on, but still to me it just seemed, it seemed like it should be
22 enough. But I don't ... I knew he was from a wealthier family, went
23 to private schools, things like that. But I don't know if that's 100
24 percent true now.
- 25 Jeffrey Brown: Again, if you were us, who else would you speak to? What other
26 individuals would you reach out to?
- 27 Former District Staffer: I think that it needs to be the employees that have been there in the
28 past three, four years. That's who would see stuff directly. I don't
29 have a relationship with a lot of those. Kelly Roberson is one
30 person, just off the top of my head, that I would talk to. She was
31 very close to Oliver. She would spend a lot of time with Oliver and
32 I think did a lot of office administrative things, and probably had
33 more visibility on a lot of the things we're discussing who could
34 provide more clarity than I could.
- 35 Jeffrey Brown: Have you heard -- and again, I appreciate this would be
36 secondhand -- but have you heard that Oliver has been discussing
37 the OCE's investigation with any current or former staffers in
38 Representative Schweikert's office?

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1 Former District Staffer: Not to my knowledge. I just want to be clear, from my end I
2 haven't spoken to anybody currently working for Congressman
3 Schweikert's Congressional staff about this, about this interview
4 here. I've mentioned the Washington Examiner articles and about
5 what the heck's going on here to somebody who may be working
6 for the campaign, but I haven't mentioned I've been interviewed
7 here today, I haven't been ... talked about the specific contours of
8 the investigation of Oliver, David, or any staffer with that. I've
9 actually been afraid to bring it up, to be candid with you, because I
10 think that in situations like this, Oliver can get very paranoid. So I
11 haven't had a desire to even bring it up or discuss it with them.

12 Jeffrey Brown: I just want to make sure I understand this. The question I asked
13 you was sort of focused on the OCE and whether you've talked to
14 anybody about the OCE's investigation, or if you've heard if
15 Oliver's talked to anybody about it. But with respect to-

16 Former District Staffer: I haven't heard of Oliver speaking to anybody, former or current
17 staffers, about this.

18 Omar Ashmawy: About the OCE's investigation?

19 Former District Staffer: Yeah. I don't recall anybody.

20 Omar Ashmawy: How about the article? Have you heard either firsthand or
21 secondhand if Oliver's had any reactions to the article or the
22 allegations in the article?

23 Former District Staffer: Let me back up. I heard secondhand from ... Yes, I did hear
24 secondhand from somebody that Oliver is not in a great place right
25 now because of all this going on. But in terms of, has he been
26 reaching out to people and things like that, I haven't heard that.

27 Omar Ashmawy: Tell me more about who you talked to and what you heard
28 secondhand about him not being in a good place.

29 Former District Staffer: I heard that from Chris Baker that he wasn't in a good place.

30 Omar Ashmawy: Did Chris Baker share any details about his conversations with
31 Oliver?

32 Former District Staffer: He just, he felt that Oliver had candidly had kind of become
33 unglued, was the term he used, as the result of this investigation.

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- 1 Jeffrey Brown: Aside from Kelly, is there anybody else you think we ... You said
2 Kelly, you said staffers that have been there the last couple of
3 years. Is there anybody else specifically you would talk to?
- 4 Former District Staffer: I don't understand why Ryan or Beau Brunson left. If you haven't
5 spoken to them, which is sounds like maybe you have, I know you
6 can't say, but they were working day-to-day in that office more up
7 close with Oliver than I was. I think those three could provide a lot
8 of insight into what was going on specifically from the time I left
9 to very recently. I know they left about a year, Beau and Ryan left
10 about a year, a year and a half ago, so they might have some more
11 recent knowledge than I do.
- 12 Jeffrey Brown: Do you have anything else, Omar? Ali – did you have a question?
- 13 Ali Bazzi: Nope.
- 14 Jeffrey Brown: Anything else before we finish up here?
- 15 Former District Staffer: No.
- 16 Jeffrey Brown: Well with that, I'll thank you for coming in to sit down with us,
17 and I'll end the recording.

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ERRATA SHEET

Page	Line	Correction	Reason
5	18	Deputy to Executive	Reflects my current title
11	6	Actually there was an intern (referring to Karan Blasier)	This reflects that Rachel did not work for Koch
15	30	Karan Blasier	Proper spelling of name
26	15	post-August 2012	add year
31	15	I don't recall that happening with me at a fundraising event	More Accurate description
34	23 23	Why these expenses weren't occurring	Correction

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: 
Witness Signature: 
Date: 1/12/2018

EXHIBIT 7

Payroll Certification-FINMART

HI201
Date: 11/30/16
Time: 15:05:32

US HOUSE OF REPRESENTATIVES
Payroll Certification

Page 182

Process Level: AZ06Y HON. DAVID SCHWEIKERT Accounting Period: 11/01/16 To: 11/30/16
Accounting Organization: AZ068CD

Employee Name Position and Job Title MEMBERS CLERK HIRE PERS (PERMANENT) Annual Salary Gross Pay Period From To Remarks Employee No.

BORQUEZ-SMITH, ERNESTINA DIR CONSTIT SVCS & OFFICE MGR	100,000.00	8,333.33	11/01/16	11/30/16	
BRINSON, BEAJ M.S. DEPUTY COS AND LD	168,411.00	14,034.25	11/01/16	11/30/16	
DUVENECK, KATHERINE S RESEARCH DIRECTOR	52,000.00	4,333.33	11/01/16	11/30/16	P/R CHANGE 11/01/16
GARTLAND, MOLLY M STAFF ASSISTANT	36,000.00	3,000.00	11/01/16	11/30/16	P/R CHANGE 11/01/16
KNIGHT, KEVIN R DEPUTY CHIEF OF STAFF	105,000.00	8,750.00	11/01/16	11/30/16	
LATSHAW, JARROD T DISTRICT REPRESENTATIVE	42,000.00	3,500.00	11/01/16	11/30/16	P/R CHANGE 11/01/16
LEANDER, THOMAS C LEGISLATIVE CORRESPONDENT	49,000.00	4,083.33	11/01/16	11/30/16	P/R CHANGE 11/01/16
LEPIRE, CAMILLE J LEGISLATIVE CORRESPONDENT	42,000.00	3,500.00	11/01/16	11/30/16	P/R CHANGE 11/01/16
O'CONNOR, MARY MARGARET FINANCIAL ADMINISTRATOR	25,800.00	2,150.00	11/01/16	11/30/16	
ROBERSON, KELLY C POLICY ADVISOR	50,000.00	138.89	11/01/16	11/30/16	TERMINATED 11/01/16
SCHWAB, RICHARD OLIVER CHIEF OF STAFF	168,411.00	14,034.25	11/01/16	11/30/16	
STANFORD, RYAN S STAFF ASSISTANT	38,000.00	3,266.67	11/01/16	11/30/16	P/R CHANGE 11/01/16
SYLVESTER, ASHLEY ELIZABETH OFFICE MGR/PRESS ASSIST	49,000.00	4,083.33	11/01/16	11/30/16	P/R CHANGE 11/01/16

Payroll Certification-FINMART

HI201
Date: 11/30/16
Time: 15:05:33

US HOUSE OF REPRESENTATIVES
Payroll Certification

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Process Level: A206Y HON. DAVID SCHWEIKERT Accounting Period: 11/01/16 To: 11/30/16
Accounting Organization: AZ06SCD

Employee Name Position and Job Title	Annual Salary	Gross Pay	Period From	To	Remarks	Employee No.
WAKSWAN, JEFF L. RESEARCH FELLOW	12,000.00	966.67	11/01/16	11/30/16	TERMINATED 11/29/16	
WHITE, RYAN M LEGISLATIVE DIRECTOR	55,000.00	4,583.33	11/01/16	11/30/16		

MEMBERS CLERK HIRE PERS (NON PERMANENT)

Payroll Certification-FINMART

HI201
Date: 11/30/16
Time: 15:05:33

US HOUSE OF REPRESENTATIVES
Payroll Certification

Page: 184

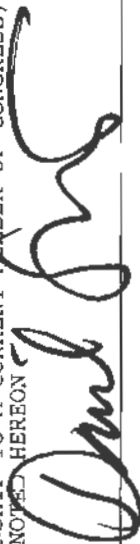
Process Level: AZ06Y HON. DAVID SCHWEIKERT Accounting Period: 11/01/16 To: 11/30/16
Accounting Organization: AZ06SCD

Employee Group	Expenditure	Active	Paid
MEMBERS CLERK HIRE PERS (PERMANENT)	78,657.38	13	15
Total	78,657.38	13	15

.....

.....COMMENTS: (EXCEPTIONS MUST BE LISTED WITHIN THIS BOX).....

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED HEREON



Payroll Certification-FINMART

HI201
Date: 12/30/16
Time: 15:14:06

US HOUSE OF REPRESENTATIVES
Payroll Certification

Page 185

Process Level: AZ06Y HON. DAVID SCHWEIKERT Accounting Period: 12/01/16 To: 12/31/16
Accounting Organization: AZ06SCD

Employee Name Position and Job Title MEMBERS CLERK HIRE PERS (PERMANENT) Annual Salary Gross Pay Period From To Remarks Employee No.

BORQUEZ-SMITE, ERNESTINA	DIR CONSTIT SVCS & OFFICE MGR		100,500.00	8,333.33	12/01/16	12/31/16		
BRUNSON, BEAU M.S.	DEPUTY COS ANE LD		168,411.00	14,034.25	12/01/16	12/31/16		
DUVENECK, KATHERINE S	RESEARCH DIRECTOR		40,000.00	3,333.33	12/01/16	12/31/16	P/R CHANGE	12/01/16
GARTLAND, MOLLY M.	STAFF ASSISTANT		24,000.00	2,000.00	12/01/16	12/31/16	P/R CHANGE	12/01/16
KNIGHT, KEVIN R	DEPUTY CHIEF OF STAFF		105,000.00	8,750.00	-2/01/16	12/31/16		
LATSEAW, JARROD T	DISTRICT REPRESENTATIVE		30,000.00	2,500.00	12/01/16	12/31/16	P/R CHANGE	12/01/16
LEANDER, THOMAS C	LEGISLATIVE CORRESPONDENT		37,000.00	3,083.33	12/01/16	12/31/16	P/R CHANGE	12/01/16
LEPIRE, CAMILLE J	LEGISLATIVE CORRESPONDENT		30,000.00	2,500.00	12/01/16	12/31/16	P/R CHANGE	12/01/16
O'CONNOR, MARY MARGARET	FINANCIAL ADMINISTRATOR		25,800.00	2,150.00	12/01/16	12/31/16		
SCHWAB, RICHARD OLIVER	CHIEF OF STAFF		168,411.00	14,034.25	12/01/16	12/31/16		
STANFORD, RYAN S	STAFF ASSISTANT		26,000.00	2,166.67	12/01/16	12/31/16	P/R CHANGE	12/01/16
SYLVESTER, ASHLEY ELIZABETH	OFFICE MGR/PRESS ASSIST		37,000.00	3,083.33	12/01/16	12/31/16	P/R CHANGE	12/01/16
WHITE, RYAN M	LEGISLATIVE DIRECTOR		55,000.00	4,583.33	12/01/16	12/31/16		



Payroll Certification-FINMART

HI201
Date: 12/30/16
Time: 15:14:07

US HOUSE OF REPRESENTATIVES
Payroll Certification

Page: 186

Process Level: AZ06Y HON. DAVID SCHWEIKERT Accounting Period: 12/01/16 To: 12/31/16
Accounting Organization: AZ06SCT

Employee Group	Expenditure	Active	Paid
MEMBERS CLERK HIRE PERS (PERMANENT)	70,551.82	13	13
Total	70,551.82	13	13

COMMENTS: (EXCEPTIONS MUST BE LISTED WITHIN THIS BOX)

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS UNLESS OTHERWISE NOTED HEREON



Payroll Certification-FINMART

HI201

Date: 01/31/17
Time: 12:21:20

US HOUSE OF REPRESENTATIVES
Payroll Certification

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Process Level: A206Y HON. DAVID SCHWEIKERT Accounting Period: 01/01/17 To: 01/31/17
Accounting Organization: A206SCD

Employee Name Position and Job Title	Annual Salary	Gross Pay	Period From	Period To	Remarks	Employee No.
MEMBERS CLERK HIRE PERS (PERMANENT)						
BORQUEZ-SMITH, ERNESTINA DIR CONSTIT SVCS & OFFICE MGR	100,000.00	8,333.33	01/01/17	01/31/17		
BRUNSON, BEAU M.S. DEPUTY COS AND LD	168,411.00	935.62	01/01/17	01/31/17	TERMINATED 01/02/17	
DIMENSTEIN, KATHERINA S LEGISLATIVE DIRECTOR	105,000.00	7,875.00	01/01/17	01/31/17	APPOINTMENT 01/04/17	
DUVENECK, KATHERINE S LEGISLATIVE ASSISTANT	53,000.00	4,344.44	01/01/17	01/31/17	P/R CHANGE 01/03/17	
FOTI, LESLIE RATH SHARED EMPLOYEE	12,000.00	933.33	01/01/17	01/31/17	APPOINTMENT 01/03/17	
GARTLAND, MOLLY M STAFF ASSISTANT	32,000.00	2,622.22	01/01/17	01/31/17	P/R CHANGE 01/03/17	
JAECKLE, HANNAH LOUISE OGR LEGISLATIVE ASST	48,000.00	0.00	01/01/17	01/31/17	APPOINTMENT 01/12/17	
KNIGHT, KEVIN R DEPUTY CHIEF OF STAFF	105,000.00	8,750.00	01/01/17	01/31/17		
LATSHAW, JARROD T DISTRICT REPRESENTATIVE	35,000.00	2,888.89	01/01/17	01/31/17	P/R CHANGE 01/03/17	
LEANDER, THOMAS C LEGISLATIVE ASSISTANT	50,000.00	4,094.45	01/01/17	01/31/17	P/R CHANGE 01/03/17	
LEPIRE, CAMILLE J LEGISLATIVE CORRESPONDENT	40,000.00	3,277.76	01/01/17	01/31/17	P/R CHANGE 01/03/17	
MILLS, DONALD J DISTRICT REPRESENTATIVE	23,077.00	833.34	01/01/17	01/31/17	APPOINTMENT 01/16/17	
O'CONNOR, MARY MARGARET FINANCIAL ADMINISTRATOR	25,800.00	2,150.00	01/01/17	01/31/17		

Payroll Certification-FINMART

HI201
Date: 01/31/17
Time: 12:21:21

US HOUSE OF REPRESENTATIVES
Payroll Certification

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Process Level: AZ06Y HON. DAVID SCHWEIKERT Accounting Period: 01/01/17 To: 01/31/17
Accounting Organization: AZ06SCD

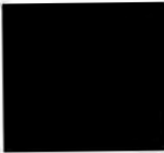
Employee Name Position and Job Title	Annual Salary	Gross Pay	Period From	Period To	Remarks	Employee No.
SCHWAB, RICHARD OLIVER CHIEF OF STAFF	169,411.00	14,034.25	01/01/17	01/31/17		
STANFORD, RYAN S STAFF ASSISTANT	26,000.00	0.00	01/01/17	01/31/17	TERMINATED 12/31/16	
SYLVESTER, ASHLEY ELIZABETH OFFICE MGR/PRESS ASSIST	50,000.00	4,094.45	01/01/17	01/31/17	P/R CHANGE 01/03/17	
WHITE, RYAN M LEGISLATIVE DIRECTOR	55,000.00	4,563.33	01/01/17	01/31/17		
MEMBERS CLERK HIRE PERS (NON PERMANENT)						
JAECKLE, HANNAH LOUISE TEMPORARY EMPLOYEE	12,000.00	300.00	01/01/17	01/31/17	TERMINATED 01/11/17 APPOINTMENT 01/03/17	
LACEY, THOMAS G TEMPORARY EMPLOYEE	17,143.00	1,000.01	01/01/17	01/31/17	APPOINTMENT 01/10/17 P/R CHANGE 01/10/17	
LAVEN, ZACHARY R TEMPORARY EMPLOYEE	17,143.00	1,000.01	01/01/17	01/31/17	APPOINTMENT 01/10/17 P/R CHANGE 01/03/17	
MCCASLIN, MADELINE L TEMPORARY EMPLOYEE	38,572.00	1,500.02	01/01/17	01/31/17	APPOINTMENT 01/17/17	
SABOL, SAMANTHA R TEMPORARY EMPLOYEE	38,572.00	1,500.02	01/01/17	01/31/17	APPOINTMENT 01/17/17	
MEMBERS CLERK HIRE LUMP SUM BONUS						
DUVENECK, KATHERINE S LEGISLATIVE ASSISTANT	53,000.00	2,600.00				
GARTLAND, MOLLY M STAFF ASSISTANT	32,000.00	2,600.00				
LEANDER, THOMAS C LEGISLATIVE ASSISTANT	50,000.00	2,600.00				

Payroll Certification-FINMART

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Payroll Certification

H1201
Date: 01/31/17
Time: 12:21:21

Process Level: AZ06Y HON. DAVID SCHWEIKERT Accounting Period: 01/01/17 To: 01/31/17
Accounting Organization: A206SCD

Employee Name Position and Job Title	Annual Salary	Gross Pay	Period From	To	Remarks	Employee No.
LEFIRE, CAMILLE J LEGISLATIVE CORRESPONDENT	40,000.00	2,600.00				
SYLVESTER, ASHLEY ELIZABETH OFFICE MGR/PRESS ASSIST	50,000.00	2,500.00				

Payroll Certification-FINMART

HI2C1
 Date: 01/31/17
 Time: 12:21:21

US HOUSE OF REPRESENTATIVES
 Payroll Certification

Page: 196

Process Level: A206Y HON. DAVID SCHWEIKERT Accounting Period: 01/01/17 To: 01/31/17
 Accounting Organization: A206SCD

Employee Group	Expenditure	Active	Paid
MEMBERS CLERK HIRE PERS (PERMANENT)	69,750.43	15	15
MEMBERS CLERK HIRE PERS (NON PERMANENT)	5,300.06	4	5
MEMBERS CLERK HIRE LUMP SUM BONUS	12,000.00	5	5
Total	88,050.49	19	25

COMMENTS: (EXCEPTIONS MUST BE LISTED WITHIN THIS BOX)

I CERTIFY THAT THE LISTED EMPLOYEES HAVE PERFORMED THEIR ASSIGNED OFFICIAL DUTIES FOR THE OFFICES OF THE EMPLOYING AUTHORITY, AND THAT THEY HAVE CERTIFIED THAT THEY HAVE NO RELATIONSHIP TO A CURRENT MEMBER OF CONGRESS, UNLESS OTHERWISE NOTED HEREON

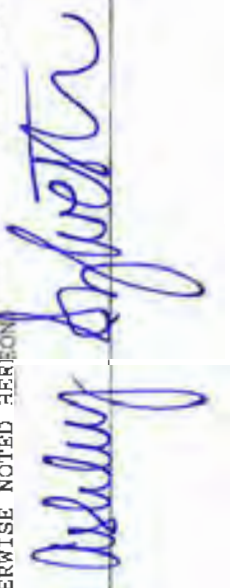


EXHIBIT 8

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

**Transcript of Interview of “Former Firetrace Executive”
June 19, 2018**

Page 1 of 40

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1 Jeffrey Brown: Okay. This is Jeff Brown with the Office of Congressional Ethics.
2 With me is my colleague Helen Eisner. It is 1:26 on June 19th. Before
3 us we have "Former Firetrace Executive". We are at the JW Marriott
4 in Scottsdale. We've provided "Former Firetrace Executive" with a
5 copy of the False Statements Act and he signed the acknowledgement
6 form recognizing that he got that. And with that we will begin.

7 "Former Firetrace Executive", are you currently employed anywhere?

8 Former Firetrace Exec.: No.

9 Jeffrey Brown: Okay. What's Big Elk Funding?

10 Former Firetrace Exec.: I loaned money a number of years ago to a charity, and on the
11 lawyer's advice they created an entity to make the loan, more or less,
12 just isolate me personally from ... The charity is a charity that helps
13 abused children. So, in this litigious world of today, if something
14 should ever happen, this just keeps me isolated. All I did was provide
15 a loan.

16 Jeffrey Brown: Okay. Outside of Big Elk Funding, at some point you worked at
17 Firetrace, correct?

18 Former Firetrace Exec.: I did.

19 Jeffrey Brown: Okay. Can you walk me through your role at Firetrace over the years?

20 Former Firetrace Exec.: In, sometime in 1999, I was approached by two Canadian gentlemen
21 who had a company called Firetrace and it had no sales whatsoever. I
22 was retired. Third party had told them, "If you want to figure out why
23 you're not getting any business you need to talk to an industry expert
24 and that might be, for example, how about "Former Firetrace
25 Executive". You ought to reach out to "Former Firetrace Executive"."
26 They contacted me. I helped them get Firetrace a little more
27 organized.

28 Ultimately took over as President of the company. Moved the
29 company to Scottsdale, Arizona, and the company was basically
30 reorganized in late 2000, beginning of 2001. We had some venture
31 capital put into the business. The company was finally funded to the
32 extent it needed to be and the business took off.

33 Jeffrey Brown: You became President around what year?

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1 Former Firetrace Exec.: 2000 from, let's just say 2000. Sometime in late '99 I kind of took
2 over, but 2000's probably as good a year as any.

3 Jeffrey Brown: And so you're President and CEO from 2000 up until when?

4 Former Firetrace Exec.: 2013 as I recall.

5 Jeffrey Brown: Okay.

6 Former Firetrace Exec.: I had a major stroke in 2013 and because of the stroke I had to kind of
7 step back and step down.

8 Helen Eisner: You mentioned they approached you because of your expertise. What
9 was your background before joining Firetrace?

10 Former Firetrace Exec.: I've run fire protection companies for ... when I started Firetrace
11 probably 30-35 years.

12 Helen Eisner: Okay.

13 Former Firetrace Exec.: And had retired on a number of occasions and each time I retired
14 somebody asked me to help them and I came out of retirement to help
15 them. So basically I was retired. The two Canadian gentlemen
16 reached out to me, and I developed a strategic business plan for them
17 and then they asked me if I would come out of retirement and run the
18 company.

19 Jeffrey Brown: Can you talk to me a little bit about, you said fire suppression is a
20 focus of Firetrace. Can you just talk to me a little bit about the lines of
21 business, what they are, what the focus is, how that's evolved over the
22 years?

23 Former Firetrace Exec.: We basically did a very narrow, niche of fire protection products. If
24 you think of fire protection you likely think of smoke detection, fire
25 alarm, sprinklers. We did none of that. We did the stuff nobody
26 wanted to do, or probably for good reason. We did niche type things.

27 When the Ford police interceptor fuel tank started catching fire in
28 crashes, we developed a technology to prevent that. If you had a
29 computer controlled machine in your factory, you had sprinklers up
30 high, but if the machine caught fire, the machine just burned. We
31 came up with a system that would actually go in the machine and
32 protect it.

33 We did very, very specialized type of special hazards protection.

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- 1 Jeffrey Brown: And who were your clients primarily?
- 2 Former Firetrace Exec.: Our clients ... Wow, the whole waterfront. It was an international
3 company. They were telecommunication companies, machine
4 companies, companies that did machining of any kind, laboratories,
5 the military, transportation.
- 6 Jeffrey Brown: And are you designing specific systems for each company that
7 approaches you on each specific project, or there sort of a baseline
8 fire suppression system that you're then modifying for each client?
- 9 Former Firetrace Exec.: We basically produced a baseline of products.
- 10 Jeffrey Brown: Mm-hmm (affirmative).
- 11 Former Firetrace Exec.: We sold our products via authorized distributors. So within the
12 industrial commercial side of our company, we would sell to the
13 distributors, the distributors would be the one working with a
14 manufacturing company that wanted to protect a machine or
15 university that wanted to protect the fume hood in a laboratory or
16 something like that.
- 17 We then had our vehicular fire protection system, which primarily
18 protected police cars. Then we had our aerospace division which
19 protected military vehicles of different shapes and sizes.
- 20 Jeffrey Brown: You mentioned authorized distributors. Did you have any subsidiaries
21 or affiliates? Did Firetrace, that is?
- 22 Former Firetrace Exec.: We were Firetrace USA LLC. And we had ... It's a boring story, but
23 when I took over Firetrace, Firetrace was basically originated in the
24 UK with the Melton family in Ipswich, England.
- 25 Jeffrey Brown: Okay.
- 26 Former Firetrace Exec.: They had authorized the Canadian gentlemen to have rights to
27 Canada, Central America ... Canada, the United States, Central, and
28 South America.
- 29 Jeffrey Brown: Mm-hmm (affirmative).
- 30 Former Firetrace Exec.: When I took over the company, I negotiated with the British to let
31 them keep the United Kingdom and we took over the rest of the
32 world. You had from a corporate structure, you had Firetrace USA.
33 We had our industrial, commercial division. We had our vehicular

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1 division. We had our aerospace division. International. I think
2 International was a separate division. And, then there was Firetrace
3 UK for lack of a better word.

4 Firetrace Limited. That was owned by the Melton family. We had
5 nothing to do with that, other than we were friends.

6 Jeffrey Brown: Did you have any further questions about background on Firetrace?

7 Helen Eisner: No. Not at this point.

8 Jeffrey Brown: Okay. In 2013, you decide to step aside. What's the transition? Who's
9 taking over for you?

10 Former Firetrace Exec.: July 21, 2013, a day I'll never forget. I suffered a severe stroke. I went
11 into Mayo Stroke Center here in Phoenix. After treatment at Mayo
12 Stroke Center, I was told that I had to avoid stress, I had to avoid
13 becoming real tired, I had to take better care of myself in other words.
14 So, within a month or so after that ... And I was supposed to do that
15 immediately upon exit from the hospital.

16 Much to my wife's chagrin, I went right back to work.

17 Jeffrey Brown: Okay.

18 Former Firetrace Exec.: I kept working and ultimately, I think it was 30 days, my 30 day
19 follow up, the doctor told me that if you don't give up the stressful
20 work environment you're in, she would guarantee me that the next
21 stroke would kill me.

22 I went back to the board of directors and explained to the board of
23 directors that for my own good, I needed to resign as president and
24 CEO and that for the prior roughly two years I had hired a gentleman
25 two years earlier who I had been grooming to one day take over my
26 role. I explained to the board that it was time for that transition to
27 happen.

28 Jeffrey Brown: And who's that individual?

29 Former Firetrace Exec.: That's Mark Cavanaugh.

30 Jeffrey Brown: Okay. At the time you left, what's the size of Firetrace employee-
31 wise? Roughly.

32 Former Firetrace Exec.: Jeff, I'm sorry. I have no idea.

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- 1 Jeffrey Brown: Okay.
- 2 Former Firetrace Exec.: I have no idea.
- 3 Jeffrey Brown: Are we talking 50 employees or are we talking a couple hundred
4 employees?
- 5 Former Firetrace Exec.: Maybe 50 in the United States. Maybe. I don't think we were 50
6 employees.
- 7 Jeffrey Brown: Okay.
- 8 Former Firetrace Exec.: And then we had operations globally and there weren't that many
9 within the global operations. We weren't a large company.
- 10 Jeffrey Brown: And the people who are operating internationally, are they working
11 on the production side of things or more the sales and connecting with
12 authorized retailers?
- 13 Former Firetrace Exec.: We had an assembly operation in the UK.
- 14 Jeffrey Brown: Mm-hmm (affirmative).
- 15 Former Firetrace Exec.: Near Gatwick Airport.
- 16 Jeffrey Brown: Separate from the Firetrace UK individuals?
- 17 Former Firetrace Exec.: Yes.
- 18 Jeffrey Brown: Okay.
- 19 Former Firetrace Exec.: Good point. Firetrace UK was in Ipswich and they had the rights to
20 sell within the United Kingdom. We did not have those rights, but it
21 was an easy airplane trip and they spoke English, so when we wanted
22 to have an assembly facility to service Europe, the Middle East,
23 Africa, we put an assembly facility near Gatwick Airport and they
24 serviced those markets. Europe, Middle East, Africa. The rest of the
25 international operations, and I apologize, I really need to think about
26 this, it's been so long. I don't believe any of the other international
27 operations were more than sales offices.
- 28 Jeffrey Brown: Mm-hmm (affirmative).
- 29 Former Firetrace Exec.: So it was a salesman based out of perhaps a rental office space, or
30 maybe even working out of his home.

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1 Jeffrey Brown: And so, internationally, we're certainly talking less than 50
2 individuals.

3 Former Firetrace Exec.: Oh goodness. Probably less than 10.

4 Jeffrey Brown: Okay. Switching gears a little bit. Politics. Are you involved in local
5 politics here in the area?

6 Former Firetrace Exec.: Not really. Other than we might go to an occasional fundraiser.

7 Jeffrey Brown: Okay.

8 Former Firetrace Exec.: That was it.

9 Jeffrey Brown: Has your involvement changed or evolved over the years?

10 Former Firetrace Exec.: No. I go to an occasional fundraiser if it makes sense, but as I've
11 grown older and no longer am in the mainstream of American
12 lifestyle, I have less money to spend and less desire to participate.

13 Jeffrey Brown: Okay. Let me ask you this. Firetrace, does it have lobbying efforts
14 that it engaged in at any point when you were involved with
15 Firetrace?

16 Former Firetrace Exec.: We used ... I need to think. I believe there were two firms that we
17 worked with.

18 Jeffrey Brown: Okay.

19 Former Firetrace Exec.: I had fundamentally nothing to do with that side, or that aspect of the
20 business, but I think one focused on local issues and I think the other
21 one was ... I think the other one might've been in Washington DC.

22 Jeffrey Brown: Okay. And when you say that side of the business, can you give me a
23 little bit more about who or what you're referring to?

24 Former Firetrace Exec.: I was very much a ... related to the sales and engineering side of the
25 company.

26 Jeffrey Brown: Mm-hmm (affirmative).

27 Former Firetrace Exec.: That's my history, that's my skill set. I was actively involved with
28 sales strategy around the world. I was actively involved with
29 engineering, with our products to address specific requirements. And

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1 I also worked closely with our assembly people, our production
2 manager.

3 Jeffrey Brown: Mm-hmm (affirmative).

4 Former Firetrace Exec.: From an admin standpoint or what's going on politically, I had
5 nothing to do with that other than I was president. If somebody was
6 paraded through the front door, I would go shake hands. I might
7 participate in a photo shoot if there even was one. But as far as, did I
8 go to Washington DC to meet with somebody or any of that stuff, no.

9 Jeffrey Brown: So who's heading up the local and/or national ... I don't know if
10 calling them lobbying efforts is appropriate, but sounds like maybe
11 Firetrace had some legislative priorities it was pursuing.

12 Former Firetrace Exec.: There was a gentleman on our staff who was our liaison with those
13 people.

14 Jeffrey Brown: Okay. Who's that?

15 Former Firetrace Exec.: Mark Cavanaugh.

16 Jeffrey Brown: Okay. Were any other individuals involved? Did Scott Starr, would
17 he have been involved in this at all?

18 Former Firetrace Exec.: He wouldn't have had any direct involvement. As marketing manager,
19 there may have been some awareness of it, but he would not have had
20 any role or leadership or strategy with any of that.

21 Jeffrey Brown: Okay. And how did Mark come to take on these responsibilities, or
22 this role?

23 Former Firetrace Exec.: I think ... I don't remember precisely how, but I do recall the ... I think
24 the two lobbyists that we worked with were personal friends of his.

25 Jeffrey Brown: Okay. Before ... I think you said you hired Mark about two years
26 before you left the company? Am I remembering that right?

27 Former Firetrace Exec.: I think that's about right. Might've been a wee bit longer, I just don't
28 remember.

29 Jeffrey Brown: Before Mark joined this company, is there anybody who's handling
30 that same sort of local and national legislative portfolio?

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- 1 Former Firetrace Exec.: No. We had no involvement. We didn't have lobbying people. We
2 didn't have anything.
- 3 Jeffrey Brown: How did Mark come to play point person on those roles? Is that sort
4 of a value-add that he brought to the company or did you guys have a
5 conversation at some point where you said that we need to pursue
6 XYZ avenues?
- 7 Former Firetrace Exec.: I think they just happened to be friends of Marks.
- 8 Jeffrey Brown: Mm-hmm (affirmative).
- 9 Former Firetrace Exec.: I honestly don't know. I would be speculating. But I think they were
10 just merely friends who ultimately indicated they could offer some
11 assistance or something.
- 12 Helen Eisner: Why did Firetrace decide at that point in time that they wanted to get
13 involved or have individuals work on their behalf at the local level
14 and then at the federal level?
- 15 Former Firetrace Exec.: We had products that were being sold both to state governments and
16 to the military. I think the only thing I can guess or remember is that
17 there was probably a belief that somehow that would help us.
- 18 Jeffrey Brown: In terms of legislative priorities, you're looking at sort of the military
19 side of your business, are you looking at any other sides of the
20 business?
- 21 Former Firetrace Exec.: I don't recall anything else.
- 22 Jeffrey Brown: Okay. Do you remember specific individuals that Mark, or whoever
23 Mark was working with, do you remember specific Members that
24 they were trying to push their legislative priorities to?
- 25 Former Firetrace Exec.: I don't remember specific people.
- 26 Jeffrey Brown: You don't remember any specific Members that Mark or others
27 would've met with to-
- 28 Helen Eisner: And I think Jeff might mean Members of Congress or politicians.
- 29 Former Firetrace Exec.: No. I don't have any specific recollection of any strategy to talk to
30 specific people or whatever.

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- 1 Helen Eisner: You had said that, just kind of generally talking about why they
2 might've gotten involved in these efforts, and there was a belief that it
3 might help you. Was that Mark's belief, or whose belief was it?
- 4 Former Firetrace Exec.: I don't recall who specifically would've been the originator of the
5 concept. I don't think we had anybody until we started doing the Ford
6 Crown Vic work. And then I think it was just does this ... how do we
7 communicate with, what is the procedure to ... Who do we talk to at
8 the State of Arizona to get Arizona Department of Public Safety to
9 listen to us about this? It was looking for contacts or connections.
10 Again, that's purely speculation. I wasn't involved in that.
- 11 Helen Eisner: I don't know if we've covered whether or not Firetrace USA has a
12 board. I think you had mentioned earlier on that you were Director.
- 13 Former Firetrace Exec.: We had a Board of Directors.
- 14 Helen Eisner: And did they ... How often did they meet?
- 15 Former Firetrace Exec.: Quarterly.
- 16 Helen Eisner: And would the board sort of get involved in sort of day to day
17 company operations or strategy?
- 18 Former Firetrace Exec.: No. It was purely strategic in nature.
- 19 Helen Eisner: Okay. So, would the board, if a decision was made to get more
20 involved in some type of lobbying after the Ford Crown Vic, was that
21 a decision that the board would've made or is that a decision that the
22 staff would've made?
- 23 Former Firetrace Exec.: The board wouldn't have been involved with anything operational like
24 that.
- 25 Helen Eisner: Okay.
- 26 Jeffrey Brown: What year, do you remember roughly what year the Ford Crown Vic
27 work was occurring?
- 28 Former Firetrace Exec.: I have no idea.
- 29 Jeffrey Brown: Roughly?
- 30 Former Firetrace Exec.: It would be a pure guess. 2010. And that's purely a guess.

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- 1 Jeffrey Brown: It would've been after Mark Cavanaugh came on at Firetrace?
- 2 Former Firetrace Exec.: Yeah, I think it was.
- 3 Jeffrey Brown: I asked you before if you remembered Firetrace trying to lobby any
4 specific Members of Congress. I'll jog your memory a little bit.
5 Representative Schweikert. Did Firetrace ever interact, or Mark ever
6 interact with, Representative Schweikert?
- 7 Former Firetrace Exec.: I don't know. I'm sure. I want to say I think he toured our plant once.
- 8 Jeffrey Brown: How about Representative Quayle when he was in office?
- 9 Former Firetrace Exec.: Dan or Ben?
- 10 Jeffrey Brown: Ben.
- 11 Former Firetrace Exec.: I don't know if Ben had anything or if there was any communication
12 with him.
- 13 Jeffrey Brown: It might be helpful if-
- 14 Helen Eisner: Let me just actually ask a question if that's okay. Besides
15 Representative Schweikert potentially touring the plant at some point,
16 what was your relationship with Representative Schweikert?
- 17 Former Firetrace Exec.: I didn't have one.
- 18 Helen Eisner: Okay.
- 19 Former Firetrace Exec.: If he toured the plant, I'm sure I was there as President to welcome
20 him in the lobby or something like that, but I wouldn't have been the
21 one to probably walk him through it. And if he had a fundraiser, it's
22 possible, I don't have any recollection of actually doing it, but it's
23 possible I might've met him at a fundraiser, but if you lined up three
24 people over there and said, "Pick out Congressman Schweikert," I
25 couldn't tell you who he is.
- 26 Jeffrey Brown: So then I take it you don't have any sort of relationship with him
27 today?
- 28 Former Firetrace Exec.: He sends me a Christmas card, I think. I think I get a Christmas card
29 from him.
- 30 Jeffrey Brown: Okay.

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1 Helen Eisner: What about anyone else at Firetrace? Anyone else that you might've
2 known who had a relationship with the congressman?

3 Former Firetrace Exec.: There wouldn't have been anybody else at Firetrace who would've
4 had a relationship with him. No. I mean, he would ... If he toured
5 Firetrace and he got his picture taken in the accounting department,
6 that might be the only relationship that would've existed. Nobody
7 would've ever seen him or talked to him other than that.

8 Helen Eisner: Okay.

9 Jeffrey Brown: Mark wouldn't have had any sort of communications with him?

10 Former Firetrace Exec.: Mark probably did because Mark was our liaison with the two lobby
11 people, and at that particular point, I never went to Washington with
12 him. I never saw what was going on, but is it likely, just common
13 sense would probably say that ... Schweikert I think was our
14 congressman where we were located. That's why I think he toured.

15 Jeffrey Brown: Okay.

16 Former Firetrace Exec.: So it's likely that Mark would've interfaced with him.

17 Jeffrey Brown: You mentioned a couple times, and I meant to follow up on this
18 before, who are Mark's two friends or acquaintances that assisted with
19 lobbying efforts?

20 Former Firetrace Exec.: Oh wow. I think one's name was Teddy, and that's obviously a
21 nickname I assume.

22 Jeffrey Brown: Okay.

23 Former Firetrace Exec.: I don't remember ... I don't remember the last name.

24 Jeffrey Brown: Teddy Eynon or Ion?

25 Former Firetrace Exec.: That sounds right.

26 Jeffrey Brown: Okay.

27 Former Firetrace Exec.: But I don't know for sure.

28 Jeffrey Brown: Mm-hmm (affirmative).

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- 1 Former Firetrace Exec.: And then the other one ... I'm sorry, I just don't remember the other
2 one.
- 3 Jeffrey Brown: If it comes to you, let me know.
- 4 I want to hand you a couple documents. I think some of these might help jog your memory a bit.
5 For the record, I am handing you what is bates labeled at the bottom
6 FT_0889 and this looks like an email that's from Mark Cavanaugh to
7 you and to others. The subject is "Congressman Schweikert." It's
8 dated Thursday February 10th, 2011, 8:54 PM. I'll give you a chance
9 to read it, but the question I want to ask you is, in the email Mark
10 says, that "Congressman Schweikert is aware of our military business
11 and has made indications that he would like to help champion our
12 wind efforts." I'm hoping you can walk me through what he's
13 referring to when he's talking about "wind efforts."
- 14 Former Firetrace Exec.: We developed a system to protect the insides of the equipment
15 cabinets in wind turbines.
- 16 Jeffrey Brown: Okay.
- 17 Former Firetrace Exec.: And, I presume Mark was talking to him about how, or if there's
18 anything he might be able to do to help us understand how to get
19 more business in the wind industry.
- 20 Jeffrey Brown: Okay. This email also makes reference to a visit to the facility, and
21 I'm wondering if this is likely the visit that you were referring to
22 earlier?
- 23 Former Firetrace Exec.: It must be.
- 24 Jeffrey Brown: Okay.
- 25 Former Firetrace Exec.: I mentioned earlier I think he had toured. Here's a confirmation that I
26 guess he did.
- 27 Jeffrey Brown: I'm going to hand you another document it is bates labeled FT_0787
28 and it's actually a couple pages here. Its 787 through 789. I'll give you
29 a chance to look at it real quick before I ask you any questions.
- 30 The original email in this string is from an Oliver Schwab to a Teddy
31 Eynon. I'm probably pronouncing that incorrectly, but do you know
32 who Oliver Schwab is?
- 33 Former Firetrace Exec.: No idea.

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1 Jeffrey Brown: Okay. You've never interacted with him?

2 Former Firetrace Exec.: Not to the best of my recollection.

3 Jeffrey Brown: Okay. And Teddy here is the Teddy that I think we were referring to
4 earlier, Mark's friend or acquaintance that assisted Firetrace with
5 lobbying at first.

6 Former Firetrace Exec.: I presume so, yeah.

7 Jeffrey Brown: Okay. And it looks like here, Teddy forwards an email from Oliver to
8 Mark and then Mark's forwarding one to you and in it he says, "I will
9 be in India, David Schweikert asked that we attend. Congressman
10 Schweikert is the Congressman in Firetrace's district and will be
11 supporting our wind legislation so I thought this would be good for us
12 to attend at his request."

13 You've told us what your wind technology was, but what's the wind
14 legislation?

15 Former Firetrace Exec.: I don't know.

16 Jeffrey Brown: Okay. When I look at that it suggests to me that perhaps there's some
17 legislative ... wind legislation that would assist Firetrace. Does that
18 seem to be a fair reading of that?

19 Former Firetrace Exec.: It implies that, and there may have been something being discussed,
20 tossed around, or whatever, but to the best of my recollection, there
21 was never any wind legislation.

22 Jeffrey Brown: Okay. You don't remember having any discussions about that?

23 Former Firetrace Exec.: No.

24 Helen Eisner: Besides legislation, were there areas that you were trying to ... road
25 blocks or something that Firetrace was trying to change to make it
26 easier to get the product out?

27 Former Firetrace Exec.: I don't think there were road blocks, I think the biggest problem, I
28 often told people is that we had a very, if you remember when we
29 started, I mentioned we were in a very small, niche of the fire
30 protection market. The problem Firetrace had from day one until the
31 day I sold the company is that not enough people know that there this
32 is this technology which can solve the problem.

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1 When we talked about Scott Starr, he directed our advertising. We
2 were trying to make people be aware that we had this product that
3 could solve it. When you talk about wind, I don't know how much
4 you know about a wind turbine, but all the electronics is way up a 100
5 meters plus up in the air.

6 And the assumption was there's nothing you could put to protect that,
7 there's just no technology available to man that would do that. But we
8 had the solution, but people didn't know it. Our largest corporate
9 impetus, if you want to call it that, was just trying to make people
10 understand that there were solutions besides fire alarm and sprinklers
11 and all the traditional stuff that you might think of when it comes to
12 fire protection.

13 So my... it's purely speculation here is that somebody was trying to
14 make the congressman aware that we had a solution for that problem
15 and the government was and continues to push wind energy as a
16 renewable energy source.

17 Jeffrey Brown: Mm-hmm (affirmative).

18 Former Firetrace Exec.: For the record, I never attended anybody's luncheon.

19 Jeffrey Brown: Okay. And you're referring to FT_0787, this email where Mark asks
20 you to possibly attend a luncheon because he's going to be in India?

21 Former Firetrace Exec.: Yeah. I mean, I didn't attend any luncheon. Certainly wouldn't have
22 spent \$1,000 for lunch.

23 Jeffrey Brown: Okay. I want to mention one other piece of legislation. Does the
24 NDAA or the National Defense Authorization Act, is that something
25 that you're familiar with?

26 Former Firetrace Exec.: Not specifically.

27 Jeffrey Brown: Okay. Do you remember Firetrace or anybody at Firetrace ever trying
28 to promote Firetrace's interests relative to NDAA?

29 Former Firetrace Exec.: I don't know how it would relate to NDAA.

30 Jeffrey Brown: Mm-hmm (affirmative).

31 Former Firetrace Exec.: We had a solution for problems, that's fire problems and explosion
32 problems that existed within the military. We were trying to promote
33 within the military an awareness of the technology we had, which our

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1 belief then, or would be now, is that if people are aware you have a
2 problem and we have a solution, there would be an opportunity to
3 gain business.

4 Jeffrey Brown: This is the same sort of thing you were just talking about with respect
5 to wind?

6 Former Firetrace Exec.: Yeah.

7 Jeffrey Brown: Biggest hindrance was people don't know that the solution's out there.

8 Former Firetrace Exec.: Right.

9 Jeffrey Brown: Okay.

10 Helen Eisner: Was there ever a time, during the period of time you were at
11 Firetrace, when legislation passed, not this specific legislation that
12 we've been talking about, but any piece of legislation that
13 significantly impacted your business in ...

14 Former Firetrace Exec.: I don't think there was anything that was specifically focused on our
15 business. I don't recall anything that said, "This was passed and now
16 we got whatever." When budgets passed, people might spend money
17 on our products.

18 Helen Eisner: Okay.

19 Former Firetrace Exec.: But I don't recall anything that specifically said, this passes and
20 Firetrace gets whatever.

21 Helen Eisner: So besides specifically, and not a piece of legislation that specifically
22 refers to Firetrace, but generally, legislation. You talked about
23 budget. Was there any other legislation that because it passed, it
24 helped Firetrace's business?

25 Former Firetrace Exec.: I don't recall any.

26 Helen Eisner: Okay.

27 Jeffrey Brown: I'm going to hand you FT_0010 and feel free to look at the entire
28 email. I'm actually going to just draw your attention to the top one on
29 FT_0010. I realize you are not on this email, but it is an email from
30 Scott Starr to Mark Cavanaugh.

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1 Scott refers to Mark as a “legislative guru.” I’m hoping you can
2 elaborate on how he got this moniker.

3 Former Firetrace Exec.: Scott Starr just has a weird sense of humor.

4 Jeffrey Brown: Okay. Is it fair to say that this relates to what we were discussing
5 earlier- that Mark was sort of a point person on any lobbying efforts
6 that Firetrace may have taken either on the national or the local level?

7 Former Firetrace Exec.: That’s correct.

8 Jeffrey Brown: Had you ever referred to him as a “legislative guru”?

9 Former Firetrace Exec.: I don’t recall him being called that. He was called a lot of things, but I
10 don’t remember that name.

11 Jeffrey Brown: All right.

12 Helen Eisner: What about, I’m sorry, just referring to that same document on the
13 second page, which is FT_0011, there’s mention of the importance of
14 an extension of production tax credit on the future of the wind
15 industry. Does the production tax credit in any way jog your
16 memory?

17 Former Firetrace Exec.: What I remember about that, and it’s vague, is that I think, I think
18 wind turbines or wind energy was getting a tax credit or something
19 like that. And that was set to expire.

20 Let me think about that now. I think it was something like that. It was
21 set to expire and the concern was, is whether that credit expired,
22 would the incentive for putting wind energy disappear with whatever
23 the credit was.

24 Mr. Starr as marketing manager would no doubt have been trying to
25 at least know whether that’s going to affect our business going
26 forward. And Mark would’ve been the person who would be making
27 us aware of what’s going on with it. And again, I’m speculating here,
28 but I’m guessing Mark was being briefed by whomever our lobbyists
29 were as to what’s going on in Washington as it relates to this.

30 Helen Eisner: Okay.

31 Jeffrey Brown: You started touching on something I want to talk about. You
32 remember two lobbyists. One of them is Teddy, and we can’t
33 remember the name of the other one. What sort of interactions is

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1 Firetrace having with them? What sort of issues are they helping
2 Firetrace with?

3 What is Mark updating you on? I presume you're spending money on
4 these efforts. Walk me through-

5 Former Firetrace Exec.: As president, all I recall is that they were trying to help make people
6 in Washington aware that we have a solution to certain problems.

7 Jeffrey Brown: Did you interact with ... Did you ever interact with Teddy?

8 Former Firetrace Exec.: I'm sure I've met Teddy, and I'm sure... the fact that I remembered his
9 name, I no doubt have interacted with him.

10 Did I have business meetings with him to go over whatever? Not that
11 I recall.

12 Jeffrey Brown: Do you remember it being money well spent, working with either of
13 these lobbyists, or money that was not well spent?

14 Former Firetrace Exec.: I don't even remember how much money it was. I can't say. I don't
15 know if it was a lot. It couldn't have been a lot, or then I would've
16 been aware of it. I think it was just something that somebody made a
17 decision that it makes sense for these people to be making people
18 aware of what we have, and what we can do, and what problems we
19 can solve.

20 Jeffrey Brown: Do you know if Teddy or this other lobbyist has relationships with
21 certain Members of Congress?

22 Former Firetrace Exec.: I have no idea what his relationships were with them.

23 Jeffrey Brown: Okay. You mentioned on a couple of occasions that you had never
24 traveled to Washington, or if you'd met Representative Schweikert, it
25 would only have been at the plant. Was Mark... would he travel to
26 Washington to meet with either Representative Schweikert or others?

27 Former Firetrace Exec.: He ... I don't remember specifics, but he probably did.

28 Jeffrey Brown: Okay. Would he meet with Representative Schweikert or any of his
29 staff members in the district?

30 Former Firetrace Exec.: He might've. Again, as I said, he, along with the lobbyists, had this
31 strategy or whatever. The plan was to grow business and how they
32 accomplished it, I'm not exactly sure.

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1 Jeffrey Brown: I asked you if you were familiar with an individual named Oliver
2 Schwab. You said you weren't. How about the name Beau Brunson?
3 Did you ever meet a Beau Brunson that would've worked for
4 Congressman Schweikert?

5 Former Firetrace Exec.: Not that I recall.

6 Jeffrey Brown: Okay. Or a Ryan White?

7 Former Firetrace Exec.: Not that I recall. Did they tour with him?

8 Jeffrey Brown: They may have. I don't know the answer to that.

9 Former Firetrace Exec.: Okay.

10 Jeffrey Brown: But they were staffers for Congressman Schweikert, and I'm just
11 wondering if you've ever come into contact with them.

12 Former Firetrace Exec.: I don't have any recollection of ever meeting them.

13 Jeffrey Brown: Okay. Do you know if anyone besides Congressman Schweikert came
14 to the facility that one time?

15 Former Firetrace Exec.: I think vice-president Dan Quayle might've come once. I can't recall
16 anyone else.

17 Jeffrey Brown: Okay.

18 Former Firetrace Exec.: CNN.

19 Jeffrey Brown: CNN. Affiliated with ... When did CNN come and why did CNN
20 come?

21 Former Firetrace Exec.: CNN came and did a little discussion of the technology that we have
22 that was saving soldier's lives in I believe it was the first Iraqi war, or
23 maybe the Kuwaiti situation.

24 Jeffrey Brown: I'm going to hand you another document here. There you go. For the
25 record, this is an email string. It begins at FT_0376 and looks like it
26 goes through FT_0380. I'll give you a chance to look it over and then
27 I'll ask you a couple questions.

28 Did you have any sort of relationship with Ben Quayle or the Quayle
29 family?

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1 Former Firetrace Exec.: I knew the Quayle family.

2 Jeffrey Brown: Uh huh (affirmative). Were you involved with Ben's ... I guess, either
3 of Ben's campaigns?

4 Former Firetrace Exec.: I'm a friend of Dan, a golfing buddy. And, when Ben was running,
5 would've have probably been in favor of Ben running or helped as far
6 as, said nice things or whatever because Dan would've appreciated it.

7 Jeffrey Brown: And, would you have contributed to his campaign?

8 Former Firetrace Exec.: It's possible. I don't remember.

9 Jeffrey Brown: This email, it's on FT_0377, it's the bottom of the second page.
10 There's an email from you to Mark Cavanaugh, it's Monday February
11 20th, 2012. In the email you say, "I don't see us rallying behind one or
12 the other until we know where we're going to align in the long run." It
13 looks like you're referring to Ben Quayle and David Schweikert.

14 You conclude that by asking Mark "your thoughts?" Can you walk
15 me through what you're getting at when you ask that question of
16 Mark?

17 Former Firetrace Exec.: From looking at the whole document, it looks like somebody was
18 asking us to go to a dinner.

19 Jeffrey Brown: Mm-hmm (affirmative).

20 Former Firetrace Exec.: And, I was seeking guidance, input as to, is that something we want
21 to do, and if they're both doing ... I don't remember, but if they're ...
22 Were they both running against each other?

23 Helen Eisner: Yes.

24 Former Firetrace Exec.: They were.

25 Helen Eisner: Yes.

26 Jeffrey Brown: Yeah.

27 Former Firetrace Exec.: I'm guessing if we were going to choose one side versus the other, if
28 we were going to make a donation or anything, or even do anything
29 with. That's pure speculation, just from whatever. And for the record,
30 I don't remember going to any dinner.

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- 1 Jeffrey Brown: And you're referring to the last page of this document, it's an NRCC
2 Southwest Spring Dinner.
- 3 Former Firetrace Exec.: Yep. I do not recall going to any dinner. I don't know if that means if
4 we did donate, didn't donate, did anything.
- 5 Jeffrey Brown: Was that a conversation that you, and Mark, and the lobbyists would
6 have? "We've got individuals who are running against each other,
7 where do we throw our political support? Where do we put our
8 money?"
- 9 Former Firetrace Exec.: Well, we didn't have any political support. I mean, we had nothing to
10 throw behind somebody, but if it meant contributing money to a
11 campaign to go to an event such as this or something like that, quite
12 frankly, I didn't have a desire to spend a lot of my personal money for
13 any of that. So if I was going to attend a dinner, I want to attend a
14 dinner for somebody we believe in.
- 15 Jeffrey Brown: Okay. Was there any sort of policy or expectation at Firetrace that
16 individuals would be contributing in order to get support for
17 Firetrace?
- 18 Former Firetrace Exec.: I don't think there was ... Certainly there was no policy.
- 19 Jeffrey Brown: Mm-hmm (affirmative).
- 20 Former Firetrace Exec.: If we decided to ... If Ben were having a fund- If Ben Quayle were
21 having a fundraiser, and attending the fundraiser would be supportive
22 of Ben, and if we cared to be supportive of Ben, then I or Mark, or
23 somebody would make a decision if we wanted to. But I never told
24 Mark to attend an event. I never ordered him to attend an event and
25 he never sat back and said, "'Former Firetrace Executive", the world
26 end if you don't attend."
- 27 Jeffrey Brown: Okay.
- 28 Former Firetrace Exec.: Everything was voluntary.
- 29 Jeffrey Brown: Besides you and Mark, were there others, individuals at Firetrace,
30 who were politically involved or politically active, who may have
31 been supporting one candidate over the other?
- 32 Former Firetrace Exec.: No. I think no one else in the entire company really cared.
- 33 Jeffrey Brown: Mm-hmm (affirmative).

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1 Former Firetrace Exec.: Scott Starr just because of his role may have had a passing touch on
2 it, but as you saw in one of the other ones, it's a question of Scott if
3 the Congressman's touring, can you arrange a camera or something.
4 Nobody else in the company had any expectation of doing anything.
5 We didn't care who they did anything with. That's their own personal
6 life and there was no corporate direction to anybody.

7 Jeffrey Brown: So if any executives are making contributions, to your awareness, it's
8 either you or Mark?

9 Former Firetrace Exec.: To the best of my recollection.

10 Jeffrey Brown: Okay.

11 Helen Eisner: In this email, the first page for FT_0376, in the exchange between
12 you and Mark where Mark writes that third paragraph down "as of
13 Quayle v Schweikert, I think both you and I have maxed out to
14 Quayle the primary, so we are good with him."

15 Did you and Mark share information about what contributions you
16 had made?

17 Former Firetrace Exec.: As far as how much we've done?

18 Helen Eisner: Yes.

19 Former Firetrace Exec.: It likely would've been discussed.

20 Helen Eisner: Okay.

21 Former Firetrace Exec.: I mean Mark would've probably been giving me advice so I didn't
22 exceed some limit or do something silly like that. But I mean, it is
23 highly, highly, highly unlikely that I would've attended anybody's
24 fundraiser if somebody didn't suggest to me that it's something we
25 ought to do.

26 So if Dan Quayle contacted me and says, "Hey golfing buddy, my
27 son's having a cocktail party, would you do it?" Yeah, I might do it to
28 support my friend Dan. If Mark indicated to me that we should do
29 something, I'd probably trust Mark and support it.

30 Helen Eisner: In the next sentence there he says, "I have let the Schweikert group
31 know we like all they have done and continue to support them."

32 In that vein, what was it that you liked that they had done?

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- 1 Former Firetrace Exec.: That was from Mark. And, he's telling me, I guess, that they've done
2 something that we like. I don't know what it was.
- 3 Helen Eisner: Okay.
- 4 Former Firetrace Exec.: Can't think of what it could've been even.
- 5 Jeffrey Brown: And then the next section there, he says, "And will continue to
6 support them." I read that along with the first sentence that says, "I
7 think you and I are both maxed out to Quayle for the primary" as
8 suggesting that you and Mark have contributed to Quayle, maybe you
9 haven't to Schweikert, but there's some indication that we will
10 continue to support them.
- 11 Do you know what that means? Is that financial?
- 12 Former Firetrace Exec.: No, I don't remember what context that was done in. As I've said
13 before, Dan's a friend. When Ben was running, if Ben had an event,
14 we probably or at least I would have, whether Mark would've or not, I
15 don't know. But, I don't know.
- 16 Jeffrey Brown: At the top of this email it says, "Great Questions. Have a call in to
17 Teddy on this particular event, because I get the same email."
- 18 What's Mark suggesting there?
- 19 Former Firetrace Exec.: He's... I'm presuming the reference is to the invite to the dinner. I
20 don't know.
- 21 Jeffrey Brown: Okay. If you look at the email above there, you respond to Mark and
22 you say, "I see both Schweikert and Quayle are sponsors of the
23 Dinner. I'd be happy to buy a table. As for spending the money to co-
24 chair, that moves it up to a higher level of visibility and cost. Need
25 Teddy's advice on this."
- 26 Is it fair to say that you and Mark are both trying to solicit advice
27 from Teddy on how best to handle this invite?
- 28 Former Firetrace Exec.: Yeah. I mean, quite frankly, when a co-chair is \$25,000, I'm not
29 spending that kind of money. I mean, it was ... We received an
30 invitation. There's multiple levels that you can participate and we
31 were looking for guidance.
- 32 Jeffrey Brown: Mm-hmm (affirmative).

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- 1 Former Firetrace Exec.: What could we, should we do, and at what level.
- 2 Jeffrey Brown: And is an ordinary conversation that you and/or Mark is having with
3 Teddy? Is that the sort of thing you're paying him to opine on?
- 4 Former Firetrace Exec.: I think that I would lean on Mark to let me know what he thinks we
5 should do and my guess, pure guess is that Mark would probably lean
6 on Teddy for a data point. Teddy didn't decide what Mark did or the
7 company would do, but Teddy probably would say, "Okay. Look at-
8 This is an important one, you need to perhaps have more visibility
9 with what you buy in," or he might say, "This is a nonevent, don't
10 even waste your time going," or something.
- 11 Jeffrey Brown: And why might he suggest this is an event that you have to buy into?
- 12 Former Firetrace Exec.: Oh, I think it's just to, for the visibility that Firetrace is there.
- 13 Jeffrey Brown: Okay.
- 14 Helen Eisner: Do you need me to get them to try ... I just need to make sure that
15 that's not distracting anyone.
- 16 Jeffrey Brown: That's not distracting me. Is that distracting you?
- 17 Helen Eisner: Are you okay with that? I know it's distracting. It's some background
18 music here.
- 19 Former Firetrace Exec.: It's fine by me.
- 20 Helen Eisner: Okay.
- 21 Jeffrey Brown: I was enjoying it actually. I'm going to hand you one more document.
22 This is FT_0463 through 66. Again, it's an email string and I'll let you
23 read it before we ask you some questions about it.
- 24 Former Firetrace Exec.: Thank you for the email. I see a name that rings a bell now.
- 25 Jeffrey Brown: Okay.
- 26 Former Firetrace Exec.: Larry Pike. I think Larry was the gentleman who was our kind of a
27 state level or local lobbyist.
- 28 Jeffrey Brown: Okay. He's the other lobbyist, aside from Teddy, that you were trying
29 to remember his name.

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1 Former Firetrace Exec.: Yeah.

2 Jeffrey Brown: Okay. Let me direct you to the second page of this email string, it's
3 FT_0464. It looks like you are writing an email. I believe it's to Mark
4 Cavanaugh. You say, "Do you have any interest in this?" And you're
5 referring to a Schweikert golf tournament, and you say, "Has David
6 supported us? Let me know if you'd like me to make a
7 contribution/register for the tourney."

8 What do you mean when you say, "Has David supported us?"

9 Former Firetrace Exec.: Has ... If there was any way that David could've helped us. Has he
10 helped us.

11 Jeffrey Brown: Same question, was there anything that he helped with or supported
12 you with?

13 Former Firetrace Exec.: Nothing that I recall or am aware of. It's ... He's our local
14 congressman and if there was something that he could be helping us
15 with, is he doing it? Or doesn't he care whether we live or die?

16 Jeffrey Brown: Okay. Can you give me an example of something that he may have
17 been able to help Firetrace with?

18 Former Firetrace Exec.: I have no example, no idea.

19 Jeffrey Brown: You're talking about ways that he could support Firetrace. I guess I'm
20 just trying to get a sense for what ways does your local congressman
21 aid Firetrace's business?

22 Former Firetrace Exec.: We had systems that went to protect military vehicles and I'm not
23 saying it relates to this example. But in your figurative question, if the
24 defense budget was up for consideration and is he supporting the
25 defense budget or is he against the defense budget?

26 Jeffrey Brown: And you don't remember anything specific that he either did or did
27 not support Firetrace on?

28 Former Firetrace Exec.: No. I don't remember anything specific.

29 Jeffrey Brown: Let me draw your attention to the first page, so that's FT_0463. Mark
30 is responding to you. He says, "'Former Firetrace Executive', David
31 is a big supporter, however I told him we are not available for this
32 tourney and he's fine with that. We will be contributors later."

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- 1 When David says ... or excuse me, when he says, "David is a big
2 supporter," what do you take that to mean?
- 3 Former Firetrace Exec.: I took it that he likes Firetrace, he's aware of who we are and our
4 products, and if there was ever anything that he could do to help us,
5 he would try to help us.
- 6 Jeffrey Brown: Okay. And again, you don't have any recollection of any-
- 7 Former Firetrace Exec.: No. I can't give you an example of any time where he ever did help
8 us.
- 9 Jeffrey Brown: At the end, Mark says, "We'll be contributors later." Do you
10 remember ever ... you or Mark making contributions to
11 Representative Schweikert?
- 12 Former Firetrace Exec.: I don't recall. I don't specifically recall. But again, as I've said before,
13 it wouldn't surprise me if we made contributions.
- 14 Jeffrey Brown: Let me ... I'll hand you this. Maybe this will jog your memory a little
15 bit. This is just and FEC... It's a publicly available FEC document.
- 16 Helen Eisner: And that's a Federal Election Commission.
- 17 Jeffrey Brown: Thank you Helen. Just at the top here it says, "'Former Firetrace
18 Executive'," I presume that may be a former address of yours?
- 19 Former Firetrace Exec.: It is.
- 20 Jeffrey Brown: Okay. And it looks like you made a \$1,000 donation on 6/29/2011.
21 Does that jog your memory at all?
- 22 Former Firetrace Exec.: I'm assuming this to be correct, so then it would've been done. But do
23 I remember doing it? No.
- 24 Jeffrey Brown: You don't remember anyone approaching you or soliciting that
25 contribution from you?
- 26 Former Firetrace Exec.: No.
- 27 Jeffrey Brown: You don't remember having any conversations with Representative
28 Schweikert?
- 29 Former Firetrace Exec.: Oh, definitely not.

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- 1 Jeffrey Brown: Anybody on his campaign or his campaign staff?
- 2 Former Firetrace Exec.: No.
- 3 Jeffrey Brown: All right. I'm going to hand you one more document. This is another
4 email string, and it is FT_0159 through 162. Again, I will give you a
5 chance to look it over before I ask you any questions.
- 6 The email from Mark to you that starts on FT_0159 and ends on 160,
7 Mark's sending you an email. It looks like it's dated April 1st of 2013.
8 At the bottom there, Mark says, "Big money." Actually, he says
9 "Big," and then three dollar signs. Then he goes on to say, "and I was
10 not sure a connection for us. I have a Schweikert event the next night
11 and thought," again, three dollar signs there, "better there at this
12 point."
- 13 When he says "not sure if connection for us," what's he suggesting
14 there?
- 15 Former Firetrace Exec.: I have no idea. Reading further there was some heavy hitters that I
16 guess were coming into town. I don't know who John Cornyns ...
- 17 Jeffrey Brown: Right.
- 18 Former Firetrace Exec.: I don't know who that is. I know who Mitch McConnell is, but
19 apparently there was something going on and apparently it was big
20 dollars. Mark's recommendation was that, don't spend the money.
- 21 Jeffrey Brown: Okay. And then he says, "I have a Schweikert event the next night
22 and thought money better spent there at this point."
- 23 When he suggests "money better spent there at this point", and this
24 being April of 2013, why is he saying money's better spent there in
25 April of 2013?
- 26 Former Firetrace Exec.: I have no idea what his reference was to.
- 27 Jeffrey Brown: Okay. Do you remember any specific priorities or focuses that
28 Firetrace was looking at around that time?
- 29 Former Firetrace Exec.: No. I don't remember anything. I mean, defense budget was always a
30 concern of ours. I don't know if he means that. I just don't know.

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- 1 Jeffrey Brown: Defense budget is always a concern of yours in the sense that, you're
2 hoping monies are appropriated for fire suppression related systems
3 or there's money put aside that could aid your business in some way?
- 4 Former Firetrace Exec.: We had... after the Ford Crown Victoria issue, we were asked by the
5 Army to determine if we could modify that system to protect fuel
6 tanks on military vehicles from IEDs.
- 7 Jeffrey Brown: Okay.
- 8 Former Firetrace Exec.: So we went about and invested time and money to develop that
9 technology.
- 10 Jeffrey Brown: Mm-hmm (affirmative).
- 11 Former Firetrace Exec.: In talking with people within the Army, they indicated that this is
12 fabulous. We would love to add this to this whole group of vehicles,
13 we just don't have the money. It would be, maybe they would put it in
14 their budget, and if the budget passed maybe they would then be able
15 to get the benefit with the system.
- 16 If the budget was reduced by someone, Congress, whomever, maybe
17 it wouldn't be there to protect those vehicles. So we would pay
18 attention to things like that. But we didn't ... The Army technical
19 people could make the recommendation, but we had no touch with
20 anybody who was going to say, "Put the money here or put the money
21 there."
- 22 Jeffrey Brown: Was there ever anything written into the budget such that it proved to
23 be a benefit to Firetrace? In other words, was money appropriated in
24 such a way that this was helpful to the business?
- 25 Former Firetrace Exec.: Nothing that would be ever ear marked. For example, it says,
26 "Firetrace will..." When we were in one of the wars, the Army
27 decided to replace the Humvee with an MATV.
- 28 Jeffrey Brown: Mm-hmm (affirmative).
- 29 Former Firetrace Exec.: It's a military vehicle.
- 30 Jeffrey Brown: Right.
- 31 Former Firetrace Exec.: And, when the government decided to fund thousands of those, okay,
32 we protected those. So we benefited, but, there was never anything

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1 that said, "Pass this bill and Firetrace, specifically Firetrace, gets
2 anything."

3 Jeffrey Brown: Well, so, how about not specifically Firetrace, but we're going to fund
4 X amount of vehicles and we want fire suppression systems in those
5 vehicles? Does anything like that ever get passed?

6 Former Firetrace Exec.: I think we were so far down the food chain, it was more of a, if the
7 defense bill gets passed, and then as they start breaking it down, and
8 even then, it might be that the Department of Defense might say,
9 we're going to fund this new vehicle called the MATV.

10 Jeffrey Brown: Mm-hmm (affirmative).

11 Former Firetrace Exec.: And, it still, we didn't make the MATV. Is General Dynamics going
12 to get it? Is Oshkosh going to get it? Is whomever going to get it?
13 And then, if those people got it, then, might they come to us? Well we
14 had competitors as well. So I don't know of anything that was ever
15 passed that you could say, "Wow. That passed and now Firetrace is
16 going to get this."

17 Jeffrey Brown: How did it come to pass that Firetrace ended up protecting the
18 MATVs? Sorry, that Firetrace systems were inserted into MATVs?

19 Former Firetrace Exec.: When the government ... This is my recollection, and let me say, I
20 was not directly involved with this, but this is as it was explained to
21 me, is the government put together specifications for a new vehicle.

22 Jeffrey Brown: Mm-hmm (affirmative).

23 Former Firetrace Exec.: They identified in that vehicle that a vulnerability that needed to be
24 protected was the fuel tank, the engine, and the tires, the wheel wells
25 themselves.

26 Jeffrey Brown: Okay.

27 Former Firetrace Exec.: So the Army or somebody within the government would've identified
28 that the vehicle needs to have these characteristics and one of those
29 characteristics is something to protect the fuel tank, something to
30 protect this, something to protect this.

31 Now when the vendors bid on the contract, they have to prove that
32 they're in compliance with those specifications and in the case of the
33 MATV, Oshkosh came to us and said, "Can you provide this?" And
34 we told them yes we could. We designed it specifically to fit on their

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1 design. We quoted them. If the Department of Defense funded it and
2 if they decided to work with Oshkosh, then there was a very good
3 chance that we would get the business.

4 Jeffrey Brown: So Oshkosh is the prime submitting a bid, you guys are the
5 subcontractor that they have agreed to work with.

6 Former Firetrace Exec.: We were a subcontractor to-

7 Jeffrey Brown: Okay. And ultimately that does occur? Oshkosh gets the bid and-

8 Former Firetrace Exec.: Oshkosh got the bid on the MATV and we ultimately supplied... I
9 think there was about 10,000 vehicles that they ultimately purchased
10 and ... maybe not that many. But it was a sizable number.

11 Jeffrey Brown: And so, as a subcontractor, you've got a contract with Oshkosh to
12 provide X amount of Firetrace units or however this works.

13 Former Firetrace Exec.: Yeah, Oshkosh got the contract, they gave us a contract to provide the
14 fire suppression systems per the government's specification. And, we
15 got a contract.

16 Jeffrey Brown: Do you remember what year this is? Roughly.

17 Former Firetrace Exec.: Purely guessing.

18 Jeffrey Brown: Mm-hmm (affirmative).

19 Former Firetrace Exec.: '12.

20 Jeffrey Brown: 2012?

21 Former Firetrace Exec.: '12, '13. Something like that.

22 Jeffrey Brown: Let me draw your attention back to this email, it's FT_0159. This is
23 an email from you to Mark Cavanaugh. It's Monday April 1st, 2013.
24 This is still a string related to this, as you said, this event with some
25 heavy hitters. At the beginning there you say, "Unless there is
26 something in here that will directly benefit Firetrace, I'm hesitant to
27 reach into my personal pocketbook to fund/attend such an event."

28 What would've directly benefited Firetrace?

29 Former Firetrace Exec.: The exposure. If somebody there sees that Firetrace is there, would it
30 be nice to meet them? Would it be whatever. It would take, I think

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1 what I was expressing there, is that unless there's some unbelievable
2 reason to be here, I'm not reaching into my pocket for this event. And
3 at that time it was a year where we were not going to go ... It
4 appeared we are not getting bonuses, and if we're not getting bonuses,
5 I'm not going to have the money personally, and I'm not going to put
6 my money in this unless there was some reason, whatever it may be.

7 Jeffrey Brown: So when you say at the end, "Unless I have good reason to believe
8 such a donation will directly and immediately help Firetrace," you're
9 not donating unless there's an immediate assistance of some kind?

10 Former Firetrace Exec.: Yeah. What I'm saying is that, I am not reaching into my pocket as a
11 matter of principle and going to spend more money unless somehow
12 somebody could show me that there's some kind of a benefit here and
13 there's no ... It would be landmark. It would be a miracle or
14 something like that, if somebody could come in and say, "Boy, I think
15 Mitch McConnell," I'm just picking on his name, only because it's the
16 only one I remember.

17 Jeffrey Brown: Right.

18 Former Firetrace Exec.: That if Mitch McConnell, if you supported Mitch McConnell and he
19 saw that Firetrace was a supporter, he's going to like Firetrace. What
20 does that mean? Mitch McConnell can't do a thing for me. So, unless
21 you can prove that somebody has got some method which they can
22 help us, which I'm saying that because there isn't some method they
23 can help specifically help us, I'm not spending my money.

24 Jeffrey Brown: So when you say, "I'm not going to contribute unless there's a direct
25 and immediate help to Firetrace," can you provide an example of such
26 a method?

27 Former Firetrace Exec.: No, I think what I'm saying is unless you can show me this is going to
28 happen, I'm not doing it. And I know they can't show me anything
29 that's going to meet my criteria, so I'm not going to spend the money.

30 Jeffrey Brown: Had anyone ever provided you or Firetrace with some sort of direct
31 and immediate benefits that would've helped the company?

32 Former Firetrace Exec.: No. No. I think by the time we get out here, this is in '13 it looks like,
33 at least my personal desire to spend my own money to support
34 politicians who can't do anything for me, never have done anything
35 for me, I'm through with it. I think that was my level of frustration at
36 that point.

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1 No one's ever helped us. So why am I going to continue to go to
2 rubber chicken dinners, much less an expensive one just because I
3 think he was head of the Senate. I think.

4 Jeffrey Brown: Mm-hmm (affirmative).

5 Former Firetrace Exec.: At that time. I'm fed up with it.

6 Jeffrey Brown: Did you have something you were going to ask?

7 Helen Eisner: No, go ahead.

8 Jeffrey Brown: Is there anything that you thought we might talk about today that we
9 haven't touched on yet?

10 Former Firetrace Exec.: I didn't know what-

11 Jeffrey Brown: When I first called you.

12 Former Firetrace Exec.: When you first called me I had ... You made it somewhat clear that
13 you couldn't tell me anything, so I had nothing to speculate about.

14 Jeffrey Brown: Mm-hmm (affirmative).

15 Former Firetrace Exec.: I continue to believe I know as much as the average person about
16 politics having lived through all of this stuff.

17 Jeffrey Brown: Mm-hmm (affirmative).

18 Former Firetrace Exec.: Had no idea where this was headed or what it was about, and told you
19 I know very little, had very little involvement. And, I think, you didn't
20 lie to me and I didn't lie to you.

21 Jeffrey Brown: Okay. Let me ask you in a different way then. In light of the emails
22 that we've shown you and the questions that we've asked today, is
23 there anything else that you'd like to discuss, or that you feel like we
24 should've touched on?

25 And the answer can be no, that's totally fine.

26 Former Firetrace Exec.: I can't think of anything that comes to mind, but I think, what this
27 refreshes is that as a small business, we wanted to be savvy to what
28 was happening, and we reached out to professionals whose advice we
29 probably at least wanted to hear.

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1 Jeffrey Brown: Mm-hmm (affirmative).

2 Former Firetrace Exec.: And, Firetrace, myself, Mr. Cavanaugh, we attended rubber chicken
3 dinners or a cocktail party. Did we ever get anything for it? No. If
4 there's a method in the federal system to get refunds for attending
5 rubber chicken dinners or something, I wouldn't even apply for it
6 because it was worthy of ... to be at an event like that and just kind of
7 chat with people.

8 I went to an event a year or two ago and had a chance to sit down
9 with Condoleezza Rice and spend a half hour one on one with
10 Condoleezza Rice. That was remarkable. What an amazing, amazing
11 woman. And it's probably been long enough that I remember telling
12 her that, "If you would run for president you'd have my vote because
13 you've got a level of common sense absent in Washington."

14 I have enjoyed over the years attending the occasional event just to be
15 able to understand what makes people's minds tick in Washington.

16 But as I expressed in this last note, I never got anything from them. I
17 didn't expect to get anything from them. And unless somebody can
18 sure as hell prove to me why I should spend more money, unless
19 you're a really interesting individual, I have no desire to participate in
20 that.

21 Jeffrey Brown: Let me just ask you a couple quick questions, then we're going to
22 wrap up. You said on a couple occasions, and you were saying that in
23 reference to this last email we looked at FT_0159, you're not willing
24 to reach into your personal ... your wallet. Did Firetrace as a
25 company, did they ever make any contributions?

26 Former Firetrace Exec.: No, it was my understanding that the companies can't do that.

27 Helen Eisner: Or have any type of political action committee themselves where they
28 would have been able to-

29 Former Firetrace Exec.: No, Firetrace never did any of that. Anytime, if Dan Quayle said,
30 "Hey, you going to be at Ben's event?" Or something like that, I
31 reached in my own pocket. When it was ... Whether it was
32 Condoleezza Rice or I remember attending an event when Steve ...
33 Oh, come on "Former Firetrace Executive"...

34 Steve Forbes, I think it was, was presenting a flat tax. And I don't
35 know if he was running for anything. I don't even know other than I
36 was curious to hear why his logic behind the flat tax and wanted to

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- 1 make my own judgment whether it's valid or not. I mean, I would
2 spend whatever it would've been, \$1,000 to go to something like that.
- 3 But there was never an expectation of, "Gee, if I go to listen to Steve
4 Forbes, my taxes are going to be cut," or anything like that. Even if a
5 congressman told me, even if Steve Forbes said, "If you attend my
6 event, I'll cut your taxes," I know he can't do it.
- 7 So if it was a personal favor for someone, "Hey Dan, yeah it's your
8 son. I'll do something to help you." Or if it was, "Wow. I would like
9 to meet Condoleezza Rice and just have a chance to chat with her."
10 Or Steve Forbes or something. Those were my motivations for
11 reaching into my own personal pocket and writing a check.
- 12 Jeffrey Brown: Okay. You've mentioned a couple times that Mark Cavanaugh was
13 sort of the point person on a lot of the legislative priorities here. Do
14 you and Mark still keep in touch?
- 15 Former Firetrace Exec.: Very, very rare. When we sold the company in '15, I left. Mark stayed
16 on and ran the company.
- 17 Jeffrey Brown: And you sold the company to who in 2015? Halma?
- 18 Former Firetrace Exec.: Halma PLC. A publicly traded British company.
- 19 Jeffrey Brown: Okay. And, you had earlier made it seem you left in 2013, but did you
20 stay on, in sort of an advisory role?
- 21 Former Firetrace Exec.: Well, I was originally chair ... continued to serve as Chairman of the
22 Board, and then I became Vice Chairman of the Board. Then I
23 ultimately left the board when the company was starting to be sold. If
24 you've never been part of a sale of a company, it is a stressful
25 environment.
- 26 Jeffrey Brown: Mm-hmm (affirmative).
- 27 Former Firetrace Exec.: And at the advice of my doctor and more specifically my wife, it was
28 time for me to retire from those types of activities. When the
29 company was sold, I let the new owners know that I had a little to do
30 with the formation of this company 14 or 15 years early. If I can help
31 you in any way, I'm happy to do it. You want me to sit on the Board
32 of Directors, I'm happy to do it. And they respectfully declined for
33 any participation by me any further.
- 34 Jeffrey Brown: Okay.

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1 Former Firetrace Exec.: So I don't worry about that anymore. I play golf. I spend time with my
2 children, grown children, and more specifically my grandchildren.

3 Jeffrey Brown: Okay.

4 Former Firetrace Exec.: I make miscellaneous investments and pretend I'm a big time hitter
5 with my Big Elk Funding, which is nothing. And, today I come and-

6 Jeffrey Brown: Sit with the OCE.

7 Former Firetrace Exec.: Do my civic duty to come and talk to the two of you.

8 Jeffrey Brown: Which we-

9 Helen Eisner: Which appreciate.

10 Jeffrey Brown: We appreciate that. Absolutely. Just back to my original question
11 though. You and Mark, do you keep up at all these days?

12 Former Firetrace Exec.: Like I said, very little. We ... Our seats at the Phoenix Suns basketball
13 games were about 20 or 30 people apart, so I would see Mark at a
14 basketball game.

15 Jeffrey Brown: Mm-hmm (affirmative). Well, let me ask you-

16 Former Firetrace Exec.: Maybe since selling the company I do a great deal for charities in the
17 valley and I will see Mark occasionally at a charity event.

18 Jeffrey Brown: Okay.

19 Former Firetrace Exec.: But, we don't get together every Friday for cocktails and my
20 grandkids don't run in the same circle as his kids.

21 Jeffrey Brown: Okay.

22 Former Firetrace Exec.: I think one of the last times I even heard from him was to donate
23 money to his son's football team.

24 Jeffrey Brown: Okay.

25 Helen Eisner: Mm-hmm (affirmative).

26 Jeffrey Brown: Well, you had mentioned to me earlier that, sort of, Mark was the
27 point person on a lot of this stuff, and we've tried to get in touch with
28 him and I'm just curious if I can confirm with you what I understand

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1 his contact information to be. Is he still at [REDACTED]
2 [REDACTED]?

3 Former Firetrace Exec.: I think so, but I don't know for sure. Even in all the years we were in
4 business together, I've been to his house once.

5 Jeffrey Brown: Okay. How about email then? Is it [REDACTED]@[REDACTED]?

6 Former Firetrace Exec.: I thought it was [REDACTED]@[REDACTED], is he using something
7 other than Firetrace?

8 Jeffrey Brown: I was told it was [REDACTED]@[REDACTED]. You haven't
9 communicated with him on that email address?

10 Former Firetrace Exec.: I don't think so. I think I was using ... I think I was using his Firetrace.
11 I'd have to go back and look at emails to see.

12 Jeffrey Brown: Okay. And do have a phone number for him?

13 Former Firetrace Exec.: It was very similar to mine. It was [REDACTED], and I don't remember the
14 last four.

15 Jeffrey Brown: Do you have it?

16 Former Firetrace Exec.: [REDACTED].

17 Jeffrey Brown: [REDACTED].

18 Former Firetrace Exec.: And mine is [REDACTED]. That's quite frankly the only reason I
19 could remember the first, what is that, six digits, is because it's
20 identical to mine and I remember that from the good old days.

21 Jeffrey Brown: Yeah, we don't have to remember these anymore.

22 Former Firetrace Exec.: I show, and I don't know if it's accurate, did you say it was [REDACTED]
23 [REDACTED]?

24 Jeffrey Brown: [REDACTED]. Yep. Scottsdale, Arizona.

25 Former Firetrace Exec.: That's what I have in here, but I have no idea whether that's current or
26 not.

27 Jeffrey Brown: Okay. Final question I have for you is just, aside from myself and
28 your wife, have you chatted with anybody else about our interview
29 today?

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- 1 Former Firetrace Exec.: I keep an office at a company called ... Oh no I didn't even talk to
2 them about this. When you called the first time I asked ... I called
3 Mark and asked Mark what he knew about it.
- 4 Jeffrey Brown: Okay.
- 5 Former Firetrace Exec.: You know, what's going on? And Mark indicated that he had ... I
6 guess you had tried to reach him or you spoke to him or something
7 like that, and Mark didn't know any more than I knew. And, hence,
8 this has evolved since then.
- 9 Jeffrey Brown: Okay.
- 10 Former Firetrace Exec.: But, my wife's aware of it.
- 11 Jeffrey Brown: What did you and Mark talk about when you called?
- 12 Former Firetrace Exec.: Well, that was right after you reached out to me and it was, "Mark, do
13 you have any clue of what's going on?"
- 14 Jeffrey Brown: Mm-hmm (affirmative). And did he-
- 15 Former Firetrace Exec.: And Mark had no clue of what was going on.
- 16 Jeffrey Brown: He had ... Did he indicate that he had received some correspondence
17 from us?
- 18 Former Firetrace Exec.: I think he said he received a ... No, I think he said he got an email
19 from you, and then did he talk to you on the phone?
- 20 Jeffrey Brown: We sent a letter and an email, and we haven't heard back from him.
- 21 Former Firetrace Exec.: Well, I think, let me think of how this went. I think I got an email
22 from you first.
- 23 Jeffrey Brown: Mm-hmm (affirmative). And you got a FedEx the next day.
- 24 Former Firetrace Exec.: Okay, if it was the next day, I lose track of time.
- 25 Part of my residual damage from my stroke is damage to the thalamus
26 in my brain, and I can still do some complex things, but, if you want
27 to wager \$100 on what day of the week it is, without checking my
28 phone, I probably can't tell you.
- 29 Jeffrey Brown: Okay.

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1 Former Firetrace Exec.: So I apologize, I don't remember the sequence, but I remember
2 getting something from ... I remember getting an email from
3 somebody and thinking, "If you click on this phone number, you're
4 going to be malwared, phished, whatever," and I thought frankly,
5 "Why would these people even be reaching out to me? This is some
6 kind of scam. Look to see if they spelled something wrong or
7 whatever."
8 I think I told you that on the telephone.

9 Jeffrey Brown: You did.

10 Former Firetrace Exec.: It wasn't until I think, I don't know if it was the same day we got the
11 letter, or you called me or whatever-

12 Jeffrey Brown: I believe you got a FedEx the next day and then you called me right
13 after you got the FedEx.

14 Former Firetrace Exec.: Okay. I think the FedEx to me indicated that maybe this is real. That's
15 why I called you.

16 Jeffrey Brown: That's what you indicated to me on the phone. Had you talked to
17 Mark before that or after that?

18 Former Firetrace Exec.: I think it was after that. I don't ... I apologize, I don't remember for
19 sure.

20 Jeffrey Brown: Mm-hmm (affirmative).

21 Former Firetrace Exec.: Like I say, it was right around there and it was like, "Mark have you
22 got any clue of what's going on?" And Mark said, "They're trying to
23 reach me too. I have no idea," and I think... I got the impression that
24 maybe his attitude was, "I've got a million things going in my life
25 right now."

26 Jeffrey Brown: Mm-hmm (affirmative).

27 Former Firetrace Exec.: And I think, no disrespect, but he put you guys at the bottom of a
28 million things going on. He's, I believe, to be a very busy guy.

29 Jeffrey Brown: Okay.

30 Former Firetrace Exec.: As a minimum, I know that his daughter is an excellent volleyball
31 player and she travels all over the United States playing volleyball.

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- 1 Jeffrey Brown: Okay.
- 2 Former Firetrace Exec.: Specifically sand volleyball in the summer. And, I mean, I'll be
3 honest with something else too. Not that I was being being dishonest.
4 We talked about his address. I don't know if he's there in the summer.
5 I think he goes and lives someplace in California.
- 6 Jeffrey Brown: Okay.
- 7 Helen Eisner: When you talked on the phone, did you talk at all about
8 Representative Schweikert?
- 9 Former Firetrace Exec.: No.
- 10 Helen Eisner: Okay.
- 11 Former Firetrace Exec.: I had no idea whatsoever what this was about, and because Mark is
12 more in tune with Washington in general, I thought he'd fill me in.
13 Jeff couldn't fill me in, so I thought Mark knows everything about
14 that stuff and he'll fill me in and he knew nothing either.
- 15 And, away we go.
- 16 Jeffrey Brown: Did you talk about any other members of congress or anything-
- 17 Former Firetrace Exec.: We didn't talk about Schweikert, or Flake, or, I'm trying to think of
18 other names. McCain. We didn't talk about anybody. It was, you
19 didn't tell me who it was in regards to, and so my question to Mark is,
20 did you get this? Do you have any clue what this is all about?
- 21 He had no clue. I drew the impression from him that this was the
22 lowest thing on his list of many priorities. And I indicated to him that,
23 I'm retired, I'm a nobody anymore. And, if I have the time and it can
24 work out, exactly as I shared to you, if I have the time, I have
25 absolutely nothing to hide. There's nothing that we ever did that was
26 illegal, inappropriate, or anything else. I would go do it.
- 27 Jeffrey Brown: Has anybody reached out to you about this interview?
- 28 Former Firetrace Exec.: No.
- 29 Jeffrey Brown: Nobody from Congressman Schweikert's office or...no lawyers
30 associated with Firetrace?
- 31 Former Firetrace Exec.: No sir.

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- 1 Jeffrey Brown: Okay.
- 2 Helen Eisner: I think that's all we have.
- 3 Jeffrey Brown: Thank you. We really appreciate you taking the time to sit with us
4 today, and with that, I think I'll end the recording.
- 5 Helen Eisner: Great.
- 6 Former Firetrace Exec.: Thank you.

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ERRATA SHEET

Page	Line	Correction	Reason
3	23	LAST WORD SHOULD BE "IN"	
35	15	SHOULD NOTE THAT JEFF SUGGESTED I LOOK AT MY CONTACTS IN MY PHONE TO LOCATE MARK'S INFORMATION. I DID THIS AT JEFF'S SUGGESTION. HAD HE NOT SUGGESTED TO DO SO, I WOULD NOT HAVE HAD OR KNOWN MARK'S CONTACT INFO.	

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name:



Witness Signature:

Date:

7/8/18

EXHIBIT 9

**Transcript of Interview of
“Former Financial Administrator”
January 23, 2018**

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

- 1 Jeff Brown: Good morning, this is Jeff Brown with the Office of Congressional Ethics.
2 With me is Paul Solis. Before us is "Former Financial Administrator".
3 Today is January the 23rd. It's a little after 8:30 a.m. We are in the Cannon
4 House Office building. "Former Financial Administrator's" been given the
5 copy of the False Statements Act. With that, we'll get started.
- 6 You were previously employed with Representative Schweikert. In what
7 role were you employed with him?
- 8 Former Fin. Admin.: I was the financial administrator.
- 9 Jeff Brown: Okay. What years were you the financial administrator?
- 10 Former Fin. Admin.: From mid-2014 through the end of January 2017.
- 11 Jeff Brown: You work for others on the Hill?
- 12 Former Fin. Admin.: Yes.
- 13 Jeff Brown: Who else do you currently work for?
- 14 Former Fin. Admin.: Currently, I work for Congresswoman Ann Wagner, Congressman Gus
15 Bilirakis, Congressman Evan Jenkins, Congressman Lloyd Smucker,
16 Congressman Clay Higgins, Congressman Mo Brooks, Congresswoman
17 Claudia Tenney and Congressman Ryan Costello.
- 18 Jeff Brown: And your role and your title with those individuals is what?
- 19 Former Fin. Admin.: Financial administrator, financial director for all of them except
20 Congressman Costello. For Congressman Costello I handle his academy
21 liaisons. And I also do that for Congressman Smucker along with the
22 financial side.
- 23 Jeff Brown: What's an academy liaison?
- 24 Former Fin. Admin.: Basically I handle all the service academy nominations for two
25 congressional districts.
- 26 Jeff Brown: Okay. Are there other individuals that you used to work for that you no
27 longer work for?
- 28 Former Fin. Admin.: Congressman Pitts, who retired. Congressman Schweikert.
29 Congresswoman Cathy McMorris Rodgers.
- 30 Jeff Brown: Okay. You said it was approximately January 2017 that you stopped
31 working for Representative Schweikert?
- 32 Former Fin. Admin.: Yes.
- 33 Jeff Brown: Okay. What were the circumstances under which you left that office?

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- 1 Former Fin. Admin.: I had a conversation on the 30th of December of 2016 over the phone.
2 When Oliver Schwab said that I had scanned things incorrectly and
3 behaved unprofessionally for 24 hours. So my time in the office would be
4 ending January 31st, February 1st.
- 5 Jeff Brown: Okay. Do you know what he was referring to when he talked about an
6 unprofessional 24 hours?
- 7 Former Fin. Admin.: I was a little blindsided. The scanner that I normally use was not working
8 for about a week. So I took photos and sent the photos as scans. I'm not
9 exactly sure. He was in meetings off the Hill and out of D.C. at the time.
10 And said that because he received these texts, it interrupted him and was
11 unprofessional.
- 12 Jeff Brown: What were the documents that you were texting?
- 13 Former Fin. Admin.: The documents, I don't think there were any vouchers. There were some
14 paid interns that wanted to be on January 2nd. I had made the mistake, I
15 thought that we could turn things in that Friday the 30th and still do the
16 two day E-Verify onboarding. But, because of the holiday they wouldn't
17 be able to get on until the 3rd. So, I had found this out a couple days prior
18 and I tried to scan things with correct dates.
- 19 Jeff Brown: And you said that you and Mr. Schwab had a conversation which he
20 expressed displeasure. Do you remember anything else about exactly what
21 was discussed in that conversation?
- 22 Former Fin. Admin.: Yes, I do.
- 23 Jeff Brown: Can you elaborate?
- 24 Former Fin. Admin.: He mentioned that there were ... I actually wrote a replying memo to him.
25 I don't know if you have that information?
- 26 Jeff Brown: We'd certainly take it, if you have a copy.
- 27 Paul Solis: Yeah, if you have a document.
- 28 Former Fin. Admin.: So we discussed the payroll authorization forms. I said I took
29 responsibility for not submitting the payroll authorization forms to those
30 interns last week. And explained the situation, but said I take
31 responsibility. He brought up amounts of water, modular furniture and
32 technology that the office had purchased. And said that there was \$40,000
33 combined in these items in the cage. To my knowledge, I've only been in
34 the cage maybe once.
- 35 Paul Solis: What's the cage?

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- 1 Former Fin. Admin.: The cage is essentially a storage unit. Sort of like, across from here there's
2 storage units. And I pulled up my files. Water, that's spent approximately
3 \$1200 to \$1450 a year. The modular furniture, here about \$7,000 and I did
4 not know that any of that was in the cage. Part of my job, I don't have an
5 office per se. I do hang out in one office where I do most of the hard copy
6 work. But then I visit each office. Then I, with the technology ... I said to
7 you before I came, there was about \$13,000. About \$10,000 in 2015. But
8 that actually wasn't spent 'til 2016. So, I don't have any of this technology.
9 And that's technology that has to be signed off by the member or
10 representative.
- 11 Jeff Brown: What conversation prompted you to respond about water, modular
12 furniture and technology?
- 13 Former Fin. Admin.: Because he brought it up and said we had this waste. I went to the cage
14 and there's \$40,000 worth of items in there.
- 15 Paul Solis: Is this all in the same telephone conversation?
- 16 Former Fin. Admin.: This is all in the same telephone conversation. That's 7:26 p.m.
- 17 Paul Solis: On December 30th.
- 18 Former Fin. Admin.: He also said that Representative Schweikert did have some budget
19 concerns. And that Representative Schweikert, it's a house budget. I would
20 say it was okay, here's some information. And he being Oliver, expressed
21 to me that every time I left the office he would have to have an hour or
22 two conversation with the Congressman to calm him down. Which I was
23 not privy to prior to this conversation.
- 24 Paul Solis: You had budget responsibilities in the office then? Can you describe
25 them?
- 26 Former Fin. Admin.: I had budgeting responsibilities to advise the member / Chief of Staff to
27 say, here's what's happening with your budget, here's where you're headed
28 to go over, here's where you want to ... Here's what it is month to month.
29 Obviously, that budget would change throughout the year. Predicated on
30 the needs of the office and how the office use things. My job was to make
31 sure that they didn't go over budget. So that the Congressman did not have
32 to write a personal check.
- 33 Paul Solis: Did you have authority to make purchases or expenditures?
- 34 Former Fin. Admin.: The only thing would be probably once or twice I did get some office
35 supplies that I used for my job. Which would be for the office. A lot of
36 shared staffers for example, my phone is paid for by one office, my laptop
37 is paid for by another office. So there's the shared cost. During that I think,

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1 I'd say probably no more than 6 vouchers, but I don't even know if they
2 were that many vouchers that I put office supplies on.

3 Jeff Brown: To your knowledge, was Representative Schweikert aware of – Let me
4 rephrase that. What was Representative Schweikert's involvement in your
5 termination?

6 Former Fin. Admin.: I do not know.

7 Jeff Brown: Have you had any conversations with Representative Schweikert since
8 December 30th, 2016?

9 Former Fin. Admin.: I've seen him in the hall once or twice and we've exchanged pleasantries,
10 but no real conversations.

11 Jeff Brown: I want to follow up on something Paul asked just a second ago. You were
12 explaining some of your budgetary responsibilities, but your role as
13 financial administrator, can you just walk us through exactly what that
14 meant in Representative Schweikert's office?

15 Former Fin. Admin.: Sure. So in that office, my job was to do the vouchers, do the payroll
16 authorization forms and have them signed off by authorized folks. If ... I
17 also offered the services of helping with parking; would be working with
18 the parking office, ID services, and different things like that. And I did, if
19 there were ethics questions, I talked with people in ethics. Here is some
20 information because there were questions about some whiteboard paint in
21 the office. I did read an article about a month and a half ago.

22 Jeff Brown: Okay.

23 Former Fin. Admin.: And I knew there were conversations about Arizona travel during the
24 Super Bowl, and there were some things in the article to which I was not
25 privy that I was surprised to learn in the article, as well as training.

26 Jeff Brown: Before we get to the article, I would like to ask you just a couple more,
27 sort of more background questions about the office, and then I would like
28 to add some more detailed questions about that. How much time did you
29 physically spend in Representative Schweikert's office?

30 Former Fin. Admin.: My normal schedule is to come in on Tuesdays and Thursdays. When I do
31 my rounds, I'll go to each office and it could be five minutes, it could be
32 15 ... I would say at the outside, 30. If someone's not there to sign, I would
33 come back. But let's say 30 minutes or so a week.

34 Jeff Brown: And I should have asked this follow-up question earlier, but what sort
35 of ... Percentage-wise, it sounds like vouchers and budgetary issues were

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- 1 sort of the primary responsibilities in Representative Schweikert's office.
2 Percentage-wise, were those the largest issues you worked on?
- 3 Former Fin. Admin.: Those were the largest issues. Yes.
- 4 Jeff Brown: And approximately how much time were you spending on those sorts of
5 issues?
- 6 Former Fin. Admin.: For that particular office?
- 7 Jeff Brown: Mm-hmm (affirmative).
- 8 Former Fin. Admin.: Probably 80, 85 percent.
- 9 Paul Solis: And just going back to the budget, you had a sort of consulting
10 responsibility, and so you would apprise Representative Schweikert and
11 Mr. Schwab about the status of things, right?
- 12 Former Fin. Admin.: Right. I would say for the Congressman, he would say, "Hey, how are we
13 doing? Are we spending too much? Is Oliver spending too much on office
14 supplies?" Or, it would be more conversational than ... I don't know if I
15 ever really sat down with the Congressman to go over the budget. There
16 was one time that he asked that I provide a sort of table of how does his
17 office stack up with my other offices on certain things, which I did,
18 naming the other offices as A, B, C, D, et cetera, and just sort of saying
19 here's where you are in reference to personnel, in reference to travel.
- 20 Jeff Brown: When was the conversation, roughly?
- 21 Former Fin. Admin.: I think this has the date on it. Okay, I would say beginning of 2016
22 because I have 2015 totals and projected totals for 2016. So January,
23 February 2016; I can check my work document.
- 24 Jeff Brown: No, that's fine. You've mentioned Representative Schweikert and Mr.
25 Schwab as individuals that you've interacted with regarding your
26 responsibilities. Was there anybody else in Representative Schweikert's
27 office that you worked closely with?
- 28 Former Fin. Admin.: Beau Brunson, who was the Deputy Chief of Staff.
- 29 Jeff Brown: And can you talk a little bit about what Beau's role was, or what sort of
30 working relationship you had with Beau?
- 31 Former Fin. Admin.: I had a good relationship with him until he was terminated in the fall of
32 2016, or end of two thousand ... Oliver changed plans on when he would
33 terminate folks, so I know he had conversations with me about changing
34 Beau's job description, changing his salary in the fall. And I think one plan
35 was to have him work through 2017 partially for the office and take the

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1 salary down. And then it was, "No, six months." And then it was ... it
2 changed.

3 Jeff Brown: What did you know about Beau's departure from the office or termination
4 from the office?

5 Former Fin. Admin.: I know that Oliver practiced what he wanted to say to Beau on me. He
6 came into the Pitts' office and said ... We had a conversation in person, in
7 the Pitts' office and we had a ... I know we had at least one conversation
8 over the phone because I travel for my other, the academy side of the job,
9 so I know we had a phone conversation. I remember sitting in a parking
10 lot, listening to "Here's what I want to say to Beau." Until my termination,
11 I thought I had a good relationship with the office and all the staff in the
12 office. I don't think I've answered your question.

13 Jeff Brown: That's okay.

14 Former Fin. Admin.: Because... Oliver, on several occasions, asked me to fill out termination
15 paperwork for himself for in a month, or six months, or a year, and then,
16 or ... "No, do it now," and then, "No, I'm not going to do it." And he did
17 the same thing with Beau starting that fall. I think it was three different
18 termination dates that he had me prepare within two or three months.
19 Oliver spoke with me and sort of went over 'here's what I want to say'.
20 And I know he had one meeting with Beau, and then I believe a ... I don't
21 know if he had a second meeting, but then from what I understand, he
22 basically told Beau to not show up to the office for several weeks.

23 Jeff Brown: Do you know what prompted that?

24 Former Fin. Admin.: I can tell you what Oliver told me, but I'm having concerns because
25 looking back, he had these conversations with me about Beau and then he
26 used similar language about my performance. So I don't know if what
27 Oliver said was correct. Do you understand what I'm saying? Oliver told
28 me that Beau was unprofessional, that he sat at this desk and didn't do
29 anything and that after he left, that there was a breath of fresh air in the
30 office and people were doing much better. Because I was not in the office
31 all day, every day, but only 15 minutes, half an hour, couple times a week,
32 I don't know if that's true, and I know that during my time in the office
33 there were a couple occasions where I prepared termination paperwork for
34 several months from the date that I was asked to prepare it. So, I don't
35 know if this was like the M.O of ... What Oliver said to me was that for
36 several times before, I guess he had a falling out with Beau. "Beau or
37 Estina, myself, Oliver, we're the ones that are going to stay, and who
38 leaves? Beau's like a brother to me." So I was a little surprised when he
39 then started saying that Beau was unprofessional, and wasn't doing his job.

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- 1 Jeff Brown: That isn't something you heard before that point in time, the fall of 2016?
- 2 Former Fin. Admin.: That's correct.
- 3 Jeff Brown: We've sort of touched on this, but I want to make sure that I get answers to
4 these questions. In Representative Schweikert's office, did you have
5 involvement with travel reimbursements?
- 6 Former Fin. Admin.: Yes, that's vouchers.
- 7 Jeff Brown: Okay.
- 8 Former Fin. Admin.: And doing the paperwork, not approving.
- 9 Jeff Brown: Okay. Were there any office policies and procedures that you were aware
10 of with respect to travel?
- 11 Former Fin. Admin.: I'm not sure. Can you expand on that question?
- 12 Jeff Brown: Sure, were you aware of any either formal or informal guidelines that
13 staffers needed to abide by when they were planning travel or seeking
14 reimbursement for travel?
- 15 Former Fin. Admin.: I know normally they seem to prefer people who use rental cars versus
16 mileage, there wasn't that much mileage in the office. I don't think there
17 was anything written, to my knowledge.
- 18 Paul Solis: Limits on spending, per diem rates, anything like that?
- 19 Former Fin. Admin.: What I say to all my offices is each office is its own little fiefdom. You
20 really should have an employee manual. Not all of the offices have that.
21 From my understanding, the office did not have an employee manual. I
22 know I had several conversations to say this would be a good thing to do.
23 I'm trying to think if during the end, like LAs had to have travel approved
24 by Beau or Oliver, but that approval was never given to me as part of the
25 packet of here's the travel reimbursement that we want you to do the
26 voucher for.
- 27 Paul Solis: Do you know if anybody approved of Oliver's travel?
- 28 Former Fin. Admin.: I know we had several conversations of how much travel are you doing so
29 that I can help budget how much you're going to be spending for planes,
30 do you know how many times are you going to go out to Arizona? How
31 much overall do you think you plan to spend on each trip and things like
32 that, but I, as Chief of Staff, I think either he or David would have final
33 say, but I don't know if he went to the congressman or not to seek
34 approval.

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- 1 Jeff Brown: Did Representative Schweikert have any involvements in travel approvals
2 generally that you're aware of?
- 3 Former Fin. Admin.: I don't believe so.
- 4 Jeff Brown: And what makes you say that?
- 5 Former Fin. Admin.: He was, as I said, each office is its own little fiefdom. I have some
6 members where I sit down with them quarterly, personally, and go over
7 the budget with them and their Chief of Staff, and here's where you're
8 spending. I never had any of those conversations really one-on-one, let me
9 sit down and talk with you. It was all just sort of how are we doing and
10 there would be other staffers in the office as well. So there really wasn't
11 private one-on-one conversations. I honestly don't know.
- 12 Jeff Brown: In Representative Schweikert's office, did you ever deal with mixed
13 purpose trips?
- 14 Former Fin. Admin.: A couple times with the travel card. Oliver said hey, I accidentally put this
15 on the travel card, I should have put it on personal. I need to write a check
16 to Citi Bank. That happened on a couple of different occasions.
- 17 Paul Solis: So although that could also potentially ... by mixed purpose what we...
- 18 Former Fin. Admin.: Right, you mean political versus.
- 19 Paul Solis: Right, right.
- 20 Former Fin. Admin.: I did not know, until I read that article, that Oliver had his own consulting
21 shop. That was news to me when I read the article. I knew, like many
22 Chiefs of Staff, he did both official and political things for the member, as
23 many do, but I was, when I read that in the article, I did not know about
24 that. So, things were shown to, things were presented to me as I'm going
25 out for official business for the congressman, for the office.
- 26 Jeff Brown: Did you ever discuss, relative to Mr. Schwab's travel, that he may be
27 doing campaign work out in the district as well?
- 28 Former Fin. Admin.: I don't think so, but if I did, I probably said, as I say to my other Chiefs, if
29 you aren't sure if it's political or official, charge it to the campaign.
- 30 Jeff Brown: Did staffers in Representative Schweikert's office, ordinarily rent cars
31 when they went out to the district?
- 32 Former Fin. Admin.: Yes, yeah.
- 33 Jeff Brown: Did you have involvement in Representative Schweikert's office with
34 office supply reimbursements?

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- 1 Former Fin. Admin.: Yes.
- 2 Jeff Brown: Okay, and just generally, what was your role?
- 3 Former Fin. Admin.: Generally, I would get the information from the staffer, I would check to
4 make sure that it was office supplies versus food and beverage or
5 something personal, and there were a couple times when I was like, okay,
6 this is something you can get from downstairs or this is something you can
7 get from like a shelf or cabinets or whatever you get from office
8 furnishings. I would suggest getting it there cause you can get things for
9 free.
- 10 Jeff Brown: Do you know if Representative Schweikert's office had any policies and
11 procedures regarding the purchase of office supplies? Either formal or
12 informal.
- 13 Former Fin. Admin.: I mean I know when I was asked, I would say, if it's under \$500 you don't
14 have to get the TSR approval on things, but it was more here's what's been
15 bought. Have it be reimbursed.
- 16 Paul Solis: What was TSR?
- 17 Former Fin. Admin.: TSR. Technology Services Representative. If you buy a TV, a 60-inch TV
18 4G that's \$1,000 or you're getting laptop computers or things like that.
19 They have to sign off on certain items before they can be purchased. A lot
20 of times you can do a purchase order through CDWG or something like
21 that versus going out to Best Buy.
- 22 Jeff Brown: Was there an individual in Representative Schweikert's office that would
23 have been primarily responsible for purchasing office supplies for the
24 office?
- 25 Former Fin. Admin.: I would say that Oliver took it upon himself to make a lot of non-office
26 supply store purchases.
- 27 Jeff Brown: Did Representative Schweikert have any involvement in office supply
28 purchases?
- 29 Former Fin. Admin.: I don't think so.
- 30 Paul Solis: When you say non-office supply purchases, are you referring to the House
31 office supply store?
- 32 Former Fin. Admin.: The office supply store downstairs. Yes. In Longworth.
- 33 Jeff Brown: Did Representative Schweikert have any involvement in office supply
34 spending?

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- 1 Former Fin. Admin.: He would joke that Oliver was spending a lot, but I don't think he ever
2 said . . . The only thing, maybe the whiteboard paint. I don't know whose
3 idea that was to have the whiteboard paint. That I'm not sure of.
- 4 Jeff Brown: You just mentioned that Representative Schweikert would joke about the
5 amount of money that Oliver was spending on office supplies. Can you
6 elaborate on that?
- 7 Former Fin. Admin.: He would basically say, "Hey, is Oliver spending a lot?" Joking around,
8 but he did not ask specifics.
- 9 Jeff Brown: Would these conversations happen with any degree of frequency?
- 10 Former Fin. Admin.: I didn't see the Congressman that much. I'm trying to think. I probably saw
11 him once or twice a month, so probably had six or seven interactions over
12 the time that I was there but nothing ...
- 13 Jeff Brown: Regarding office supplies, six or seven interactions? I'm trying to figure
14 out how this became a joke.
- 15 Former Fin. Admin.: I think it was a joke before I got there. I know I said, "Hey, do you have
16 Amazon on speed dial?" Pens, buy them in the office supply store. These
17 pens are so much nicer, and they're cheaper. These are the ones that the
18 office really likes. These are conversations that I would more have with
19 Oliver. I would be like, "Oliver, come on, you can get pens down at the
20 office supply store. Why are you getting them from Amazon, or different
21 lamps, or what not?" These ones are nicer, and these ones make it look ... I
22 did on several occasions have email conversations with all my chiefs after
23 the whole Aaron Schock Downton Abbey look. If you can buy a fishbowl
24 and have Goldie that's going to live a week, that's office furnishings but
25 don't go and buy a tank that's a \$1,000. My advice was always if this is on
26 the statement of disbursements and a reporter looks at it, what is it going
27 to look like in the paper and how is it going to reflect on a member? I had
28 a number of email conversations with all my chiefs. Hey, this is okay.
29 That's not okay. If you have questions, let me know because I can check
30 with finance, or I can check with ethics.
- 31 Jeff Brown: Did you have a role in Representative Schweikert's office in education
32 reimbursements?
- 33 Former Fin. Admin.: After the fact.
- 34 Jeff Brown: What do you mean by that?
- 35 Former Fin. Admin.: I wouldn't say education. I would say training because I would talk about
36 ethics training and starting in November saying, "Look, everyone has to
37 do their ethics training before the end of December. Here's where to go.

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1 You can do it in person if you haven't done that." If you were a new hire
2 you have to do it once a year, once a second hour for senior staff. I
3 received an email in late October of 2016 from Oliver saying he wanted to
4 double check. In 2015 I had another office that had done training that was
5 a quarter of the cost. Normally, training for the office would be ... On a
6 staff retreat they would have someone come in, and that would be the
7 training expense. It would be one expense a year, or it would be \$50 to
8 have a course at the Library of Congress. When I received that email it
9 said it may be allowed in the future if it primarily benefits the office rather
10 than the individual. I told him that because I had another office the year
11 prior want to do a training for a staffer that was a couple thousand dollars.
12 I had checked with finance, and they said, "It can't be training that benefits
13 the staffer. It has to benefit the office."

14 Jeff Brown: How much did this one cost, if you could estimate based on your ...?

15 Former Fin. Admin.: I know here it was a lot. After we had this email conversation we had a
16 conversation in person. He said, she said. He says that we discussed this in
17 the summer. I do not recall that conversation because I think it was \$7,000
18 or \$8,000. That big of a number I would have said let's make sure. Let's
19 get the sign off from finance or CHA or ethics first.

20 Jeff Brown: Do you handle training? Are you involved in training reimbursement for
21 other offices?

22 Former Fin. Admin.: Yes, but I will say I've had the one in another office that was a couple
23 thousand dollars that we had all the paperwork back up before. The money
24 was reimbursed to the program, not to the staffer. The program was paid.
25 The staffer was not paid except for reimbursements for the hotel then.
26 Other than that I've had a couple that, like I said, were Library Congress
27 training. Leadership Constitute would come in or Mark Strand and there's
28 another. I'm trying to think of the other guy that would come in to train the
29 whole office on staff retreats.

30 Paul Solis: What about universities? Harvard University? Other universities? In your
31 experience, any reimbursement to staffers for trainings at universities? Is
32 this the only one?

33 Former Fin. Admin.: This-

34 Paul Solis: Is this the only one, in your experience?

35 Former Fin. Admin.: In my experience, yes.

36 Paul Solis: Okay.

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- 1 Jeff Brown: You mentioned something either about traveler accommodation ... Did the
2 reimbursements related to this course at Harvard include travel, food,
3 accommodation, anything like that?
- 4 Former Fin. Admin.: I know I tried to get ... From the end of October till end of December, I
5 think, when it ... December or January of 2017 when it was finally
6 submitted, I tried to get information and had him fill out the information
7 for it. I don't know off the top of my head what all ...
- 8 Jeff Brown: What was your-
- 9 Former Fin. Admin.: Because the other training was, this is the cost of the course and that was
10 paid to the folks that ran the course. And then the way that course was run,
11 it was run over a year. I think it was like seven or eight different times
12 during the year that folks from that state would go and they would stay
13 overnight, and so it would be a reimbursement for the night for that
14 particular staffer and the mileage for that particular staffer. But the course
15 itself-
- 16 Jeff Brown: Were you involved in the ultimate processing of this Harvard Kennedy
17 School reimbursement?
- 18 Former Fin. Admin.: I know I turned information in. I think there were questions by Finance, so
19 I don't know if the ultimate one was when I was there or after I left. I-
- 20 Paul Solis: You don't know ... Did Oliver Schwab receive money from the House of
21 Representatives reimbursed for that expense?
- 22 Former Fin. Admin.: I don't know because by the time I was able to get the information from
23 him it was end of December. Whether or not he actually got reimbursed, I
24 don't know because in the beginning of ... end of December, beginning of
25 January, I was no longer point of contact for the office with Finance so I
26 don't know.
- 27 Paul Solis: Okay. This is October 29th, 2016, 5:10 PM. He's explaining to you how
28 this was based on benefiting the office as opposed to the individual. A
29 minute later at 5:11 PM on the 29th of October 2016, you responded "Yes
30 on all counts." Are you approving the reimbursement there?
- 31 Former Fin. Admin.: I was saying, from what he told me, it looked like it fit, that this would-
- 32 Paul Solis: Then what happened next? Did you turn in paperwork to the Office of
33 Finance?
- 34 Former Fin. Admin.: I turned in paperwork to the Office of Finance but I don't think it was
35 immediately after that because it took some time for him to-

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- 1 Paul Solis: Did the Finance Office ask you any questions about the reimbursement
2 request?
- 3 Former Fin. Admin.: I think they did. I think I probably put this with the-
- 4 Paul Solis: You might have those emails?
- 5 Former Fin. Admin.: I might. I will say I don't know if they were emails or if they were phone
6 conversations. I worked for one office for 20 years and was told that I
7 didn't have to backup the files for several weeks. After he left, because I
8 was a shared staffer, January 3rd about noon, 2017, 20 years of files
9 disappeared. It took about three weeks for them to get everything back. I
10 know, because of the storage limit on my computer, I delete a lot of stuff.
11 However, I'm sure it's somewhere in the Cloud. IT people can get it. I can
12 check and see-
- 13 Paul Solis: Okay. Well, maybe we'll talk about that at the end. When you responded
14 to him, "Yes on all counts," did you talk to Representative Schweikert
15 about this reimbursement request by Oliver?
- 16 Former Fin. Admin.: No, because I looked at what he wrote. That, to me ... I said "It has to
17 benefit the office." He says "Yes, it benefits the office. Here's how," okay.
18 So I don't think I talked with Representative Schweikert about this-
- 19 Paul Solis: Any other-
- 20 Former Fin. Admin.: ... at all.
- 21 Paul Solis: Any other educational or training reimbursement requests by Mr. Schwab
22 during your time in the office?
- 23 Former Fin. Admin.: I don't think so.
- 24 Jeff Brown: How about by other staffers?
- 25 Former Fin. Admin.: I know that there was like a conference up in New York for ... I'm trying
26 to think who it was for. I mean, there were a couple small conferences but-
- 27 Jeff Brown: Did you ever-
- 28 Former Fin. Admin.: ... nothing-
- 29 Jeff Brown: Did you ever remember any reimbursement requests for a Stanford-related
30 educational course?
- 31 Former Fin. Admin.: I do not.
- 32 Jeff Brown: Okay. How about Johns Hopkins?

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- 1 Former Fin. Admin.: Maybe. I don't think it was ... If it was, it wasn't brought to me as a
2 training course, it was brought to me as "I attended a conference," so
3 not ... This isn't educational, this is a training course but this is a
4 STAFFDEL. But part of my job, I will visit the service academies. There
5 are other Congressional staffers there but not-
- 6 Jeff Brown: Why does Johns Hopkins ring a bell?
- 7 Former Fin. Admin.: Well, Johns Hopkins rings a bell because I live in Baltimore, because
8 there's a service academy student who is not nominated who there's a tie-
9 in with the Hopkins-
- 10 Jeff Brown: But you don't remember Johns Hopkins relative to any staffers in
11 Representative Schweikert's office?
- 12 Former Fin. Admin.: Off the top of my head, no. There could have been but-
- 13 Jeff Brown: How about the Naval War College, do you remember anybody seeking
14 any reimbursements for Naval War College courses?
- 15 Former Fin. Admin.: I know that Oliver ... I think he was part of the Merchant Marine, so he
16 mentioned taking courses there but I don't think he asked for
17 reimbursements for those courses. If he did, it wasn't presented that way to
18 me.
- 19 Jeff Brown: Okay. Do you recall if there was any sort of office policy and procedure
20 regarding education or conference reimbursements in Representative
21 Schweikert's office?
- 22 Former Fin. Admin.: I think as long as it was approved by Deputy Chief, Chief of Staff, it was
23 okay.
- 24 Jeff Brown: I'd like to show you a couple documents, and then I'm going to ask you
25 just a few questions about that. And I should say you may or may not have
26 been involved in the processing of some of these documents, but I'm going
27 to ask some more general questions about it. So take your time if you want
28 to look at it, but I just have a couple very specific questions. First
29 document I'm handing you is CAO_0005 through 10. Can you just
30 generally tell me what this document is?
- 31 Former Fin. Admin.: It's a travel card Citibank statement for the month of January 2015, mostly
32 plane flights.
- 33 Jeff Brown: Okay, and the front page?
- 34 Former Fin. Admin.: The front page is the voucher cover sheet.
- 35 Jeff Brown: Okay. Do you recognize that signature?

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- 1 Former Fin. Admin.: It's an authorized signature.
- 2 Jeff Brown: Do you know whose signature that is?
- 3 Former Fin. Admin.: I know it's David Schweikert's signature. I can tell you David
4 Schweikert ... I don't think he actually signed any voucher forms while I
5 was in the office.
- 6 Jeff Brown: Okay. Tell me more about that. Why do you think that he didn't sign any
7 voucher forms?
- 8 Former Fin. Admin.: Because, most members don't. It's an authorized signature. So normally it's
9 someone who's authorized ... chief of staff, deputy chief of staff, some
10 offices have a stamp.
- 11 Paul Solis: Mm-hmm (affirmative)- Do you know if that's a stamp?
- 12 Former Fin. Admin.: I don't believe that's a stamp.
- 13 Paul Solis: That's somebody signing his name?
- 14 Former Fin. Admin.: Yes.
- 15 Paul Solis: In your experience, who signed Representative Schweikert's name?
- 16 Former Fin. Admin.: Normally either Oliver or Beau.
- 17 Paul Solis: Any occasion where there would be somebody besides those two signing
18 his name?
- 19 Former Fin. Admin.: Yes. If they weren't accessible, I would ask if somebody else could sign
20 and say, "You know, here's what it is."
- 21 Paul Solis: So Beau and Oliver had authorization from Representative Schweikert?
- 22 Former Fin. Admin.: As far as I know, yes.
- 23 Jeff Brown: Do you know what the process was by which they received that
24 authorization?
- 25 Former Fin. Admin.: No.
- 26 Jeff Brown: How does that work in other members' offices? Is it similar? Is it
27 different?
- 28 Former Fin. Admin.: Pretty similar. I mean, you know.
- 29 Jeff Brown: I'm going to hand you one of the documents. CAO_0011 through 26.
30 Again just generally, what is this document?

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- 1 Former Fin. Admin.: This is a reimbursement for Oliver Schwab for travel January 2015 to, I
2 think, end of February. As well as the ... yeah. January, February.
- 3 Paul Solis: Just generally, what role would you have in creating this voucher cover
4 sheet? If any?
- 5 Former Fin. Admin.: I would normally do the voucher cover sheet. For example, here he had
6 put meals when it wasn't meals, it was meeting with constituents. So I
7 crossed that off and made the change.
- 8 Paul Solis: But the approval for these expenditures, would that have occurred prior to
9 you creating that cover sheet? Would Beau or Oliver approve the expenses
10 or the reimbursement requests and then give the paperwork to you to
11 process? Is that how it would work?
- 12 Former Fin. Admin.: I would create the cover sheets. And then they would be signed. But I
13 believe that the items to which I would make the cover sheet were
14 approved.
- 15 Jeff Brown: You would prepare the forms as it goes to the staffer who is seeking
16 reimbursement?
- 17 Former Fin. Admin.: I would prepare the top forms.
- 18 Jeff Brown: Okay.
- 19 Former Fin. Admin.: Yeah, most finance folks do this. They would prepare the travel
20 reimbursement form.
- 21 Paul Solis: With the accompanying receipts and documents?
- 22 Former Fin. Admin.: With the accompanying receipts. There were some times ... like this one, I
23 can tell you, Oliver would prepare because sometimes I would prepare for
24 folks if they weren't sure exactly how to do it. They would give me the
25 receipts, I would fill this out, they would sign it. But I can tell you because
26 this doesn't have the code for the office, I did not prepare this.
- 27 Paul Solis: That is CAO_0016?
- 28 Former Fin. Admin.: Yes. Because of the office code, I would have put that on. A lot of the
29 folks wouldn't know what the office code is, so. Or they may not know
30 their staff employee number.
- 31 Jeff Brown: So let me hand you one more document. This begins CAO_0062 through
32 76. And I just want to draw your attention to the fact that the signature line
33 at the bottom says, "Member, chairman, officer, other approver signature."
34 Which is different than "Authorized signature."

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- 1 Former Fin. Admin.: That's because in October 1, 2016, the voucher cover sheet changed. That
2 was something that the CAO made the change for that. And they also
3 changed the expense reimbursement form. If you look at this expense
4 reimbursement form, and that one, they're different. Because that started
5 October 1, 2016.
- 6 Jeff Brown: Did that change in form come with any change in guidance about who
7 needed to sign these documents?
- 8 Former Fin. Admin.: The voucher preparer needed to sign, and then still the member chairman
9 authorized signature. But the voucher preparer, we were certify six that the
10 voucher was prepared. You can see the difference in certifications. This
11 signature certifies six.
- 12 Paul Solis: What is six?
- 13 Former Fin. Admin.: Six is, this voucher was prepared according to the voucher documentation.
14 And that change happened October 1. There were a number of changes
15 to ... it used to be that if you bought something online, you had to have
16 someone else sign to say, "Yes, that is in the office, you didn't buy it for
17 personal use." That was anything that was purchased online had to be
18 signed by a third party.
- 19 Jeff Brown: What sort of procedures were in place in Representative Schweikert's
20 office to ensure that staffers were only reimbursed for legitimate
21 expenses?
- 22 Former Fin. Admin.: I think believing in integrity of the staffers.
- 23 Jeff Brown: I guess I should ask, then walk me through the process from expense
24 happens to reimbursement occurs.
- 25 Former Fin. Admin.: Expense happens, staffer submits the expense report to me with
26 appropriate receipts. If there weren't appropriate receipts they would say,
27 "Here's what ..." if it wasn't an itemized receipt, "Here's why it wasn't an
28 itemized receipt." Prior to October 1, the Office of Finance was a lot more
29 lenient. They now, if you do not have the missing receipt, you have to put
30 in, "Here's what it was. I bought a ham sandwich, a soda, etc." If I would
31 see alcohol, I would cross off the alcohol and change the amount that was
32 being reimbursed. It would go to me. I would do the cover sheet. I would
33 take it for a signature so that there would be somebody else looking at it
34 and approving it.
- 35 Jeff Brown: So there would generally be two individuals, aside from the staffer who
36 was seeking the reimbursement, that would review any reimbursement
37 request?

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- 1 Former Fin. Admin.: Yes. Although sometimes Oliver signed for himself so in that case ...
- 2 Jeff Brown: In that case, it would be Oliver and yourself?
- 3 Former Fin. Admin.: I would look at it and say, "Hey ..." if there was something, I would say,
4 "Okay, show me the pencils." Or "Show me the lamp." Or show me
5 whatever it is, so that I knew that it was in the office.
- 6 Jeff Brown: In your opinion, was there appropriate oversight on the spending practices
7 in Representative Schweikert's office?
- 8 Former Fin. Admin.: Each office is its own fiefdom. How they want to run their office is up to
9 them. I can advise, I can say, "If this shows up, how would the newspaper
10 handle it?" If your office, if the member is okay with that ... But I can't
11 force them to not seek reimbursement for something unless it's something
12 like alcohol or ...
- 13 Jeff Brown: Recognizing all that, did you feel like they had the appropriate controls in
14 place?
- 15 Former Fin. Admin.: I don't know. Each office is different.
- 16 Paul Solis: How was this office different in regards to spending habits than other
17 offices you worked in?
- 18 Former Fin. Admin.: I have some offices that they use their personal cars. They have maybe one
19 or two rental cars a year. This office, there were a lot more rental cars. I
20 have some chiefs of staff that don't travel to the district that much, so
21 there's very little travel or flights apart from the member.
- 22 Paul Solis: Mr. Schwab traveled more to the district than other chiefs you worked
23 with, or less, or the same?
- 24 Former Fin. Admin.: I would say more for someone who is based here in D.C. I had one chief
25 that was based in the district that came here every week that they were in
26 session. It wasn't more than that.
- 27 Paul Solis: What about purchases for office supplies, Mr. Schwab's habits of
28 expenditure, more, less, the same as other chiefs?
- 29 Former Fin. Admin.: I would say more.
- 30 Jeff Brown: Did you ever raise any concerns with respect to the way MRA
31 disbursements were being doled out in Representative Schwab's office?
- 32 Former Fin. Admin.: Yes.
- 33 Jeff Brown: What were those concerns?

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- 1 Former Fin. Admin.: That things that were being purchased on Amazon could be purchased
2 downstairs or could be gotten from office furnishings.
- 3 Jeff Brown: Did you raise those concerns with anyone?
- 4 Former Fin. Admin.: Yes.
- 5 Jeff Brown: Who?
- 6 Former Fin. Admin.: I raised them with Oliver, with Beau as hey, if somebody looks at this, it
7 could be questioned.
- 8 Paul Solis: The concerns revolved around what staffers, the purchases of what
9 staffers?
- 10 Former Fin. Admin.: For the most part, Oliver. I say for the most part. Basically, Oliver.
- 11 Paul Solis: Did you ever talk to Representative Schweikert or raise these concerns
12 with Representative Schweikert?
- 13 Former Fin. Admin.: He asked for that one table, which I did prepare, which does show that the
14 office spent significantly more than other offices on supplies and
15 materials. I gave him the information. We didn't really have a follow up
16 conversation because I also wanted to keep the other office's information
17 private.
- 18 Jeff Brown: We talked about this, but I want to confirm. You were involved in
19 reimbursements for Oliver Schwab with respect to travel, office supplies
20 and education. Correct?
- 21 Former Fin. Admin.: Yes.
- 22 Jeff Brown: That would have been from when to when? Your whole time working in
23 Representative Schweikert's office?
- 24 Former Fin. Admin.: Yes.
- 25 Jeff Brown: Was there any request that Oliver had made that you would not have been
26 involved in? I should say any disbursement request that you wouldn't have
27 reviewed before they went to finance?
- 28 Former Fin. Admin.: Can you give me an example?
- 29 Jeff Brown: Sure. I'm wondering if Oliver Schwab is looking to be reimbursed for
30 travel related expenses or education or office supplies, would those
31 reimbursement requests always flow through you?
- 32 Former Fin. Admin.: I believe so.

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- 1 Jeff Brown: You don't have any reason to believe that he was able to get
2 reimbursements without going through the financial administrator in the
3 office, that being yourself?
- 4 Former Fin. Admin.: I don't think so. Is there a specific example?
- 5 Jeff Brown: You've talked about us raising concerns with Oliver and Beau regarding
6 Oliver's purchase of office supplies. Did you have any concerns with
7 respect to Oliver's spending habits on travel?
- 8 Former Fin. Admin.: I asked him on several occasions how many times are you planning to go
9 out to Arizona, so we can plan appropriately, for how many days and what
10 you're doing. My job, while I work for the office, it's outside the office.
11 Each office's internal workings are those office's.
- 12 Jeff Brown: Was there anything that ever came across your desk travel-related that
13 raised red flags?
- 14 Former Fin. Admin.: Are you asking about the Super Bowl?
- 15 Jeff Brown: We can talk about the Super Bowl?
- 16 Paul Solis: How about apart from the Super Bowl? Any other trips?
- 17 Former Fin. Admin.: He took a number of overseas trips, but I think that they were personal.
18 They were conferences, but he also said that they were official
19 representational duties.
- 20 Paul Solis: Conferences overseas?
- 21 Former Fin. Admin.: I don't know because he wouldn't say.
- 22 Paul Solis: Did you ever receive review paperwork related to travel overseas where he
23 was seeking a reimbursement or used the official travel card?
- 24 Former Fin. Admin.: I don't think so. There may have been a case, but I probably would have
25 asked, what StaffDel - Was it a flight to the airport? Was it taxi to the
26 airport? Something like that. Normally, basically, that's covered by the
27 trip. Then it's only taxis or a meal at the airport that you would get
28 reimbursed for because you would have to have the paperwork for the
29 staff trip. It would be privately funded travel, which means it would have
30 to go through ethics first to get that approved. Anything that was approved
31 should have the paperwork for that.
- 32 Jeff Brown: Aside from these overseas conferences that you just mentioned, was
33 there ...
- 34 Former Fin. Admin.: Is there a specific ...

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1 Paul Solis: No. I just want to be clear. Forget the whole – She mentioned you took
2 overseas trips. She also mentioned conferences. I asked if there were
3 conferences overseas. She responded, "No."

4 Former Fin. Admin.: I don't know. I know he got married during that time.

5 Paul Solis: Can I just ...

6 Former Fin. Admin.: Sorry.

7 Paul Solis: ... recap. The difference is I was asking about trips overseas. She
8 mentioned she thought they were personal. Conferences were domestic to
9 your knowledge.

10 Former Fin. Admin.: He didn't share much with me, so I don't know.

11 Paul Solis: That's fine. There was a distinction between the two, that's all.

12 Jeff Brown: Aside from everything we just discussed and aside from the Super Bowl
13 trip, which we can get to in a second, were there any other domestic trips
14 that Oliver Schwab took that raised any red flags for you?

15 Former Fin. Admin.: I don't know. I probably asked him about different trips. I know he said he
16 went to this conference, I want to say, in Chicago where he then went to
17 the National Archives. He showed me I gave the archivist my father's
18 name or my grandfather's name, and they pulled all of the service records.
19 You should do this.

20 Jeff Brown: You mentioned earlier that you read an article about Oliver Schwab. What
21 article are you referring to?

22 Former Fin. Admin.: The one from the Washington Examiner that you have in your hand.

23 Jeff Brown: This is a Washington Examiner article from November 2, 2017 at 6:01
24 pm. What was your reaction to this article?

25 Former Fin. Admin.: That there were things that I did not know.

26 Jeff Brown: What were the things that you did not know?

27 Former Fin. Admin.: Number one, that he had this consulting firm. He expressed on several
28 occasions that he did things for the campaign and that David owed him
29 thousands of dollars that he had personally put out for different things and
30 that David owed him a lot of money, which was one of the reasons that he
31 was going to be quitting on such and such a day and then that termination
32 paperwork would be ripped up. He wasn't going to quit on that day. It was
33 Oliver is having a hissy fit. He needs to have David tell him how great he
34 is. Then he'll calm down. From what Oliver expressed to me this was

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1 personal reimbursement to him, that he did not say that he had this
2 whatever the consulting firm, whatever the shop name was.

3 Paul Solis: Chartwell.

4 Former Fin. Admin.: Chartwell. I had not heard that.

5 Jeff Brown: Just so I can clarify, Oliver was indicating to you that he was making
6 personal outlays on behalf of Representative Schweikert's campaign, that
7 he was hoping to have reimbursed?

8 Former Fin. Admin.: No, that it was for Representative Schweikert personally, like he had all of
9 these mileage points, so when the Congressman and his wife wanted to go
10 overseas, Oliver used his points so that the Congressman didn't have to
11 pay. I stay out of the political side. I worked on Joe Pitts' campaign before
12 he came into office. I basically stayed out of the political side since.

13 Jeff Brown: Were you saying that Representative Schweikert was using Oliver
14 Schwab's travel points?

15 Former Fin. Admin.: That's what was indicated to me by Oliver. I don't know if that's true or
16 not. He said, "He owes me so much. He owes me thousands of dollars that
17 I have personally put out." He did not say that he had put out as a
18 consultant.

19 Jeff Brown: Have you ever spoken to anyone at the Washington Examiner?

20 Former Fin. Admin.: I have not.

21 Jeff Brown: Have you ever spoken to Philip Wegman?

22 Former Fin. Admin.: I have not.

23 Jeff Brown: Did you and Oliver ever discuss, regarding what I think we can refer to as
24 this trip to Arizona during Super Bowl weekend 2015, did you ever
25 discuss whether he would be handling any campaign related activity while
26 he was out in the district?

27 Former Fin. Admin.: Let me pull up. He sent an email to Beau and to me saying, "Just so you
28 guys don't have a heart attack I wanted to give you a heads up about my
29 trip next week. It will end up being about \$4,000 for the hotel and about
30 \$1,000 for rental car being Phoenix Open and Super Bowl weekend. I
31 apologize for this. It's priority for David that I'm on the ground to help as
32 host events with the House majority leader, other visiting members. I
33 got ..." Now it says, "two personel," but if you look at it I think he meant
34 to say personnel issues. "We need to get out of the way." I took that as
35 official.

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1 Paul Solis: This is an email of January 24, 2015 at 5:40 from Oliver to you and Beau?
2 Subject line: travel budget for the balance of the month?

3 Former Fin. Admin.: Right.

4 Paul Solis: Personel is spelled P-E-R-S-O-N-E-L, and you believe that's personnel?

5 Former Fin. Admin.: Yes, because we need to get out of the way. I would take that we as the
6 office versus ...

7 Paul Solis: What is he referring to there?

8 Former Fin. Admin.: I would say staff issues in Arizona. That's how I took it.

9 Paul Solis: Do you have knowledge that there were personnel issues in Arizona that
10 he had to deal with?

11 Former Fin. Admin.: I know there were as to the exact dates because there were a number of
12 different times when he talked about letting people go or bringing people
13 on.

14 Paul Solis: He says, "I'm on the ground to help as we host events with the House
15 Majority Leader. When he says, "events," what does that mean?

16 Former Fin. Admin.: I thought that they were for the office, that they were official events.

17 Paul Solis: Why do you think that?

18 Former Fin. Admin.: I've had other offices that they have events with other members for
19 official ...

20 Paul Solis: After the fact, did a campaign event take place with the House Majority
21 Leader?

22 Former Fin. Admin.: I did not know that until reading this article. I did not know that his wife,
23 or brother, or brother-in-law was out there as well. It was presented to me
24 as I'm going out for official business for the office.

25 Jeff Brown: Did you ever learn, outside of the article, that he attended - Oliver and/or
26 Representative Schweikert attended - the Super Bowl?

27 Former Fin. Admin.: I did not know that he had attended the Super Bowl. No, I did not know he
28 attended the Super Bowl.

29 Jeff Brown: Did you gain any knowledge, outside of this article, about whether or not
30 Representative Schweikert or Oliver Schwab attended the Phoenix Open?

31 Former Fin. Admin.: I don't believe so, apart from this article.

32 Jeff Brown: Let me walk you through one of these expense reports, which you should
33 have in front of you. If you can grab the document that starts with

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- 1 CAO_0011 and if you can flip to page 0023. For the record, this looks like
2 it's a receipt of some kind from the Hilton Garden Inn in Scottsdale
3 North/Perimeter Center. Do you know if this is the hotel that Oliver
4 Schwab stayed in while out in Arizona during Super Bowl weekend 2015?
- 5 Former Fin. Admin.: It was presented to me that he was staying in this hotel during these dates.
- 6 Jeff Brown: Do you know if that is the hotel that he ordinarily stays in when he goes
7 out to the district?
- 8 Former Fin. Admin.: I know there's a couple different hotels. I think it's one of them. I can't be
9 100% sure because I haven't looked at these for a year plus.
- 10 Jeff Brown: If you can flip to page 0022. This appears to be a receipt for Richard
11 Oliver Schwab at the Hyatt Regency Scottsdale Resort & Spa at Gainey
12 Ranch. It appears to be a \$185 receipt. You mentioned earlier on page ...
- 13 Former Fin. Admin.: Meeting with constituents for food and beverage versus meals.
- 14 Jeff Brown: Correct, on page 16 that you had to change that. Do you recall a
15 conversation about that receipt or this line item on page 0016?
- 16 Former Fin. Admin.: No. I probably would have looked at it and said I'm guessing that this
17 because it's four as opposed to one and because of the amount that it
18 would be for probably Richard, the member, and staff members who were
19 there, staff members.
- 20 Jeff Brown: Did you have a conversation about who attended that lunch?
- 21 Former Fin. Admin.: I do not believe so. I take it was not.
- 22 Jeff Brown: That's my question to you.
- 23 Former Fin. Admin.: I did not.
- 24 Jeff Brown: I'm going to hand you one other document that begins 0027 and ends
25 CAO_0027 and ends as 0061. If you can just flip to page 58. For the
26 record I'll note, this is an e-mail that begins, it's from Oliver Schwab to
27 you dated February 5, 2015 3:58 p.m. Mr. Schwab talks about attaching an
28 itemized receipt for the Hilton bill. I would like to draw your attention to
29 sort of the third paragraph down. He says, "I'm pre-booking the same hotel
30 for the Phoenix open week next year so that we get a normal rate and not
31 the rate once they're in room block central." Reading that, does that jog
32 your memory about whether or not this was the hotel that Mr. Schwab
33 would ordinarily stay in when he went out to the district?
- 34 Former Fin. Admin.: The Hilton Garden Inn or?
- 35 Jeff Brown: The Hilton that he's referring to in this e-mail.

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- 1 Former Fin. Admin.: Looking at this?
- 2 Jeff Brown: Mm-hmm (affirmative).
- 3 Former Fin. Admin.: I presume it was The Hilton Garden Inn.
- 4 Jeff Brown: I'll direct your attention to a couple of pages preceding this, 0053-56,
5 which appear to be the receipt that he's referencing.
- 6 Former Fin. Admin.: I mean, it looks like it's the same hotel.
- 7 Jeff Brown: My question is, do you know if that's the hotel that he would ordinarily
8 stay in when he went out to the district office?
- 9 Former Fin. Admin.: There was that. I want to say there's a Ritz Carlton out there, but the Ritz
10 Carlton wasn't that expensive. I mean, ordinarily the cost was like \$100-
11 \$150.
- 12 Jeff Brown: I just want to ask about the location. Did he ordinarily stay in one hotel
13 when he was out in the district or did he tend to stay in a variety of
14 different hotels?
- 15 Former Fin. Admin.: I would say one or two.
- 16 Jeff Brown: You don't know if this is one of them that he ordinarily stayed in.
- 17 Former Fin. Admin.: I think it was, to be perfectly honest. I would look at Hilton. I wouldn't
18 look at the street address. What I would be looking for would be, for
19 example, valet laundry and making sure that came off or if there was
20 movie rental or something like that that would not necessarily be
21 reimbursable.
- 22 Jeff Brown: I should back up here and just verify for the record. You were involved in
23 reviewing Mr. Schwab's receipts and reimbursements for this trip out to
24 Arizona during Super Bowl weekend 2015, right?
- 25 Former Fin. Admin.: Yes.
- 26 Jeff Brown: Okay. Are you aware of whether or not, do you have any reason to believe
27 that he tried to expense anything related to his wife's travel to the district?
- 28 Former Fin. Admin.: I did not know his wife had gone to the district until I read this article.
- 29 Jeff Brown: Okay. Did you have any reason to believe that Mr. Schwab was trying to
30 expense anything related to his mother and brother being out in the
31 district?
- 32 Former Fin. Admin.: No I did not.
- 33 Jeff Brown: Okay.

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- 1 Former Fin. Admin.: From what I understood, this was an official trip that happened to be
2 taking place, that he had to go out there for office personnel issues, for
3 office business. It happened to be during the Superbowl. The Superbowl
4 was being held in Arizona. That he was going out there for official
5 business. That's what was presented to me.
- 6 Paul Solis: What are you basing that understanding off of? Just the e-mail you just
7 showed us?
- 8 Former Fin. Admin.: The e-mail and him talking and saying, "I'm going to be going out there. I
9 know it's more expensive than usual." But he did not say to me other
10 people were going out to this. There was no mention to me that other
11 folks.
- 12 Paul Solis: Did he mention that any campaign events would be happening out there?
- 13 Former Fin. Admin.: No.
- 14 Jeff Brown: Having read the article and having reviewed his reimbursement requests,
15 is there anything in hindsight that was a concern for you?
- 16 Former Fin. Admin.: As I said, I did not know that he had this Chartwell. I do know that when I
17 sent the reminder in November 2015, "Hey everyone, you need to take
18 your ethics training" that his e-mail reply was "I've never taking ethics
19 training." I was like, "What do you mean? How long have you?" This is
20 my little reminder to everyone that says, "Happy Thanksgiving." Take
21 your annual ethics. I may have actually done that. I said, "Are you talking
22 about your first-time training ever or just not last year?"
- 23 Jeff Brown: Okay.
- 24 Former Fin. Admin.: I then said, "How long have you been with the House?"
- 25 Paul Solis: Okay.
- 26 Former Fin. Admin.: "You may have to do remedial training. Talk with ethics."
- 27 Paul Solis: Okay, so, we've got the article discusses sort of four categories of things.
28 We've got office supplies, educational expenses, the Super Bowl weekend
29 we talked about, and campaigning coming from representative
30 Schweikert's campaign committee and his PAC, his leadership PAC. You
31 said to us you don't know anything about Chartwell or income he received,
32 Oliver received, from the campaign committees, correct?
- 33 Former Fin. Admin.: That is correct.
- 34 Paul Solis: So the information in the article is news to you?
- 35 Former Fin. Admin.: That is news to me.

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- 1 Paul Solis: Super Bowl weekend, Jeff kind of ran through that weekend with you.
2 You did know a little bit about that? About the messaging Oliver had
3 provided to you about the reasons for going out there, right? The
4 educational expenses, we talked about. You provided an e-mail
5 concerning one of those requests for reimbursement which is the Harvard
6 Kennedy School reimbursement, right?
- 7 Former Fin. Admin.: Right.
- 8 Paul Solis: We asked you about anything else, about possibly Johns Hopkins, about
9 Naval War College, Stanford.
- 10 Former Fin. Admin.: He may have, whether it was presented to me as educational versus a staff
11 conference.
- 12 Paul Solis: Right.
- 13 Former Fin. Admin.: Because that would be handled differently.
- 14 Paul Solis: Okay. The last category is office supplies. You do have some experience
15 in that about reviewing some reimbursement requests for office supplies.
16 You mentioned you did raise concerns -
- 17 Former Fin. Admin.: Yes.
- 18 Paul Solis: With Oliver himself -
- 19 Former Fin. Admin.: Yes.
- 20 Paul Solis: About the expenditures.
- 21 Former Fin. Admin.: I raised them with Oliver and Beau and it was like, "Well, you know,
22 Oliver's chief of staff." So, what he says.
- 23 Paul Solis: I just want to get clarification on the concern you raised because in my
24 mind there's a certain nature of the expenditure. You briefly touched on
25 this. One is that the expenditures or expenditure could be replaced by, for
26 example, furniture purchase or another type of supply purchase that the
27 House has to give to the offices as opposed to even making a purchase.
28 You would raise that concern, as well?
- 29 Former Fin. Admin.: Yes, and I did. There was a heater that was. I don't know if it was a couple
30 hundred dollars or a thousand dollars, and I took it to finance because it
31 was a heater, apparently an outdoor heater for the district office. I asked
32 about it with finance. I said, "Is this reimbursable?" They said yes. For the
33 district office, it is. I can bring things up, I can say you can buy these but
34 ultimately if the chief of staff determines that it is appropriate I will ...

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- 1 Paul Solis: Okay, so items that the, I mean I asked that question items the house has
2 like furniture. I don't want to talk about things the house doesn't have
3 because that's a separate issue. Items the house has like ...
- 4 Former Fin. Admin.: Pens.
- 5 Paul Solis: Well, I want to ask my question first. Pens are something that you'd
6 purchase from the house. They're not just items that the house gives you
7 like for example chairs, like modular furniture. I want to ask about items
8 like that, furniture items, desks, chairs, the house has those items to give to
9 offices. Would Mr. Schwab make purchases on those types of items that
10 the house would have that in your opinion may not need to be purchased?
- 11 Former Fin. Admin.: To the modular furniture, the office does not pay for modular furniture
12 when it's set up in that office for the particular staffers that are there but
13 they then would have to pay for modular furniture through the house if
14 they changed the configuration after. That is what I believe the modular
15 furniture was for. Things that you would also purchase through the house
16 but you would, it would go to MRA would be like standing desks, which
17 would sort of fall under that modular furniture. I have other offices, the
18 ergonomic chairs.
- 19 Paul Solis: I'm just asking ...
- 20 Former Fin. Admin.: I'm sorry.
- 21 Paul Solis: I'm just asking did you ever raise a concern with Oliver? Hey, Oliver the
22 house has this you don't need to buy it. Did you ever raise that type of
23 concern?
- 24 Former Fin. Admin.: Yes.
- 25 Paul Solis: Okay, moving on from that what about just the general nature of amount
26 of expenditures so this looks like an awful, for example, this looks like an
27 awful lot to spend on pens. This looks like an awful lot to spend on folders
28 or binders or anything else. Would you raise those types of concerns?
- 29 Former Fin. Admin.: Yes, I did. Yes.
- 30 Paul Solis: Then as you discussed you would also raise the type of concern about
31 particular items for example a heater, where it was a larger dollar item and
32 it just sort of triggered to you do we need this. You would also raise those
33 types of concerns.
- 34 Former Fin. Admin.: Yes.
- 35 Paul Solis: Those three sort of categories of expenditures for office type equipment
36 you raised concerns in all three of those categories?

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- 1 Former Fin. Admin.: Yes, I did.
- 2 Paul Solis: Okay, I realize my question might've been a little confusing. I just wanted
3 to sort of make sure I understand all those different things.
- 4 Former Fin. Admin.: Yes.
- 5 Jeff Brown: I have a couple quick questions before we wrap up. What can you tell me
6 about Oliver Schwab's wife being hired as a temporary employee in
7 Representative Schweikert's office in 2014?
- 8 Former Fin. Admin.: Not much, I knew her because we were both schedulers for Pennsylvania
9 delegation. Basically, what I know is when she got engaged to Oliver the
10 office decided that she should not be the temporary for us in doing the
11 office finances. She suggested me as someone that she knew as another
12 scheduler who was a shared staffer. At the time I did scheduling along
13 with financial administration for one office. I pretty much knew maybe for
14 a couple of days that she had done it and got her thumb drive of the
15 information.
- 16 Jeff Brown: She did scheduling and finance for Representative Schweikert?
- 17 Former Fin. Admin.: No, she handled scheduling for a Pennsylvania member, which I at the
18 time handled scheduling for a Pennsylvania member. I had worked with
19 her for pro life Pennsylvania. There's a lot of folks that come down for pro
20 life so we do provide refreshments and benefits as a state. That's how I
21 knew her. I don't think she did the scheduling for Schweikert.
- 22 Jeff Brown: Do you know what she did in Representative Schweikert's office?
- 23 Former Fin. Admin.: As far as I knew, she only handled finances, that's all that I know.
- 24 Jeff Brown: Did you have any concerns about her working in Representative
25 Schweikert's office?
- 26 Former Fin. Admin.: No, because I believe as soon as they got engaged that's when they hired
27 me or shortly thereafter. I did not know that she worked for Representative
28 Schweikert until she sent me an email saying hey, I just got engaged. I've
29 been working for this office, are you interested. Are you looking for
30 another office?
- 31 Paul Solis: Was there any overlap between the time you started with Representative
32 Schweikert's office and her time there? For example, you walk in the
33 office and she's there.
- 34 Former Fin. Admin.: I don't believe so. If so, maybe a day or so. I think I came in maybe on the
35 14th or 15th and she probably left that same time. I don't remember if I put

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1 in the appointment and termination paperwork or she put that information
2 in.

3 Jeff Brown: This article references - this article I'm referring to the Philip Wegmann
4 article in the Examiner- references former staffers. Do you know who the
5 former staffers are that are referenced in this article?

6 Former Fin. Admin.: I do not.

7 Jeff Brown: Do you have an educated guess about who they might be?

8 Former Fin. Admin.: Maybe but I don't know who is still with the office and who's not with the
9 office at this time.

10 Jeff Brown: Recognizing that you do not know who these sources are, do you have any
11 thoughts about who may or may not have talked to the Examiner about
12 these sorts of issues?

13 Former Fin. Admin.: No one has presented themselves to me to say hey, did you know anything
14 about this. There's no staffer, since I left the only communication I've had
15 is if there's been a bill that's come in that may have come to me because
16 it's a newspaper bill that comes in once a month that I've been, sent to the
17 office. I haven't contacted ...

18 Jeff Brown: Has anybody from Representative Schweikert's office contacted you about
19 this article?

20 Former Fin. Admin.: No.

21 Jeff Brown: Have you spoken to anybody about the article?

22 Former Fin. Admin.: I've spoken to a couple of my chiefs simply to say hey, this is a former
23 chief. You might want to use this as guidance ...

24 Jeff Brown: Why did you say former chief?

25 Former Fin. Admin.: He was my former chief. I've talked with some of my current chiefs to say
26 hey, this information is here. I maybe asked questions about this because I
27 was here. I read it.

28 Jeff Brown: Have you talked to anybody else about the fact that you're meeting the
29 OCE?

30 Former Fin. Admin.: My husband was in the room when you called.

31 Jeff Brown: You made a statement that you ...

32 Former Fin. Admin.: I did contact a friend of mine who's a lawyer and just said, I didn't say
33 what it was. I didn't say who I was meeting with but just that I was being

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- 1 called as a third party witness. Do you have any suggestions cause I've
2 never had to do this before.
- 3 Jeff Brown: Understood. I noticed and as we've discussed, you brought a file of a
4 couple of documents, some of which we discussed here today. Are there
5 any other issues that you feel like we should discuss in light of what we
6 discussed or are there any other documents that you wanted to walk
7 through with us?
- 8 Former Fin. Admin.: You have mentioned that you haven't expressed who is being investigated.
9 I can make an educated guess. I don't know. I think of good in people. I
10 know on more than one occasion I said as long as you guys are okay with
11 this being in the paper cause this could, you know as ultimately it
12 represents the congressman, which is what I, if you ask my other chiefs if
13 they will and I'll tell them the same things. If they have questions I'll say
14 talk to ethics. If there's something that you aren't sure of, if there's a news
15 story on it ...
- 16 Paul Solis: Did you or Oliver ever reach out to the committee on ethics based on any
17 of the things we talked about today, any of the expenditures? I should it
18 put it this way, did you ever reach out to the committee for guidance based
19 on the expenditures you saw from Mr. Schwab?
- 20 Former Fin. Admin.: I know I reached out for paint in the office. I know I reached out in
21 reference to ethics training and financial disclosure and told him to reach
22 out to ethics about that because ultimately it's on him. I know I reached
23 out either to finance or to ethics about Harvard and honestly I don't
24 remember if it was to finance because I had reached out prior on another
25 one or if it was to ethics that I reached out.
- 26 Paul Solis: Was this over the phone or over email?
- 27 Former Fin. Admin.: Could be both. I know I have advised with ethics to make sure you get
28 things in writing from ethics replies that they reply in writing so that you
29 can ...
- 30 Paul Solis: You should check your emails to see if regarding the Harvard expenditure
31 from Mr. Schwab the reimbursement request to see if you emailed the
32 committee on ethics or they emailed you back with some sort of response.
- 33 Former Fin. Admin.: Okay.
- 34 Paul Solis: Jeff will be following up with you on that. Going back to this folder you
35 have of documents, some of which you shared with us, how did you go
36 about deciding what would go in that folder?
- 37 Former Fin. Admin.: I read the article and said, you said that it was about office finances so ...

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- 1 Paul Solis: You went through your ...
- 2 Former Fin. Admin.: I looked at ...
- 3 Paul Solis: You went to your official emails and pulled what you thought to be
4 relevant documents related to your communications with Mr. Schwab or
5 Representative Schweikert, put in that folder and brought them here
6 today?
- 7 Former Fin. Admin.: Yes, I did.
- 8 Paul Solis: You have backed up actual emails in your Outlook of all these emails?
- 9 Former Fin. Admin.: Like I said ...
- 10 Paul Solis: How did you get these emails? Did you print them from ...
- 11 Former Fin. Admin.: Yes, I printed them.
- 12 Paul Solis: So they exist right now sort of in your archives?
- 13 Former Fin. Admin.: Yes.
- 14 Paul Solis: Okay, I mean ...
- 15 Former Fin. Admin.: I'm sure you guys can get them. You're a lot more IT savvy than I am.
- 16 Paul Solis: We just like to request them now. You've got them there. We'd like to
17 request them now and take that with us if that's all right with you. They'll
18 remain during the investigation they'll remain confidential. We'll do our
19 best to go through them, review them.
- 20 Former Fin. Admin.: Ethics, travel budget, leadership.
- 21 Paul Solis: I mean have you pulled them and felt that they were relevant to our
22 questioning, we'd like them all.
- 23 Former Fin. Admin.: Not all of these are for ...
- 24 Paul Solis: Are those unrelated to our review today?
- 25 Former Fin. Admin.: Well that's the article. This is about they requested my records so I gave
26 them all the records.
- 27 Paul Solis: You mean when you were terminated?
- 28 Former Fin. Admin.: Yeah. I don't know if you want those because they picked up the wrong
29 records originally. This was I stopped by to pick up vouchers.
- 30 Paul Solis: Yeah, probably nothing related to ...

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- 1 Former Fin. Admin.: This was well, Oliver wanted to do some sort of wall mount system and I
2 said, well he said do you think, I said I don't believe they offer, they do do
3 wall mounts.
- 4 Paul Solis: Related to expenditures.
- 5 Former Fin. Admin.: This was how I knew to ask if it was personal or going for the office
6 because this was for a different office, had asked about a registration fee
7 and so I went to finance and said this would be reimbursable, yes. The
8 person was out and I said I did do a follow up call, I spoke with Keith. He
9 said it was okay as long as there's no credit, personal gain for the staffer
10 and we were okay with it being in the statement of disbursement, correct.
11 That wasn't for this office.
- 12 Paul Solis: Okay but it ...
- 13 Former Fin. Admin.: This was from 2015 and so then when ...
- 14 Jeff Brown: This, in your decision making process, I think that would be helpful, yeah.
- 15 Former Fin. Admin.: I don't want to get the other office in ...
- 16 Jeff Brown: No, I understand.
- 17 Former Fin. Admin.: That's why when he presented it to me that's why I said okay.
- 18 Jeff Brown: Okay, got you.
- 19 Former Fin. Admin.: There may be other emails if you guys want to send IT people.
- 20 Paul Solis: Okay, well we appreciate this and I think this and also, you know the
21 information that you, some of the information you provided us with is
22 based off you, so it's good to have them to be able to make sure it
23 corroborates the things you said to us and so we appreciate that. That's
24 definitely helps us going forward.
- 25 Former Fin. Admin.: That's why, I know he took a lot of trips but from what was presented to
26 me, it was presented to me as official for the office.
- 27 Jeff Brown: Do you have anything else?
- 28 Paul Solis: I don't have anything else.
- 29 Jeff Brown: Okay, well thank you "Former Financial Administrator", with that we'll
30 end the recording and thank you for your time.
- 31

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ERRATA SHEET

Page	Line	Correction	Reason
4	5	'He' went to case	Oliver did, not I
6	29	Pitts' Pitts'	spelled wrong
	31	"for" my job, not from	grammar spelled wrong
7	20-23	Oliver stated, "Beau's like a brother to me. Anyone else can leave, but Beau, Ernestina, you and I, we'll stay." So I was a little	Spelling, grammar, Flow of sentence(s)
8	16	remove "I"	I'm not the Co S
10	33	look look, If	grammar
21	23	Pitts'	grammar/spelled wrong
22	6	change 'too' to 'two'	incorrect 'to'
24	21	change 'like' to 'look'	
		change add "around the" grammar	
	22	add comma after 'valet,'	
28	13/14	change, 'for Pro-life PA' to 'on the March for Life constituent outg'	clarification
29	9	change 'month' to 'year'	correction/clarification
	19	chang 'maybe' to 'may be'	grammar

31 15 'long' should be changed to 'wrong' — correction of transcript

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name:

Witness Signature:

Date:

2/6/18

EXHIBIT 10

**POSTMARK
ILLEGIBLE**

U.S. OFFICIAL RECORDS DIVISION

2010 MAY 24 AM 11:43

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U.S. OFFICIAL RECORDS DIVISION
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT		FORM B For use by candidates and new employees	
Period covered: January 1, 2009 - April 30, 2010		Daytime Telephone: 480 659 9383	
Name: <i>David Schweikert</i>		Date of Election: <i>11/2/10</i>	
Filer Status	<input checked="" type="checkbox"/> Candidate for the House of Representatives	State: <i>AZ</i> District: <i>5</i>	Check if Amendment <input type="checkbox"/>
	<input type="checkbox"/> New officer or employee	Employing Office:	

A \$200 penalty shall be assessed against anybody who files more than 30 days late.

In all sections, please type or print clearly in black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS —Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child? (See instructions, page 8.)	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

Name David Schweikert Page of

List the source, type and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source (include date of receipt for honoraria)	Type	Amount	
		Current Year to Filing	Preceding Year
Examples: XYZ Corporation, Houston, Texas First Bank & Trust, Houston, Texas XYZ Trade Association, Chicago, IL. (Rec'd December 2) Harris County, Texas Public Schools	Salary Director's Fee Honorarium Spouse Salary	\$6,300 \$400 0 NA	\$28,450 \$3,200 \$1,000 NA
Sheridan Equities LLC	Business	25,000	170,000
Sheridan Equities Holdings LLC	Business	258,000	-
Scottsdale Eye Surgery	Spouse Salary	99,000	95,000

This page may be copied if more space is required.

SCHEDULE II -- ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name **DAVID SCHWEIKERT**

Page **2** of **4**

SP, JT, DC	BLOCK A		BLOCK B												BLOCK C			BLOCK D																																				
	Asset and/or Income Source		Value of Asset												Type of Income			Amount of Income																																				
			A	B	C	D	E	F	G	H	I	J	K	L	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI									
		American Century Equity Income Fund	X																X		Retirement Account	N/A																																
		Arizona State Retirement System, 7010 E Camelback Rd Suite 200 Phoenix, AZ 85016					X												X		Retirement Account	N/A																																
	SP	Putnam Hartford Voyager			X														X		Retirement Account	N/A																																
	SP	Putnam Growth + Income			X														X		Retirement Account	N/A																																
		Schwab + Co. Money Market			X														X		Retirement Account	N/A																																
		Schwab + Co. Retail			X														X		Retirement Account	N/A																																
		Schwab-Care Equity			X														X		Retirement Account	N/A																																
		Minnesota Life			X														X		Retirement Account	N/A																																
	SP	WTR Target Growth			X														X		Retirement Account	N/A																																
	SP	Advantus Bond			X														X		Retirement Account	N/A																																

This name may be omitted if more assets are reported.

SCHEDULE III -- LIABILITIES

Name David Schweikert

Page 1 of 1

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability															
			B	C	D	E	F	G	H	I	J	K						
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main Street, Dover, Del.				X												
	Sallie Mae	STUDENT LOAN / MBA LOAN			X													
	Chase	NOTE on 11011 N 92 ND ST Scottsdale AZ				X												

SCHEDULE IV -- POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities; and positions solely of an honorary nature.

Position	Name of Organization
Broker	Sheridan Equities LLC
Managing Member	Sheridan Equities Holdings LLC
Administrator	Scottsdale Eye Surgery - Joyce Schweikert

Use additional sheets if more space is required.

SCHEDULE V – AGREEMENTS

Name David Schweikert Page 1 of 1

Identify the date, parties to, and general terms of any agreement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.		
Date	Parties To	Terms of Agreement

SCHEDULE VI – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
<u>Sheridan Equities Holdings LLC</u>	<u>MAS FUNDS, AZ LP</u>
<u>acts or is the general partner</u>	<u>MAS FUNDS, AZ LLC</u>
<u>or service provider to a number</u>	<u>AFT Mortgage2, LTD</u>
<u>of real estate partnerships</u>	<u>AFT Mortgage3, LTD</u>
	<u>STOF Mortgage, LTD</u>
	<u>STOF Mortgage2</u>
	<u>Swartz + Brough, Inc</u>

MC
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Form A
For use by Members, officers, and employees

Name: David Schweikert
Daytime Telephone: 202-225-2190

Member of the U.S. House of Representatives: Officer or Employee:
 State: AZ District: 5
 Report Type: Annual (May 16, 2011) Amendment
 Termination Date: _____ Termination:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	\$6,000
	State of Maryland	Legislative Pension	\$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	\$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Sheridan Equities LLC	Business	44,000
	Sheridan Equities Holdings LLC	Business	330,000
	Scottsdale Eye Surgery	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Name **Schweikert, David**

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset											BLOCK C Type of Income				BLOCK D Amount of Income											BLOCK E Transaction									
SP, DC, JT	SP, DC, JT	A	B	C	D	E	F	G	H	I	J	K	L	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Royalties	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.				
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000									None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	P, S, E				
	SP Mega Corp. Stock					X											X			Royalties															S (partial)		
	Simon & Schuster																																				
	1st Bank of Paducah, KY Accounts																																				
	Sheridan Equities LLC						X													Business																	
	Sheridan Equities Holdings									X										Business																	
	Deferred comp (457)																																				
	Brown Capital mgmt				X															Retirement																	
	American Century Equity				X															Retirement																	

For additional assets and unearned income, use next page.

SCHEDULE V— LIABILITIES

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability												
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000			
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X									
SP	Chase	2005	NOTE - 11011 N 92 nd				X									
	Sallie Mae	2003	STUDENT LOAN			X										
	Metro Phoenix Banks	2010	NOTE - Sheridan Equities			X										

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345
	N/A	

SCHEDULE VIII—POSITIONS

Name *Schweikert David*

Page *6* of *9*

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
<i>Broker (Managing Member)</i>	<i>Sheridan Equities LLC -</i>
<i>Managing Member</i>	<i>Sheridan Equities Holdings LLC</i>
<i>Administrator</i>	<i>Scottsdale Eye Surgery - spouse -</i>

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
<i>11/2010</i>	<i>Sheridan Equities Holdings LLC</i>	<i>Sheridan Equities Holdings, LLC has An</i>
	<i>Swartz + Brough Inc.</i>	<i>Agreement with Swartz + Brough for A</i>
		<i>portion of Residual Equity on the Partnerships</i>
		<i>Formerly managed/participated in by Sheridan Equities Holdings</i>
		<i>Now controlled by Swartz + Brough, Inc upon the liquidation</i>
		<i>of Real Estate Holdings.</i>

Use additional sheets if more space is required.

SCHEDULE IV— TRANSACTIONS

Name **Schweikert, David**

Page **7** of **9**

SP, DC, JT SP	Asset	Type of Transaction	Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction											
					A	B	C	D	E	F	G	H	I	J		
	Example: Mega Corporation Common Stock (partial sale)	SALE		10-12-10	\$1,001-\$15,001	\$15,001-\$50,001	\$50,001-\$100,001	\$100,001-\$250,001	\$250,001-\$500,001	\$500,001-\$1,000,001	\$1,000,001-\$5,000,001	\$5,000,001-\$25,000,001	\$25,000,001-\$50,000,001	\$50,000,001-\$250,000,001	\$250,000,001-\$500,000,001	Over \$500,000,000
	N/A															

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.
Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—City of Return	Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC		N	N	N	None
Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland		Y	Y	Y	2 Days
N/A							

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC XYZ Magazine	Speech	Feb. 2, 2010	\$2,000
N/A		Aug. 13, 2010	\$500

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2011 JUN 24 PM 4:07

Name: *David Schweikert* Daytime Telephone: *202 225 2190*

Form A
For use by Members, officers, and employees

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

Member of the U.S. House of Representatives	State: <u>AZ</u>	Employing Office:
Annual (May 16, 2011)	District: <u>1</u>	Officer or Employee
<input checked="" type="checkbox"/> Amendment	Termination Date:	Termination

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source	Type	Amount
Examples: Keene State State of Maryland Civil War Roundtable (Oct. 2nd) Ontario County Board of Education	Approved Teaching Fee Legislative Pension Spouse Speech Spouse Salary	\$6,000 \$9,000 \$1,000 NA
Sheridan Equities LLC	Business	44,000
Sheridan Equities Holdings LLC	Business	330,000
Scottsdale Eye Surgery	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE V— LIABILITIES

Name Schweikert, David Page 5 of 9

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability												
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000			
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X									
SP	Chase	2004	NOTE - 11011 N 92 RD				X									
	Sallie Mae	2003	STUDENT LOAN			X										
	Metro Phoenix Bank	2010	NOTE - Sheridan Equities			X										

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345
	N/A	

Use additional sheets if more space is required.

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Broker (Managing Member)	Sheridan Equities LLC
Managing Member	Sheridan Equities Holdings LLC
Administrator	Scottsdale Eye Surgery - spouse

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holdings, LLC has An
	Swartz + Brough Inc.	Agreement with SWARTZ + BROUGH FOR A
		portion of Residual Equity on the Partnerships
		Formally Managed/Participated in by Sheridan Equities Holdings
		Now controlled by Swartz + Brough, Inc upon the Liquidation
		of Real Estate Holdings.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination— City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Chicago Chamber of Commerce	Mar 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6-11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
N/A						

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HAND Page 1 of 7
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LEGISLATIVE RESOURCE CENTER

2012 MAY 15 PM 5:00

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

Form A
For use by Members, officers, and employees

Name: *David Schweikert* Daytime Telephone: *202 225 2190*

Member of the U.S. House of Representatives State: *AZ* District: *5* - *6* Officer or Employee

Filer Status Annual (May 15, 2012) Amendment

Employing Office: Termination Termination Date: _____

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.
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Source	Type	Amount
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SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability												
				A	B	C	D	E	F	G	H	I	J			
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X									
SP	Chase	2004	NOTE - 11011 N 92 ND				X									
	Sallie Mae	2007	STUDENT LOAN			X										
	Metro Phoenix Prods	2010	NOTE - Sheridan Equities			X										
	ING Direct	2007	DEB OF INST PERSONAL BANK						X							

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totaling more than \$335 received by you, your spouse, or dependent child from any source during the year. Exclude: Gifts from relatives; gifts of personal hospitality of an individual, local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal liability received from the estate on Standard)	\$343
	N/A	

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
<u>Managing Member</u>	<u>Sheridan Equities LLC -</u>
<u>Managing Member</u>	<u>Sheridan Equities Holdings LLC</u>
<u>Administrator</u>	<u>Scottsdale Eye Surgery - spouse -</u>

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
<u>11/2010</u>	<u>Sheridan Equities Holdings LLC</u>	<u>Sheridan Equities Holdings, LLC has An</u>
	<u>Swartz + Brough Inc.</u>	<u>Agreement with Swartz + Brough for A</u>
		<u>portion of Residual Equity in the Partnerships</u>
		<u>Formally managed/participated in by Sheridan Equities Holdings</u>
		<u>Now controlled by Swartz + Brough, Inc upon the liquidation</u>
		<u>of Real Estate Holdings.</u>

SCHEDULE IV— TRANSACTIONS

Name **SCHWEIKERT DAVID**

SP, DC, JT SP	Example:	Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction											
			PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J		
		N/A		X			10-12-10	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	\$50,000,001-\$250,000,000	\$250,000,001-\$500,000,000	Over \$500,000,000

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.

Name David Schweikert

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination— City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce Foycroft Corporation	Mar. 2 Aug. 6-11	DC—Chicago—DC DC—Los Angeles—Cleveland	N Y	N Y	N Y	None 2 Days
<u>Heritage Foundation RSC Retreat</u>	<u>Jan 27-29</u>	<u>PHX - LA - PHX</u>	<u>Y</u>	<u>Y</u>	<u>Y</u>	<u>0</u>
<u>Club For Growth</u>	<u>May 3-5</u>	<u>DC - PB - PHX</u>	<u>Y</u>	<u>Y</u>	<u>N</u>	<u>0</u>
<u>American Israel Ed Foundation</u>	<u>Aug 13-21</u>	<u>Flights At Personal Expense</u>	<u>Y</u>	<u>Y</u>	<u>Y</u>	<u>7</u>

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SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2010	\$2,000
XYZ Magazine	Article	Aug. 13, 2010	\$500
N/A			

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**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT**

Form A
For use by Members, officers, and employees

HAND DELIVERED

Page 1 of 8

LEGISLATIVE RESOURCE CENTER

2013 MAY 15 PM 12:10

Daytime Telephone

Name: Schweikert, David

U.S. HOUSE OF REPRESENTATIVES

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>AZ</u>	Employing Office:
Report Type	<input checked="" type="checkbox"/> Annual (May 15, 2013)	District: <u>6</u>	Termination Date:
	<input type="checkbox"/> Amendment		Termination

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

IPO—Did you purchase any shares that were allocated as a part of an initial Public Offering?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source		Type	Amount
Examples:	Keene State State of Maryland Civil War Roundtable (Oct. 2nd) Ontario County Board of Education	Approved Teaching Fee Legislative Pension Spouse Speech Spouse Salary	\$6,000 \$9,000 \$1,000 NA
	Sheridan Equities LLC	Business	8,000
	Sheridan Equities Holdings LLC	Business	94,000
	Scottsdale Eye Surgery	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE V— LIABILITIES

Name Schweikert, David

Page 6 of 8

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability												
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Spouse/DC Liability Over \$1,000,000*		
	<i>Example:</i> First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St, Dover, DE				X									
SP	Chase	2005	NOTE - 11011 N 92 nd				X									
	Sallie Mae	2003	STUDENT LOAN		X											
	Metro Phx Bank	2010	NOTE - Sheridan Eg			X										
	ING / Capital One	2007	NOTE - Residents					X								

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375
	N/A	

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination— City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce Roycroft Corporation	Mar. 2 Aug. 6-11	DC—Chicago—DC DC—Los Angeles—Cleveland	N Y	N Y	N Y	None 2 Days
Club For Growth	Mar 8-10	DC - PB - DC	Y	Y	Y	0
Heritage Foundation - RSC	Jan 25-27	DC - PhL - DC	Y	Y	N	0

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SCHEDULE VIII--POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.
 Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
<u>Managing Member</u>	<u>Sheridan Equities LLC -</u>
<u>Managing Member</u>	<u>Sheridan Equities Holdings LLC</u>
<u>Administrator</u>	<u>Scottsdale Eye Surgery - spouse -</u>

SCHEDULE IX--AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
<u>11/2010</u>	<u>Sheridan Equities Holdings LLC</u>	<u>Sheridan Equities Holdings LLC has An</u>
	<u>Swartz + Brough Inc.</u>	<u>Agreement with Swartz + Brough Inc for A</u>
		<u>Portion of Residual Equity on the Partnerships</u>
		<u>Family managed/participated in by Sheridan Equities Holdings</u>
		<u>Now controlled by Swartz + Brough Inc upon the liquidation</u>
		<u>of Real Estate Holdings.</u>

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER

2014 MAY 15 PM 3:55

U.S. HOUSE OF REPRESENTATIVES

MS

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
2013 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

Name: Schweikert, David Daytime Telephone: 202-225-2190

FILER STATUS: Member of or Candidate for U.S. House of Representatives State: AZ District: 6 Officer or Employee Employing Office: _____

REPORT TYPE: 2013 Annual (Due: May 15, 2014) Amendment Termination Date: _____

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

<p>A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>C. Did you or your spouse have "earned" income (e.g. salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</p>	<p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</p>	

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question please contact the Committee on Ethics for further guidance. Yes No

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? Yes No

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes No

SCHEDULE C -- EARNED INCOME

Name: Schweikert, David Page 7 of 10

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.
 EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.
 INCOME LIMITS and PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

Source (include date of receipt for honoraria)		Type	Amount
<small>Examples:</small>	<small>Keene State State of Maryland Civil War Roundtable (Oct 2) Ontario County Board of Education</small>	<small>Approved Teaching Fee Magistrate Retainer Spouse Speech Spouse Salary</small>	<small>\$9,000 \$10,000 \$1,000 N/A</small>
	Scottsdale Eye Surgery - Spouse	Spouse Salary	N/A
	Sheridan Equities LLC	Business	3,000
	Sheridan Equities Holdings LLC	Business	64,000

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: Schweikert, David Page 8 of 10

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability													
				A	B	C	D	E	F	G	H	I	J	K			
	First Bank of Wilmington, DE	5/08	Mortgage on Rental Property, Dover, DE				X										
SP	Chase	2005	NOTE 11011 N 92 ND				X										
	Sallie Mae	2003	Student Loan	X													
	Metro PAX Bank	2010	Note - Sheridan Eq				X										
	Capital One	2007	Note - Residents					X									
	Wells Fargo	2013	Note - Residents						X								

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Sheridan Equities LLC
Managing Member	Sheridan Equities Holdings LLC
Administrator	Scottsdale Eye Surgery - Spouse

Use additional sheets if more space is required.

SCHEDULE F - AGREEMENTS

Name: Schweikert, David Page 9 of 10

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holding LLC has an agreement with Swartz & Brough for a portion of Residual Equity on the Partnership. Formally managed/participated in by Sheridan Eq. Now controlled by Swartz & Brough upon the completion of Real Estate Holding

SCHEDULE G - GIFTS

Report the source (including name, city, and state), a brief description, and the value of all gifts totaling more than \$350 received by you, your spouse, or a dependent child from any source during the year. Exclude Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400

Use additional sheets if more space is required.

SCHEDULE H - TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Schweikert, David Page 10 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Privately-sponsored travel approved by the Ethics Committee, if post-travel disclosure was filed with the Clerk; travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source	Dates	City of Departure - Destination - City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Examples: Government of Denmark (NORCA) Habitat for Humanity (Charity Foundation)	Sep 8-11 Dec 3-4	DC-Bangkok-Chair, DC DC-Bangkok-DC	Y Y	Y Y	Y Y
<u>Heritage Foundation</u>	<u>2/6/13 - 2/18/13</u>	<u>DC to Cambridge MD - DC</u>	<u>Y</u>	<u>Y</u>	<u>N</u>
<u>Freedom Works</u>	<u>6/5/13 - 6/6/13</u>	<u>PHX - Salt Lake - PHX</u>	<u>Y</u>	<u>Y</u>	<u>N</u>
<u>Freedom Works</u>	<u>8/10/13 - 8/11/13</u>	<u>PHX - Aspen - PHX</u>	<u>Y</u>	<u>Y</u>	<u>N</u>

Use additional sheets if more space is required.

DAVID SCHWEIKERT
6TH DISTRICT, ARIZONA

WASHINGTON, DC OFFICE:
1205 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-2190
FAX: (202) 225-0096

ARIZONA OFFICE:
10603 NORTH HAYDEN ROAD
SUITE 108
SCOTTSDALE, AZ 85260
(480) 946-2411
FAX: (480) 946-2448



COMMITTEE ON
SMALL BUSINESS

COMMITTEE ON
SCIENCE, SPACE, AND
TECHNOLOGY

RECEIVED

2014 JUL 11 PM 12:35
Congress of the United States
House of Representatives COMMITTEE ON ETHICS
Washington, DC 20515-0306

July 11, 2014

The Honorable K. Michael Conaway
Chairman, House Committee on Ethics
1015 Longworth House Office Building
Washington, DC 20515

Mr. Chairman,

In response to your letter of Jun 12, 2014, I would like to provide the additional information requested.

As it pertains to the June 5, 2013 – June 6, 2013 trip to Salt Lake City to visit with FreedomWorks, I had submitted this line item in error. This trip was paid for using non-official funds. Please strike from my disclosure for the purposes of an amended record.

Additionally, as it pertains to the two line items in Schedule A, may I provide the city and state of Sheridan Equities LLC and Sheridan Equities Holdings LLC respectively: 15819 E Sycamore Dr., Fountain Hills, AZ 85268. Both assets are equity holders in residential rental partnerships/LLCs.

As it pertains to Schedule B, may I amend the current line item to read "Sheridan Equities Holdings LLC." The asset which was sold was a single residential property. This change resolves the inconsistency in language to Schedule A.

Finally, for Schedule C, please strike Sheridan Equities LLC and Sheridan Equities Holdings LLC as they are already listed on Schedule A, unearned income.

Sincerely,

David Schweikert
Member of Congress

2014 JUL 16 PM 12:42
U.S. HOUSE OF REPRESENTATIVES
FIRST AMENDMENT

**UNITED STATES HOUSE OF REPRESENTATIVES
2014 FINANCIAL DISCLOSURE STATEMENT**

Form A

For Use by Members, Officers, and Employees

LEGISLATIVE RESOURCE CENTER

2015 MAY 15 PM 1:17 ML

OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

Name: Schweikert, David Daytime Telephone: 202 225 2196

<input checked="" type="checkbox"/> FILER STATUS	<input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives	State: <u>AZ</u> District: <u>4</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____
<input type="checkbox"/> REPORT TYPE	<input type="checkbox"/> 2014 Annual (Due: May 15, 2015)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or your dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE B – TRANSACTIONS

Name: **Schweikert David**

Page **H** of **10**

SP, DC, JT		Asset		Type of Transaction				Exceeded \$200 Check Box if Capital Gain	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction													
SP	JT	Exempt	Mega Corp. Stock	Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K			
											\$1,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000 (Spouse/DC Asset)		
					✓	X		X	3/5/14		X		✓										
				Sheridan - Rentals - Lamar Willetta																			

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: Schweikert David Page 6 of 10

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability											
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)	
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X								
	Sallie Mae	2003	Student Loan	X											
	Metro Pkx Bank	2010	Note - Sheridan Eq.				V								
	Wells Fargo	2013	Note - Residents					V							

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Sheridan Equities LLC + Sheridan Equities Holdings LLC
Administrator (Spouse)	Scottsdale Eye Surgery

Use additional sheets if more space is required.

SCHEDULE F - AGREEMENTS

Name: Schweikert, David Page 7 of 10

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holding LLC has an agreement with Swartz + Brough for a portion of Residual Equity on the Partnership Formally managed / Participated in by Sheridan Eq. - Now controlled by Swartz + Brough upon the completion / sale.

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400

Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: _____

Page 4 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$375 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source	Date(s)	City of Departure – Destination – City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member Included? (Y/N)
Government of China (MECEA)	Aug. 5-11	DC-Beijing, China - DC	Y	Y	N
Examples: Habitat for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y

Use additional sheets if more space is required.

FILER NOTES
(Optional)

Name: Schweikert David

Page 12 of 10

NOTE NUMBER	NOTES

Use additional sheets if more space is required.

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LEGISLATIVE RESOURCE CENTER

2016 AUG 16 AM 10:08

CLERK OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

June 9, 2015

Chairman Charles W. Dent
U.S. House of Representatives
Committee on Ethics
1015 Longworth House Office Building
Washington, DC 20515

Mr. Chairman:

I would like to submit the following additional information to my 2014 financial disclosure in response to your letter dated June 8, 2015. Additionally, I have revised pages two through five out of the 10 pages comprising my disclosure.

Sheridan Equities and Sheridan Equities Holdings are both located at my primary residence, 15819 E. Sycamore Drive, Fountain Hills, Arizona. The remaining assets of Sheridan Equities Holdings for the 2014 year were a single family residential rental and the agreement with Schwartz & Brough.


For Schedule B transactions, two of the three residential rentals were sold during 2014: the Lamar and Willetta properties. Sheridan Equities Holdings retained the 64th Street residential rental, which will show as sold in my 2015 financial disclosure. The 92nd Street residential rental was also sold in 2014 (shown on Schedule B).

There was a rebalancing of the family's 401(k) shown on Schedule B.

The Wells Fargo note and deed of trust are attached to our primary residence.

The State of Arizona retirement income is part of a defined benefit pension system extended to former elected officials with qualifying service.

Thank you,



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Page 1 of 10

LEGISLATIVE RESOURCE CENTER

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(Office Use Only)

U.S. HOUSE OF REPRESENTATIVES

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

Form A

For Use by Members, Officers, and Employees

UNITED STATES HOUSE OF REPRESENTATIVES
2015 FINANCIAL DISCLOSURE STATEMENT

Name: Schweikert, David Daytime Telephone: 202-225-2190

FILER STATUS	<input checked="" type="checkbox"/> Member of or Candidate for U.S. House of Representatives	State: <u>AZ</u> District: <u>6</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____
REPORT TYPE	<input type="checkbox"/> 2015 Annual (Due: May 16, 2016)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Make more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input type="checkbox"/>

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: *Schweikert, David* Page 3 of 10

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset														BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction										
	None	A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI		XII									
SP, DC, JT																																			P, S, S(part), or E										
Sheridan Equities				✓																		Business																							
Sheridan Holdings														✓								Business																							
DeFerd/ Comp - 457 Plan -																																													
Brown cap																Y																													
American Century - AZ State Ret.																Y																													
Minnesota Life																																													
W+R Target Growth																																													
Advantix Ind 500																	Y																												
Merrill Lynch																																													
BLBK INTL																																													
BLBK LG CP RID																																													
Sheridan - Rentals																		Y																											

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: Schweikert, David Page 5 of 10

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.
 EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.
 INCOME LIMITS and PROHIBITED INCOME: The 2015 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

Source (include date of receipt for honoraria)	Type	Amount
Examples: Keene State	Approved Teaching Fee	\$9,000
State of Maryland	Legislative Pension	\$19,000
Civil War Roundtable (Oct. 2)	Spouse Speech	\$1,000
Ontario County Board of Education	Spouse Salary	N/A
Scottsdale Eye Surgery - Spouse -	Spouse	N/A
Sheridan Equities - Holdings	Busin-ss	5,000.
State of Arizona Retirement - EORP	Retirement	42,000

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: Schweikert, David Page 6 of 10

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability																	
				A	B	C	D	E	F	G	H	I	J	K							
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE						X												
	Sallie Mae	2003	Student Loan		✓																

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Sheridan Equities LLC + Sheridan Equities Holdings LLC
Administrator - Spouse	Scottsdale Eye Surgery

Use additional sheets if more space is required.

SCHEDULE F - AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holdings LLC has an agreement with Swartz & Brough for a portion of Residual Equity on the Partnership
		Formerly managed/participated in by Sheridan
		Eq. - Now controlled by Swartz & Brough
		upon the completion / sale.

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400

Use additional sheets if more space is required.

SCHEDULE I - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: Schweikert, David Page 9 of 10

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2015	\$2,000
XYZ Magazine	Article	Aug. 13, 2015	\$500
N/A			

Use additional sheets if more space is required.

Congress of the United States
Washington, DC 20515

November 9, 2016

Chairman Charles W. Dent
U.S. House of Representatives
Committee on Ethics
1015 Longworth House Office Building
Washington, DC 20515

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LEGISLATIVE RECORDING OFFICE
2016 NOV 10 PM 3:36
OFFICE OF CLERK
U.S. HOUSE OF REPRESENTATIVES

MC ✓

Mr. Chairman:

I would like to submit the following additional information to my 2015 financial disclosure in response to the additional information requested by the House Ethics Committee.

Sheridan Equities and Sheridan Equities Holdings are both located at my primary residence, 15819 E. Sycamore Drive, Fountain Hills, Arizona, 85268. Their only remaining asset(s) are subject to ongoing litigation, resulting from a compensation agreement for real estate services with Schwartz & Brough.

Schedule C should read "spouse salary" instead of just "spouse."

Schedule D should read "October 2003" instead of just "2003."

Please accept my appreciation for all the work that you and your team do to ensure that the House of Representatives operates is operating at the highest ethical standards of protocol.

Thank you.



David Schweikert

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LEGISLATIVE RESOURCE CENTER of ID

17 AUG 15 PM 3:50

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

Form A
For Use by Members, Officers, and Employees

UNITED STATES HOUSE OF REPRESENTATIVES
2016 FINANCIAL DISCLOSURE STATEMENT

Name: Schweikert, David Daytime Telephone: 202 225 2190

FILER STATUS: Member of the U.S. House of Representatives
 2016 Annual (Due: May 15, 2017)

REPORT TYPE: Amendment

State: AZ District: 6

Officer or Employee: Officer or Employee

Employing Office: _____

Staff Filer Type: (If Applicable)
 Shared Principal Assistant

Termination Date of Termination: _____

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO -- Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.

Yes No

TRUSTS -- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes No

EXEMPTION -- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes No

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Schweikert, David Page 3 of 10

BLOCK A Assets and/or Income Sources	BLOCK B Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income											BLOCK E Transaction		
	None	A	B	C	D	E	F	G	H	I	J	K	L		M	None	I	II	III	IV	V	VI	VII	VIII	IX		X	XI
	None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	\$50,000,001-\$100,000,000	Over \$100,000,000	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XI	Special DC Asset with income over \$1,000,000*	
ASSET NAME																												
Sheridan Equities																												
Sheridan Holdings																												
Deferred Comp.																												
457 Plan																												
Brown Cap.																												
American Century																												
AZ State RET																												
Minnesota Life																												
W-R Target Growth																												
Adventis Ind 500																												
Merica Lynch																												
BKRK Intl																												
BKRK LG CP																												

Use additional sheets if more space is required.

SCHEDULE C - EARNED INCOME

Name: Schweikert, David Page 5 of 12

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.
EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.
INCOME LIMITS and PROHIBITED INCOME: The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

Source (include date of receipt for honoraria)		Type	Amount
Examples:	<small> House Bill Item of Legislation Civil War Roundtable (Oct. 3) Oregon Energy Board of Education </small>	<small> Award/Retiring Fee Legislative Pension Spouse Pension Spouse Salary </small>	<small> \$1,000 \$1,000 \$1,000 N/A </small>
	Scottsdale Eye Surgery	SPOUSE	N/A
	Sheridan Equities - Holdings	Busin-ess	5,000.
	State of Arizona Retirement - EORP	Retirement	53,000

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: Schweikert, David Page 6 of 10

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or use a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability														
				A \$10,001-\$15,000	B \$15,001-\$50,000	C \$50,001-\$100,000	D \$100,001-\$250,000	E \$250,001-\$500,000	F \$500,001-\$1,000,000	G \$1,000,001-\$5,000,000	H \$5,000,001-\$25,000,000	I \$25,000,001-\$50,000,000	J Over \$50,000,000	K Over \$1,000,000 (Spouse/DC Liability)				
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE															
	Sallie Mae	2003	Student Loan		✓													

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Sheridan Equities LLC + Sheridan Equities Holdings LLC
Administrator - Spouse	Scottsdale Eye Surgery

Use additional sheets if more space is required.

SCHEDULE F - AGREEMENTS

Name: Schweikert, David Page 7 of 10

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC	Sheridan Equities Holdings LLC has an agreement with Swartz + Brough for a portion of Resident Equity on the Partnership. Partially managed / Participated in by Sheridan Eq. - Now Controlled by Swartz + Brough upon the completion / sale.

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400

Use additional sheets if more space is required.

SCHEDULE I - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: Schweikert, David Page 9 of 10

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2015	\$2,000
XYZ Magazine	Article	Aug. 13, 2015	\$500
N/A			

Use additional sheets if more space is required.

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

OCT 26 PM 12:22

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Form A

For Use by Members, Officers, and Employees

**UNITED STATES HOUSE OF REPRESENTATIVES
2016 FINANCIAL DISCLOSURE STATEMENT**

U.S. HOUSE OF REPRESENTATIVES

Name: Schweikert, David Daytime Telephone: 202 225 2190

Staff Filer Type: (If Applicable)
Shared Principal Assistant

Officer or Employee Employing Office: _____

State: AZ District: 1

Member of the U.S. House of Representatives

Amendment Termination

2016 Annual (Due: May 15, 2017)

2016 Annual (Due: May 15, 2017)

Date of Termination: _____

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE D - LIABILITIES

Name: Schweikert, David Page 6 of 11

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or use as a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MON/YR	Type of Liability	Amount of Liability																	
				A	B	C	D	E	F	G	H	I	J	K							
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE																		
	Sallie Mae	2003	Student Loan	✓																	

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Sheridan Equities LLC + Sheridan Equities Holdings LLC
Administrator - Spouse	Scottsdale Eye Surgery

Use additional sheets if more space is required.

SCHEDULE F - AGREEMENTS

Name: Schweikert, David Page 7 of 11

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
11/2010	Sheridan Equities Holdings LLC Fountain Hills, AZ	Sheridan Equities Holding LLC has an agreement with Swartz + Broyer for a portion of Residual Equity on the Partnership formerly managed/participated in by Sheridan Equ. - Now controlled by Swartz + Broyer upon the completion / sale.

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Sherr Platter (determination of personal friendship reached from the Ethics Committee)	\$400

Use additional sheets if more space is required.

SCHEDULE F – AGREEMENTS

Name: Schweikert, David Page 8 of 11

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
	<i>Malicapa County - Deferred Compensation - Nationwide.</i>	<i>County Sponsored 457 Plan</i>

SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$375 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$150 or less need not be added towards the \$375 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (determination of personal friendship received from the Ethics Committee)	\$400

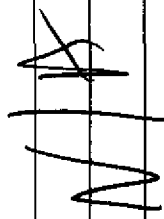
Use additional sheets if more space is required.

SCHEDULE H – TRAVEL PAYMENTS and REIMBURSEMENTS

Name: Schweikert, David Page 9 of 11

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$375 received by you, your spouse, or your dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Source	Date(s)	City of Departure-Destination-City of Return	Lodging? (Y/N)	Food? (Y/N)	Family Member included? (Y/N)
Government of China (MECCA)	Aug. 6-11	DC-Beijing, China-DC	Y	Y	N
Hebital for Humanity (charity fundraiser)	Mar. 3-4	DC-Boston-DC	Y	Y	Y
					

Use additional sheets if more space is required.

SCHEDULE I - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name: *Schweikert, David* Page 10 of 11

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2016	\$2,000
XYZ Magazine	Article	Aug. 13, 2016	\$500
<i>N/A</i>			

Use additional sheets if more space is required.



FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. David Schweikert
Status: Member
State/District: AZ06

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2017
Filing Date: 08/13/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
457(B) PLAN ⇒ BARON GR INST [MF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
457(B) PLAN ⇒ LOOMIS VAL N [MF]		\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
DESCRIPTION: PREVIOUSLY LISTED AS 457 PLAN DEFERRED COMP MARICOPA COUNTY				
529 ⇒ AZ PORTFOLIO 2033 (FIDELITY INDEX) [MF]		\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: PREVIOUSLY LISTED AS AZ 529 FIDELITY				
BUSINESS HOLDINGS ⇒ SHERIDAN EQUITIES HOLDINGS LLC [OL]		\$1,000,001 - \$5,000,000	None	<input type="checkbox"/>
LOCATION: FOUNTAIN HILLS, AZ, US DESCRIPTION: REAL ESTATE BUSINESS				
BUSINESS HOLDINGS ⇒ SHERIDAN EQUITIES LLC [OL]		\$1,001 - \$15,000	None	<input type="checkbox"/>
LOCATION: FOUNTAIN HILLS, AZ, US DESCRIPTION: REAL ESTATE BUSINESS				
INSURANCE ⇒ SFT IVY GROWTH [MF]	SP	\$15,001 - \$50,000	None	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income Tx. > \$1,000?
DESCRIPTION: MINNESOTA LIFE VARIABLE ASSETS				
INSURANCE ⇒ SFTADV IDX 500 C2 [MF]	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: MINNESOTA LIFE VARIABLE ASSETS PREVIOUSLY LISTED AS ADVANTUS IND 500				
INSURANCE ⇒ SFTADV MTG SEC C2 [MF]	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: MINNESOTA LIFE VARIABLE ASSETS				
INSURANCE ⇒ STADV BOND C2 [MF]	SP	\$1,001 - \$15,000	None	<input type="checkbox"/>
DESCRIPTION: MINNESOTA LIFE VARIABLE ASSETS				
SESC - 401(K) ⇒ BlackRock Advantage Large Cap Val Inv A (MDLVX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: PREVIOUSLY LISTED AS BLKRK LG C P				
SESC - 401(K) ⇒ BlackRock Global Allocation Inv A (MDLOX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input checked="" type="checkbox"/>
SESC - 401(K) ⇒ BlackRock International Inv A (MDILX) [MF]	SP	\$15,001 - \$50,000	Tax-Deferred	<input type="checkbox"/>
DESCRIPTION: PREVIOUSLY LASTED AS BLKRK INTL				
SESC - 401(K) ⇒ BlackRock Small Cap Growth II Inv A (MDSWX) [MF]	SP	\$50,001 - \$100,000	Tax-Deferred	<input checked="" type="checkbox"/>
SESC - 401(K) ⇒ BlackRock US Government Bond Inv A (CIGAX) [MF]	SP	\$1,001 - \$15,000	Tax-Deferred	<input type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://61.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
457(B) PLAN ⇒ BARON GR INST [MF]		11/28/2017	P	\$1,001 - \$15,000	
DESCRIPTION: REINVESTED CAPITAL GAIN					
457(B) PLAN ⇒ LOOMIS VAL N [MF]		12/13/2017	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: REINVESTED CAPITAL GAIN					
SESC - 401(K) ⇒ BlackRock Global Allocation Inv A (MDLOX) [MF]	SP	12/19/2017	P	\$1,001 - \$15,000	
DESCRIPTION: REINVEST DIVIDENDS					
SESC - 401(K) ⇒ BlackRock Small Cap Growth II Inv A (MDSWX) [MF]	SP	12/4/2017	P	\$1,001 - \$15,000	
DESCRIPTION: REINVEST DIVIDENDS					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
SCOTTSDALE EYE SURGERY	SPOUSE SALARY	N/A
PUBLIC SAFETY PERSONNEL RETIREMENT SYSTEM	RETIREMENT	\$46,558.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	CANYON STATE CREDIT UNION	JAN 2017	HOME EQUITY LOAN	\$50,001 - \$100,000
	SALLIE MAE/NAVIENT	JAN 2004	STUDENT LOANS	\$15,001 - \$50,000
SP	AADVANTAGE MASERCARD	12/31/2017	REVOLVING CHARGE ACCOUNT	\$10,000 - \$15,000
	CANYON STATE CU MASERCARD	12/31/2017	REVOLVING CHARGE ACCOUNT	\$10,000 - \$15,000
	COSTCO CITIBANK VISA	12/31/2017	REVOLVING CHARGE ACCOUNT	\$15,001 - \$50,000

SCHEDULE E: POSITIONS

Position	Name of Organization
MANAGING MEMBER	SHERIDAN EQUITIES LLC
MANAGING MEMBER	SHERIDAN EQUITIES HOLDINGS LLC

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
November 2010	SHERIDAN EQUITIES HOLDINGS	SHERIDAN EQUITIES HOLDINGS LLC HAS AN AGREEMENT WITH SWARTZ & BROUGH FOR A PORTION OF RESIDENT EQUITY ON THE PARTNERSHIP FORMALLY MANAGED/PARTICIPATED IN BY SHERIDAN EQUITIES. NOW CONTROLLED BY SWARTZ & BROUGH UPON THE COMPLETION ISSUE.
January 2004	ME AND MARICOPA COUNTY	COUNTY SPONSORED 527 PLAN.

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Source	Trip Details				Inclusions		
	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
THE CLUB FOR GROWTH	03/17/2017	03/18/2017	WASHINGTON, DC - PALM BEACH, FL - PHOENIX, AZ	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- 457(B) PLAN
- 529
LOCATION: AZ
- BUSINESS HOLDINGS
LOCATION: US
- INSURANCE (Owner: SP)
- SESC - 401(K) (Owner: SP)

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or

dependent child because they meet all three tests for exemption?

Yes No

CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. David Schweikert , 08/13/2018

EXHIBIT 11

DO NOT PUBLISH THIS SECTION

AZ CORPORATION COMMISSION
FILED

MAR 3 12009 ARTICLES OF ORGANIZATION

FILE NO. L-1516383-6 A.R.S. §29-632

ARTICLE 1
The company name must contain an ending which may be "limited liability company," "limited company," or the abbreviations "L.L.C.," "L.C.," "LLC" or "LC". If you are the holder or assignee of a tradename or trademark, attach Declaration of Tradename Holder form.

1. Name. The name of the limited liability company is:

SHERIDAN EQUITIES HOLDINGS, LLC

ARTICLE 2
May be in care of the statutory agent.

2. Known Place of Business. The address of the company's known place of business in Arizona is:

15749 E. EL LAGO BLVD.
FOUNTAIN HILLS, AZ 85268-3901

ARTICLE 3
The statutory agent must provide a street address. If statutory agent has P.O. Box, then they must also provide a street address/location. The agent must sign the Articles or provide a consent to acceptance of appointment.

3. Statutory Agent. (In Arizona) The name and street address of the statutory agent of the company is:


DAVID S. SCHWEIKERT

Acceptance of Appointment By Statutory Agent

I DAVID S. SCHWEIKERT, having been designated to act as
(Printed Name)

Statutory Agent, hereby consent to act in that capacity until removed or resignation is submitted in accordance with the Arizona Revised Statutes.

The agent must consent to the appointment by executing the consent.


Signature of Statutory Agent

[If signing on behalf of a company serving as statutory agent, print company name here]

ARTICLES 4
Complete this section only if you desire to select a date or occurrence when the company will dissolve. If perpetual duration is desired, leave this section blank.

4. Dissolution. The latest date, if any, on which the limited liability company must dissolve is:

PERPETUAL

DO NOT PUBLISH THIS SECTION

ARTICLE 5

Check which management structure will be applicable to your company. Provide name, title and address for each person.

5. Management

Management of the limited liability company is **vested in a manager or managers**. The names and addresses of each person who is a manager AND each member who owns a twenty percent or greater interest in the capital or profits of the limited liability company are:

Name: DAVID S. SCHWEIKERT
[] member [] manager [] member [] manager

Address: [REDACTED]

City, State, Zip: [REDACTED]

Name: _____
[] member [] manager [] member [] manager

Address: _____

City, State, Zip: _____

Management of the limited liability company is **reserved to the members**. The names and addresses of each person who is a member are:

Name: _____
[] member [] member

Address: _____

City, State, Zip: _____

Name: _____
[] member [] member

Address: _____

City, State, Zip: _____

The person(s) executing this document need not be manager or member(s) of the company.

EXECUTED this 24th day of March, 2009.

William E. Conner - Attorney
Signature Signature

WILLIAM E. CONNER - ATTORNEY
[Print Name Here] [Print Name Here]

PHONE [REDACTED] FAX [REDACTED]

Your fax and phone number is optional.

11-0004
Rev 09/04
2002

See A.R.S. §29-601 et seq. for more info.

Entity Information

Search Date and Time:

8/29/2018 12:30:33 PM

Entity Details

SHERIDAN EQUITIES HOLDINGS, LLC

L15163836

Domestic LLC

Active

3/31/2009

In Good Standing

4/3/2009

3/31/2009

Perpetual

Entity Name:

Entity ID:

Entity Type:

Entity Status:

Formation Date:

Reason for Status:

Approval Date:

Status Date:

Original Incorporation Date:

Life Period:

Business Type:

Last Annual Report Filed:

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Domicile State:

AZ

Annual Report Due Date:

Years Due:

Original Publish Date:

4/17/2009

Statutory Agent Information

Name:

DAVID S SCHWEIKERT

Appointed Status:

Active

Attention:

Address:

[REDACTED]

Agent Last Updated:

5/20/2009

E-mail:

Attention:

Mailing Address:

County:

Principal Information

Title	Name	Attention	Address	Date of Taking Office	Last Updated
Manager	DAVID S SCHWEIKERT		[REDACTED]	3/31/2009	4/3/2009

Page 1 of 1, records 1 to 1 of 1

Entity Known Place of Business

Attention:

Address: 15749 E EL LAGO RD, FOUNTAIN HILLS, AZ, 85268, USA

County: Maricopa Privacy Policy (<http://www.azcc.gov/Divisions/Administration/Privacy.asp>) | Contact Us

Last Updated: (<http://www.azcc.gov/divisions/corporations/contact-us.asp>)

Entity Principal Office Address

Attention:

Address:

County:

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EXHIBIT 12

DO NOT PUBLISH THIS SECTION



01695698

AUG 02 2006

FILE NO. L-1302354-1

ARTICLES OF ORGANIZATION

A.R.S. §29-632

ARTICLE 1 The company name must contain an ending which may be "limited liability company," "limited company," or the abbreviations "L.L.C.", "L.C.", "LLC" or "LC". If you are the holder or assignee of a tradename or trademark, attach Declaration of Tradename Holder form.

1. Name. The name of the limited liability company is:

Sheridan Equities LLC

ARTICLE 2 May be in care of the statutory agent.

2. Known Place of Business. The address of the company's known place of business in Arizona is:

15749 E. EL Lago BLVD
FOUNTAIN HILLS AZ 85268

ARTICLE 3 The statutory agent must provide a street address. If statutory agent has P.O. Box, then they must also provide a street address/location. The agent must sign the Articles or provide a consent to acceptance of appointment.

3. Statutory Agent. (In Arizona) The name and street address of the statutory agent of the company is:

Joyce R NOONE
[Redacted Address]

Acceptance of Appointment By Statutory Agent

I Joyce R NOONE, having been designated to act as (Printed Name)

Statutory Agent, hereby consent to act in that capacity until removed or resignation is submitted in accordance with the Arizona Revised Statutes.

The agent must consent to the appointment by executing the consent.

[Redacted Signature]
Signature of Statutory Agent

[If signing on behalf of a company serving as statutory agent, print company name here]

ARTICLES 4 Complete this section only if you desire to select a date or occurrence when the company will dissolve. If perpetual duration is desired, leave this section blank.

4. Dissolution. The latest date, if any, on which the limited liability company must dissolve is:

Perpetual

DO NOT PUBLISH THIS SECTION ARTICLE 5

Check which management structure will be applicable to your company. Provide name, title and address for each person.

2-1302354-1

5. Management.

Management of the limited liability company is vested in a manager or managers. The names and addresses of each person who is a manager AND each member who owns a twenty percent or greater interest in the capital or profits of the limited liability company are:

Name: David Schweikert [] member [x] manager [] member [] manager

Address: [REDACTED]

City, State, Zip: [REDACTED]

Name: [] member [] manager [] member [] manager

Address: _____

City, State, Zip: _____

Management of the limited liability company is reserved to the members. The names and addresses of each person who is a member are:

Name: [] member [] member

Address: _____

City, State, Zip: _____

Name: [] member [] member

Address: _____

City, State, Zip: _____

The person(s) executing this document need not be manager or member(s) of the company.

EXECUTED this 1 day of AUGUST, 2006.

[REDACTED]

[Signature]

[Signature]

David Schweikert
[Print Name Here]

[Print Name Here]

Your fax and phone number is optional.

PHONE _____

FAX _____

LL:0004 Rev. 09/05

See A.R.S. §29-601 et seq. for more info.

Entity Information

Search Date and Time:

8/29/2018 12:29:16 PM

Entity Details

SHERIDAN EQUITIES LLC

L13023541

Domestic LLC

Active

8/2/2006

In Good Standing

8/2/2006

8/2/2006

Perpetual

Entity Name:

Entity ID:

Entity Type:

Entity Status:

Formation Date:

Reason for Status:

Approval Date:

Status Date:

Original Incorporation Date:

Life Period:

Business Type:

Last Annual Report Filed:

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Domicile State:

AZ

Annual Report Due Date:

Years Due:

Original Publish Date:

8/23/2006

Statutory Agent Information

Name:

JOYCE R NOONE

Appointed Status:

Active

Attention:

Address:

[REDACTED]

Agent Last Updated:

9/18/2006

E-mail:

Attention:

Mailing Address:

County:

Principal Information

Title	Name	Attention	Address	Date of Taking Office	Last Updated
Manager	DAVID SCHWEIKERT		[REDACTED]	8/2/2006	8/8/2006

Page 1 of 1, records 1 to 1 of 1

Entity Known Place of Business

Attention:

Address: 15749 E EL LAGO BLVD, FOUNTAIN HILLS, AZ, 85268, USA

County: Maricopa Privacy Policy (<http://www.azcc.gov/Divisions/Administration/Privacy.asp>) | Contact Us

Last Updated: (<http://www.azcc.gov/divisions/corporations/contact-us.asp>)

Entity Principal Office Address

Attention:

Address:

County:

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