

EXHIBIT 1

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

American Express Charge Date	Vendor	Charge Amount [Single Disbursement Reported to FEC or Total of Multiple Charges Reported to FEC as One Disbursement Without Itemization]	FEC Purpose Statement	FEC Disbursement Reporting Date	Handwritten Notes from Duncan for Congress Credit Card Records	Descriptions from American Express Statement Transaction Listing
2009						
2/13/2009	Amazon	13.50				
2/16/2009	Amazon	24.95				
TOTAL: 38.45			"Gift Expense"	3/9/2009		
2/24/2009	Dillard's	142.03			"Asley Estes Wedding Gift"	
3/13/2009	Barnes & Noble	98.19				
TOTAL: 240.22			"Gift Expense"	4/3/2009		
4/15/2009	Books-A-Million	50.00				
4/18/2009	Babies "R" Us	100.00				Children's clothing
4/9/2009	Toys "R" Us	102.09				
TOTAL: 252.09			"Gift Expense"	5/11/2009		

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4/27/2009	West Town Mall	320.00	"Gift Expense"	6/6/2009		Specialty Retail St.
7/15/2009	Dillard's	62.49	"Gift Expense"	8/3/2009		China
7/22/2009	Bloomingdales	65.95	"Gift Expense"	8/3/2009		China
7/29/2009	Babies "R" US	100.00				Children's clothing
8/18/2009	Babies "R" US	100.00				Children's clothing
		200.00	"Gift Expense"	9/6/2009		
8/6/2009	Dillard's	134.33	"Gift Expense"	9/6/2009		China
9/15/2009	Williams-Sonoma	127.86	"Gift Expense"	9/30/2009		Home furnishing

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[This was not an American Express purchase]	Bed Bath & Beyond	206.44	"Gift Expense"	10/23/2009		
9/24/2009	Bed Bath & Beyond	214.10	"Gift Expense"	11/4/2009		
9/29/2009	Babies "R" US	100.00	"Gift Expense"	11/4/2009		Children's clothing
12/12/2009	Saks Fifth Avenue	171.99				Restaurant/Gratuities
12/13/2009	Borders	28.30				
TOTAL: 200.29			"Gift Expense"	1/7/2010		

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2010						
2/4/2010	Borders	27.30				
2/4/2010	Barnes & Noble	34.06				
2/17/2010	Books-A-Milion	25.96				
TOTAL: 87.32			"Gift Expense"	3/10/2010		
4/9/2010	Augusta National Golf	136.96			"Gifts for Constit."	
4/10/2010	Augusta National Golf	135.89			"Gifts for Constit."	
TOTAL: 272.85			"Logo Items for Constituents"	5/5/2010		Fancy White/NVY/Pine XXL, 2010 Masters Journal,Solid Lime XL, Two Sets Logo Placemats- Green

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4/17/2010	Babies "R" US	105.45	"Baby Gift for Constituent"	5/5/2010		Children's clothing
4/14/2010	Williams-Sonoma	324.74	"Wedding Present for Constituent"	6/3/2010		Home furnishing
5/6/2010	Bed Bath & Beyond	105.46				
5/14/2010	Bed Bath & Beyond	144.17				
TOTAL: 249.63			"Wedding Gift for Constituent"	6/3/2010		
5/11/2010	West Town Mall	205.90	"Graduation Presents for Constituents"	6/3/2010		Specialty Retail St.
6/8/2010	Bed Bath & Beyond	63.33	"Wedding Present for Constituent"	7/6/2010		

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5/24/2010	West Town Mall	164.29	"Graduation Present for Constituent"	7/6/2010		Specialty Retail St.
7/22/2010	Bed Bath & Beyond	63.34	"Gift Expense"	8/10/2010	"Wedding Gift"	

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8/2/2010	Arby's	10.80				
8/3/2010	Cheddar's	48.00				
8/6/2010	The Grill at Highland	85.00				
8/10/2010	Glass Bazaar Inc.	120.18				Glassware/Crystal
8/12/2010	Blackberry Farm	92.32				
8/13/2010	Smoky Mountain Bre	92.50				
8/13/2010	Borders	56.79				
8/5/2010	S & S Cafeteria	23.23				
8/9/2010	The Partridge & Pear Pigeon	33.40				
8/12/2010	East TN Historical	20.23				Charitable Org
8/12/2010	Calhouns	4.69				
8/18/2010	Salon Visage Salon	75.00				
Total: 662.14			"Dinner Expense" (292.93) +	9/10/2010		
			"Gift Expense" (369.21) = 662.14**	9/10/2010		

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9/9/2010	Babies "R" US	100.00	"Gift Expense"	9/30/2010	"Gift"	Children's clothing
12/11/2010	Saks Fifth Avenue	101.89				Restaurant/Gratuities
12/12/2010	New York Palace	22.85				Lodging
12/3/2010	House Gift Shop	32.88				
TOTAL: 157.62			"Gift Expense"	1/7/2011		

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2011						
1/18/2011	Barnes & Noble	49.34	"Gift Expense"	2/28/2011		
3/9/2011	Amazon	8.28				Merchandise
3/10/2011	Amazon	36.00				Merchandise
3/10/2011	Amazon	24.22				Merchandise
3/11/2011	Amazon	11.98				Merchandise
TOTAL: 80.48			"Gift Expense"	3/28/2011		
3/23/2011	Bed Bath & Beyond	63.34			"Gift"	
3/23/2011	Pottery Barn	173.96			"Gift"	Home furnishing
TOTAL: 237.30			"Gift Expense"	4/29/2011		

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5/15/2011	Babies "R" US	147.42			"Gift"	Children's clothing
5/22/2011	Amazon	63.30				Merchandise
5/12/2011	House Gift Shop	46.86			"Gifts"	
TOTAL: 257.58			"Gift Expense"	6/9/2011		
7/15/2011	Travel Traders	2.50	"Gift Expense"	8/8/2011		General merchandise
8/12/2011	Greeks Bearing Gift	219.09	"Gift Expense"	9/7/2011		
8/13/2011	Glass Bazaar	257.84	"Gift Expense"	9/7/2011		Glassware/Crystal

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8/1/2011	JC Penny	103.14				Catalog merchandise/sales
8/1/2011	Bloomingdales	154.75				Fine China/Wedding Channel
8/2/2011	House Gift Shop	41.16				
TOTAL: 299.05			"Gift Expense"	9/7/2011		
8/26/2011	Bed Bath & Beyond	50.00	"Gift Expense"	10/7/2011		
9/19/2011	Babies "R" US	112.00	"Gift Expense"	10/7/2011		Children's clothing
9/19/2011	Personally Yours	268.76	"Gift Expense"	10/7/2011		Secretarial SV
10/17/2011	Babies "R" US	103.27	"Gift Expense"	11/14/2011	"Gift Larry Cox Granddaughter"	Children's clothing

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11/9/2011	Barnes & Noble	28.35				
11/9/2011	Gettysvue Polo & Golf	33.32				
11/14/2011	Barnes & Noble	4.33				
TOTAL: 66.00			"Gift Expense"	12/21/2011		
11/14/2011	Pottery Barn	185.73	"Gift Expense"	12/21/2011	"Wedding Gift Kim Bryce"	Home furnishing
12/6/2011	Glass Bazaar	214.13	"Gift Expense"	1/24/2012	"Wedding Gift"	Glassware/Crystal
12/10/2011	Saks Fifth Avenue	55.97	"Gift Expense"	1/24/2012		Restaurant/Gratuities

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2012						
1/17/2012	Babies "R" US	89.98	"Gift Expense"	2/24/2012	"Shower Gift"	Children's clothing
1/5/2012	Hudson News	4.08				Bookstore
1/6/2012	Gifts etc.	6.28				Gift Items
1/17/2012	Walgreens	27.18				
1/19/2012	House Gift Shop	51.42			"Gifts for Supporters"	
TOTAL: 88.96			"Gift Expense"	2/24/2012		
2/24/2012	Personally Yours	342.72	"Gift Expense"	4/5/2012	"Greaves Shower"	Secretarial SV
3/20/2012	Bed Bath & Beyond	298.20	"Gift Expense"	4/5/2012	"Wedding Gift"	
3/20/2012	Babies "R" US	218.49	"Gift Expense"	4/5/2012	"Shower Gift"	Children's clothing

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5/9/2012	Bed Bath & Beyond	100.00	"Gift Expense"	6/4/2012		
5/15/2012	Babies "R" US	200.00	"Gift Expense"	6/4/2012		Children's clothing
6/12/2012	Paradies	19.19	"Gift Expense"	7/6/2012		
7/17/2012	Bed Bath & Beyond	100.00	"Gift Expense"	8/17/2012	"Gift"	
7/19/2012	Corzine & Company	349.60	"Gift Expense"	8/17/2012		
8/10/2012	Bed Bath & Beyond	157.29				
8/20/2012	Bed Bath & Beyond	87.37				
TOTAL: 244.66			"Gift Expense"	9/10/2012		

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8/24/2012	Bed Bath & Beyond	145.28	"Gift Expense"	10/12/2012		
10/17/2012	Hudson News	11.32	"Gift Expense"	10/31/2012		Bookstore

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2013						
5/10/2013	Glass Bazaar	246.91	"Constituent Gift Expense"	5/10/2013		
5/29/2013	West Town Mall	205.90	"Gift Expense"	Paid statement balances		Business service
5/30/2013	Bed Bath & Beyond	110.32	Not Itemized*	Paid statement balance		
6/7/2013	Babies "R" US	100.00	Not Itemized*	Paid statement balance		Children's clothing
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2014						
2/3/2014	Babies "R" US	100.00	Not Itemized*	Paid statement balances		Children's clothing
5/19/2014	West Town Mall	517.70	"Gift Expense"	5/19/2014		Business service
6/12/2014	Babies "R" US	300.00	"Gift Expense"	6/12/2014		Children's clothing
8/11/2014	Amazon	207.60	"Gift Expense"	8/11/2014		
10/11/2014	Weiss Baby	143.66	"Gift Expense"	10/11/2014		
10/30/2014	Glass Bazaar	102.70	Not Itemized*	Paid credit card balance		

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11/8/2014	Weiss Baby	68.77	"Gift Expense"	11/8/2014		
11/8/2014	Babies "R" US	100.00	Not Itemized*	Paid credit card balance		Children's clothing

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2015						
3/12/2015	Bed Bath & Beyond	192.25	Not Itemized*	Paid credit card balance	"Wedding Gift - Joe Matlock"	
4/23/2015	Williams-Sonoma	91.69	Not Itemized*	Paid credit card balance		Home furnishing
5/1/2015	Kroger	804.50	"Gift Expense"	5/1/2015		Groceries
6/22/2015	Buy Buy Baby	163.86	Not Itemized*	Paid credit card balance		
7/18/2015	Babies "R" US	98.08	Not Itemized*	Paid credit card balance		

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7/21/2015	Babies "R" US	217.97	"Gift Expense"	7/21/2015	Email records show that Rep. Duncan's Spouse threw a shower for Amber Greaves and purchased this gift.	
10/21/2015	Bed Bath & Beyond	131.09	"Gift Expense"	10/21/2015		
10/26/2015	Babies "R" US	200.00	"Gift Expense"	10/26/2015		Toys/Games/Hobbies
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2016						
7/14/2016	Belk	218.49	"Gift Expense"	7/14/2016		
7/15/2016	Buy Buy Baby	100.00	"Gift Expense"	7/15/2016		Misc/Specialty retail

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2017						
1/23/2017	Buy Buy Baby	100.00	Not Itemized*	Paid credit card balance		Misc/Specialty retail
6/1/2017	Buy Buy Baby	201.00	"Gift Expense"	6/1/2017		Misc/Specialty retail
	Total Gift Expenses:	13,715.83**	* When the individual charge was not itemized on the FEC reports, the OCE confirmed that the campaign committee made a disbursement to American Express to pay for the credit card balance including the specific charge.		** For the 9/10/2010 disbursements, the OCE included only the \$361.29 reported to the FEC as a "gift expense"	

EXHIBIT 2

Zane Duncan <[REDACTED]>

Road to Victory

Demetrius Demus <[REDACTED]>
To: "zanejduncan@[REDACTED]" <zanejduncan@[REDACTED]>
Cc: Jimmy Rodefer <[REDACTED]>

Tue, Apr 17, 2012 at 3:16 PM

Zane

Hope you are doing well.

We are working on the reconciliation issues and have come across a couple of items. November and December 2005 have some variances which are difficult to track down without the bank activity.

Also, beginning in 2007, there are months where the deposit activity doesn't agree to the information reported on the PAC filings.

Would it be possible for you to talk with someone at Wells Fargo and see if they can give you the activity without charging fees? Would there be a member of Wells Fargo management who would be willing to help with this?

Before you pay for anything let me know, but it will be difficult to finish the reconciliation without access to the bank records.

Thanks!

Demetrius Demus | Senior Audit Associate



Certified Public Accountants | Business Advisors

608 Mabry Hood Road, Suite 300 | Knoxville, TN 37932

[REDACTED] Direct | 865.293.4836 Fax | 865.583.0091 Office

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EXHIBIT 3

Road to Victory
Detail of Variances Between FEC Report and Bank Account Activities
2005 - 2011

Date	Chk	Payee	Issue	Error Amount	Reconciling Deposits	Reconciling Checks	Investment Transfers
2005 Deposits							
7/31/2005	NA	Variance	Variance carried forward from months prior to August 2005	1,000.00			
11/17/2005	NA	Outstanding Deposits	Deposits not recorded on FEC Report, cleared bank statement in November 05	(500.00)			
11/31/2005	NA	Returned Deposit not recorded	Deposit returned on November bank statement, not recorded on FEC report	(1,000.00)	(500.00)		
2006 Deposits							
12/31/2005	NA	Outstanding Deposits	Adjust for deposits which cleared bank statement in FY 2006, but not on FEC reports	56,950.00	56,950.00		
Disbursements							
6/23/2006		American Express	Check on June Bank Statement not on FEC Report	(727.38)			
2/1/2006		Amendment adjustment	Prior period amendment change, see Feb 06 recon	1,556.00			
3/1/2006		Amendment adjustment	Prior period amendment change, see March 06 recon	1,275.00			
3/29/2006		Tennessee Conservative Union	Check on March Bank Statement not on FEC reports	(590.00)			
5/5/2006		NRCC	Check on May Bank Statement not on FEC Report	(103.64)			
5/5/2006		Will Milligan	Check on May Bank Statement not on FEC Report	(1,367.85)			
5/7/2006		Ron Lewis for Congress	Check on May Bank Statement not on FEC Report	(2,000.00)			
5/25/2006		J. D. Hayworth	Check on May Bank Statement not on FEC Report	(1,000.00)			
5/22/2006		Kahl for Congress	Check on May Bank Statement not on FEC Report	(500.00)			
6/16/2006		John Jaucan	Check on June Bank Statement not on FEC Report	(1,000.00)			
6/17/2006		Christopher Hoggins	Check on June Bank Statement not on FEC Report	(1,000.00)			
6/20/2006		Heather Wilson for Congress	Check on FEC report for \$500, cleared June bank statement for \$250	(250.00)			
6/30/2006		Service Charge	Check on June Bank Statement not on FEC Report	(1,000.00)			
7/5/2006		Mac Burris for Congress	Service Charge	(5.00)			
7/10/2006		Jim Gerlach for Congress	Check on July Bank Statement not on FEC Report	(1,000.00)			
7/10/2006		Don Walker	\$5,000 recorded for Jim Gerlach, Check is for \$1,000	4,000.00			
7/13/2006		Tennessee Republican Party	Check on July Bank Statement not on FEC Report	(454.57)			
7/19/2006		Sali for Congress	Check on July Bank Statement not on FEC Report	(2,750.00)			
7/20/2006		Reynolds for Congress	Check on July Bank Statement not on FEC Report	(1,000.00)			
7/24/2006		Josh Heddless Kingston	Check not on FEC Report	(5,000.00)			
7/24/2006		Capital Hill Club	YE writeoff for outstanding checks which did not clear by Dec	2,000.00			
7/24/2006		Knox County Republican Party	Check on July Bank Statement not on FEC Report	(1,448.70)			
7/27/2006		Robert Jennings	Check on July Bank Statement not on FEC Report	(1,500.00)			
7/27/2006		Friends of Don Sherwood	Check not on FEC Report	(1,000.00)			
7/31/2006		Lambert for Congress	Check on July Bank Statement not on FEC Report	(1,000.00)			
8/3/2006		Whalen for Congress	Check on August Bank Statement not on FEC Report	(1,000.00)			
8/7/2006		Bachman for Congress	Check on August Bank Statement not on FEC Report	(1,000.00)			
8/10/2006		Ken Calvert	Check on August Bank Statement not on FEC Report	(2,000.00)			
8/18/2006		WM Foundation and Event	Check on August Bank Statement not on FEC Report	(7,200.13)			
8/30/2006		Bank Charges	Bank Charges	(73.00)			
9/12/2006		Don Walker	Check on September Bank Statement not on FEC Report	(105.40)			
9/7/2006		Bob Corker for Senate	Check on September Bank Statement not on FEC Report	(2,100.00)			
9/8/2006		Capital Hill Club	Check on September Bank Statement not on FEC Report	(975.84)			
9/11/2006		Pilot Corporation	Check on September Bank Statement not on FEC Report	(653.00)			
9/15/2006		Christopher Hogan	Check on September Bank Statement not on FEC Report	250.00			
9/25/2006		American Express	YE writeoff for outstanding checks which did not clear by Dec	(2,479.62)			
9/28/2006		Committee to Re-Elect Ron Paul	Check on September Bank Statement not on FEC Report	1,000.00			
9/28/2006		Gilchrist for Congress	YE writeoff for outstanding checks which did not clear by Dec	1,000.00			
9/28/2006		American General Contractors of America	Check on September Bank Statement not on FEC Report	(75.00)			
9/29/2006		Splendid Fare Catering	Check on September Bank Statement not on FEC Report	(421.49)			

Road to Victory
Detail of Variances Between FEC Report and Bank Account Activities
2005 - 2011

Date	Chk	Payee	Issue	Error Amount	Reconciling Deposits	Reconciling Checks	Investment Transfers
9/30/2006		Service Charge	Bank Charges	(6.00)			
10/6/2006		John Duncan Jr. III	Check on October Bank Statement not on FEC Report	(500.00)			
10/17/2006		Jimmy Madlock for State Rep	Check on October Bank Statement not on FEC Report	(1,000.00)			
10/17/2006		Thelma Drake for Congress	Check on October Bank Statement not on FEC Report	(3,000.00)			
10/18/2006		Schmidt for Congress	Check on October Bank Statement not on FEC Report	(1,000.00)			
10/18/2006		Sodrel for Congress	Check on October Bank Statement not on FEC Report	(2,000.00)			
10/19/2006		Gierlach For Congress	Check on October Bank Statement not on FEC Report	(2,000.00)			
10/26/2006		J. D. Hayworth for Congress	Check on October Bank Statement not on FEC Report	(2,000.00)			
10/23/2006		Pyree for Congress	Check on October Bank Statement not on FEC Report	(2,000.00)			
10/24/2006		MacCollins for Congress	Check on October Bank Statement not on FEC Report	(1,000.00)			
10/24/2006		Galknecht for Congress	Check on October Bank Statement not on FEC Report	(2,000.00)			
10/26/2006		Knox County Republican Party	Check on October Bank Statement not on FEC Report	(2,000.00)			
10/30/2006		LMU Athletic Dept	Check on October Bank Statement not on FEC Report	(2,000.00)			
10/31/2006		Gutierrez for Congress	Check on October Bank Statement not on FEC Report	(750.00)			
11/1/2006		Ruhl for Congress	Check on October Bank Statement not on FEC Report	(1,000.00)			
11/2/2006		Corker for Senate	Check on October Bank Statement not on FEC Report	(2,000.00)			
11/7/2006		W&M Fundraising	Check on November Bank Statement not on FEC Report	(2,000.00)			
11/9/2006		Christopher Hogan	Check on November Bank Statement not on FEC Report	(2,000.00)			
11/9/2006		American Express	Check on November Bank Statement not on FEC Report	(2,000.00)			
11/20/2006		Capital Hill Club	Check on November Bank Statement not on FEC Report	(2,000.00)			
11/22/2006		John J. Duncan	Check on November Bank Statement not on FEC Report	(35.51)			
11/30/2006		Bank Charges	Bank Charges	(35.00)			
12/14/2006		American Express	Check on December Bank Statement not on FEC Report	(2,237.98)			
12/18/2006		Century Marketing & Communications	Check on December Bank Statement not on FEC Report	(4,767.13)			
12/19/2006		Hadbert for Congress	Check on December Bank Statement not on FEC Report	(2,602.66)			
12/26/2006		Tennessee Republican Caucus	Check on December Bank Statement not on FEC Report	(500.00)			
			YE writeoff for outstanding checks which did not clear by Dec	250.00			
			YE writeoff for outstanding checks which did not clear by Dec	(4,767.13)			
			Check on November Bank Statement not on FEC Report	(2,602.66)			
			Check on November Bank Statement not on FEC Report	(35.51)			
			Bank Charges	(35.00)			
			Check on December Bank Statement not on FEC Report	(2,237.98)			
			Check on December Bank Statement not on FEC Report	(4,767.13)			
			Check on December Bank Statement not on FEC Report	(2,000.00)			
			Check on December Bank Statement not on FEC Report	(500.00)			
			Adjust for deposits which cleared bank statement in FY 2007, but not on FEC reports	7,500.00	7,500.00		
2007						(75,576.19)	
Deposits					7,500.00		
Disbursements							
1/26/2007		American Express	Check on January Bank Statement not on FEC Reports	(163.69)			
1/30/2007		Will Milligan	Check on January Bank Statement not on FEC Reports	(1,650.00)			
3/27/2007		Washington Redskins	Check on March Bank Statement not on FEC Reports	(2,192.00)			
4/10/2007		American Express	Check on April Bank Statement not on FEC Report	(248.13)			
4/13/2007		Monroe County Boys & Girls Club	Check on April Bank Statement not on FEC Report	(200.00)			
5/21/2007		Ed Bailey Foundation	Check on May Bank Statement not on FEC reports	(300.00)			
5/22/2007		American Legion Post II	Check on May Bank Statement not on FEC reports	(200.00)			
5/24/2007		Tennessee Republican Party	Check on May Bank Statement not on FEC reports	(2,800.00)			
5/29/2007		Girl Scouts of Tarrant County	Check on May Bank Statement not on FEC reports	(1,000.00)			
6/4/2007		American Express	Check on June Bank Statement not on FEC Report	(175.55)			
6/21/2007		Sherry Witt for Registrar of Deeds	Check on June Bank Statement not on FEC Report	(2,500.00)			
6/22/2007		Dan Burson for Congress	Check on June Bank Statement not on FEC Report	(2,500.00)			
6/25/2007		JJ Jones for Cherrif	Check on June Bank Statement not on FEC Report	(1,000.00)			
7/5/2007		Friends of John Robson	Check on July Bank Statement not on FEC Reports	(250.00)			
7/16/2007		American Express	Check on July Bank Statement not on FEC Reports	(76.10)			
8/1/2007		John Durcan	Check on August Bank Statement not on FEC Report	(500.00)			
8/13/2007		American Express	Check on August Bank Statement not on FEC Report	(2,204.42)			
9/17/2007		Friends of Henry Brooks	Check on September Bank Statement not on FEC Report	(200.00)			
10/31/2007		Tennessee Confederate Union	Check on October Bank Statement not on FEC Reports	(1,000.00)			
11/7/2007		Christopher Hogan	Check on October Bank Statement not on FEC Reports	(1,000.00)			
11/8/2007		Campaign for Maryland	YE writeoff for outstanding checks which did not clear by Dec	250.00			
12/14/2007		LMU Mens Basketball	Check on November Statement not on FEC Reports	(1,000.00)			
12/14/2007		Best Buy #169	Check on December Bank Statement not on FEC Report	(1,000.00)			
12/31/2007			YE writeoff for outstanding checks which did not clear by Dec	2,074.50			
						(14,335.39)	

Road to Victory
Detail of Variances Between FEC Report and Bank Account Activities
2005 - 2011

	Date	Chk	Payee	Issue	Error Amount	Reconciling Deposits	Reconciling Checks	Investment Transfers
2008								
Investment Transfers								
	1/31/2008	NA	ASAP Withdrawal	Withdrawal for ASAP (Investments)	(1,39,400.00)			(139,400.00)
Deposits								
	12/31/2008	NA	N/A	Adjust for deposits which cleared bank statement in FY 2008, but not on FEC reports	12,500.00	12,500.00		
Disbursements								
	1/2/2008		WM Fundraising & Event Planning	Check on January Bank Statement not on FEC Reports	(500.00)			
	1/24/2008		McCullough for Congress	Check on January Bank Statement not on FEC Reports	(500.00)			
	2/11/2008		American Express	Check on February Bank Statement not on FEC Report	(68.65)			
	6/19/2008		NRCC	Check on June Bank Statement not on FEC Report	(5,000.00)			
	7/1/2008		Alaskans for Don Young	Check on July Bank Statement not on FEC Report	(1,000.00)			
	7/16/2008		American Express	Check on July Bank Statement not on FEC Report	(95.00)			
	9/10/2008		Rob Wittman for Congress	YE writeoff for outstanding checks which did not clear by Dec	1,000.00			
	12/8/2008		American Express	Check on December Statement not on FEC Report	(298.24)			
	12/17/2008		Knoellberg for Congress	Check on December Bank Statement not on FEC Report	(1,000.00)		(7,461.89)	
2009								
Disbursements								
	3/31/2009		Unitemized	Unitemized Amount on FEC Report not on Bank Statement	150.00			
	4/6/2009		Jim Tedisco for Congress	Check on April Bank Statement not on FEC Report	(500.00)			
	4/10/2009		Will Milligan Fundraising	Check on April Bank Statement not on FEC Report	(2,200.00)			
	7/30/2009		Unitemized	Unitemized Amount on FEC Report not on Bank Statement	98.00			
	8/1/2009		Christopher Hogan	Check for \$250 on bank statement, \$500 reported on FEC Report	250.00			
	8/19/2009		American Express	Check on August Bank Statement not on FEC Report	(95.00)			
	10/1/2009		Christopher Hogan	Check for \$250 on bank statement, \$500 reported on FEC Report	250.00		(2,047.00)	
2010								
Deposits								
	9/21/2010	NA	Jerry Burnette	Deposit Reported on FEC Report not on Bank Statement	(2,500.00)			
	9/21/2010	NA	Thomas Burnette, Jr.	Deposit Reported on FEC Report not on Bank Statement	(2,500.00)			
	9/21/2010	NA	Thomas Burnette, Sr.	Deposit Reported on FEC Report not on Bank Statement	(2,500.00)			
Disbursements								
	2/5/2010		WM Fundraising & Events	Check On February Bank Statement not on FEC Report	(500.00)			
	7/15/2010		Billy Long for Congress	Check on July Bank Statement not on FEC Report	(500.00)			
	8/2/2010		Christopher Hogan	Check on August Bank Statement not on FEC Report	(250.00)			
	8/4/2010		Steve King for Congress	Check on August Bank Statement not on FEC Report	(1,000.00)			
	9/23/2010		Roy Blunt	Reported on FEC Report not on Bank Statement	1,000.00			
	9/28/2010		American Express	Other Withdrawal on September Bank Statement not on FEC Report	(1,502.46)			
	10/26/2010		Sandy Adams for Congress	Check on October Bank Statement not on FEC Report	(2,000.00)			
	12/16/2010		Ashli Durcan	Check on December Bank Statement not on FEC Report	(625.00)			
2011								
Investment Transfers								
	11/4/2011		Hillard Lyons	Check on November Bank Statement not on FEC Report	(22,000.00)			(22,000.00)
Deposits								
	9/22/2011	NA	David Ballouf	Deposit Reported on September FEC Report not on Bank Statement	(450.00)			
	9/22/2011	NA	Jason Pevchuk	Deposit Reported on September FEC Report not on Bank Statement	(500.00)			
	9/22/2011	NA	National Council of Textile	Deposit Reported on September FEC Report not on Bank Statement	(500.00)			
	9/22/2011	NA	American Association for Justice	Deposit Reported on September FEC Report not on Bank Statement	(1,000.00)			
	9/22/2011	NA	FAA Managers Association	Deposit Reported on September FEC Report not on Bank Statement	(1,000.00)			
	9/22/2011	NA	Fedex PAC	Deposit Reported on September FEC Report not on Bank Statement	(1,000.00)			

Road to Victory
Detail of Variances Between FEC Report and Bank Account Activities
2005 - 2011

Date	Chk.	Payee	Issue	Error Amount	Reconciling Deposits	Reconciling Checks	Investment Transfers
9/22/2011	NA	General Electric Company PAC	Deposit Reported on September FEC Report not on Bank Statement	(1,000.00)			
9/22/2011	NA	HCA Inc. Good Government Fund	Deposit Reported on September FEC Report not on Bank Statement	(1,000.00)			
9/22/2011	NA	National Asphalt Pavement Association	Deposit Reported on September FEC Report not on Bank Statement	(1,000.00)			
9/22/2011	NA	Old Dominion Freight Line PAC	Deposit Reported on September FEC Report not on Bank Statement	(1,000.00)			
9/22/2011	NA	Tipperary Hill PAC	Deposit Reported on September FEC Report not on Bank Statement	(1,000.00)			
10/2/2011	NA	David Ballof	Deposit Reported on October FEC Report not on Bank Statement	(150.00)			
10/13/2011	NA	United Parcel Service PAC	Deposit Reported on October FEC Report not on Bank Statement	(1,000.00)			
10/19/2011	NA	Webster Charbenlain & Bean, LLP	Deposit Reported on October FEC Report not on Bank Statement	(500.00)			
11/4/2011	NA	DCT PAC	Deposit Reported on November FEC Report not on Bank Statement	(1,000.00)			
11/7/2011	NA	Cemex Inc. Employees PAC	Deposit Reported on November FEC Report not on Bank Statement	(4,925.00)			
11/8/2011	NA	Lafarge North America Cement PAC	Deposit Reported on November FEC Report not on Bank Statement	(1,000.00)			
11/10/2011	NA	Carl Newcomb	Deposit Reported on November FEC Report not on Bank Statement	(500.00)			
11/10/2011	NA	Greg Garrett	Deposit Reported on November FEC Report not on Bank Statement	(500.00)			
11/10/2011	NA	Portland Cement Association, PCA PAC	Deposit Reported on November FEC Report not on Bank Statement	(500.00)			
11/10/2011	NA	Holcim Inc Pac	Deposit Reported on November FEC Report not on Bank Statement	(1,000.00)			
11/10/2011	NA	Harry Stowers	Deposit Reported on November FEC Report not on Bank Statement	(2,000.00)			
11/30/2011	NA	Unitemized	Unitemized Deposit Reported on November FEC Report not on Bank Statement	(200.00)			
12/30/2011	NA	Trucking PAC	Deposit Reported on December FEC Report not on Bank Statement	(2,500.00)			
					(25,025.00)		

Disbursements

2/7/2011		John Duncan	Check on February Bank Statement not on Fee Report	(625.00)
4/28/2011		John Duncan	Check on April Bank Statement not on FEC Report	(625.00)
4/28/2011		John Duncan	Check on April Bank Statement not on FEC Report	(625.00)

(1,875.00)
43,925.00 (106,672.93) (161,400.00)

Balance Per FEC Report as of December 31, 2011 \$ 314,035.07
 Unreported Investment Transfers (161,400.00)
 Under Reporting of Contributions and other deposits 43,925.00
 Under Reporting of Disbursements (106,672.93)
 Actual Cash Balance as of December 31, 2011 \$ 89,887.14

43,925
 25,025
 68,950

89
 25
 114
 314
 275
 40k

EXHIBIT 4

	A	B	C	D	E	F	G	H
1	Road To Victory PAC							
2	Balance Sheets							
3								
4								
5		<u>1/1/2009</u>	<u>12/31/2009</u>	<u>12/31/2010</u>	<u>12/31/2011</u>	<u>12/31/2012</u>	<u>12/31/2013</u>	
6	Cash (reconciled):							
7	Wachovia/Wells Fargo	\$ 129,846.98	\$ 142,052.00	\$ 124,799.54	\$ 89,885.14	\$ 80,092.58	\$ 68,327.85	
8	Usbank/BankEast	-	-	-	25,025.00	33,520.00	33,848.98	
9	CD - Wells Fargo (at cost)	139,400.00	139,400.00	139,400.00	139,400.00	139,400.00	139,400.00	
10	Investment Portfolio (at cost)	-	-	-	22,000.00	22,000.00	22,000.00	
11	Other	-	-	-	995.00	1,000.00	-	
12		<u>\$ 269,246.98</u>	<u>\$ 281,452.00</u>	<u>\$ 264,199.54</u>	<u>\$ 277,305.14</u>	<u>\$ 276,012.58</u>	<u>\$ 263,576.83</u>	
13								
14								
15	Balance Per FEC Report, as currently reported	289,920.45	308,922.47	300,047.47	314,033.07	312,740.51	300,304.76	
16								
17	Variance	(20,673.47)	(27,470.47)	(35,847.93)	(36,727.93)	(36,727.93)	(36,727.93)	
18								
19	Rolling Variance							
20	Beginning of year variance		(20,673.47)	(27,470.47)	(35,847.93)	(36,727.93)	(36,727.93)	
21	Non reported disbursements		(2,295.00)	(5,377.46)	(880.00)	-	-	(8,552.46)
22	Non reported deposits		248.00	-	-	-	-	248.00
23	Deposits reported not made		(4,750.00)	(3,000.00)	-	-	-	(7,750.00)
24	Other		-	-				
25			(27,470.47)	(35,847.93)	(36,727.93)	(36,727.93)	(36,727.93)	
26								
27			0.00	-	-	-	-	
28								
29								
30								
31								
32								
33								
34								
35								
36								
37								
38								
39	Adjustments:							
40	Correction of beginning balance as of 10/01/10	(35,847.93)		(35,847.93)	(35,847.93)	(35,847.93)	(35,847.93)	
41	Adjustment to report additional 2011 mgmt	-		-	(1,875.00)	(1,875.00)	(1,875.00)	
42		-		-	-	-	-	
43		-		-	-	-	-	

RTV_0005

	A	B	C	D	E	F	G	H
44	Total Adjustments	<u>(35,847.93)</u>		<u>(35,847.93)</u>	<u>(37,722.93)</u>	<u>(37,722.93)</u>	<u>(37,722.93)</u>	
45								
46	Balance Per FEC Report, as adjusted	<u>254,072.52</u>		<u>264,199.54</u>	<u>276,310.14</u>	<u>275,017.58</u>	<u>262,581.83</u>	
47								
48	Revised Variance	<u>\$ 15,174.46</u>		<u>\$ -</u>	<u>\$ 995.00</u>	<u>\$ 995.00</u>	<u>\$ 995.00</u>	
49								
50								
51	Flush final immaterial variance through next monthly submittal							

	A	B	C	D	E	F
1	Road To Victory PAC					
2	Balance Sheets					
3						
4						
5		<u>9/30/2010</u>	<u>12/31/2010</u>	<u>12/31/2011</u>	<u>12/31/2012</u>	<u>5/31/2013</u>
6	Cash (reconciled):					
7	Wells Fargo	\$ 129,924.54	\$ 124,799.54	\$ 89,885.14	\$ 80,092.58	\$ 76,467.58
8	Usbank/BankEast	-	-	25,025.00	33,520.00	33,848.98
9	CD - Wells Fargo (at cost)	139,400.00	139,400.00	139,400.00	139,400.00	139,400.00
10	Investment Portfolio (at cost)	-	-	22,000.00	22,000.00	22,000.00
11	Other	(1,000.00)	-	1,000.00	5.00	5.00
12		<u>\$ 268,324.54</u>	<u>\$ 264,199.54</u>	<u>\$ 277,310.14</u>	<u>\$ 275,017.58</u>	<u>\$ 271,721.56</u>
13						
14						
15	Balance Per FEC Report, as currently reported	304,172.47	300,047.47	315,033.07	312,740.51	309,444.49
16						
17	Original Variance	(35,847.93)	(35,847.93)	(37,722.93)	(37,722.93)	(37,722.93)
18						
19						
20	Adjustments:					
21	Correction of beginning balance as of 10/01/10	(35,847.93)	(35,847.93)	(35,847.93)	(35,847.93)	(35,847.93)
22	Adjustment to report additional 2011 mgmt	-	-	(1,875.00)	(1,875.00)	(1,875.00)
23		-	-	-	-	-
24		-	-	-	-	-
25	Total Adjustments	<u>(35,847.93)</u>	<u>(35,847.93)</u>	<u>(37,722.93)</u>	<u>(37,722.93)</u>	<u>(37,722.93)</u>
26						
27	Balance Per FEC Report, as adjusted	<u>268,324.54</u>	<u>264,199.54</u>	<u>277,310.14</u>	<u>275,017.58</u>	<u>271,721.56</u>
28						
29	Revised Variance	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
30						
31						
32	Flush final immaterial variance through next monthly submittal					

RTV_0007

	A	B	C	D	E	F	G
1	Road To Victory PAC						
2	Account Reconciliation Summary						
3	As of May 31, 2013						
4							
5							
6							
7	Summary						
8	Balance per May 2013 FEC Report			\$ 309,444.49			
9							
10	Actual Balances:						
11	Cash		76,467.58				
12	Investments (at Cost)		<u>161,400.00</u>				
13				<u>237,867.58</u>			
14							
15	Total Variance			<u>\$ 71,576.91</u>			
16							
17							
18							
19							
20				Deposits			
21	Year	Non Reported Disbursements	Non Reported Deposits	Reported But Not Made	Other	Yearly Variance	Cumulative Variance
22							
23	2005	\$ (1,000.00)	\$ 500.00	\$ -	\$ -	\$ (500.00)	\$ (500.00)
24	2006	(75,576.19)	56,950.00	-	-	(18,626.19)	(19,126.19)
25	2007	(14,335.39)	7,500.00	-	-	(6,835.39)	(25,961.58)
26	2008	(7,461.89)	12,500.00	-	-	5,038.11	(20,923.47)
27	2009	(2,295.00)	248.00	-	-	(2,047.00)	(22,970.47)
28	2010	(5,377.46)	-	(7,500.00)	-	(12,877.46)	(35,847.93)
29	2011	(1,875.00)	-	-	-	(1,875.00)	(37,722.93)
30	2012	-	-	-	-	-	(37,722.93)
31	2013	-	-	-	-	-	(37,722.93)
32		<u>\$ (107,920.93)</u>	<u>\$ 77,698.00</u>	<u>\$ (7,500.00)</u>	<u>\$ -</u>	<u>\$ (37,722.93)</u>	<u>\$ (37,722.93)</u>

EXHIBIT 5

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

**Transcript of Interview of
Duncan for Congress Treasurer
November 6, 2017
Review No. 17-2646**

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

- 1 Helen Eisner: This is November 6, 2017. Speaking is Helen Eisner, joined by Investigative
2 Counsel Jeff Brown, this is review 17-2646, we are here with Duncan for
3 Congress Treasurer (“Campaign Treasurer”) and his counsel Heidi Abegg. We
4 have provided Campaign Treasurer a copy of the false statements and he has
5 signed the acknowledgement form. And with that, we'll go ahead and get started.
6 How are you currently employed?
- 7 Camp. Treas.: I am a real estate developer, and broker also, so, broker and developer. Is my
8 current job.
- 9 Helen Eisner: And where are you broker and developer?
- 10 Camp. Treas.: In Knoxville, Tennessee. Here in Knoxville. My company's called Schaad Brown
11 Real Estate, S-C-H-A-A-D, Brown Real Estate.
- 12 Helen Eisner: And how long have you been involved in Schaad Brown?
- 13 Camp. Treas.: We started Schaad Brown, I want to say four or five years ago, but I've been in
14 the real estate business directly out of college which was I guess sixteen,
15 seventeen years ago.
- 16 Helen Eisner: Okay. Besides your work for Schaad Brown, how else are you employed?
- 17 Camp. Treas.: I'm the, I guess I'm the campaign treasurer for Duncan for Congress.
- 18 Helen Eisner: Okay. And how long have you held that role?
- 19 Camp. Treas.: Since 2011.
- 20 Helen Eisner: Besides treasurer, do you have any other roles for the campaign committee?
- 21 Camp. Treas.: No.
- 22 Helen Eisner: Okay. And, what is your relationship with the Congressman, Congressman
23 Duncan?
- 24 Camp. Treas.: I'm his son in law.
- 25 Helen Eisner: Okay. As far as Schaad Brown, what is your ownership of that company?
- 26 Camp. Treas.: I own a third of 50%. So, is that 16.67...
- 27 Helen Eisner: We won't get there, that's fine. Close enough.
- 28 Camp. Treas.: No math on this.
- 29 Helen Eisner: And, what does your work as a broker entail, if you could go into a little bit of
30 detail about that?

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

1 Camp. Treas.: I don't do a ton of brokerage. I mainly do development. We're a company that
2 builds, we kind of have two main tenants, we build for Dollar General and
3 Advance Auto Parts. It's kind of a different little niche we have and we build
4 those all over the country. We buy land, develop, and lease to them, and build
5 those. So, I used to do a lot more brokerage as far as leasing and office space, or
6 leasing retail space, but in the past four or five years I haven't done much of that.
7 It's mainly just been on the full development side of things.

8 Helen Eisner: How many employees does Schaad Brown have?

9 Camp. Treas.: We have four partners and then six employees.

10 Helen Eisner: Of those partners, are any of them more involved in the brokerage work than you
11 are.

12 Camp. Treas.: Yes, my brother Brian.

13 Helen Eisner: Okay. And what is his role in brokerage work?

14 Camp. Treas.: We have different properties we manage and lease in Knoxville and he would be
15 in charge of leasing out properties or managing, collecting rents on those
16 properties.

17 Helen Eisner: You said, 4 or 5 properties? Is that what you just said?

18 Camp. Treas.: No, no, different properties.

19 Helen Eisner: Different properties, okay.

20 Camp. Treas.: No, different properties.

21 Helen Eisner: How many properties does Schaad Brown oversee?

22 Camp. Treas.: We hold some vacant land but then probably, he'll tell you better, but there's two
23 office buildings we lease out, there's couple of office warehouse retail spaces, I
24 would say ten or under. I'm not sure of the exact number.

25 Helen Eisner: Okay. And as far as Schaad Brown's profits, what percentage of the profits comes
26 from the brokerage portion of the work?

27 Camp. Treas.: It's low, I would say, I don't know exactly but it's probably in the 1 or 2% range.
28 Something like that.

29 Helen Eisner: Okay.

30 Camp. Treas.: Under 5% is probably a fair number to say.

31 Helen Eisner: Of the various buildings you mentioned, like you said, two office buildings, does
32 Schaad Brown own any of those office buildings? Or are they just the broker?

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- 1 Camp. Treas.: We're just the broker. We own a building in a different company name called
2 Sage Investment Company and it's, I own 20% of that. And my partners at Schaad
3 Brown each own 20% and then there's another 20% owned by another entity that's
4 a different family and a different make up, so. That's the only other, we own our
5 office building where we currently are and that's under a different name,
6 Broadway Investment Company, you may not know all this or want to know all
7 this, but, where our current office is, where we work out of is company called
8 Broadway Investment Company, we own that office and we own the Sage
9 Investment Company office also.
- 10 Helen Eisner: Okay.
- 11 Camp. Treas.: As an investment.
- 12 Helen Eisner: And what is the property that Sage owns?
- 13 Camp. Treas.: 6906 Kingston Pike.
- 14 Helen Eisner: Okay. How big is that property?
- 15 Camp. Treas.: It's a little over 16,000 square feet. An office building. I don't have the exact
16 number.
- 17 Helen Eisner: And how much profit does Sage make from that office building?
- 18 Camp. Treas.: I'm not positive, but the net income on that is around 100,000 a year.
- 19 Helen Eisner: And if-
- 20 Camp. Treas.: But that's without loans paid back. I don't know the exact after debt.
- 21 Helen Eisner: Sure. And does Brian take the lead as far as that property?
- 22 Camp. Treas.: Yes, for sure.
- 23 Helen Eisner: Okay.
- 24 Camp. Treas.: He manages and leases.
- 25 Helen Eisner: And, is his income in any way connected to that property and its leases?
- 26 Camp. Treas.: Yeah, we get a partnership income off of that, yes. As far as leasing, I mean it
27 would be a very small portion of income that I also get, too, we split profits based
28 on leases, but that's a really small portion of what we do.
- 29 Helen Eisner: And is that, even though it's a small portion, how is that profit determined? Is it
30 percentage based?
- 31 Camp. Treas.: Yeah, we would charge a leasing commission based on the percentage of the
32 lease.

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1 Helen Eisner: You talked about Duncan for Congress and your role as treasurer, do you perform
2 any work besides treasurer work, more substantive or policy work for the
3 campaign committee?

4 Camp. Treas.: I do not.

5 Helen Eisner: Okay, and who does perform that work?

6 Camp. Treas.: John Duncan, my brother in law, runs most of everything with the campaign, and
7 I kind of pay bills and collect checks as far as my role. I think that Jimmy, the
8 Congressman, together with John, and probably Bob Griffitts, in some cases
9 would handle a lot of the campaign work.

10 Helen Eisner: Okay. When you became treasurer, what was that process, how did you become
11 treasurer of the campaign committee?

12 Camp. Treas.: From memory I think Jimmy asked me if I would do it for him, I believe that the
13 current one was, it was Dean Rice, his name was Dean Rice, and I'm not sure why
14 he stepped down or if was asked to- I'm not sure the dynamics of that but he just
15 asked me if I would do it for him.

16 Helen Eisner: Okay. And do you receive a salary for that work?

17 Camp. Treas.: I do, \$350 a month.

18 Helen Eisner: And how is that set?

19 Camp. Treas.: I think Jimmy just said, I can't remember if that was what they were paying Dean,
20 and he just said "I'll pay that" and I said okay to that.

21 Helen Eisner: How many hours a week do you work for the campaign committee?

22 Camp. Treas.: It varies. I would think there's probably, I probably meet with Bob two or three
23 times a month and then collect checks, deposit, make copies of everything, and
24 then additional times if needed, but I don't know how many hours.

25 Helen Eisner: Okay. When are the times when it's busiest?

26 Camp. Treas.: Campaign time, would be, right before elections with fundraising and things like
27 that would be the busiest.

28 Helen Eisner: You said that you meet with Bob, two or three times a month, and this is Bob
29 Griffitts, I assume?

30 Camp. Treas.: Yes.

31 Helen Eisner: What are those meetings?

32 Camp. Treas.: It's mainly meeting at the post office to... All the mail for the campaign goes to
33 the P.O. box, I meet Bob there, he has some bills to pay, some charities to pay, he

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1 gives me those, and then we go through the mail that came in, and if he needs a
2 check for something I give it to him.

3 Helen Eisner: Okay. Are you the only one that can write checks on behalf of the campaign?
4 How does that work?

5 Camp. Treas.: Yes. I would guess that Jimmy probably could, too, but I'm the only one that
6 actually writes them.

7 Helen Eisner: Okay. So when you're looking at the materials that come into the P.O. box, sort of
8 aside from the process of giving out contributions, which I understand is a big
9 part of the campaign committee, but when you're looking at bills that come in and
10 invoices, what are you looking for?

11 Camp. Treas.: I don't do much of the decision making as far as those go. I hand them to Bob and
12 he either gets with Jimmy or he decides from past payments what we pay or what
13 we are willing to donate and which charities to donate to and what bills to pay, so
14 mine's more of a process of handing it off, getting an approval, then writing a
15 check at that point.

16 Helen Eisner: Okay. So, it's ultimately Bob who provides that approval?

17 Camp. Treas.: I think Bob or Jimmy. I would think it, I'm not sure once it leaves my hand if Bob
18 goes back to Jimmy to get his approval, but I typically get that approval from
19 Bob.

20 Helen Eisner: Okay. How often does Bob talk to Jimmy about invoices or charges?

21 Camp. Treas.: I would have no idea. I'm not sure.

22 Helen Eisner: Okay. So once you've gotten that approval, what happens next in the process?

23 Camp. Treas.: I'll write the check and then I make copies of the check and then invoice or
24 whatever backup is with that check. And then I mail it off and keep copies of the
25 checks.

26 Helen Eisner: Okay.

27 Camp. Treas.: Of the deposits and the checks going out.

28 Helen Eisner: Where do you keep those copies?

29 Camp. Treas.: At my office.

30 Helen Eisner: And that's at Schaad Brown?

31 Camp. Treas.: Yes. Schaad Brown. Yeah, just out of convenience. It's easier to keep the files
32 there.

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1 Helen Eisner: What about reporting disbursements, receipts to the FEC? Can you tell us about
2 that process?

3 Camp. Treas.: Sure. Every copy that I make I give to Courtney Kohlhepp. You may have heard
4 that name or seen that name, but she is in charge of reporting and putting the files
5 together for the FEC filings. So, I make copies of everything that I get and either
6 mail those to her, she used to live in Knoxville. She's moved away since. I used to
7 leave them at my office for her to pick up, but now I mail those to her and she
8 does the FEC filings.

9 Helen Eisner: Do you review the FEC filings before they're filed?

10 Camp. Treas.: No. Not before they're filed I don't.

11 Helen Eisner: Okay.

12 Camp. Treas.: Typically.

13 Helen Eisner: And when Courtney receives that information, what conversations do you have
14 about what she has received?

15 Camp. Treas.: She'll call, follow up questions if she has any, if she's missing any documents or
16 needs bank statements or things like that. She'll call and follow up, but I got to
17 wait for her to tell me what she needs.

18 Helen Eisner: Okay. How often does she ask you questions about how to describe a particular
19 expenditure to FEC?

20 Camp. Treas.: Not often as far as ... I don't know if I've gotten many of those questions from her
21 about how to describe expenditures.

22 Helen Eisner: Okay.

23 Camp. Treas.: It's mainly dealing with missing bank statements or this check number. I don't
24 have what this check number is for and what is that?

25 Jeff Brown: How frequently does she get a pack of materials from you?

26 Camp. Treas.: Probably once a month. Sometimes twice a month depending on if there's a report
27 coming out, but then she'll ask for that could be twice a month, but it's simply
28 once a month or so.

29 Jeff Brown: And then typically, you know, how many times in a cycle do you guys have a
30 conversation about the packet that you sent?

31 Camp. Treas.: She usually calls before filings, so any time before filings if she's got something, a
32 deadline coming up, she'll call and ask for the missing information if there is any.

33 Jeff Brown: Okay.

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- 1 Helen Eisner: How aware are you of the campaigns disbursements?
- 2 Camp. Treas.: I mean, I write all the checks for the ... I mean I write the checks for
3 disbursements. I'm fairly aware of them.
- 4 Helen Eisner: Okay. The campaign has a bank account?
- 5 Camp. Treas.: Mm-hmm.
- 6 Helen Eisner: Okay. Who's authorized to access that bank account?
- 7 Camp. Treas.: I think Bob and I are.
- 8 Helen Eisner: Okay. Start showing you some documents. This is DFC_0435. Those are bates
9 numbers just for keeping track of evidence. This is a check and this is just very
10 straightforward question. This is a check from Duncan for Congress. At the
11 bottom, there's a signature. Whose signature is that?
- 12 Camp. Treas.: That's mine. That's hard to read.
- 13 Helen Eisner: Okay. Yeah. That's a ... I'm not sure I would have gotten that without clarification.
- 14 Camp. Treas.: Yeah.
- 15 Helen Eisner: Thank you.
- 16 Camp. Treas.: There's a J and an A in it so.
- 17 Helen Eisner: I see.
- 18 Camp. Treas.: A sort of B there.
- 19 Helen Eisner: Okay. Does the campaign committee have a credit card?
- 20 Camp. Treas.: Yes, they do.
- 21 Helen Eisner: Okay, and what credit card is that?
- 22 Camp. Treas.: It's American Express.
- 23 Helen Eisner: And whose name is that under?
- 24 Camp. Treas.: I think it's under Jimmy's name, John Duncan Junior.
- 25 Helen Eisner: Okay. And who is authorized to make purchases for that credit card?
- 26 Camp. Treas.: Jimmy has a credit card and then his son John has a credit card. And those are the
27 only two cards that are. . .
- 28 Helen Eisner: Why does John have a credit card?
- 29 Camp. Treas.: I'm not sure. I think Jimmy gave him a credit card to handle campaign related
30 activities around Knoxville.

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1 Helen Eisner: Do you know how long John has had a credit card for the campaign?

2 Camp. Treas.: I would say 3 or 4 years, would be a guess.

3 Jeff Brown: Before John came on and took over the campaign role, did anyone else have it?

4 Camp. Treas.: I think Bob may have had it then. I'm pretty sure Bob had it at that point.

5 Helen Eisner: This is AMEX 1119 through 1128. If you turn to page 3, and I should say this is a
6 statement with a closing date of August 23rd, 2017. So, this is a very recent
7 statement and this is just, my understanding, there are two different numbers
8 there.

9 Camp. Treas.: Mm-hmm.

10 Helen Eisner: There is one credit card that's [REDACTED] and one that's [REDACTED]. Which card is which?

11 Camp. Treas.: I believe that the Jimmy's card is [REDACTED] and John's would be [REDACTED].

12 Helen Eisner: Okay. So, this statement, it's split into two sections. You can see the first charges
13 are for the first card of [REDACTED] and then the second [REDACTED], so everything below
14 [REDACTED], those are all charges that were made on John Duncan the third's credit
15 card?

16 Camp. Treas.: Yes.

17 Helen Eisner: Okay. When you ... So, who receives this statement, the American Express?

18 Camp. Treas.: I do, at the P.O. box.

19 Helen Eisner: At the P.O. box?

20 Camp. Treas.: Mm-hmm.

21 Helen Eisner: And what do you do when you receive an American Express statement?

22 Camp. Treas.: Well typically before, I think Bob's no longer doing things with the campaign, but
23 before that, Bob would review the charges and approve them or ask Jimmy about
24 any charges that he questioned. And then I would call or text John for receipts,
25 usually for restaurant backup receipts or questions about charges that were, that I
26 didn't know what they were. So, I would put in those requests for backup receipts
27 for those.

28 Helen Eisner: Okay. So, you'd ask for a backup receipt when you had a question?

29 Camp. Treas.: Yeah. I ask for backup receipts for John for all restaurant charges. Any restaurant
30 charges. Things like Microsoft Office bills. Those were office related. I think an
31 AT&T recurring payments. I didn't ask for those. Those were the phone and
32 internet at the office. But anything that was, mainly restaurant bills, I would ask
33 for backup on those. Backup receipts.

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- 1 Helen Eisner: Would you ask for backup receipts from the Congressman for restaurant charges?
2 Camp. Treas.: I typically do not.
3 Helen Eisner: Okay. Why did you ask John for those?
4 Camp. Treas.: I think Bob asked me to ask him for those.
5 Helen Eisner: Okay. And why did Bob ask you to ask him?
6 Camp. Treas.: I'm not sure why. He just asked me. He said, "Make sure you get copies of
7 receipts."
8 Helen Eisner: Is there something about John and his expenditures that required the receipts?
9 Camp. Treas.: No. I don't think so. I think it's just as far as bookkeeping, my guess is John kept
10 more receipts than Jimmy did as far as lunch receipts. But I would just ask him
11 for those.
12 Helen Eisner: Okay. And where are those receipts?
13 Camp. Treas.: I kept as many as I have in my office.
14 Helen Eisner: Did John provide the receipts?
15 Camp. Treas.: Typically he provides most all. There are some charges where I don't have those
16 receipts, but he provided a lot of them.
17 Helen Eisner: What percentage of the time would he provide a receipt for a restaurant expense?
18 Camp. Treas.: I think I would say if I asked for those receipts, he would give me some receipts
19 100% of the time, but they wouldn't be 100% complete, if that makes sense.
20 Helen Eisner: It wouldn't be 100% of the receipts.
21 Camp. Treas.: Right.
22 Helen Eisner: But he'd give you some of the receipts?
23 Camp. Treas.: Correct.
24 Helen Eisner: Was there anything particular about the receipts that were missing? Anything that
25 stands out?
26 Camp. Treas.: No, no, certain receipts, just sometimes he wouldn't have certain restaurant
27 receipts.
28 Helen Eisner: Okay.
29 Jeff Brown: Did those receipt, if they were ... and I think you said food was sort of the focus ...
30 would they have like some sort of notation?

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- 1 Camp. Treas.: Yeah, they would have a note of who he had lunch with that day.
- 2 Jeff Brown: And you said that you keep those receipts in your office?
- 3 Camp. Treas.: Yes.
- 4 Jeff Brown: Are those documents that would eventually be sent to Courtney?
- 5 Camp. Treas.: I don't know if I sent copies of those receipts or not to Courtney. I think sent ... I
6 know I sent the American Express bills, not sure if those receipts go to Courtney
7 or not, I don't remember if I made copies of those and sent them or just have
8 copies of those in my office.
- 9 Helen Eisner: You said that Bob is no longer doing things for the campaign. When did that
10 happen?
- 11 Camp. Treas.: I think when Jimmy announced his retirement. Bob stopped the campaign role.
- 12 Helen Eisner: Okay. Was there any reason for that?
- 13 Camp. Treas.: I don't know.
- 14 Helen Eisner: Okay. Got it. Was there any type of conflict or ... ?
- 15 Camp. Treas.: I don't think so, I think he just ... I think ... I just think he stopped, I'm not sure.
- 16 Helen Eisner: Okay. Going to show you a DFC ... this is 0453, this is just the front page of an
17 American Express statement from August 23 of 2013. Here you go. And, on the
18 front of this statement there's a hand written notation, "okay, per JJD."
- 19 Camp. Treas.: Yes.
- 20 Helen Eisner: What does that mean?
- 21 Camp. Treas.: Okay, per the Congressman, John J Duncan.
- 22 Helen Eisner: Okay, and who would have written that?
- 23 Camp. Treas.: That's probably Bob's handwriting.
- 24 Helen Eisner: And what is that indicate?
- 25 Camp. Treas.: That Bob took the bill and went to check some charges with the Congressman and
26 the Congressman okayed those charges.
- 27 Helen Eisner: Ah, how often would Bob check with the Congressman on charges?
- 28 Camp. Treas.: Um, when we got bills, I think he would check probably ... if he ever had a
29 question he would check, if he didn't know what the charge was, but ... maybe
30 50% of the time he didn't know what a charge was, and he would go back to the
31 Congressman and check that.

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1 Helen Eisner: Okay.

2 Jeff Brown: Would ... would Bob then get receipts?

3 Camp. Treas.: I'm not sure, I didn't get receipts from Bob or the Congressman. I think he just got
4 approval.

5 Jeff Brown: Okay. So if there were ... if there were receipts in your files, they'd be for John ...

6 Camp. Treas.: For John's charge yeah.

7 Jeff Brown: John, okay.

8 Helen Eisner: If ... a little bit more background, as far as travel, when there are expenditures for
9 travel on behalf of the campaign, what's the process for approving a travel
10 expenditure?

11 Camp. Treas.: I don't have any process other than if it's on the American Express, I pay the bill,
12 there may be a process between John and Jimmy on the approval of that, but I
13 don't get into that.

14 Helen Eisner: Is there any type of advance approval that's necessary for travel expenditure?

15 Camp. Treas.: I'm not sure, not for me, but ...

16 Helen Eisner: Okay.

17 Camp. Treas.: Maybe for them.

18 Helen Eisner: In the case of travel, was there any type of policy for receiving invoices or
19 receipts?

20 Camp. Treas.: Again, not, not for me, but maybe from them ... not for me.

21 Helen Eisner: If they received the receipts would you see them?

22 Camp. Treas.: I think if John had receipts and I asked for receipts he would, he would give those,
23 but I don't ...

24 Helen Eisner: Okay.

25 Camp. Treas.: I never ... the travel was something I wasn't part of the approval or the whole
26 process at all.

27 Helen Eisner: Okay.

28 Camp. Treas.: Just paying the bills.

29 Helen Eisner: For anyone besides John, would you receive invoices or receipts for travel?

30 Camp. Treas.: Yeah, I think Courtney had a couple travel where she would go to conferences for
31 FEC filing information. So she would send receipts for those.

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- 1 Helen Eisner: Okay. As far as Courtney, I know you talked a little bit about occasionally having
2 conversations when ...
- 3 Camp. Treas.: Mm-hmm (affirmative)-
- 4 Helen Eisner: Had a question. How often did Courtney raise concerns with you about specific
5 expenditures on the credit card statements?
- 6 Heidi Abegg: Can you rephrase? I don't know that he said she ...
- 7 Camp. Treas.: Yeah, I don't think I ever said she raised concerns ...
- 8 Helen Eisner: No, no, no, I'm asking this as a question, a new question.
- 9 Camp. Treas.: Okay.
- 10 Helen Eisner: Because I know you talked about you would occasionally speak with Courtney ...
- 11 Camp. Treas.: Sure
- 12 Helen Eisner: About specific questions that she might have ...
- 13 Camp. Treas.: Sure
- 14 Helen Eisner: So, during those conversations, how often would she raise concerns with you?
- 15 Camp. Treas.: I don't think that she ever raised a concern, she would always ask for missing
16 documentation, but, I don't ... I can't remember a time that there was ever a
17 concern raised.
- 18 Helen Eisner: And when she asked for missing documentation, what was that in reference to?
- 19 Camp. Treas.: Mainly if bank statement typically if it was in the beginning of the month and
20 banks – she didn't have a copy of a bank statement from the previous month, it
21 hasn't been delivered to the P.O. Box yet, she would want me to go check the P.O.
22 Box and see if there was an updated bank statement there. Sometimes missing
23 check numbers if she didn't have them, she could pull up the bank statements, and
24 if they weren't on the bank statements, then she would ask for copies of the
25 missing checks, or when they were written and what they were.
- 26 Helen Eisner: Okay. What concerns has Courtney raised about expenditures made by John
27 Duncan the third on the campaign credit card?
- 28 Camp. Treas.: I haven't heard any concerns from Courtney.
- 29 Helen Eisner: Okay.
- 30 Camp. Treas.: To me.
- 31 Helen Eisner: Have you heard from anyone else that she's raised concerns?

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- 1 Camp. Treas.: No.
- 2 Helen Eisner: Oh, what about to Bob Griffiths? To your knowledge has Courtney spoken with
3 Bob Griffiths about John Duncan III's expenditures?
- 4 Camp. Treas.: Potentially, I think so, if I couldn't get copies of something she would call Bob for
5 copies or she and Bob talk some, but I didn't know, but, I'm not sure if there's ever
6 a concern for expenditures.
- 7 Helen Eisner: Okay. Was there ever a time when Courtney heard ... directly from Courtney, or
8 from somebody else that Courtney had seen John Duncan III out to dinner with
9 his wife and that that expenditure had then appeared on the credit card?
- 10 Camp. Treas.: Not that I know ... no, I've never, I can't remember a time where Courtney ever
11 said anything about that.
- 12 Helen Eisner: What about an anniversary dinner?
- 13 Camp. Treas.: No, not that I know of.
- 14 Helen Eisner: Okay. Besides Courtney, were you aware of any dinners or meals that John
15 Duncan III had with his wife that appeared on the credit card statement?
- 16 Camp. Treas.: No.
- 17 Jeff Brown: You indicated that Bob Griffiths had asked you to speak with John about making
18 sure you got receipts from him. Do you know what prompted that conversation?
- 19 Camp. Treas.: I do not.
- 20 Jeff Brown: Do you recall any details of that conversation?
- 21 Camp. Treas.: I think they were just conversations every month when we got the American
22 Express, just make sure you get the backup receipts from John on those restaurant
23 expenditures.
- 24 Jeff Brown: Okay.
- 25 Camp. Treas.: It wasn't ... I think it wasn't a one-time conversation, it was just a, every month
26 when charges showed up on American Express, he just said, "make sure you get
27 back up receipts for those."
- 28 Jeff Brown: Did Bob ever indicate that he had learned of some charges on the campaign credit
29 card that may have been personal charges by John Duncan III?
- 30 Camp. Treas.: Never indicated that to me.
- 31 Helen Eisner: When you did receive the receipts, related to meetings that he was holding. Who
32 was meeting with? This is John Duncan III.

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1 Camp. Treas.: I would have to pull the receipts up, I don't remember exactly, I think they were
2 different community leaders and supporters. I could remember some state
3 legislators. I would have to look at the receipts, I think I gave the receipts that I
4 had, that were, there were names on the top, those were the people. If you named
5 the names, I could tell you probably who they were, but without looking at them I
6 don't remember.

7 Helen Eisner: And how frequently would he have meals with those types of individuals?

8 Camp. Treas.: I mean, there were restaurant charges every month on the American Express bills,
9 so I would guess, a couple times a month, two, three, or maybe even more if there
10 were restaurant receipts on there.

11 Helen Eisner: What about occasions on which he ate alone at a restaurant and charged that
12 expense to the credit card? Are you aware of any of those?

13 Camp. Treas.: I'm not.

14 Helen Eisner: Okay.

15 Jeff Brown: Would you ever get receipts that was just a solo meal purchased by John?

16 Camp. Treas.: Not that I can recall.

17 Helen Eisner: Did you ever have any conversations with the Congressman about John Duncan
18 III's spending practices?

19 Camp. Treas.: I don't ... not that I can remember.

20 Helen Eisner: Okay. What about you personally, were you ever concerned about John Duncan
21 III's spending practices?

22 Camp. Treas.: No, not as far as those expenditures go, I don't think there was any concern.

23 Helen Eisner: What about other expenditures?

24 Camp. Treas.: No, I don't know, I phrased that wrong. There's nothing, no concern that I had
25 with those.

26 Helen Eisner: Okay. You mentioned an AT&T bill.

27 Camp. Treas.: Mm-hmm (affirmative)-

28 Helen Eisner: What was that for? I think you talked about that earlier.

29 Camp. Treas.: I think it's the phone, the internet charge at the campaign office.

30 Helen Eisner: Okay. Did John have a campaign funded cell phone?

31 Camp. Treas.: I'm not sure. I think the AT&T charge was the land line and the internet at the
32 office, but ...

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- 1 Helen Eisner: Okay.
- 2 Camp. Treas.: I'm not sure about the cell phone. I never saw a separate bill, if there was a cell
3 phone bill.
- 4 Helen Eisner: Okay. Did Courtney ever raise concerns with you about a cell phone or AT&T
5 bill?
- 6 Camp. Treas.: Not that I can remember.
- 7 Helen Eisner: Okay. When you said campaign office, where the AT&T charges stemmed from.
8 What campaign office are you referring to?
- 9 Camp. Treas.: That's 6906 Kingston Pike.
- 10 Helen Eisner: Okay.
- 11 Camp. Treas.: The AT&T charge may have started at his previous campaign office. It was 111
12 Center Park Drive.
- 13 Helen Eisner: Okay.
- 14 Camp. Treas.: I don't know if the service ... I think the AT&T service was set up there first,
15 when he first rented space there. And then, transferred over to 6906.
- 16 Helen Eisner: Let me break that down a little bit more. During your time as treasurer, where has
17 the campaign committee had offices?
- 18 Camp. Treas.: 111 Center Park Drive and 6906 Kingston Pike.
- 19 Helen Eisner: Okay. And, what were the time periods for that?
- 20 Camp. Treas.: If you don't hold me to the exact, I think it was June or July of '13, was the start of
21 the 111 Center Park. And, that was a year lease. And after that year lease was up,
22 they moved to 6906. So, it would have been probably August 2014. And that's
23 where they are currently.
- 24 Helen Eisner: Before June or July of 2013, where was the campaign located?
- 25 Camp. Treas.: I don't think they had an office.
- 26 Helen Eisner: Why not?
- 27 Camp. Treas.: I'm not sure. Other than it was, I think they were gearing up for a harder campaign
28 at that point at the beginning of 2013. They had a self-storage rental to store signs,
29 and different things. And then they dropped that when they got the office because
30 I think they just stored signs at the office.
- 31 Helen Eisner: Okay.
- 32 Camp. Treas.: And other campaign material.

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- 1 Helen Eisner: When did you learn that the campaign was going to move on from just the storage
2 space and was going to start looking for office space?
- 3 Camp. Treas.: I don't remember exactly. It would have been close to the June of 2013. Or July. It
4 didn't take long to get an office space, so I would have been around that time.
- 5 Helen Eisner: And who told you that the campaign needed office space?
- 6 Camp. Treas.: I think Jimmy called me to ask about it.
- 7 Helen Eisner: And, what did he say during that phone call?
- 8 Camp. Treas.: Can you find us an office space for the campaign. Again, that was more of my
9 role of a broker at that time.
- 10 Helen Eisner: Did he say, during that call, why office space was needed?
- 11 Camp. Treas.: No. Not that I can remember.
- 12 Helen Eisner: Okay.
- 13 Jeff Brown: What did he say he was looking for in terms of office space?
- 14 Camp. Treas.: Just a small, two room office. Maybe a little conference area. Something small. I
15 don't remember the exact details of it.
- 16 Jeff Brown: With the thought being who would be located there, and what sort of activities
17 would occur there?
- 18 Camp. Treas.: Again, I don't think he said. I think he said, I would like to have a campaign
19 office. I don't think he got into who would be located there.
- 20 Helen Eisner: Okay. And, was there a thought that you would be working out of that campaign
21 office?
- 22 Camp. Treas.: No, I don't think so. I think initially I ... We were in an office space on Executive
23 Park. This may be more information than you need. But, we were in office space
24 on Executive Park, and I tried to rent one, kind of across the court yard from us.
25 Because, I thought, I may be going by there to collect checks. Or check checks
26 back and forth. So, I tried to rent something close to where we were. But, I don't
27 think that was the thought that I was going to be there much.
- 28 Helen Eisner: Okay.
- 29 Camp. Treas.: Other than picking up and dropping off things.
- 30 Helen Eisner: So, what was the thought of who would occupy that space?
- 31 Camp. Treas.: I think John was going to occupy that space, because he was going to take over ...
32 Or he may have already, at that time, taken over the majority of the campaign.

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1 Helen Eisner: And, who did he take over from?

2 Camp. Treas.: Take over, I guess there wasn't really a big campaign push before that. Jimmy's
3 been in Congress almost 30 years and did not have a lot of tough elections before
4 that. So, there wasn't as much of a need for a campaign. Somebody to run his
5 campaign. And this one was getting a little more serious that he needed someone
6 to run the campaign at that point.

7 Helen Eisner: Okay. Focusing on that particular space. The 111 Center Park Drive. Who owns
8 that property?

9 Camp. Treas.: It's a company called Keenland Heights.

10 Helen Eisner: And, what is the relationship between Keenland Heights and Schaad Brown?

11 Camp. Treas.: No relationship. I think ... Well, my partner, Michael Schaad, his cousin Louis
12 Schaad, and Louis' sister owned that building, but there's no financial interest
13 from any of us in that building.

14 Helen Eisner: Did you have any type of brokerage relationship?

15 Camp. Treas.: We did. We brokered ... We leased space there. We didn't manage the building.
16 We didn't collect rent of anything that we were in charge of leasing the space for
17 Keenland Heights.

18 Helen Eisner: Okay. And, who was involved in the process of finding office space?

19 Camp. Treas.: I think just me at that point. Initially, I was in charge of finding office space, and
20 again, we were in a building, the address was 9047 Executive Park Drive. And,
21 there was an ... I knew there was an empty office across the courtyard, so I called
22 the building manager there and tried to lease a space there. And then, at that point,
23 it didn't work out. And I think I called my brother and said, hey, do you have any
24 space at 111 Center Park? And, he did. And that was the lease from there.

25 Helen Eisner: And this was Brian that you called?

26 Camp. Treas.: Brian, yes.

27 Helen Eisner: Okay. Let me show you another document, which is JD_0090 through 0091. So,
28 I'm mostly focused on this bottom email exchange between you and John Duncan.
29 And that's John Duncan the third?

30 Camp. Treas.: Correct.

31 Helen Eisner: Okay. The first sentence there to you is, "Campaign Treasurer, the office space
32 just needs to be small." Why did the office space need to be small?

33 Camp. Treas.: I think we weren't going to have ... I think he wasn't planning on having a lot of
34 people working in there at that point.

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- 1 Helen Eisner: He says, "I'd prefer to be out West." Do you know why he wanted it to be out
2 West?
- 3 Camp. Treas.: I'm not sure.
- 4 Helen Eisner: When you say there weren't going to be a lot of people working out of it, who else
5 was going to be working out of it?
- 6 Camp. Treas.: John was the only one that I knew would be working out of it. And then I just saw
7 that back, and I don't have it there. Or, I didn't have this email when I think I was
8 sending everything I had. But, I didn't ... I couldn't find this one. But, I didn't
9 remember showing that many spaces to him, but I guess we did. All these
10 different suggestions.
- 11 Helen Eisner: When you did search for emails related to our request, how did you go about that
12 process?
- 13 Camp. Treas.: We use Microsoft Office Exchange. And then, I searched ... I think I searched
14 Duncan for Congress. I searched John Duncan. I searched ... I'm not sure what
15 else. That was mainly the ones we searched. Just John Duncan and ... Or, that I
16 searched.
- 17 Helen Eisner: Okay. And, would this have been to your Schaad Brown account? Or to a
18 personal email address?
- 19 Camp. Treas.: I would guess Schaad Brown.
- 20 Helen Eisner: Is there an office email retention policy as far as emails?
- 21 Camp. Treas.: We don't have one at our office, no.
- 22 Helen Eisner: Okay, okay. So, this email just didn't appear when you searched?
- 23 Camp. Treas.: It didn't appear. I could have deleted it since, I'm not sure. I just-
- 24 Helen Eisner: Would there be any other emails similar to this that you might have in your
25 possession, or Schaad Brown might have?
- 26 Camp. Treas.: Not that I know of. I think I've given you everything, all the ones that I've
27 searched and could find.
- 28 Helen Eisner: Okay. So, the second page there. 0-0-9-1 it reads, "The space will be leased from
29 dad's campaign. And I would do some work for him out of it. I'm also going to be
30 partnering with Jeff Hagood on some consulting." When he said the space will be
31 leased from dad's campaign, was that the first time you heard that it would be
32 leased for the campaign? Or, did you already know that?
- 33 Camp. Treas.: I think Jimmy told me he wants to lease a campaign office before this. And, that's
34 probably what prompted looking into these office ... These different locations.

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1 Because I think the first call came from memory, came from Jimmy that he
2 wanted to lease a campaign office.

3 Helen Eisner: Who is Jeff Hagood?

4 Camp. Treas.: He's a local attorney in town.

5 Helen Eisner: Okay. And, when John says, "I'm also going to be partnering with Jeff Hagood on
6 some consulting." What did he mean by that?

7 Camp. Treas.: I have no idea. I didn't ... I don't know if that ever panned out, or if anything
8 happened with that.

9 Helen Eisner: Okay. Why did he mention that in relation to this office?

10 Camp. Treas.: I have no idea.

11 Jeff Brown: Did the office end up being solely related to Duncan for Congress? Or did John
12 do other work out of the office as well?

13 Camp. Treas.: You know, I'm not sure. I wasn't ... I've been to that office probably two or three
14 times in my life. I just don't have a need to go by there. So, I'm not sure. I think
15 John was there every day. He'd be able to answer that better. You may have
16 already asked him, I don't know. But, he'd be able to answer that better for you. I
17 just wasn't over there on a day to day basis.

18 Jeff Brown: When you were there, was there any sort of like, Duncan for Congress signage?

19 Camp. Treas.: I don't think we have any Duncan for Congress signage there. I think John didn't
20 want Duncan for Congress signs for- There's some heated campaigns and I think
21 that he didn't want the location to be publicly known other than the supporters that
22 needed to come by and grab campaign material.

23 Helen Eisner: Why wouldn't he want it to be publicly known?

24 Camp. Treas.: I think there's some ... there's people that just don't like members of Congress and
25 don't like Jimmy. And I think there's no security at the building, so I think there
26 could have been just a fear of ... There's some crazy people, obviously, we've seen
27 that can go attack and do things. And there's, again, not security there like there is
28 in the Congressman's district offices and Washington offices. So I think he just
29 wanted to keep that as close as possible to-

30 Helen Eisner: On the occasions you were there, what was the reason that you attended or went
31 to the office?

32 Camp. Treas.: It would probably be a pick up, pick up a check, maybe to pick up something.
33 And then I remember one meeting; I don't remember what it was for but I
34 remember there was a meeting with John, Jimmy, Bob, and Zane at one point, and
35 I don't remember what we discussed. I just remember sitting around the

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1 conference table in the office. And again, I've probably been there two or three
2 times a month.

3 Helen Eisner: When you were there, what did the office look like?

4 Camp. Treas.: It's got two separate office set up with the desks, and then it's got a storage closet
5 that has a lot of the campaign material and signs, hats, whatever the campaign
6 has. And there's a little reception area out front; I think, a couple of seats, maybe.

7 Helen Eisner: And I guess we should clarify. Are you talking about 111 Center Park Drive or
8 6906?

9 Camp. Treas.: I'm sorry. I'm talking about 6906. 111, I don't know, I don't know that I ever ...
10 I'm sure I saw it, but I can't remember what it even looked like.

11 Helen Eisner: Okay. When you were there, you mentioned the desks. Was anyone else besides
12 John working out of the office?

13 Camp. Treas.: Not at the times I was there.

14 Helen Eisner: Okay.

15 Jeff Brown: John mentions in this email doing some consulting work. Do you know if he ever
16 ended up ...?

17 Camp. Treas.: I don't know that's ... I don't know if that panned out, if he did that or not.

18 Jeff Brown: Does American Public Strategies, does that mean anything to you?

19 Camp. Treas.: That's John's company. That's who gets the payments for running the campaign,
20 American Public Strategies. I think he set up ... I don't know if that's an LLC or a
21 partnership or what.

22 Jeff Brown: Do you know if American Public Strategies does any sort of work besides work
23 for Duncan for Congress?

24 Camp. Treas.: I'm not sure.

25 Jeff Brown: Do you know if, when John is referring to Jeff Hagood and consulting, he's
26 talking about American Public Strategies?

27 Camp. Treas.: No, I think John's the sole proprietor of American Public Strategies because all
28 the taxes go just directly to John's social security number. Or I think it's ...
29 American Public Strategies is John.

30 Jeff Brown: Does John have any separate space for whatever business he operates?

31 Camp. Treas.: I'm not sure. He's in real estate now and I'm assuming he has a real estate office
32 somewhere, not at 6906.

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1 Helen Eisner: How do you know that it's a sole proprietorship and know about this tax
2 information regarding American Public Strategies?

3 Camp. Treas.: Because I've got to do the tax reporting at the end of the year, and I need to pull
4 all contract labor and send the 1099s out on those.

5 Helen Eisner: In this same email, after discussing going into partnering with Jeff Hagood on
6 some consulting, he then says, "He has room in his office at Riverview, but I'd
7 prefer to be on my own and out west." Do you know why he wanted to be out
8 west?

9 Camp. Treas.: No, I think for convenience. I think it's easier to get around out west than coming
10 downtown and parking in a parking garage and going up the elevator. I think it's
11 just ... my guess is it's a convenience factor. And then if they had any campaign
12 materials, it'd be easier for somebody to come by an office out west than it would
13 be to park downtown in parking garage and pay to park, and come up to get the
14 things. So I think a convenience factor.

15 Helen Eisner: Okay. He says he has room in his office at Riverview. Was he considering doing
16 campaign related work at Jeff Hagood's office?

17 Camp. Treas.: I'm not sure. I'm not sure if that was for the consulting business they were
18 thinking of or- Not positive.

19 Helen Eisner: It seems like he's talking about this consulting business and this potential
20 partnership that may not have eventually happened with Jeff Hagood, but was
21 there any consideration that this consulting work would occur at this office out
22 west where he wanted to find space?

23 Camp. Treas.: Not that I know of.

24 Helen Eisner: Okay. Did you have any conversations with him at the time about his desire to
25 open a consulting business?

26 Camp. Treas.: Not that I can remember. Potentially, but not that I- I don't remember any
27 conversations.

28 Helen Eisner: Okay. What was his employment situation at that point in time?

29 Camp. Treas.: I think he was working full-time at the campaign.

30 Helen Eisner: I'll just pause this. Okay, we're back on the record here with Campaign Treasurer,
31 and we were talking about whether or not you had had any conversations with
32 John about starting a consulting business and what his employment situation was
33 at that point in time.

34 Camp. Treas.: I don't remember any conversations about a consulting business, and I think at
35 this point he was working full-time for the campaign.

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- 1 Helen Eisner: Okay. And what had he been doing previously, professionally?
- 2 Camp. Treas.: He was a Knox County trustee, at one point was his job. And then this was his
3 next job after that, I believe.
- 4 Helen Eisner: Okay. I'm sure you know there's a series of emails here, SI-0063 through 0068.
5 And this appears to be an email exchange you had with someone named Kathleen
6 Festa?
- 7 Camp. Treas.: Yes.
- 8 Helen Eisner: And who is that?
- 9 Camp. Treas.: She was the property manager for the office at 9047 Executive Park that we were
10 renting there and, again, I was trying to rent the space coming across the
11 courtyard from us for Duncan for Congress.
- 12 Helen Eisner: And you didn't eventually end up renting that space?
- 13 Camp. Treas.: No. From memory or from notes, it was ... I thought she became a little difficult
14 to deal with, and so I think that's I called Brian and said, "Hey, does Louis Schaad
15 have anything over at 111 Center Park?" And I knew Louis was easy to deal with,
16 so it was probably a convenience for me not to spend much more time doing it,
17 and renting from Louis who I knew it would be easy to rent from.
- 18 Helen Eisner: Part of this exchange - and I'll certainly give you more time to look at it if you
19 need that-
- 20 Camp. Treas.: No. I remember it.
- 21 Helen Eisner: -is the second page of this packet, maybe it's the third page, SI-0065. There's a
22 conversation about sending financials.
- 23 Camp. Treas.: Mm-hmm (affirmative).
- 24 Helen Eisner: You say "We won't send financials. The owners can make a decision on Duncan
25 for Congress." What are financials?
- 26 Camp. Treas.: Usually when you rent a space, you want to see how strong the tenant is, if they
27 have their balance sheet in order and things like that. This is a local owner. This
28 was actually, the local owner would have definitely known Duncan for Congress
29 and I thought it was a ridiculous request, frankly-
- 30 Helen Eisner: Okay.
- 31 Camp. Treas.: -to send financials on Duncan for Congress with our perennial little small office
32 space.
- 33 Helen Eisner: How common is it to not require financials?

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1 Camp. Treas.: It's just an owner preference. I think if you're spending a lot of money on a space
2 to remodel it, you would want to address financials. If you're just leasing a space
3 as is, I don't know if it matters as much, your financials. So it's just ... I can't say
4 it's common or not common. It depends on the situation, I think.

5 Helen Eisner: Was that part of the reason you didn't end up renting this property?

6 Camp. Treas.: This was- Kathleen worked for a company. We left, she came in to work for
7 Capital Commercial Real Estate; that was our old company. And I think there was
8 some bad feelings there to begin with. And I thought she was being a little
9 ridiculous on some of her requests for financials and then wanting to limit the
10 scope of work in the business. So that was ... I just got tired of dealing with her,
11 frankly.

12 Helen Eisner: Okay. Understood. The second part that you mentioned about limiting the scope,
13 what was that limitation clause?

14 Camp. Treas.: Let me read this again. She wanted to limit the scope to fundraising and
15 promotion, which frankly, probably would have been fine. I just ... at this point, I
16 was kind of dealing with her, so I went back and just said this should have a
17 general office use clause-

18 Helen Eisner: Okay.

19 Camp. Treas.: -and she started talking about competing businesses, and I said, "I doubt anybody
20 else is running for Congress that's going to rent space there." I decided- I think
21 fundraising and promotion would have been fine, but in our history when we used
22 to lease spaces there, we never put in a specific use clause, so I thought she was
23 going overboard.

24 Helen Eisner: Was there any consideration that the work that might be performed out of that
25 office would go beyond fundraising and consulting?

26 Camp. Treas.: No. I think there was some thought that I may keep files over there on the
27 accounting side. I never did, but I think that- I was saying if you're really specific
28 on fundraising promotion, I probably couldn't write checks and keep accounting
29 out of there, if you want to be specific about it, I guess.

30 Helen Eisner: Even within the fundraising portion?

31 Camp. Treas.: I don't know. I wasn't an attorney, so I didn't want to deal with any kind of-
32 defined that specific scope. I feel like if you go outside of that at all, there's the
33 potential to enforce that. And just thought a general use office clause would have
34 been better. And again, I think at this point I was- Fundraising promotion
35 would've worked, nobody would have cared, I don't think, but at that point I
36 really kind of tired of dealing with her.

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1 Helen Eisner: When you eventually settled - I know you said you picked up the phone and you
2 talked to your brother on 111 Center Court Drive - what was the process of
3 negotiating for that property? I don't think it's in this-

4 Camp. Treas.: No. I was going to see. I think we offered \$500 a month for this space, and she
5 came back with a counter proposal \$557 a month. I wanted to say that the one at
6 Center Park was a bit larger, so I think I offered \$600 a month for a certain space
7 over there, and Louis or Keenland Heights, decided to accept that. I don't think
8 there was a big negotiation process there.

9 Helen Eisner: Okay.

10 Camp. Treas.: He had some vacancies there, and anytime you've got vacancies you just typically
11 want to get your building rented for what you can, especially for a short year
12 lease; it wasn't tied up for five or 10 years.

13 Helen Eisner: Okay. Were you aware of how much the previous tenant was paying for that
14 property?

15 Camp. Treas.: I was not. Brian probably was, but I wasn't.

16 Helen Eisner: Okay. Did you have any conversations with Brian about that?

17 Camp. Treas.: Not that I can remember. He may have told me which spaces they had available
18 there, but I don't know if he told me what the previous tenant- He may have but I
19 don't remember.

20 Helen Eisner: Was there a security deposit for that property?

21 Camp. Treas.: Sometimes they ask for them, sometimes they don't. My guess is that it was
22 waived for Duncan for Congress because of the financial strength of the tenant. If
23 there's a tenant that's got money in the bank and a strong tenant, it's our company
24 process we would typically waive a security deposit. If it's a startup tenant that's
25 brand new or that requires some work to the space, we would probably require
26 one. But I think from memory, without looking at the lease, that security deposit
27 was waived on Center Park Drive.

28 Helen Eisner: Did Schaad Brown end up running financials for Duncan for Congress in the
29 process of ...?

30 Camp. Treas.: Oh, no. You mean, did I provide them-? No, I did not provide them financials.
31 From memory, I don't think I provided them anything on that.

32 Helen Eisner: You said that sometimes when there's a strong financial situation you waived the
33 security deposit.

34 Camp. Treas.: Mm-hmm (affirmative).

35 Helen Eisner: How often does that happen?

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1 Camp. Treas.: Again, I don't deal with the leasing as much but I think if there's any well-known
2 tenant or business in town that wanted to waive a security deposit, our personal
3 company would waive it. I think that's a lot of the ... on office leases, there's a lot
4 of negotiation and that's one of the points that typically comes up, is security
5 deposit, can we waive it. And we typically waive them. I don't know if it's 75, 80
6 percent of the time.

7 Helen Eisner: So it would be that, 75, 80 percent of the time?

8 Camp. Treas.: I think so. For us, unless it's a startup tenant, we wouldn't need one.

9 Helen Eisner: Okay. Was Duncan for Congress given any types of discounts related to that
10 lease?

11 Camp. Treas.: No, not that I can- I don't think there was a discounted rent there. I think it was
12 probably in line, it may have been on the low end of what the rents were there, but
13 I think it wasn't discounted.

14 Helen Eisner: How do you know it was on the low end?

15 Camp. Treas.: I think it just ... it seemed like the space ... I don't remember the exact square
16 footage; you may have it in the lease. But it seemed like it was ... I think there
17 were rentals through there from, again I don't know the square footage. But the
18 lower square foot to the medium range, and this seemed to be closer to the low
19 end, I think.

20 Helen Eisner: How often did the campaign committee ...? Well, I should say, who paid the rent
21 for that office space?

22 Camp. Treas.: I paid the rent.

23 Helen Eisner: You did? And how often was that rent late?

24 Camp. Treas.: Probably more than it should have. If I don't get ... I'm terrible about not paying
25 without an invoice, so if I don't get an invoice I sometimes forget to pay. So I
26 would get reminded from their property manager, Lois, whose office is across
27 from mine that, "Hey, you forgot to pay this." So I would pay on that.

28 Helen Eisner: How would she remind you?

29 Camp. Treas.: Usually email. Sometimes phone call.

30 Helen Eisner: Okay. Did you ever ... did the campaign committee ever incur any late fees or
31 charges related to the late payments?

32 Camp. Treas.: No, I did not.

33 Helen Eisner: And why was that?

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1 Camp. Treas.: Typically - again, this is why I can speak for us - if a company pays late, there's
2 late fee charges in the lease and this is ... we typically don't charge late fees unless
3 they're just slow to pay, or pay two months; don't pay for a full month and come
4 back out. Companies are struggling, so we don't typically hit them with another
5 late fee if they're struggling to pay their rent already. I think she knew it was more
6 my mistake than the company struggling, so she just didn't charge a late fee at that
7 point.

8 Helen Eisner: Okay. And that was over that whole year of renting at that property? There were
9 no late fees?

10 Camp. Treas.: Correct.

11 Helen Eisner: Okay. Regarding your role and, I guess, Brian's role in brokerage for that
12 property, what type of profit did you or Brian receive, or Schaad Brown?

13 Camp. Treas.: I think Schaad Brown- I don't remember the exact commission we had on that. I
14 would say it's maybe three or four percent of the total lease on that.

15 Helen Eisner: The office eventually moved, as you have noted, in I think approximately August,
16 2014?

17 Camp. Treas.: Around that time.

18 Helen Eisner: Why did the campaign office move?

19 Camp. Treas.: I think we had purchased an office building, that 6906, with Sage Investment
20 Company. We had vacancies there and I think I had asked Jimmy or John, I can't
21 remember exactly, if they would want to move to that office. It was closer to
22 downtown, closer to Jimmy's district office. It was on Kingston Pike, so Kingston
23 Pike is a little bit better of an address, more well-known address than Center Park
24 Drive. So I think that ... it was just, I asked John if he would be willing to move,
25 or Jimmy. I can't remember which one I asked if they would be willing to move at
26 that point.

27 Helen Eisner: Why did it matter that it was more well-known?

28 Camp. Treas.: I think that if people needed to come pick up campaign signs or material, it was
29 easier to say we're on Kingston Pike across from P.F. Chang's than it was 111
30 Center Park Drive. You'd have to tell them how to get there more, I think.

31 Helen Eisner: And how often were people coming by and picking up signs and-?

32 Camp. Treas.: Again, I'm not sure. I wasn't there, so I don't know if ... I know it happened, but I
33 just don't know how often.

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- 1 Helen Eisner: Okay. Because I'm just thinking back, you said this was a- and maybe we were
2 talking about 111 Center Park Drive, so you can confirm this. But did this office
3 have signage?
- 4 Camp. Treas.: No.
- 5 Helen Eisner: Any type of-?
- 6 Camp. Treas.: It did not.
- 7 Helen Eisner: Okay. Because I'm just picturing this as a place that didn't have Duncan for
8 Congress signage, and thinking of that in relation to the fact that people, how
9 often people were stopping by based on that.
- 10 Camp. Treas.: Yeah, I don't think it was at the time, but I think if somebody needed to come by
11 and get a sign, John or whoever talked to them would say "We're at 6906. Come
12 downstairs, we're in the first room on the right." It was easier than spelling out
13 111 Center Park. And it was kind of an interior entry. It was a little tougher to get
14 to.
- 15 Helen Eisner: Okay.
- 16 Camp. Treas.: Again, I don't know how often people picked up material there.
- 17 Helen Eisner: I have another document. So this is SI-0034, which is an exhibit to the lease of the
18 square footage of the office. So it looks like there are about five separate office
19 spaces here. I think maybe two of them were more open.
- 20 Camp. Treas.: Yes.
- 21 Helen Eisner: Do you know what was happening in these spaces?
- 22 Camp. Treas.: I think this ... it's hard to, it would be hard if you're recording ...
- 23 Helen Eisner: Yeah, we'll just talk it through.
- 24 Camp. Treas.: I think that this back office back here, closest to the stairway,
- 25 Helen Eisner: Mm-hmm (affirmative).
- 26 Camp. Treas.: This was a storage room for campaign material.
- 27 Helen Eisner: Okay. That's the one, I guess it's nine? That's small writing. But nine by seven.
- 28 Camp. Treas.: Yeah. By 11, by three.
- 29 Helen Eisner: Okay.
- 30 Camp. Treas.: This open area in the middle, there's a conference table here.
- 31 Helen Eisner: Okay.

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1 Camp. Treas.: I think the full room is used for conference from memory. The chairs around the
2 conference table. I don't really know what's here, to tell you the truth. Unless it's
3 just spillover for conference. Then the two other officers have desks in them set
4 up as offices.

5 Helen Eisner: Okay. How were those desks purchased?

6 Camp. Treas.: I don't recall.

7 Helen Eisner: How many times would you say you've been to this office? You might have
8 already mentioned this before.

9 Camp. Treas.: I think two. Two or three. Not much.

10 Helen Eisner: During the two times that you visited that office, did you ever see materials for
11 American Public Strategies there?

12 Camp. Treas.: No. I don't recall any materials for ...

13 Helen Eisner: Did John ever meet with clients or other companies related to his work for
14 American Public Strategies in that office?

15 Camp. Treas.: I have no idea.

16 Helen Eisner: What was the rent for this new office space at 6906?

17 Camp. Treas.: \$600 a month.

18 Helen Eisner: How was that rent set?

19 Camp. Treas.: They were paying that at the other space, and we thought we would agree to take
20 \$600 a month for this space as well.

21 Helen Eisner: Was that, you talked to us a little bit about the other building. What was the
22 market rate for space in this particular building? 6906?

23 Camp. Treas.: I think at this point we had just purchased it. There were some people in here that
24 were, I think rents were anywhere from \$6 a foot to \$13 or \$14 a foot. The \$600 a
25 month, I think it comes out to \$10 a square foot. It was kind of in the middle of
26 those numbers. We were trying to raise the \$6 a foot rents up, because I thought
27 they were really low. We're paying over \$5 a foot in expenses. When you rent out
28 a space for \$6 a foot you're not really making anything on it.

29 Helen Eisner: Were there holdover tenants? People who stayed after the property was
30 purchased?

31 Camp. Treas.: Yeah. Most of us. We purchased it to get it full and rent as an investment.

32 Helen Eisner: The people who were paying \$6 a foot were holdover tenants?

33 Camp. Treas.: They were probably month to month tenants holding over, yes.

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- 1 Helen Eisner: Was "Duncan For Congress" provided any discounts related to this rental
2 payment?
- 3 Camp. Treas.: You mean as far as -
- 4 Helen Eisner: As the rental amount.
- 5 Camp. Treas.: No, I don't think so. I think that was a fair market rental amount there.
- 6 Helen Eisner: Did they have to pay a security deposit for this property?
- 7 Camp. Treas.: We did not require one.
- 8 Helen Eisner: Why was that?
- 9 Camp. Treas.: We knew them and we didn't think they were going to tear down the walls or
10 anything.
- 11 Helen Eisner: What profit did you or Brian receive based on this rental property?
- 12 Camp. Treas.: I don't recall if we got a commission on this or didn't take a commission. If we did
13 it would have been in that same three to four percent. I think they signed a year
14 lease maybe. A year lease amount.
- 15 Helen Eisner: Has the rent for Duncan for Congress increased?
- 16 Camp. Treas.: It has not.
- 17 Helen Eisner: Why is that?
- 18 Camp. Treas.: We still have vacancy in that building. Typically when we don't have vacancy we
19 keep rents similar to keep everyone happy. If we had a full building, that's when
20 we start raising rents a little more. There's some demand there.
- 21 Helen Eisner: Has the rent increased for any other tenants?
- 22 Camp. Treas.: No. I think there's tenants that have come in that have paid more, and there's
23 tenants that either may have had options to renew in their lease that were higher
24 that raised up over their current rate. I think there's tenants that have renewed that
25 have asked for different things like new carpet, new paint. We've typically raised
26 rent a little on those. But I don't think they've just raised across the board, as,
27 "We're full. We need a rent raise at this point".
- 28 Helen Eisner: Are there any tenants who did not ask for an upgrade, like new paint or a new
29 carpet, or have other changed circumstances, where the rent has increased?
- 30 Camp. Treas.: Again, Brian could answer that better than I could. I don't recall that there are any.
31 There's new tenants that have come in that have paid a higher rate than this. But
32 as far as existing tenants, I don't think that any have raised from when we went

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1 by. The \$6 a foot rents, they're out right now. They're month to month. If we
2 could rent their space for a higher rate we did.

3 Helen Eisner: You talked about, and this is going back a bit, the type of work that John Duncan
4 III was performing before he started working for the campaign. Was he looking
5 for work at the time that he was hired for the campaign?

6 Camp. Treas.: I'm not sure. I would think he would since he wasn't employed at that point. I
7 would think he was looking for work, but I'm not positive.

8 Helen Eisner: What was your understanding of his financial situation at that point in time?

9 Camp. Treas.: I didn't have any clue about it. John and I, we're not super close in that way. We're
10 brother in laws, but were not day to day basis. I've got three kids in my family. It
11 just kind of keeps you busy with work and that. We didn't socially hang out a lot
12 and talk about his situation.

13 Helen Eisner: When he was hired, do you know what his salary was?

14 Camp. Treas.: I would have to look back and see. I probably have all the payments I've sent or
15 the FEC reports. I want to say it was \$6000 or \$7000 a month. It seems like there
16 was ... It bumped up to seven at one point. It may have started at six and bumped
17 up to seven.

18 Helen Eisner: How was that salary set?

19 Camp. Treas.: I think Jimmy just told me what to pay him at that point. Sorry if I refer to Jimmy.
20 I don't know what you'd rather, the Congressman or Jimmy.

21 Helen Eisner: Whatever you feel comfortable with.

22 Camp. Treas.: Yeah, Jimmy is my father in law, so that's easier.

23 Helen Eisner: We'll say the Congressman.

24 Camp. Treas.: That's fine, yeah. Jimmy.

25 Helen Eisner: We understand who you're talking about. Was there any type of process for
26 determining the fair market value for John's salary?

27 Camp. Treas.: I wasn't involved in any type of process. There may have been, but not that I
28 know of.

29 Helen Eisner: What about conversations with ethics committee or the FEC about his salary? Did
30 any of those take place?

31 Camp. Treas.: Not through me.

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- 1 Helen Eisner: When his salary did increase, because I think my understanding is it started about
2 \$6000 and then it did increase to \$7000 a month. Monthly basis. Do you know
3 why it increased?
- 4 Camp. Treas.: I do not. I think Jimmy called me to increase it. He may have told me at that point
5 why, but I don't really remember.
- 6 Helen Eisner: It seems that his salary has recently decreased. Why is that?
- 7 Camp. Treas.: I think, again, Jimmy announcing his retirement, there's less campaign activity. I
8 think John's still doing some campaign work and going to different events on
9 behalf of Jimmy. But he's obviously not running a campaign anymore. Jimmy's
10 not actively running in a hard race. I think it's decreased a lot of the activity.
- 11 Helen Eisner: At some point, I think initially John was paid directly as an individual. Then he
12 started to be paid through American Public Strategies. Do you know why that
13 occurred?
- 14 Camp. Treas.: I do not. He set up that company. I was asked to pay checks to that company
15 instead of him personally, but I'm not sure why.
- 16 Helen Eisner: In the FEC disbursements to American Public Strategies, the address for
17 American Public Strategies within the FEC form is initially listed as 111 Center
18 Park Drive. When the campaign office moves, the address for American Public
19 Strategies and the disbursement changes to 6906 Kingston Pike. Why were the
20 disbursements listed for that address? For the campaign office?
- 21 Camp. Treas.: I don't know if he was just there on a day to day basis and got mail there, or why
22 that was done there. It may have been something Courtney put as disbursements.
23 But all the tax information where I would do 1099s went to his house on Nubbins
24 Ridge.
- 25 Helen Eisner: Okay.
- 26 Camp. Treas.: I don't know if I ever knew where that, I would write checks and he would come
27 by and pick them up.
- 28 Helen Eisner: Was there ever consideration of changing the billing address from the P.O. Box to
29 the campaign office, since the campaign office now existed?
- 30 Camp. Treas.: Not that I know of. There may have been conversations with other people. I think
31 Bob liked to have the P.O. Box because it was right beside the district office
32 where he was. It was easier for him to meet up there than to get out to the office,
33 so I would go up there.
- 34 Helen Eisner: Do you receive any mail at 6906 Kingston Pike?

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- 1 Camp. Treas.: I don't receive any. Not that I know of. John may, but I don't know what comes in
2 there, no.
- 3 Helen Eisner: Okay, but none of the financial related materials?
- 4 Camp. Treas.: No, that's all a P.O. box.
- 5 Helen Eisner: Okay. Slightly different topic, what is Club LeConte? Do you know what that is?
- 6 Camp. Treas.: Yeah. It's a, I don't know if it's a restaurant or a private club, here in Knoxville,
7 downtown. I guess it's a restaurant and private membership club.
- 8 Helen Eisner: Okay. How does the Congressman use Club LeConte?
- 9 Camp. Treas.: I don't know. I get bills to pay for those, and Bob approves those bills. I assume
10 he's in for fundraising and ...
- 11 Jeff Brown: What do those bills look like?
- 12 Camp. Treas.: They're just statements for Club LeConte. I usually pay dues, usually dues.
13 Sometimes there will be a banquet charge on there. It's typically a one page bill.
- 14 Jeff Brown: If there's a banquet charge on there, what's the process for determining whether or
15 not the banquet is paid for by the campaign?
- 16 Camp. Treas.: I think those bills all went to the market street address, which is the district office.
17 That it was good to pay. I wasn't involved in the process of what that was, or what
18 it was once Bob said it's okay to pay. It was my assumption it was okay to pay.
- 19 Jeff Brown: Would you ever have been alerted to what the banquet events were?
- 20 Camp. Treas.: Not that I can remember. Maybe on occasion they could have told me, but I'm
21 not, I don't remember any specific ones.
- 22 Jeff Brown: Do you want to do 152 real quick?
- 23 Helen Eisner: Sure. Okay, this is CLC 0152.
- 24 Jeff Brown: I'll give you a minute to look at this.
- 25 Camp. Treas.: Sure.
- 26 Jeff Brown: My question is really, when you got Club LeConte bills, did you ever receive
27 anything that looked like this?
- 28 Camp. Treas.: No. I never got these receipts or this, this back up. My bills were typically a one
29 page, not very detailed, they would say "dues" or, I think I sent you all the ones I
30 got. They were all dues, or they would say banquet charge. I never got this, this
31 strip of actual receipts, that I can remember.
- 32 Jeff Brown: This, behind it, it would be some sort of banquet order form?

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1 Camp. Treas.: Yeah, not ... again, I don't ever remember getting anything like that. I think mine
2 were all just the monthly invoices that were set.

3 Jeff Brown: Again, just to clarify, these came, Club LeConte bills you said, came to the
4 district office?

5 Camp. Treas.: Yeah. I'm not sure why ... I've called since then. Again, I think Bob got these bills
6 and brought them to me. Since Bob is no longer doing things with the campaign,
7 I've called and changed the address of the P.O. box, but for as long as I can
8 remember, I've been involved, they went to the Market street address.

9 Jeff Brown: When you got them, were they signed off on by someone, Bob or the
10 Congressman?

11 Camp. Treas.: Typically they had a BG at the bottom, Bob Griffiths. Most of the invoices he
12 brought, he signed off on. If I was with him, and had an invoice to the P.O. box,
13 Bob would typically initial those. There were obviously times where he didn't
14 initial and I paid bills on that. I think most of all, the bills that he brought in from
15 his stack were already initialed by him.

16 Jeff Brown: Have you ever been to Club LeConte?

17 Camp. Treas.: I've been to a couple wedding receptions there. It's been years ago.

18 Jeff Brown: Have you ever been to Club LeConte for anything done for Congressman?

19 Camp. Treas.: It seems like he had a fundraiser there, again, it's been years ago. Probably four or
20 five years ago. It seems like I went up there for a small fundraiser. Don't
21 remember the month or the year even, but I think I vaguely remember being in a
22 room up there with the fundraiser for him where he gave a little speech to the
23 supporters.

24 Helen Eisner: Do you know what the Knoxville Quarterback Club is?

25 Camp. Treas.: I've heard of it, I don't know a lot about it. I think they have speakers in, and it's a
26 well-known club here where ... I don't want to say it's like a rotary club, but it's
27 similar to businessmen getting together, hearing good speakers and networking, I
28 think.

29 Helen Eisner: What types of speakers do they hear?

30 Camp. Treas.: I don't know. Nick Saban, the Alabama coach came into speak. I think it's got a
31 football related slant to it, but they can have other people as well. Peyton
32 Manning, probably has spoken there. I'm not positive, I've never been to a
33 meeting or anything.

34 Helen Eisner: Okay. We've seen disbursements for dues to the Knoxville Quarterback Club.
35 What were those dues?

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- 1 Camp. Treas.: I think Jimmy was a member there, and maybe Bob too, I don't remember if they
2 both were. I would just get, obviously, the bills that Bob had to pay, where he's
3 initialed off of them. They were the dues for the membership, I don't know if it's
4 yearly or monthly. I don't think it was monthly. I didn't pay them very frequently.
5 I think it was yearly. I think that was part of their campaigning process, to go
6 meet with people there.
- 7 Helen Eisner: When you said dues expenses, those were already approved when you saw them?
8 They had BG, the initials there?
- 9 Camp. Treas.: Typically, yeah. Typically, most dues expenses had BG or if I got them at the
10 P.O. box, I would give them to Bob to approve.
- 11 Helen Eisner: Okay. That was just for the Knoxville Quarterback Club, or were there other dues
12 expenses?
- 13 Camp. Treas.: Any dues expenses I got, I think the Chamber of Commerce was one. I think
14 there's a couple small clubs that Jimmy's a member of that had dues. If I got a
15 dues statement, I would give it to Bob to approve.
- 16 Helen Eisner: What about the Knoxville Symphony League?
- 17 Camp. Treas.: I had that on my list as far as the questionnaire, I don't know anything about the
18 Knoxville Symphony League.
- 19 Helen Eisner: Okay.
- 20 Camp. Treas.: Truthfully, I think whatever I sent you, I had on it. It may have been a backup bill,
21 but I don't know even who was a member of that or what went on there.
- 22 Helen Eisner: Who would have approved those dues?
- 23 Camp. Treas.: It probably would have been Bob at that point, too. At the same time.
- 24 Helen Eisner: Okay. Are you familiar with the Holston Hills Country Club?
- 25 Camp. Treas.: Yes.
- 26 Helen Eisner: Okay. Is the Congressman a member of that club?
- 27 Camp. Treas.: He is.
- 28 Helen Eisner: Okay. How does the campaign use that facility?
- 29 Camp. Treas.: I'm not sure. I think he's used it for different luncheons and fundraisers, or
30 receptions to thank supporters. I don't recall ever being out there for anything that
31 related. I'm not very political. I mean, I'm a treasurer to his campaign, but again,
32 my wife and I are raising kids and doing our own thing. We don't go to as many
33 activities as they have.

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1 Helen Eisner: Understood. I've seen some disbursements on the FEC forms for golf expense, for
2 constituents, some of which were at Holston Hills, some at Willow Creek Golf
3 Course, what does that mean for there to be a golf expense for the constituents?

4 Camp. Treas.: I don't know. I don't know if that meant that he took supporters out to play a
5 round of golf, or ... I'm not sure what, exactly. I think there were a couple gifts for
6 speaker Boehner came in to speak. I remember there was a shoe purchase or a
7 golf club purchase for him from one of those clubs, maybe. I think they were
8 either gifts for supporters or round of golf with support, I'm not sure.

9 Helen Eisner: Who would approve that type of expenditure?

10 Camp. Treas.: Again, the only person that ever approved expenditures to me was pretty much
11 Bob, unless I had to call Jimmy directly for something, I couldn't get a hold of
12 Bob.

13 Helen Eisner: Okay.

14 Camp. Treas.: Bob was my main contact for everything on the campaign.

15 Helen Eisner: How often would you have to get a hold of the Congressman directly?

16 Camp. Treas.: About questions about campaign related stuff, we talked just in general about life
17 stuff, but not campaign related stuff. Very infrequently.

18 Helen Eisner: Are there any expenditures that you can give us examples of, where you did have
19 a direct conversation with a Congressman?

20 Camp. Treas.: Not that I can even remember. No, I don't remember any.

21 Helen Eisner: If the campaign purchased a gift, who would make that purchase?

22 Camp. Treas.: Gosh, I don't know if it would be ... sometimes Lynn made purchases for gifts,
23 Lynn being Jimmy's wife, you may know her already. I've seen it, I think Bill
24 Vaughn picked one up for Speaker Boehner, which is a

25 Camp. Treas.: For the speaker Boehner, which is ... I think I saw that one. Bob may have done it.
26 I'm not sure.

27 Helen Eisner: And, when those gift expenses did appear, who approved those expenditures?

28 Camp. Treas.: Typically again, Bob would have approved something like that.

29 Helen Eisner: Okay.

30 Camp. Treas.: There were some that obviously Bob didn't initial, but I paid off of because Bob
31 gave me the receipt or the invoice to pay. But, once he gave them to me they were
32 in my mind, approved by him at that point. Even if it wasn't initialed.

33 Helen Eisner: How often did John Duncan, or John Duncan III, John, I guess.

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- 1 Camp. Treas.: Yeah, that's fine.
- 2 Helen Eisner: How often did he travel on behalf of the campaign?
- 3 Camp. Treas.: I'm not sure. Again, I didn't know ... We didn't approve, or I didn't approve travel
4 expenditures or policy or anything like that. So, I'm not sure how often he
5 traveled.
- 6 Helen Eisner: Do you know if he took any international trips on behalf of the campaign?
- 7 Camp. Treas.: Not that I know of.
- 8 Helen Eisner: What's your knowledge of a trip that John took to Scotland?
- 9 Camp. Treas.: I have no knowledge of that.
- 10 Helen Eisner: Okay.
- 11 Camp. Treas.: That I know ... I think Jimmy's been to Scotland. I don't know if John went with
12 him on that trip.
- 13 Helen Eisner: When was that?
- 14 Camp. Treas.: I don't know. I just know he's been. He may have been multiple times for all I
15 know. But, I'm not sure exactly when.
- 16 Helen Eisner: What was the reason that the congressman went to Scotland?
- 17 Camp. Treas.: I don't know.
- 18 Helen Eisner: This is AMEX_0649 through 0622. This is an American Express statement with a
19 closing date of September 22, 2013. And the page that I'm most interested in is
20 0651. Which is the third page. On this page, there are charges to British Airways
21 for John Duncan the third. And then, there's a charge right below that at the, I'm
22 probably going to pronounce this incorrectly, but Malmaison hotel in Edinburgh.
23 Do you know what those charges were?
- 24 Camp. Treas.: I do not.
- 25 Helen Eisner: Okay. Does that in any way refresh your memory about a trip taken by the
26 Congressman?
- 27 Camp. Treas.: Unfortunately, it doesn't. I mean, I know he's been to Scotland. But, I don't know
28 what this ... I don't know any of the details of this trip.
- 29 Jeff Brown: Would you have asked John at the time if these were campaign related?
- 30 Camp. Treas.: No, I think, since this was on Jimmy's card, I typically didn't ask Jimmy. I mean,
31 Jimmy I think, in my opinion, he's got more integrity than any person I know.
32 And, he's the most trustworthy person. So, anytime he charged anything, it wasn't
33 for me to question because he's very by-the-book. So, I didn't question his

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1 charges. And not that John was not by-the-book. I just didn't question Jimmy's
2 ever. So, once that came through his card, I just, I didn't think twice of it, I think.

3 Helen Eisner: Different question. In January of this year, for inauguration, did you attend
4 inauguration?

5 Camp. Treas.: I did not.

6 Helen Eisner: Okay. Who from the Congressman's family, attended inauguration?

7 Camp. Treas.: I'm not positive. I think the whole family, minus my family. If I'm, from memory.
8 I think that includes John, Zane and Tara, is another sibling. I think their families
9 went, and then other supporters from the Knoxville area.

10 Helen Eisner: Where did the families stay when they were in Washington?

11 Camp. Treas.: I saw those Best Western bills on the American Express. I assume they stayed
12 there. We never talked about it.

13 Helen Eisner: All right. Let me show you. This is BWP_0001 through 0012. Looks like we've
14 got a knock on the door. Okay. We are back on the record here with Campaign
15 Treasurer. I had provided BWP_0001 through 0012. And, so, have you seen these
16 invoices before?

17 Camp. Treas.: I have not.

18 Helen Eisner: Okay. Who would have received these invoices?

19 Camp. Treas.: I don't know. I was looking for an address. It looks like it went to the P.O. box,
20 but I haven't seen these. Maybe emailed to John Duncan the third. They were
21 potentially emailed, I guess.

22 Helen Eisner: What was John Duncan III's role in organizing the travel plan for inauguration?

23 Camp. Treas.: I don't know.

24 Helen Eisner: Just looking through this document, we can see that it looks like there was a room
25 in the name of John Duncan III, not his father. Zane Duncan. Tara Richardson.
26 There's also a room for Roger Rhodes. Do you know who that is?

27 Camp. Treas.: I think that is Jennifer Duncan's dad.

28 Helen Eisner: Okay. There's also a room for Michael Strickland. Who is Michael Strickland?

29 Camp. Treas.: He's a supporter and friend of Jimmy's. He owns a company called Bandit Lites
30 here in town.

31 Helen Eisner: Did the campaign committee end up paying for Mr. Strickland's room?

32 Camp. Treas.: The only things I paid were off that American Express bill for these. I never got
33 the itemized versions of them. So, I think I had a lump sum. Or maybe, there are a

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1 couple of Best Western charges. I'm not sure how it broke down, if this was
2 included in that or not.

3 Helen Eisner: So, did ... You saw the American Express Best Western charge?

4 Camp. Treas.: I did.

5 Helen Eisner: And then, did you approve that charge?

6 Camp. Treas.: No again, that would have been something that Bob approved.

7 Helen Eisner: Bob would have done. Okay. Did anyone reimburse the campaign for
8 expenditures related to this stay or lodging?

9 Camp. Treas.: No, there was a campaign donation from Michael Strickland, and I don't know.
10 We turned it in as a donation. I don't think it was reimbursement. I think it was
11 just a campaign donation. That's the only check I remember from somebody that
12 said they're, that came in after this.

13 Helen Eisner: Was that immediately afterwards?

14 Camp. Treas.: No. Well, when was this? This seems like it was fairly recently. Maybe a couple
15 months ago.

16 Helen Eisner: Okay.

17 Camp. Treas.: So, I guess maybe a month or two after this.

18 Helen Eisner: Was there any connection between that contribution that came in from Mr.
19 Strickland and this stay at the Best Western?

20 Camp. Treas.: I'm not sure, I got a check, but it wasn't ... There was nothing on the memo line. I
21 think it was just a ... I turned it in as a campaign donation.

22 Helen Eisner: Did you have any conversations with Bob Griffiths about that contribution and
23 what it-

24 Camp. Treas.: No, I forget where that contribution came from. I don't know if Lynn dropped it
25 off, or John. I can't remember. I don't think I had a conversation with Bob about
26 it.

27 Helen Eisner: Okay.

28 Camp. Treas.: There may have been. I don't remember what our ...

29 Helen Eisner: In December of 2014, there are a number of ... Well, there are disbursements to
30 the Greenbrier Hotel in West Virginia. What was that trip?

31 Camp. Treas.: That was a trip I went on. It was a .. I think it was a trip for close supporters and
32 family of Jimmy's, who worked on the campaign, or have done stuff over the

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1 years for him for campaign purposes. I mean, I can just remember some of the
2 people that were there. But it was a pretty big group of us.

3 Helen Eisner: Okay. And what did you do while you were at the Greenbrier?

4 Camp. Treas.: We had our kids there. I remember going to a couple big dinners with the full
5 group. And, as far as personally what we did, I don't remember. The only memory
6 I have is, I remember swimming in the pool with the kids for a while. And then I
7 remember there was an ice skating rink set up and the kids ice-skated through
8 there.

9 Helen Eisner: Okay.

10 Camp. Treas.: I remember, there was also a movie, they have a movie night there for free. So,
11 we took the kids after our dinner to go to the movie.

12 Helen Eisner: And who arranged for that travel?

13 Camp. Treas.: I don't know. I think we got invited. Jimmy invited us to that. I'm not sure who
14 arranged all of it.

15 Helen Eisner: And how was it paid for?

16 Camp. Treas.: I think the campaign paid for ... I remember an American Express bill with the
17 campaign on it. The campaign paid for that.

18 Helen Eisner: And when the American Express bill came in did it come to the PO box?

19 Camp. Treas.: Apparently, yeah, all the America Express bills came to the PO box.

20 Helen Eisner: Then who approved that charge?

21 Camp. Treas.: Yeah, it would be Bob probably was the approval on that.

22 Helen Eisner: Were there any conversations with Bob about that charge and which charges to
23 include as campaign charges versus splitting it up in any way?

24 Camp. Treas.: Not from memory, there could have been but not from memory.

25 Helen Eisner: What about conversations with Courtney Kohlhepp about the Greenbrier charge?

26 Camp. Treas.: I don't remember having any with Courtney about that.

27 Helen Eisner: What about with the Congressman?

28 Camp. Treas.: I don't remember having any with him either.

29 Helen Eisner: At the end of the trip who paid the hotel bill?

30 Camp. Treas.: Frankly, I don't know. I think we left ... I actually remember exactly when we left
31 and it was more than you want to know about, one of our kids ██████████

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- 1 [REDACTED] we left in the middle of the night to drive home and it was a
2 mess. We left the night before, if we were leaving on Sunday morning, we left in
3 the middle of the night Saturday night and drove home. I don't know how it got
4 settled out, we were busy with [REDACTED] on the way
5 home.
- 6 Helen Eisner: Sure. Was there any portion of the trip that you paid for personally?
- 7 Camp. Treas.: Not that I can recall.
- 8 Helen Eisner: Have you seen invoices or folios related to the stay at the Greenbrier?
- 9 Camp. Treas.: I don't think I have, I think I had the American Express bill. When I say I didn't
10 pay, I don't know, potentially there were lunches and things there that I paid for
11 with the kids, ice cream ... trips to the ice cream shop whatever. I don't remember
12 if I paid there or not, but it seems like I did, but I don't know.
- 13 Helen Eisner: Okay.
- 14 Jeff Brown: Was there a discussion at any point with the Congressman or anybody else about
15 what would be paid for and what wouldn't be paid for?
- 16 Camp. Treas.: I don't remember having one truthfully, I don't.
- 17 Jeff Brown: Was there any understanding that if this was sort of a thank you trip that people
18 could spend time and money on whatever amenities they chose?
- 19 Camp. Treas.: It seems like Jimmy said, "Yeah, come and do whatever you want here", I think
20 he may have said that. Again, I don't remember exactly but that would have been
21 from memory I think he said something about that.
- 22 Jeff Brown: Were there any formal activities for the group?
- 23 Camp. Treas.: There were a couple dinners arranged, formal activity, I don't think there was
24 anything else scheduled that I knew of.
- 25 Jeff Brown: Aside from the dinners, did people ... couples spend time on their own or did
26 people do things together?
- 27 Camp. Treas.: I think there was probably some doing things together, I think there was some of
28 that, but I think there was probably both couples on their own time and then we
29 were probably together as groups.
- 30 Jeff Brown: In other words, there wasn't any formal itinerary for the group, the group could
31 sort of do as they chose.
- 32 Camp. Treas.: From memory, yeah, I don't think there was a formal itinerary.

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- 1 Helen Eisner: During the day when you were participating in activities were you mostly with
2 your family or were you with other individuals who were attending on behalf of
3 the group?
- 4 Camp. Treas.: I mean as far as the dynamics go we would have been with our family and then
5 potentially with Zane and his family. We're kind of closer to them than most
6 people, then Lynn and Jimmy ... I'm sure. Again, that's from memory, we were
7 probably with our family for the most part.
- 8 Helen Eisner: Okay. I'm going to show you GB_0053 through 0064. This looks like it's a 12-
9 page folio for the stay at the Greenbrier, give you a chance to familiarize yourself
10 with it.
- 11 Camp. Treas.: Alright.
- 12 Helen Eisner: On page, it's the sixth page of the packet. GB0058?
- 13 Camp. Treas.: Mm-hmm (affirmative)
- 14 Helen Eisner: There are charges for Greenbrier on ice to Campaign Treasurer, that's the \$75.07
15 charge? Was that, you were referring to ice skating, is that ...?
- 16 Camp. Treas.: That's probably what that was, yes.
- 17 Helen Eisner: Okay. The page before that, there's some charges, this is 0057 at Fizzy's land of
18 Oz, do you know what that is?
- 19 Camp. Treas.: Fizzy's land of Oz ...
- 20 Helen Eisner: Towards the bottom there.
- 21 Camp. Treas.: I have no idea what that is.
- 22 Helen Eisner: Was there a toy store at the Greenbrier?
- 23 Camp. Treas.: There's a couple shops. I don't remember there being a toy store, but there may
24 have been. I know there's a couple of clothing stores, there's a café, an ice cream
25 place.
- 26 Helen Eisner: Is the ice cream place this, The Candy maker?
- 27 Camp. Treas.: I thought it was Draper's café, was the ...
- 28 Helen Eisner: Okay, the one that's a little further up.
- 29 Camp. Treas.: Yeah. I don't know what The Candy maker is, either.
- 30 Helen Eisner: Okay. There's some charges, two separate charges for John and Zane at the
31 Greenbrier spa and salon. Did anyone go to the spa or salon during the trip to the
32 Greenbrier?

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- 1 Camp. Treas.: I have no idea.
- 2 Helen Eisner: Okay.
- 3 Camp. Treas.: I don't remember going there.
- 4 Helen Eisner: What about golf? Did you play golf when you were at the Greenbrier?
- 5 Camp. Treas.: I've been to the Greenbrier before and played golf, I don't remember if this was
6 the time I played or not. Is there golf on here?
- 7 Helen Eisner: On that page we were looking at, GB_0057, there's a golf charge for John. When I
8 see a charge, it says John Duncan, do you know if that is the Congressman or his
9 son?
- 10 Camp. Treas.: I don't know. I wouldn't know how to differentiate these.
- 11 Helen Eisner: Okay. Understood. What about any charges at Twelve Oaks, do you know what
12 Twelve Oaks is?
- 13 Camp. Treas.: Twelve Oaks, on this, you mean?
- 14 Helen Eisner: Yeah, there's charges on the next page.
- 15 Camp. Treas.: We actually lease an office building in Knoxville called Twelve Oaks. I was like,
16 we just switched subjects. No, no, this was at the Greenbrier, it's on the next page
17 there.
- 18 They have a steakhouse there. Prime 44 is the steakhouse. Twelve Oaks, I don't
19 know what that is. I was thinking that might be the steakhouse but it's actually
20 Prime 44 is the ...
- 21 Helen Eisner: Is it possible it's a casino?
- 22 Camp. Treas.: There's a casino there. I don't know what their casino's called, Twelve Oaks ...
- 23 Helen Eisner: Did you go to the casino?
- 24 Camp. Treas.: I did, yes.
- 25 Helen Eisner: Okay. Who else went to the Casino?
- 26 Camp. Treas.: My wife and I did. I think John and Zane did. Tara and Brandon, I'm sure did. I
27 remember seeing Michael Strickland down there.
- 28 Helen Eisner: Were any of the charges at the casino charged to your room?
- 29 Camp. Treas.: I don't remember charging anything to the room.
- 30 Helen Eisner: Okay.
- 31 Camp. Treas.: That doesn't mean I didn't, but I don't think from a casino charge ...

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1 Helen Eisner: Any of these specific charges that we've talked about, were there any
2 conversations about whether the campaign should pay for these charges?

3 Camp. Treas.: I did not have any conversations about that, that I can remember.

4 Helen Eisner: Okay. Who would have been responsible for determining if the campaign would
5 pay for these charges?

6 Camp. Treas.: I think that would be Jimmy's decision.

7 Helen Eisner: This address at the top, of the invoice, is that the Congressman's personal address?

8 Camp. Treas.: It is. His old one, he's moved since then, but that's his old address.

9 Helen Eisner: Were there times when he would receive an invoice to his personal address, and
10 then provide that to you or to Bob as a campaign expense?

11 Camp. Treas.: Yeah. This is actually the first one I've seen to his personal address. I don't
12 remember getting anything else from his personal address.

13 Helen Eisner: Okay.

14 Camp. Treas.: This may have been, I think they've gone to the Greenbrier in the past. They may
15 just have had their record as that address, I don't know.

16 Helen Eisner: Okay. Who have you, besides your attorney, who have you communicated with
17 about the office of congressional ethics investigation?

18 Camp. Treas.: Well, on the letter you sent, I think there was some specific needs for different
19 receipts from Courtney and John. They called me, or I called them, I can't
20 remember, about getting those receipts, getting that information together. I've
21 communicated with them. I've communicated with Jimmy about it, and then ... I'm
22 sure I've told my wife about it, I'm sure. I don't know how much I've told her
23 other than I'm coming to an interview, but ...

24 Helen Eisner: When you were going through the process of identifying documents related to our
25 request, did you speak with Courtney?

26 Camp. Treas.: I did. Courtney had a charge that was from a trip to DC. I think that was one of
27 the charges I was supposed to give you. I asked her about that. That's the only
28 time I talked to her about that I think, potential.

29 Helen Eisner: Did you ask her if she might have any other records in her files that would be
30 responsive to our request?

31 Camp. Treas.: I don't think so. I think I asked her, because I didn't have a receipt for one of the
32 things on that trip. I think I asked her if she had that, but as far as the files she has,
33 I make all the copies and give them to her. I don't think she would have anything
34 in addition to what I have.

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- 1 Helen Eisner: Okay, but you didn't have that conversation with her?
- 2 Camp. Treas.: I don't think I did.
- 3 Helen Eisner: Okay.
- 4 Camp. Treas.: Maybe, but I don't see where I would ask her for additional copies of things.
- 5 Helen Eisner: What about, Griffiths did you speak with Mr. Griffiths about making sure to get
6 records for our request?
- 7 Camp. Treas.: Yes. If there were questions on charges, I think he had a couple of
8 reimbursements. I asked him on couple of those things, and I asked him about the
9 petty cash charges. Then he sent me over to Don Walker, I don't know if you've
10 heard that name. He works for Jimmy on the ... I did speak to Don about the petty
11 cash charges for this as well. I talked to Bob a couple times about various charges
12 and what they were, just to get clarification to send you, I think.
- 13 Helen Eisner: Okay. What about the Congressman? Have you spoken with him about our
14 investigation?
- 15 Camp. Treas.: A couple times, I have. I think he's called, and said to call Heidi and David. I've
16 spoken with him a few times about, I don't know if I've asked him about specific
17 charges or not, I may have if I had questions on things I was providing to you.
18 Yeah, I've spoken to him a couple times about it.
- 19 Helen Eisner: Which specific charges did you discuss with the Congressman?
- 20 Camp. Treas.: I don't remember it, from what I sent you. I have to look back at some of those. I
21 think I probably asked him about, maybe ... I may have asked him about the
22 Symphony, or may about University of Tennessee tickets, there was something I
23 didn't know a lot about that I needed to provide records on. I don't remember
24 exactly what it was.
- 25 Helen Eisner: What about the Greenbrier? Did you speak with the Congressman about the
26 Greenbrier?
- 27 Camp. Treas.: I spoke with him, I couldn't remember what that trip was. I just remember there
28 being a lot of people there, and I asked him ... I didn't remember who all was
29 there, in case you were to ask me who was there, I couldn't remember.
- 30 Helen Eisner: Okay.
- 31 Camp. Treas.: That's the only thing I spoke to him about. I did speak to him about the
32 Greenbrier.
- 33 Helen Eisner: What did he say when you asked him about the people who attended?
- 34 Camp. Treas.: He just told me that a few of the people that attended, and that was it.

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1 Helen Eisner: Did you talk about anything else besides the people who attended?

2 Camp. Treas.: I did not.

3 Helen Eisner: Okay.

4 Jeff Brown: With respect to the Congressman, or anybody else that Helen just mentioned,
5 were there any other discussions about what appropriate responses would be to
6 any of our questions?

7 Camp. Treas.: No, I think Jimmy's and Heidi, they've always just said, go in there, tell the truth,
8 what you know. Jimmy's response has always been, "Sorry you've got to go
9 through this, but give them anything they want and tell them the truth." It's never
10 been about appropriate responses for anything.

11 Helen Eisner: Have you had any conversations with individuals who have already taken part in
12 an interview with our office?

13 Camp. Treas.: I have not.

14 Helen Eisner: Okay. No one has told you the questions that we've asked them?

15 Camp. Treas.: No.

16 Helen Eisner: Okay. I think that's all we have for you today for interview. Is there anything else
17 you think we should know?

18 Camp. Treas.: I don't think so.

19 Helen Eisner: I'm going to go ahead and stop the recording.

20

21

22

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ERRATA SHEET

Page	Line	Correction	Reason
7	14	I to I've	don't remember saying "I got"
21	2	2-3 times ever	don't remember saying 2-3 times/month
27	31	don't remember saying perennial	

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: [REDACTED]
Witness Signature: [REDACTED]

Date: 11/21/17

EXHIBIT 6

**Transcript of Interview of
Representative Duncan's Chief of Staff**

November 5, 2017

Review No. 17-2646

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

1 Helen Eisner: Okay. This is November 5, 2017. Speaking is Helen Eisner from the Office of
2 Congressional Ethics. I'm joined by my colleague Jeff Brown. This is review
3 number 17-2646. We are joined by Rep. Duncan's Chief of Staff, who we're
4 interviewing here today. Also present is his counsel, Heidi Abegg, and joining us
5 by phone is David Goch. We have given Chief of Staff a copy of the False
6 Statements Act, and he has signed the acknowledgment form. With that, we'll go
7 ahead and get started. Just some background questions to start things off, where
8 are you currently employed?

9 Chief of Staff: I'm the chief of staff for Congressman John Duncan Jr.

10 Helen Eisner: Okay. How long have you been chief of staff?

11 Chief of Staff: Let's see, chief of staff ... I was district director for I guess maybe 12 years, 10 or
12 12 years, then became chief of staff so probably 19 years, I guess. Something like
13 that.

14 Helen Eisner: Okay. How did you first meet the Congressman?

15 Chief of Staff: He and I are the same age. He and I have both been involved in political activities.
16 His father was in Congress before he was, and so he worked in his father's
17 campaigns. I always enjoyed politics even at a young age, and I was actually on
18 the Executive Committee when I was 18. So we got to know each other mostly
19 through political gatherings, rallies and so forth. He would be there with his father
20 and I'd be there just as a participant. But anyway, we've gotten to know each
21 other. Like I said, the same age. And also through some civic-type clubs he was a
22 member of and I was a member, so we got to know each other there. He got a start
23 in the law practice about the same time I started in the real estate business.
24 Anyway, through pretty much those connections, we became friends and worked
25 together through all these years there.

26 Helen Eisner: Okay. Besides working for the Congressman in his Congressional Office, where
27 else do you currently work? Do you have any other jobs?

28 Chief of Staff: I don't have any other jobs other than if you're talking about doing the limited
29 campaign work.

30 Helen Eisner: Let's talk about that.

31 Chief of Staff: Okay-

32 Helen Eisner: So when you say "limited campaign work," do you have a role with the campaign
33 committee, Duncan for Congress?

34 Chief of Staff: Well, I don't have an official title. I've just helped out in the campaign through
35 many years. Just ...

36 Helen Eisner: Okay. And through how many years have you helped out in the campaign?

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- 1 Chief of Staff: Well, pretty much since he was elected. I've done some, like I said, outside the
2 office or ...
- 3 Helen Eisner: Okay.
- 4 Jeff Brown: You don't have a formal role now. Did you ever have a formal role in-
- 5 Chief of Staff: No.
- 6 Jeff Brown: -the campaign?
- 7 Helen Eisner: Okay. And-
- 8 Chief of Staff: Not a formal title or designation or anything like that. Like I said, I had some ... or
9 I have, until recently, done things, certain things, for the campaign. But I didn't
10 have any contract or anything written about what I did for the campaign.
- 11 Jeff Brown: What sort of responsibilities?
- 12 Chief of Staff: I would attend political gatherings, usually in the evening, or political club
13 meetings. I would assist when we had, like fundraisers. We have the Duncan
14 Family Barbecue, which is held next door at the Coliseum. That's a huge event,
15 and I was on the committee for that event. I would ... receptions, things of that
16 nature. Then also, I would meet with our campaign treasurer every couple of
17 weeks to go over with him any request that we'd gotten in, maybe through ... We
18 get a lot of requests from churches, schools, nonprofits, other candidates wanting
19 contributions, and those kind of things.
- 20 Helen Eisner: Okay. So those are requests for contributions from the campaign committee's
21 funds?
- 22 Chief of Staff: Right, right.
- 23 Helen Eisner: All right.
- 24 Chief of Staff: I would get with the Congressman and ask him if he wanted to contribute to any
25 of those or sponsor something or whatever. When I would meet with the treasurer,
26 then I would say the Congressman's authorized these type things.
- 27 Helen Eisner: Okay.
- 28 Jeff Brown: Even if you didn't have a formal role or designation, is there some sort of
29 structure to the campaign? Are there designated roles for certain individuals?
- 30 Chief of Staff: Not actually designated roles that I would ... other than John, who's the campaign
31 manager.
- 32 Helen Eisner: Is that a formal title for ... and you're referring to John Duncan III?

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- 1 Chief of Staff: John Duncan III, yes, is the campaign manager but ... and Jason Brown's the
2 campaign treasurer. But other than that, that's the only formal titles that the
3 campaign has.
- 4 Helen Eisner: Okay. Besides Jason Brown and John Duncan III-
- 5 Chief of Staff: Right.
- 6 Helen Eisner: -do you refer to him as John or how-
- 7 Chief of Staff: John-
- 8 Helen Eisner: -just John-
- 9 Chief of Staff: -mm-hmm (affirmative).
- 10 Helen Eisner: -and yourself in the capacity that you played, who else was employed or
11 performing work for the campaign?
- 12 Chief of Staff: Well, Courtney Massey, Courtney-
- 13 Helen Eisner: Kohlhepp?
- 14 Chief of Staff: Kohlhepp, used to be Massey. Courtney is ... I don't know if she has a title, but
15 she handles all the financial reports.
- 16 Helen Eisner: Okay. And that's to the FEC?
- 17 Chief of Staff: Right.
- 18 Helen Eisner: Anyone else have a role with the campaign? Any other campaign employees?
- 19 Chief of Staff: No, I don't think so. As far as the Duncan Campaign.
- 20 Jeff Brown: Right.
- 21 Helen Eisner: Okay. Do you receive a salary for the work that you perform?
- 22 Chief of Staff: I receive \$500 per month, contract labor.
- 23 Helen Eisner: Okay. How was that salary determined?
- 24 Chief of Staff: It was just ... At some point, the Congressman said he wanted me to do these
25 various things and that was what he said. You know?
- 26 Helen Eisner: Okay.
- 27 Chief of Staff: And I'll pay something. Yeah.
- 28 Helen Eisner: So the Congressman would've been involved in that conversation-
- 29 Chief of Staff: Right, right.

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- 1 Helen Eisner: -about the salary? All right. How many hours ... let's say how many hours a month
2 do you perform work for the campaign committee?
- 3 Chief of Staff: I don't know. I'd have to ...
- 4 Helen Eisner: And again if you don't know exactly, that's completely fine-
- 5 Chief of Staff: Yeah, I mean-
- 6 Helen Eisner: -but just-
- 7 Chief of Staff: -I don't know-
- 8 Helen Eisner: -a range of a typical month?
- 9 David Goch: Chief of Staff, I would just want to clarify, if there is a typical month.
- 10 Chief of Staff: Yeah, there's not. There's not a typical month-
- 11 Helen Eisner: Okay.
- 12 Chief of Staff: -because there'd be ... With me going to receptions, or a political club meeting
13 was ... these clubs meet usually once a month, and I would be there a couple hours
14 at each meeting and then all these other things. I couldn't hardly tell you without
15 thinking about it a little bit.
- 16 Helen Eisner: I don't want to put words into your mouth in any way, but there are some months
17 where it could be many hours that month and there's some months where it could
18 be a few hours. Is that what you're sort of describing to us?
- 19 Chief of Staff: Well, yes. As for instance, when we're in close proximity to the election, I would
20 be part of the committee, I guess you'd call it a committee, that would meet with
21 our public relations people as a strategy-type meeting, discussing advertising and
22 direct mail and those kind of things. So when we're doing that would ... In other
23 words, during an election year, I would be doing more than I would-
- 24 Helen Eisner: Understood.
- 25 Chief of Staff: -at other times.
- 26 Helen Eisner: What about as far as campaign finances, the work you were describing to us with
27 Jason Brown, how many hours a month do you spend on that type of work? And
28 you can describe to us if that fluctuates, but if you could give us a general sense of
29 that.
- 30 Chief of Staff: Probably meeting with Jason two or three hours a month-
- 31 Helen Eisner: Okay.
- 32 Chief of Staff: -as far as that.
- 33 Helen Eisner: Do you meet with him in person when you meet with him?

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- 1 Chief of Staff: Yes.
- 2 Helen Eisner: Where does that take place?
- 3 Chief of Staff: Usually, we meet in the Post Office building and there's a place over there that we
4 can ... where there's a table and so forth. We can meet because that's where the
5 campaign box is, post office box.
- 6 Helen Eisner: The P.O. box for the campaign-
- 7 Chief of Staff: Right, right-
- 8 Helen Eisner: -committee?
- 9 Chief of Staff: -so he would-
- 10 Helen Eisner: Okay.
- 11 Chief of Staff: -get the anything that the campaign received, then I would bring things that the
12 Congressman had authorized that he wanted to pay or send or whatever.
- 13 Helen Eisner: Okay.
- 14 Jeff Brown: When you're in Knoxville, where do you work from?
- 15 Chief of Staff: I work in the Baker Federal Building.
- 16 Jeff Brown: And is that where Congressman Duncan has his district office?
- 17 Chief of Staff: That's where the district office is. Correct.
- 18 Jeff Brown: Okay. Do you travel back and forth to D.C. with any frequency?
- 19 Chief of Staff: I travel up there about once a month, and spend a week up there each month.
- 20 Jeff Brown: We'll probably get into some of this a little bit later too, have your responsibilities
21 for the campaign evolved over the course of the last you know however many
22 years? Obviously John, John Duncan III, came on as a more full time employee.
- 23 Chief of Staff: Right.
- 24 Jeff Brown: I think that was a couple years ago. Before that did you have a different role on
25 the campaign?
- 26 Chief of Staff: No not really. Mostly my role has stayed the same. What I do is pretty much it.
27 Before John came on, we ... I don't know if the Congressman would ... There's
28 other people who have worked in the campaign as far as being in charge of the
29 headquarters and doing those type of responsibilities. There's always pretty much
30 been somebody there in that position.
- 31 Jeff Brown: If you had to break it down between the amount of time you spend going to
32 political activities or civic organizations and the amount of time you spend on the

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1 finances, is there a 50/50 split, even 20 split, I know again probably there's no set
2 amounts, but did you have a focus on one

3 Chief of Staff: I mean I wouldn't spend much time on the finances. Basically all I do with that is
4 as I mentioned we get lots of requests in, and since people don't have the
5 campaign address, and they don't know how to contact the campaign other than
6 sending something to the office. So we get lots of requests from schools,
7 churches, all kinds of Boy Scout events, Girl Scout events, everything you could
8 think of, he gets a request for a contribution or a sponsoring sign.

9 So anyway so we get with that I would communicate with him if he wanted to
10 sponsor that event or contribute or whatever it may be then when I would meet
11 with Jason that would already be determined. And Jason maybe would have some
12 things that has come into the P.O. Box, and so we'd look at those things and in
13 other words we had a lot of repeat type things like Capitol Hill Club expenditures,
14 the Chamber of Commerce dues, you know all kinds of ... The campaign office
15 expenses as far as the rent and ... those kind of things. So, it wouldn't ... I wouldn't
16 spend much time at all with that. Here's what it is.

17 Jeff Brown: You sort of touched on what my follow up question was, which you were talking
18 a lot about the contributions and sort of relaying Congressman Duncan's desires
19 with respect to contributions. With respect to expenditures on behalf of the
20 campaign, what sort of role did you play?

21 Chief of Staff: That's basically it. I would ask him if he wanted to contribute and if he says he did
22 I would have Jason pay it for him. That's ...

23 Helen Eisner: And then besides sort of contributions ...

24 Chief of Staff: Yeah.

25 Helen Eisner: Which I understand is a large part of what campaign committees do, you
26 mentioned to charitable organizations and other campaign committees, but what
27 about with regards to other expenses, disbursements, from the campaign that
28 weren't directly related to those contribution type expenditures? What role would
29 you play in those types of disbursements?

30 Chief of Staff: Well there'd be like I said charges to the Capitol Hill Club where he's had political
31 receptions up there, fundraising receptions, or political meetings or whatever. So
32 that would ... You know I would look at that to make sure the charges were
33 correct.

34 Helen Eisner: Okay.

35 Chief of Staff: And then if there was a question, call and make sure everything's accurate.

36 Helen Eisner: And who would you call?

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1 Chief of Staff: Basically if there's a question Jason would call ... Would be the one who would
2 call.

3 Helen Eisner: Okay.

4 Chief of Staff: If I saw something I'd say Jason, you need to check this because it doesn't you
5 know.

6 Helen Eisner: Okay. And who would he call?

7 Chief of Staff: Well, whoever the organization might be. If it was the Capitol Hill Club he'd call
8 the Capitol Hill Club.

9 Helen Eisner: If there was a question about that.

10 Chief of Staff: If it was Club LeConte, he'd call Club LeConte.

11 Helen Eisner: Would he ever call the Congressman?

12 Chief of Staff: Well yeah, he might, would call the Congressman, he or either one of us might-.

13 Helen Eisner: Sure.

14 Chief of Staff: -Of course ask the Congressman a question about an expenditure.

15 Helen Eisner: Slightly different question. You had mentioned earlier that somebody else was
16 responsible for campaign headquarters, what were those headquarters?

17 Chief of Staff: That was ... Through many years we've had, when you've been in office this
18 length of time, you had other people in other offices and different locations.

19 Helen Eisner: Okay. So what locations has the campaign headquarters had?

20 Chief of Staff: Well we had, there was a location before this one that the campaign has. It was off
21 of Kingston Pike on ... I can't think of the name of that street, it was down below
22 Mabry Hood Rd., Center Park maybe. The name of it. But anyway there's an
23 office building there and there was a campaign office in that building. And then
24 we've also in the past had a campaign office over in the Tyson building on
25 Kingston Pike.

26 Helen Eisner: Okay.

27 Chief of Staff: The old Tyson School building.

28 Helen Eisner: And do you currently have a campaign office there?

29 Chief of Staff: No the only campaign office now is the one ... There's only always just been one
30 where it's been.

31 Helen Eisner: Okay. Let me try to just break that down.

32 Chief of Staff: Okay.

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- 1 Helen Eisner: So I can understand time periods.
- 2 Chief of Staff: Okay.
- 3 Helen Eisner: You mentioned Center Park Drive.
- 4 Chief of Staff: I think that's correct. Okay.
- 5 Helen Eisner: And that's and I can help you out here and say to the best of our knowledge there
6 was at some point an office at 111 Center Park Dr.
- 7 Chief of Staff: I think that's it.
- 8 Helen Eisner: Okay. And do you know what time period the office was located there?
- 9 Chief of Staff: No not really. I just know it was there, but Jason would know. Jason the
10 Treasurer.
- 11 Helen Eisner: Jason the Treasurer.
- 12 Chief of Staff: He'd know all that information about it. I've not been involved in anything.
- 13 Helen Eisner: Okay. Approximately . . . you know again recognizing that you don't know
14 exactly, was it there ten years ago, or twenty?
- 15 Chief of Staff: No it wasn't that long. No.
- 16 Helen Eisner: Okay.
- 17 Chief of Staff: It would've been ... probably the year before we had this office. I just don't recall
18 all those timeframes. But it would have been ... I can't recall.
- 19 Helen Eisner: Prior to that address ...
- 20 Chief of Staff: Yeah.
- 21 Helen Eisner: Do you recall an office . . . any campaign headquarters located elsewhere before
22 the one that one that I think you're referring to on Center Park Drive?
- 23 Chief of Staff: We were in the old Tyson School building.
- 24 Helen Eisner: And that was before ...
- 25 Chief of Staff: That would've been before Center Park.
- 26 Helen Eisner: And approximately what time period was that?
- 27 Chief of Staff: I just, I can't recall those dates at all.
- 28 Helen Eisner: And were they continuous, the Tyson School building was the office immediately
29 prior to 111 Center Park Drive?
- 30 Chief of Staff: I'm not sure about that either.

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- 1 Helen Eisner: Okay.
- 2 Chief of Staff: If there was something in between those.
- 3 Helen Eisner: Another office would have been in between those?
- 4 Chief of Staff: I'm not sure.
- 5 Helen Eisner: Okay. Did you ever go to the office at the Tyson school building?
- 6 Chief of Staff: MmHm (affirmative).
- 7 Helen Eisner: Okay.
- 8 Chief of Staff: Yeah.
- 9 Helen Eisner: And do you know approximately when the last time was you would have gone to
10 that office?
- 11 Chief of Staff: No, it'd have been several years ago. And its ... Because we, I don't know just ...
12 Just when, but it would've been a few years back.
- 13 Helen Eisner: Okay. What I can tell you is looking at FEC records, I know, as we understand it,
14 that the office at 111 Center Park Drive appears to have been rented at about
15 approximately July 2013. Does that time period seem reasonable to you based on
16 your work and understanding?
- 17 Chief of Staff: Yeah, I think something like that I guess.
- 18 Helen Eisner: Okay.
- 19 Chief of Staff: Well maybe 2012 or 2013 somewhere along in there.
- 20 Helen Eisner: And then recent FEC records don't seem to indicate that there was a property, or
21 rental property, at this Tyson School building, and again, that's recent years. So,
22 in no way saying that there wasn't another rental property. I guess I'm just trying
23 to understand if there was a gap in time between the two.
- 24 Chief of Staff: Yeah. The Tyson building was where the Treasurer, who, at that time, was the
25 Treasurer, before Jason Brown.
- 26 Helen Eisner: Okay.
- 27 Chief of Staff: His office was in the Tyson School building.
- 28 Helen Eisner: Understood.
- 29 Chief of Staff: So, he, so ...
- 30 Helen Eisner: So that would have been with the previous treasurer?
- 31 Chief of Staff: Right, right.

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1 Helen Eisner: Understood.

2 Jeff Brown: Did you ever work on either of those offices, or have occasion to work on either
3 of those offices?

4 Chief of Staff: Well, I've been in and out of those offices.

5 Jeff Brown: Did you have an office in either of them?

6 Chief of Staff: No.

7 Helen Eisner: Who did have an office at the 111 Center Park Drive location?

8 Chief of Staff: Well, course, it was the campaign office. We had volunteers in there from time to
9 time, and I think that was ... I think, Phyllis Severance, was heading the office up.
10 But we had, like I said, volunteers coming in and staffing the office, answering
11 phones, and that kind of thing.

12 Helen Eisner: Okay, and what was Phyllis Severance's role for the campaign?

13 Chief of Staff: Well, she was, manned the office, assisted with volunteers, and things of that
14 nature. That was like in, 2012, or somewhere along there, but ...

15 Jeff Brown: Was that before John came on?

16 Chief of Staff: Yes.

17 Jeff Brown: Okay.

18 Helen Eisner: Okay. Does that time period make sense for this particular location that we're
19 discussing, 111 Center Park Drive, which the records seem to indicate the rental
20 agreement started around July 2013, in that time period. Would Phyllis Severance
21 have been working out of that office then?

22 Chief of Staff: Well, it was during that 2012 campaign, I guess. Well, let me see. I'm not sure. I
23 just can't, I just don't have those dates in my head.

24 Helen Eisner: Okay. Was John Duncan III, John, was he working out of that office?

25 Chief of Staff: Yeah, he was. John's worked in the campaign for a long time, for, so ...

26 Helen Eisner: You sort of mentioned that he had, his role as campaign manager. When did he
27 take on that role?

28 Chief of Staff: I think, at some point, the Congressman said that he was naming John as the full-
29 time campaign manager to man the headquarters, and do polling, do research,
30 volunteers, the entire ... all the aspects of a campaign, which he did ... but just
31 what that date was, I mean, I can't recall that. It was somewhere along, I guess,
32 '13, or somewhere, I don't know, '12. I'm not sure.

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1 Helen Eisner: Understood. How did the Congressman provide that information to you? How did
2 you learn that he was taking on that role?

3 Chief of Staff: He did. The Congressman told me.

4 Helen Eisner: He told you? It was a conversation you had?

5 Chief of Staff: Yeah.

6 Helen Eisner: Okay, and did he say why John would be taking on that role?

7 Chief of Staff: I think he felt like, that there's a lot of political groups out there, like, the Tea
8 Party groups and different others that were getting active, and he didn't want to be
9 caught ... I heard him say that he didn't want to be caught in a campaign that
10 someone else had outworked him, or that his campaign wasn't doing everything it
11 could to have a good election. So he basically didn't want to take anything for
12 granted. He just wanted to be more active. He wanted to campaign to be more
13 active, and doing more things.

14 Helen Eisner: Why was John hired to fill that role?

15 Chief of Staff: I think he trusts John. John is very knowledgeable of campaign activities, and
16 things that need to be done for a campaign, and he knew John was capable of
17 doing it.

18 Helen Eisner: Was John going to work directly with Phyllis Severance? How did they work
19 together?

20 Chief of Staff: Well, yeah, they'd be working together, somehow. Now, Phyllis was not there on
21 a long-term. She was hired to work in the, up until the election, after the election
22 was over that year.

23 Helen Eisner: The 2012 election?

24 Chief of Staff: Right, I believe it's the 2012 election.

25 Helen Eisner: Okay. So, the records seem to indicate that John Duncan himself started receiving
26 disbursements for salary, from the campaign, in July 2013. Does that time period
27 make sense to you?

28 Chief of Staff: Yeah, that sounds ...

29 Helen Eisner: Okay.

30 Chief of Staff: Sounds reasonable.

31 Helen Eisner: Where were you told that John was going to perform work? Where was his office
32 going to be?

33 Chief of Staff: I don't think that was mentioned. I didn't have anything to do with it, with the
34 office, or the location of the office, or the expenditures of the office.

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- 1 Helen Eisner: Okay. Who was involved in that process of determining where he was going to
2 work?
- 3 Chief of Staff: Well, that'd probably be, you mean, where the office was going to be located?
- 4 Helen Eisner: Yes, the physical location of his responsibilities.
- 5 Chief of Staff: Well, that would have been, I would say, discussions between John, and the
6 Congressman, and Jason.
- 7 Helen Eisner: Okay. Where was John working previously?
- 8 Jeff Brown: Okay.
- 9 Chief of Staff: Let's see. At that time ... I think John was doing consulting work for some, two or
10 three different folks at that time. I think that's what he was doing.
- 11 Helen Eisner: Okay. What type of consulting work?
- 12 Chief of Staff: Well, I don't know all the things that he did, but people had questions about
13 what ... like a company that has business with different entities, or, I don't know.
14 Whatever. I mean, just basically, I think John was doing, what, research, and that
15 kind of thing for these folks.
- 16 Helen Eisner: Do you know where he was performing that work?
- 17 Chief of Staff: No.
- 18 Helen Eisner: Did he have an office?
- 19 Chief of Staff: I think he was doing those things out of his home, I think, at that time.
- 20 Helen Eisner: Okay. What conversations did you have about the need for the campaign to
21 acquire office space, around the time that John came on, to work for the
22 committee?
- 23 Chief of Staff: I didn't have any conversations during that.
- 24 Helen Eisner: What was your role in negotiations for the office space?
- 25 Chief of Staff: None.
- 26 Helen Eisner: Okay, and who would have been involved in that, again?
- 27 Chief of Staff: That would have been Jason, and John, and maybe, probably, the Congressman. I
28 don't know if he, the Congressman, was involved in negotiations, or anything. I
29 would say most of those were between John and Jason, but the Congressman
30 would be aware of what the rent would be. I'm sure they ran past him.
- 31 Helen Eisner: Okay. Were there any concerns about the rental amount?
- 32 Chief of Staff: No.

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- 1 Helen Eisner: Okay. Any discussion of what that amount would be, or trying to get discounts for
2 the rent?
- 3 Chief of Staff: Well, I didn't have any discussions regarding ...
- 4 Helen Eisner: Okay.
- 5 Chief of Staff: Paying the office rental, anything along those lines.
- 6 Helen Eisner: At some point in time, the office moved, and I think you had mentioned an office
7 in Kingston Pike. You said Kingston Pike earlier, and what we understand is, it
8 moved to 6-, and please correct me if I'm wrong, to 6906 Kingston Pike Suite,
9 Suite 102. Is that, based on your understanding, is that where the office moved to,
10 after that former address on Center Park Drive?
- 11 Chief of Staff: It would be whatever the current address is now.
- 12 Helen Eisner: So that's still the headquarters, where, okay. Do you know why it moved? This
13 would have been in the summer, approximately August of 2014?
- 14 Chief of Staff: You mean from Center Park to where it is now?
- 15 Helen Eisner: Yes
- 16 Chief of Staff: Well, not really, I didn't have any discussions regarding office space or you know
- 17 Helen Eisner: Who would have had the discussions about need for a new-?
- 18 Chief of Staff: It would have been John, and Jason and I'm sure that they would, John would say
19 something to the Congressman, "You know we were thinking about maybe
20 moving offices," or "What do you think about this, a better location," which it is a
21 better location than where it is now than where it was on Center Park Drive.
- 22 Helen Eisner: Why is it helpful that it's a better location, just if you can help me understand-
- 23 Chief of Staff: More accessible, people would be able to find it easier, volunteers or whomever
24 they had helping out.
- 25 Helen Eisner: Have you been to- I'm sorry I'll let you drink your water, I don't want to...-Have
26 you been to that office space, to 6906 Kingston?
- 27 Chief of Staff: Mm hmm
- 28 Helen Eisner: How big is that approximately?
- 29 Chief of Staff: Gosh, it'd just be a guess because I've been there a few times but I'm not there
30 frequently.
- 31 Helen Eisner: Sure
- 32 Chief of Staff: Being formally in the real estate business I should know a lot better. I'm guessing
33 about 1200 square feet.

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- 1 Helen Eisner: Okay. Do you know how many offices there are in the space, individual offices?
- 2 Chief of Staff: Let's see there's a conference room, and another little area there and I think two
3 individual offices.
- 4 Helen Eisner: When you have gone to that location what's the reason that you've gone there?
- 5 Chief of Staff: We had a, kind of like a political strategy meeting to discuss maybe what direct
6 mail or what direct advertising need to be done or something like that...
- 7 Helen Eisner: How many times would you say you've been to that office?
- 8 Chief of Staff: Not many, are you talking about since it was opened, since the time--
- 9 Helen Eisner: Since they just started renting it in about August 2014.
- 10 Chief of Staff: Ten times maybe.
- 11 Helen Eisner: Ten times. Okay, and was that always for political strategy meetings?
- 12 Chief of Staff: Mm hmm yup.
- 13 Helen Eisner: And who else would attend those meetings?
- 14 Chief of Staff: Well, we would probably have of course Congressman has been there before, not
15 at all of them and Bill Vaughn probably would have been at some of those, of
16 course John, John would have been at all of them, Zane his son, Zane, maybe our
17 PR folks because sometimes we met at their office and then there may have been
18 one of those times that I was there that one of those folks would have been there.
- 19 Helen Eisner: And the PR folks, is that an outside consulting firm?
- 20 Chief of Staff: Right.
- 21 Helen Eisner: And which firm is that?
- 22 Chief of Staff: That's Moxley Carmichael.
- 23 Helen Eisner: Okay, and how often would you say as far as the political strategy meetings that
24 you've had at the Moxley Carmichael offices versus Kingston Pike? What was
25 more common?
- 26 Chief of Staff: Probably, if we were talking about what Moxley Carmichael, about what they
27 were helping us with, as far as doing the promotions and doing the maybe, some
28 years we did video and radio and those kinds of things, then we would meet at
29 their office.
- 30 Helen Eisner: Okay, so more-
- 31 Chief of Staff: Most of the time any interaction with Moxley Carmichael would be at their office.

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1 Helen Eisner: Okay, and if Moxley Carmichael wasn't present would the meetings always occur
2 at the 6906 location?

3 Chief of Staff: Other meetings would occur at the other location, but most of the ones that had to
4 do with Moxley Carmichael, what they were doing for the campaign would occur
5 at their office.

6 Helen Eisner: And you named a few people who would have taken part in those types of
7 meetings. Which of those individuals worked out of the Kingston Pike office
8 location when they were performing campaign work?

9 Chief of Staff: Well it would be John.

10 Helen Eisner: Okay. Anyone else?

11 Chief of Staff: Not then, not any of those individuals, are we talking about the individuals who
12 would have been in a meeting at Moxley Carmichael?

13 Helen Eisner: Yes, for now just that list that you provided, I think you said the Congressman,
14 Bill Vaughn, John, Zane, Moxley Carmichael representatives. So, only John of
15 those would have had an office at the

16 Chief of Staff: Would have had an office at the...

17 Helen Eisner: Kingston Pike

18 Chief of Staff: Right, right, that's right.

19 Helen Eisner: Who else besides those individuals had an office or worked out of the Kingston
20 Pike location?

21 Chief of Staff: Well no one else had an office, you know the Congressman would stop in there
22 for I don't know how often, but I know he stopped in there from time to time and
23 he used the office-

24 Helen Eisner: What would he use it for?

25 Chief of Staff: Just the campaign, maybe John would be going over some campaign requests or
26 campaign strategy type things or John would do some polling and he would be
27 sitting down with him going over those results and things like that so...

28 Helen Eisner: And was that separate from the political strategy meetings or is that the same type
29 of event that would bring the Congressman to the office?

30 Chief of Staff: Like I said if there was something campaign related that John was working on
31 then and the Congressman was in town he might stop by there and do that.

32 Helen Eisner: Okay.

33 Chief of Staff: That's all I'm saying with that.

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1 Helen Eisner: Sure. How often you know based on your knowledge would the Congressman go
2 to that office?

3 Chief of Staff: I don't have any idea about that.

4 Helen Eisner: Okay. Before you were talking about a P.O. Box where you'd be Jason, why did
5 you keep the P.O. Box?

6 Chief of Staff: I always had the P.O. Box.

7 Helen Eisner: Okay.

8 Chief of Staff: I guess, I mean I don't know, I guess there's no reason to change it if its been there
9 so long and people had that address so there may be some things that go to the
10 campaign office at that address but most of the requests, statements and so-forth
11 that were like repeat type things, you know like Chamber of Commerce dues or
12 things of that nature...but had to change a lot of, had to contact a lot of people to
13 change that addresses. But as far as I know it's never discussed about changing the
14 mailing address.

15 Jeff Brown: You mentioned the new location was a little better-

16 Chief of Staff: Mm-hmm

17 Jeff Brown: Was there like signage there that it sort of functioned as like a Duncan for
18 Congress advertisement, you drive by-

19 Chief of Staff: Yeah

20 Jeff Brown: I'm trying to get a visual of it.

21 Chief of Staff: Yeah, well it's right on Kingston Pike.

22 Jeff Brown: Okay.

23 Chief of Staff: Whereas the other office was off Kingston Pike and it was kind of in a little
24 shopping area or whatever, well maybe not a shopping area but where other small
25 offices were. It's kind of off the beaten path, whereas this office is easily located
26 and you can tell someone where it's at and they know exactly pretty much how to
27 get there-

28 Jeff Brown: Was there signage on it?

29 Chief of Staff: Yes.

30 Jeff Brown: Okay.

31 Helen Eisner: Is there still signage on it?

32 Chief of Staff: Well you're saying signage, I mean there's not a big 'Duncan for Congress' sign
33 out there.

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1 Helen Eisner: Yeah I think that's what we were- anything that would indicate, you know,
2 besides an address, anything that would indicate the office that's located out there-

3 Chief of Staff: Yeah no, there's not that type of a sign out there.

4 Helen Eisner: Okay.

5 Jeff Brown: Would people know it's 'Duncan for Congress' should they walk by it?

6 Chief of Staff: No, but now closer to the election I think they had a sign out there in the, you see
7 there's a big sign that has all the other offices that's located in the building so I
8 think they had a sign out there just on the street level there. But there was not like
9 a billboard sign or some big sign like that was never out there. In fact I don't think
10 that you could do that.

11 Helen Eisner: You mentioned that previously John was involved in some consulting and that he
12 likely worked out of his house for that work, why didn't he continue to work out
13 of his home for the campaign work?

14 Chief of Staff: Well, when the Congressman wanted to 'beef up the campaign' and do a lot more,
15 well ... that it's going to ... you're going to have to ... have more ... more accessible
16 for people to come in and volunteers to come in and do all those kind of things
17 and you wouldn't want to do that out of your home.

18 Helen Eisner: And did that happen? Did volunteers come into-

19 Chief of Staff: Oh yeah, yeah.

20 Helen Eisner: And what would the volunteers do in the office?

21 Chief of Staff: Well maybe ... make phone calls those kind of things.

22 Jeff Brown: You mentioned when John came on there was sort of the Tea Party surge around
23 that time. There was also, I'm sure you've read we've all discussed some of the
24 public reporting about John and the legal issues that he was facing in and around
25 the time.

26 Did you have any conversation with the Congressman or what were your
27 impressions around that time of ... John's work situation?

28 Chief of Staff: As far as ... working for the camp-, I mean as far as-

29 Jeff Brown: His resignation from Knoxville County . . . from that position to starting with the
30 campaign. Did you have any conversations with the Congressman about that?

31 Chief of Staff: No, not as far as him ... changing those positions. The first, I guess ... the first I
32 knew about the Congressman wanted to do that was when he mentioned he was
33 going to bring John on as a full time campaign manager. But I mean we didn't
34 have a discussion like should he do that should he not do that. We didn't have any
35 discussion like that.

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- 1 Helen Eisner: And what was your reaction to that? When the Congressman told you that he was
2 bringing on John in that full time role?
- 3 Chief of Staff: Well, ... with a political hat on, I've thought that ... politically it might be a little
4 bit of a... could be a problem because in politics, your opposition is going to jump
5 on anything that they can ... That was my only concern.
- 6 Jeff Brown: Did you guys discuss that concern at all?
- 7 Chief of Staff: Yeah and the Congressman said, that it may be but he said, "I know this, John will
8 do a good job. He knows all the things that a campaign needs to do. I trust him"
9 and something of that nature and he said, "I know that there's ... no problem doing
10 it you know for a family member to be on ... a campaign staff so"
- 11 Jeff Brown: If John wasn't going to come work for the campaign, what was he going to do?
- 12 Chief of Staff: Well, I'm not sure. I don't know. I don't know if he's had other offers out there or
13 what his plans were.
- 14 Jeff Brown: Okay
- 15 Helen Eisner: Do you know what his financial situation was at that time, when he was looking
16 for work and was hired?
- 17 Chief of Staff: No, no.
- 18 Helen Eisner: And you know the Congressman explained to you why he felt that John had the
19 qualifications for. What is your impression of the work John has performed for
20 the campaign?
- 21 Chief of Staff: Well I know, for a fact that John has been involved in all aspects of the campaign.
22 Any time that someone would call the office or make an inquiry we would tell
23 them call John, give them John's number. We always did that.
- 24 Helen Eisner: And what was the quality of his work? You know you explained to us that he was
25 involved in the campaign but what was your impression of the quality?
- 26 Chief of Staff: Well John is a sharp guy, he knows ... the political aspects of ... as good as
27 anyone. You could ask him about some political question and he could tell you.
- 28 Helen Eisner: Did you ever have any concerns about the work that he performed for the
29 campaign?
- 30 Chief of Staff: No.
- 31 Helen Eisner: What can you tell us about American Public Strategies?
- 32 Chief of Staff: Can't tell you anything about that I don't-
- 33 Helen Eisner: Do you know what that is?

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1 Chief of Staff: Is that ... let's see American Public Strategy ... is that the company that they're
2 renting from ... the campaign is renting from or not?

3 Helen Eisner: As we understand it, it's a consulting firm that John Duncan III has created.

4 Chief of Staff: Okay that was probably his, you know when I was telling you he was doing
5 consulting work that was probably the name of his company.

6 Helen Eisner: Okay.

7 Chief of Staff: Okay.

8 Helen Eisner: And I'll clarify-

9 Chief of Staff: But I don't know-

10 Helen Eisner: I'll clarify even further and say that ... from late 2013 on John's payments have
11 come through American Public Strategies rather than being direct disbursements
12 to him individually. Okay.

13 Do you know why that consulting firm was created?

14 Chief of Staff: No.

15 Helen Eisner: Do you know why the campaign started paying the consulting firm instead of
16 John directly?

17 Chief of Staff: No.

18 Helen Eisner: Who else works for American Public Strategies? ... You don't know?

19 Chief of Staff: I don't know.

20 Helen Eisner: Okay.

21 Jeff Brown: When John came on, did he take over any of those responsibilities that you had
22 been managing for the campaign?

23 Chief of Staff: No, not really other than he started ... going to a lot more events and things.
24 There's so much of that you can do. You can do something every night practically
25 and so after John took over the campaign full time he started doing a lot of that
26 and a lot of ... representing the Congressman at places and doing a lot of-

27 Jeff Brown: Do you know if John's consulting for anybody else ... politically?

28 Chief of Staff: Not that I know of.

29 Helen Eisner: Was he trying to consult for other people?

30 Chief of Staff: Not that I know of.

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1 Helen Eisner: You explained to us a little bit the process on a monthly basis approximately of
2 going to the P.O. box and looking at records with Jason Brown the treasurer.
3 What's the processes as far as filing reports with the FEC? How does that work?

4 Chief of Staff: Well that ... be between Jason, our treasurer and Courtney the one who files the
5 report so he would send her the information on what transpired during that ...
6 reporting ... time and then she would file the reports, so that's basically it.

7 Helen Eisner: So Courtney takes on the responsibility of filing the reports?

8 Chief of Staff: Yes.

9 Helen Eisner: Do you directly communicate with Courtney about those filings?

10 Chief of Staff: Not very often she ... she might ... have a question. Usually she would call ...
11 Jason and ask him if there was a question about something that she received
12 about ... who it was or maybe if there wasn't an address, to it or something like
13 that. Which didn't occur very often but ... and if Jason was not aware then she
14 might call me and ask me ... if I was aware.

15 Helen Eisner: And then what would you do if you were asked that information and you didn't
16 know

17 Chief of Staff: Well if I didn't know, I would just tell her I don't know.

18 Helen Eisner: So how aware are you of all the campaign's expenditures? Do you know
19 everything that the campaign spends money towards?

20 Chief of Staff: No.

21 Helen Eisner: Okay who would know that?

22 Chief of Staff: Well of course our treasurer would know all the expenditures.

23 Helen Eisner: Okay.

24 Chief of Staff: He's one ... has all the records on that.

25 Helen Eisner: So who authorizes purchases on behalf of the campaign?

26 Chief of Staff: Well the Congressman would authorize ... any purchases with the exceptions of
27 maybe the ... well I mean I start to say with the repeat type things that just typical
28 bills that ... you would pay dues and so forth like that. Of course he would
29 originally would have authorized those. But.

30 Helen Eisner: Besides the Congressman ... who can make the purchases on behalf of the
31 campaign? Who has that authority?

32 Chief of Staff: Well probably no one has it other than him has the actually authority to make a
33 purchase. He has ... there's two credit cards he has one and John has one.

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- 1 Helen Eisner: Okay.
- 2 Jeff Brown: But he has one, the Congressman has one, John has one-
- 3 Chief of Staff: Correct.
- 4 Jeff Brown: Does anybody else have a credit card?
- 5 Chief of Staff: No.
- 6 Jeff Brown: There's a check book of some kind I presume that ... Does Jason control that?
- 7 Chief of Staff: Right.
- 8 Helen Eisner: Can anyone else access the bank account besides Jason?
- 9 Chief of Staff: Well I could have accessed the account if I ... I think I'm listed as a secondary ...
- 10 Helen Eisner: Authorized user or something?
- 11 Chief of Staff: Author or something with rights to them.
- 12 Helen Eisner: Okay. Sure.
- 13 Jeff Brown: So you mentioned that receipts will come into the P.O. box and/or to the
14 congressional office or something like that.
- 15 Chief of Staff: Right.
- 16 Jeff Brown: And you'll sort of have a conversation with Jason along those lines. Is that the
17 way conversations about finances with Jason generally works?
- 18 Chief of Staff: I'm not sure just what ...
- 19 Jeff Brown: In other words, Jason has the ... Jason has ...the treasurer's responsibility,
20 according to his ...
- 21 Chief of Staff: He has a checkbook and he's ... Right.
- 22 Jeff Brown: Okay. And do ... Are you funneled receipts in some way? Or are you funneled the
23 financial stuff in some way to get that to Jason?
- 24 Chief of Staff: No.
- 25 Jeff Brown: Okay.
- 26 Chief of Staff: No. No.
- 27 Jeff Brown: The stuff goes directly to Jason?
- 28 Chief of Staff: It all goes directly to Jason, and if I get some kind of receipt or something of that
29 nature, I give it to him.
- 30 Jeff Brown: Okay.

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1 Chief of Staff: Directly to him, so I mean, I don't keep any of those.

2 Helen Eisner: And the two credit cards, how long has John had a separate credit card?

3 Chief of Staff: I think probably since ... I don't know if he had one before, that he was named a
4 full-time campaign manager, but from at least at that time. He could have had it
5 before that time.

6 Jeff Brown: Did you ever have ... Like, before John came on, did anybody else have access as
7 a second authorized user of the campaign credit card?

8 Chief of Staff: Yeah. Before John did, I had.

9 Jeff Brown: You had. Okay.

10 Helen Eisner: And when John makes an expenditure from the credit card, does it have to be
11 approved in advance?

12 Chief of Staff: No, I'm not ... With John's expenditures, he worked with Jason on those most all
13 the time.

14 Helen Eisner: Okay. So when the credit card statement comes in on a monthly basis ... You've
15 sort of explained to us it's you and Jason who take a look at the statement. What is
16 that process? What do you look for?

17 Chief of Staff: Well, if ... To see if the charges look to be correct, for one thing. If there is
18 something on there that we don't recognize, then either he will check on it or if it's
19 something that the Congressman may know, I would ask the Congressman. Pretty
20 much ... That's pretty much it.

21 Jeff Brown: Does it happen with any frequency that stuff ends up on there that neither of you
22 are familiar with? Or personal charges that either John or the Congressman say,
23 "Take that out. That we're going to pay separately."

24 Chief of Staff: That's occurred a few times over the years, whether it be something on the card
25 and I would ask the Congressman about it, and like I said, I'm cautious about ...
26 I'm one of those that don't even want to go a gray area. So to be cautious,
27 probably over the years, there'd be a few things that I would ask him about, and
28 he would either say well, just ... Yeah, he said, "I'll go ahead and pay that, or I
29 should pay that," or something, or he would say, "No, that's a political expense
30 because of . . . "

31 Jeff Brown: So it's ... So if somebody's using the campaign card, generally that's for campaign
32 purposes unless it was sort of an accidental purchase?

33 Chief of Staff: Right.

34 Helen Eisner: I want to show you a document. Okay. This is DFC. These are bates numbers that
35 we read just for the record.

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1 Chief of Staff: Okay.

2 Helen Eisner: DFC 0974-0977, and what we're going to do is we'll provide you the whole
3 statement, but there's really just one page that I'm interested in, which is 0975.
4 Here you go. Take a second and take a look at that. This is an American Express
5 bill. As you can see, the closing date is May 23, 2010, and what I want to draw
6 your attention to is the second page of this packet, which is page 0975. At the top
7 there are initials BG. What does that initial indicate?

8 Chief of Staff: Well, it means that I have checked with the Congressman, and on any of these
9 charges that would have a question.

10 Helen Eisner: Okay. So when your initials appear on the statement, it means you've checked
11 with the congressman, and ...?

12 Chief of Staff: And he's said it's fine.

13 Jeff Brown: And then, I know this is 2010, so who does he go to after that? In 2010. Whoever
14 the treasurer was at the time?

15 Chief of Staff: Well, right. It would go to the other treasurer at that time.

16 Helen Eisner: Oh. Let me just ... Dave, do we still have you on the phone here? Okay, we're
17 going to go ahead and pause the recording for a moment.

18 Okay. This is Helen Eisner, back on the record here with Chief of Staff, and Jeff,
19 if you want to go ahead with your question?

20 Jeff Brown: Yeah, so I think I was asking ... So this is 2010, after your initials go on it, it
21 presumably went to the treasurer at the time. Was that Jason, at the time?

22 Chief of Staff: No. It would have been Dean Rice, at that time. Or the treasurer would have had
23 this bill, and he would have ... I would have seen that and I would have asked the
24 Congressman about it, and then I would have got the authorization, and then I
25 would have give it back to the treasurer.

26 Jeff Brown: Is it fair to say that yourself and whoever the treasurer at the time was, was sort of
27 spot-checking the credit card bills just to make sure that everything on here
28 looked appropriate?

29 Chief of Staff: Yes.

30 Jeff Brown: Yeah, okay. Has your role in that changed at all with Jason coming on, or John
31 coming on to the campaign?

32 Chief of Staff: Since John's come on, he has ... Most all of his expenditures and so forth, he has
33 communicated with Jason on.

34 Jeff Brown: Okay.

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1 Chief of Staff: So that would be probably the ...

2 Jeff Brown: You don't have a reason to spot-check John's. It's more the Congressman's that
3 you'll look at now, or do you . . . not look at.

4 Chief of Staff: Well, I'm closer to the Congressman you know where I can communicate with
5 him and Jason's communicates with John because he sees him more often or he,
6 you know ... So that's ...

7 Jeff Brown: So do you ... So then you still will play ... You and John and Jason will all still
8 take a look at the credit card bills before I guess Courtney ... puts them into an
9 FEC report.

10 Chief of Staff: Yes. It would ... I would look at, Jason would look at it, and then it would ... We
11 would ... I've mentioned to Jason that if there's something on John's, and there
12 has ... You know, from time to time you see something ... Check with John on
13 that.

14 Jeff Brown: Yeah.

15 Chief of Staff: And he would.

16 Helen Eisner: And can you give us an example of what type of expense would appear on John's
17 credit card that you'd want to check with him or Jason about?

18 Chief of Staff: Well, the only thing ... It'd be ... if the Congressman would ask him to take some
19 of our supporters, some of our contributors out to lunch from time to time, or take
20 them to a meal, and he would do that. But I had ... In fact, Courtney had
21 mentioned one time about making sure ... She said, "Make sure John has the
22 receipts for the lunches and so forth." So I said something to Jason about that, and
23 I said, "Tell John, make sure he's keep ... keeps his record." And he says, "Well,
24 he's giving some receipts. I'm not sure if I have all of them." But he said, "I'll get
25 with John and make sure that he's got all his records about any ... His
26 expenditures."

27 Helen Eisner: Okay.

28 Jeff Brown: Courtney mentioned there was some ... She had some conversations with you
29 about some concerns about some of John's expenditures. What's your recollection
30 on those conversations?

31 Chief of Staff: Well other than the fact that she said that make sure we have the receipts. That he
32 has receipts for those expenditures. But I mean that's all she's mentioned to me.

33 Helen Eisner: And how often has she requested those receipts?

34 Chief of Staff: Well she called me I think one time about that particular issue, that she didn't
35 have the receipts from John, and then like I said I mentioned it to Jason to
36 communicate with John, and he did. And I think I mentioned it to the

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1 Congressman, I said, "Make sure John's keeping up with all of his receipts. And
2 so forth."

3 Helen Eisner: And you're talking about meetings with constituents, meals with constituents is
4 that what you're referring to?

5 Chief of Staff: Right.

6 Helen Eisner: And based on your knowledge was John always meeting with constituents on
7 those occasions when concerns were raised about particular meals?

8 Chief of Staff: Well as far as I know.

9 Jeff Brown: Do you recall raising any specific concerns about specific instances in which,
10 either John wasn't giving receipts or John may not have been having meals with
11 constituents?

12 Chief of Staff: No, not as far as I know.

13 Jeff Brown: Do you know if she raised that concern with anyone else?

14 Chief of Staff: What now?

15 Jeff Brown: Do you know if she raised that concern with anyone else-

16 Chief of Staff: If Courtney-

17 Jeff Brown: On the campaign? Correct.

18 Chief of Staff: Well, I think I mentioned to her that she needs to call John herself. I said, "Well I
19 will speak with Jason about it." But I said, "Call John, you all communicate
20 regarding that."

21 Jeff Brown: Do you know if she did?

22 Chief of Staff: No, I don't know.

23 Jeff Brown: Beside from that one conversation do you recall any other conversations with her
24 about that?

25 Chief of Staff: I think it was just that one conversation she mentioned to me about the receipts,
26 that he should be keeping those receipts, but she hadn't received any.

27 Jeff Brown: Do you know if she talked to Jason about that?

28 Chief of Staff: I think she did, yeah.

29 Jeff Brown: The Congressman?

30 Chief of Staff: No, no. No I don't know that she talked to the Congressman. No, I don't think so.
31 But I think she did talk to Jason about it.

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- 1 Helen Eisner: What about besides meals with constituents? On what other occasions has
2 Courtney raised concerns about John's expenditures on behalf of the campaign?
- 3 Chief of Staff: As far as I know that's the only specific time that she has raised a concern was
4 over the receipts.
- 5 Helen Eisner: Over the receipts for meals with constituents?
- 6 Chief of Staff: Hmm-mm-hmm (affirmative).
- 7 Helen Eisner: What about an occasion when she saw John and his wife out to dinner, just the
8 two of them, did she ever have a conversation or communicate to you in any way
9 about a charge for John going to dinner with his wife?
- 10 Chief of Staff: No.
- 11 Helen Eisner: What about an anniversary dinner?
- 12 Chief of Staff: No.
- 13 Helen Eisner: A dinner at Aubrey's, which I believe is a local restaurant. Were any concerns
14 raised to you about-
- 15 Chief of Staff: No.
- 16 Helen Eisner: ... a meal at Aubrey's?
- 17 Chief of Staff: No.
- 18 Helen Eisner: Or at Ruth Chris?
- 19 Chief of Staff: No.
- 20 Helen Eisner: Okay. Has she ever sent you a text message asking you for receipts?
- 21 Chief of Staff: No.
- 22 Helen Eisner: Do you ever text message with Courtney? Send-
- 23 Chief of Staff: No.
- 24 Helen Eisner: ... text messages? Okay.
- 25 Chief of Staff: I don't know that I've ever text messaged with her but I can't recall if I have.
- 26 Helen Eisner: Okay. What about through email?
- 27 Chief of Staff: No. The only conversation, was just like I mentioned, was she was concerned
28 about the receipts but she didn't ... I mean I don't recall any specific instances that
29 she mentioned.
- 30 Helen Eisner: What concerns have you had about John's use of the campaign credit card?

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- 1 Heidi Abegg: Could you rephrase that I don't think-
- 2 Helen Eisner: What-
- 3 Heidi Abegg: ... he's said he's had any concerns.
- 4 Chief of Staff: I don't have any, no.
- 5 Helen Eisner: Well if the answer is you haven't had any then-
- 6 Chief of Staff: No.
- 7 Helen Eisner: Okay. So, you have not?
- 8 Chief of Staff: Other than the receipt.
- 9 Helen Eisner: Okay.
- 10 Chief of Staff: That we just talked about.
- 11 Helen Eisner: What discussions have you had with the Congressman about John's use of the
12 credit card?
- 13 Chief of Staff: Not any other than just the record keeping part of it. We got to keep that
14 information.
- 15 Helen Eisner: Okay. What about separate conversations with Jason? Any conversations with
16 him about John's record keeping?
- 17 Chief of Staff: Not other than just that he needs to keep up with it.
- 18 Helen Eisner: Do you know if Jason has received receipts from John?
- 19 Chief of Staff: Yeah, he's told me that he had.
- 20 Helen Eisner: And where are those maintained?
- 21 Chief of Staff: Well, he would have them.
- 22 Helen Eisner: Jason would?
- 23 Chief of Staff: Jason.
- 24 Helen Eisner: Do you have a campaign funded cell phone or telephone of any sort?
- 25 Chief of Staff: No.
- 26 Helen Eisner: Does anyone have campaign funded telephone?
- 27 Chief of Staff: I think John does.
- 28 Helen Eisner: Okay. Why does John have a campaign funded phone?

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- 1 Chief of Staff: I mean I'm not sure about that but he ... I'm just trying to think if there's a
2 telephone charge that says campaign. It may be just an office phone.
- 3 Helen Eisner: Just an office phone?
- 4 Chief of Staff: But I'm not sure about that.
- 5 Helen Eisner: Okay. If you received invoices from a telephone company would those come to
6 the P.O. box?
- 7 Chief of Staff: Hmm-mm-hmm (affirmative).
- 8 Helen Eisner: Okay. And who would review those?
- 9 Chief of Staff: Jason.
- 10 Helen Eisner: Jason.
- 11 Jeff Brown: What sort of relationship do Jason and Courtney have? They have a professional
12 relationship, obviously, do they have any sort of relationship outside of that?
- 13 Chief of Staff: Not other than their all kind of a family type. Jason's married to the
14 Congressman's daughter. And Courtney's mother is the Congressman's sister, so.
- 15 Jeff Brown: Sure.
- 16 Helen Eisner: You had mentioned earlier, invoices that you might receive on a regular basis to
17 the P.O. box for Club LeConte, I think you said. What is Club LeConte?
- 18 Chief of Staff: Well that's a dining area, or I mean a restaurant, where the, its private members
19 and he's had fundraisers there, and receptions there, and those kind of things.
- 20 Helen Eisner: And how often does he have events there?
- 21 Chief of Staff: Not too often. I mean, it's not used that much. But if you want to have an event
22 you need to belong to it to have it.
- 23 Helen Eisner: You need to be a member to?
- 24 Chief of Staff: Right.
- 25 Helen Eisner: Okay. And is the Congressman a member of the club?
- 26 Chief of Staff: Yes.
- 27 Helen Eisner: Okay.
- 28 Chief of Staff: Like I said it's just a dining type club, dining area.
- 29 Helen Eisner: So they have private events space as part of the restaurant-
- 30 Chief of Staff: Right. Right.

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- 1 Helen Eisner: ... that type of facility?
- 2 Chief of Staff: Right. That's all it is.
- 3 Helen Eisner: Okay. What events have been organized by members of the Congressman's family
4 at the club?
- 5 Chief of Staff: Let's see. I think Mrs. Duncan has organized some events there.
- 6 Helen Eisner: And what are those events?
- 7 Chief of Staff: Well I mean I don't recall what they are but-
- 8 Jeff Brown: Do you recall getting invoices from Club LeConte?
- 9 Chief of Staff: No.
- 10 Jeff Brown: Yeah. Those invoices are paid by the campaign?
- 11 Chief of Staff: Hmm-mm-hmm (affirmative).
- 12 Jeff Brown: Okay. When you get invoices would you also get like ... Sometimes there's
13 banquets held there that the invoices will show. Do you get banquet related
14 materials, as well, with those invoices?
- 15 Chief of Staff: Uhm... I'm not sure what.
- 16 Jeff Brown: I'm trying to get at the extent to which you're aware of what sort of events, what
17 sort of banquets are being held on any given month by the Congressman, or Mrs.
18 Duncan, or whoever else.
- 19 Chief of Staff: I don't think that is on the invoice. So on those type things you would ask him or
20 ask her. If it's something she had organized ask her. If it's him ... Just to see. And
21 if it was a political reception ... I would know about a political reception-
- 22 Helen Eisner: Sure.
- 23 Chief of Staff: ... and those kind of things. So it just depends on what it was.
- 24 Jeff Brown: We're going to ... I'm just going to hand you and I apologize I only have just one
25 copy of it here, because it's rather – it's rather thick, and it's all in date order. But-
- 26 Helen Eisner: And we want to say the bates numbers there or are they-
- 27 Jeff Brown: Sure. Well just for the record these are Club LeConte invoice documents in date
28 order from April 2012 through I believe August 2017. And I'm happy to have you
29 flip through it, but I will just, I'll draw your attention to just a couple.
- 30 Chief of Staff: Well you can see it's not used that much. That's the charges. Those are just the
31 monthly charges that you have to pay.

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- 1 Jeff Brown: So if I could trouble you to ... and I'm not going to give you bates ranges, because
2 they're a bit out of order here, but if you would flip to, it's February 15, 2013, the
3 invoice would be for February 2013.
- 4 Yep. Actually, yep, stay on that page. Flip one more page. One more page in the
5 other direction.
- 6 Helen Eisner: I think I have it.
- 7 Jeff Brown: Are you on February 2013?
- 8 Chief of Staff: Mm-hmm (affirmative).
- 9 Jeff Brown: Okay. Now, if you, if you'll see, there's a banquet charge there.
- 10 Chief of Staff: Mm-hmm (affirmative). Okay.
- 11 Jeff Brown: Now if you'll flip a couple pages back there, you'll see that there's a banquet event
12 order form. In the other direction, I apologize.
- 13 Helen Eisner: I think it's maybe forward.
- 14 Jeff Brown: Keep going, one more. There you go. So this document here is "Surprise
15 Birthday, Ms. Walls Dinner." That's the title of the document. For the record, the
16 bates is CLC_0233.
- 17 I'll give you a chance to look at it, but I do want to highlight there's some contact
18 information there, and your email address is listed on there, I believe. I want to
19 confirm that. [REDACTED] ? It's in the top portion of the
20 document.
- 21 I realize this is from 2013. But would you get copies of these sort of banquet
22 event order forms when the Congressman held an event at Club LeConte?
- 23 Chief of Staff: I think when he initially signed up for that, they had my email address on there,
24 for some reason. In fact, I've been meaning to tell them, you know, "Quit sending
25 me ... " But I don't communicate with them through that email.
- 26 Jeff Brown: Would you receive banquet event order forms?
- 27 Chief of Staff: No. Like I said, when I get an email from them, I don't pay any attention to it,
28 because I don't handle any of those.
- 29 Jeff Brown: Who handles ... ?
- 30 Chief of Staff: Well it would go to our trash. They also send out the paper invoice to the post
31 office box.
- 32 Jeff Brown: So Club LeConte will issue monthly dues, statements, to the post office box, and
33 I'm sorry, they will or they won't send ... ?

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- 1 Chief of Staff: Yeah. They will, they'll do it. They'll send. Well, they'll send the monthly ... Now
2 you could, I think, the email they sent me, I could probably print that out or
3 something, and you know, I don't, I'm not sure, because I don't ...
- 4 Jeff Brown: Would you look at those statements when they came in?
- 5 Chief of Staff: I would ... are you talking about when they come into the post office?
- 6 Jeff Brown: I'm talking about your email.
- 7 Chief of Staff: No. There isn't, none of that would come through, as far as I know. I've never
8 looked at any of it through my email.
- 9 Jeff Brown: Would you look at it at the post office box?
- 10 Chief of Staff: Yes.
- 11 Jeff Brown: When the materials came in through the post office box, would they include ...
12 would you, have you ever seen anything like this banquet order form?
- 13 Chief of Staff: They may. There, for a while, they were coming in. I think they sent some of
14 those to the office. But got it changed to the P.O. box, I think.
- 15 Jeff Brown: They, being the invoices, or they, being ... ?
- 16 Chief of Staff: Right. Well, the Club LeConte, just the monthly bill.
- 17 Jeff Brown: Invoices.
- 18 Chief of Staff: Right.
- 19 Jeff Brown: These banquet event order forms, have you ever seen anything like this before?
- 20 Chief of Staff: I don't think so. No, I haven't seen these.
- 21 Jeff Brown: Were you aware that there was an event at Club LeConte for a Ms. Walls? I
22 realize this is in February of 2013, so it's a bit dated.
- 23 Chief of Staff: I know who that is, but I can't say that I was aware of an event.
- 24 Jeff Brown: Okay. Who is Ms. Walls?
- 25 Chief of Staff: She is, has been, a longtime supporter and friend of the Duncans, an older lady
26 that was very active in political things. You know.
- 27 Jeff Brown: You mentioned that the Congressman holds some fundraising sort of events at the
28 Club. Do you, are you aware, of what other kinds of events that the Duncans will
29 hold at Club LeConte?
- 30 Chief of Staff: Well he's taken the kids from poor-income areas and rural areas that may have not
31 ever been to Knoxville, you know some very district rural areas, and taken those
32 kids to Club LeConte, and we'd give them a tour of the federal building and our

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1 offices over there, and take them to Club LeConte for lunch. He's done that
2 several times.

3 Jeff Brown: Are you aware of any baby showers or wedding showers being thrown at Club
4 LeConte?

5 Chief of Staff: I think there have been some of those. This would be people, like that were large
6 contributors, or something along those lines.

7 Jeff Brown: How would you have been alerted to those sorts of events being held?

8 Chief of Staff: Maybe just hearing about it, maybe the Congressman, or Mrs. Duncan, or ...

9 Jeff Brown: Would you have had a ... ?

10 Chief of Staff: Or if I, if there was something, if there was charges from Club LeConte that had a
11 banquet charge, and if I wasn't aware of it, and Jason wasn't aware of it, then we
12 would ask what it was.

13 Jeff Brown: Okay, that's what I'm trying to get at. So when there's banquet charges on a Club
14 LeConte invoice, either you or Jason is alerted to what that event was?

15 Chief of Staff: Yeah, right. If we didn't know what it was, then we would check it out, to see
16 what it was, if it was, you know, make sure it's a correct charge.

17 Jeff Brown: And to the extent that there was a baby shower or wedding shower ... let me
18 rephrase that. Do you recall any baby showers or wedding showers, or who they
19 would have been thrown for?

20 Chief of Staff: I just know that probably something like that has occurred for a supporter, I mean,
21 probably a contributor-type things that, but I can't tell you who it may have been,
22 or when it was, or--

23 Jeff Brown: Were there discussions in advance about throwing events like that at Club
24 LeConte, with you or Jason?

25 Chief of Staff: Not with me.

26 Helen Eisner: Have you attended any of those events at Club LeConte? Showers, baby showers,
27 wedding events?

28 Chief of Staff: No, not those. I have attended some events up there, but not those. We've had
29 receptions up there and so forth.

30 Helen Eisner: Okay. Who from the campaign attended the wedding showers and baby showers
31 thrown at Club LeConte?

32 Chief of Staff: Well, I'm not sure. I'm not sure who would have attended.

33 Jeff Brown: To the extent that there was a baby shower or a wedding shower, who would you
34 be communicating with about the campaign-related nature of that event?

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- 1 Chief of Staff: Well it would be, something like that would be Mrs. Duncan or the Congressman,
2 would be, as far as, if it's that kind of event.
- 3 Jeff Brown: And if it was an event for a personal friend as opposed to a campaign contributor,
4 would there, were there distinctions along those lines?
- 5 Chief of Staff: Well, I mean, I had no, I've not had any conversations about anything.
- 6 Jeff Brown: I guess I'm just trying to get at the process by which decisions were made on
7 paying for, paying Club LeConte invoices each month. So the process was, you
8 and Jason would take a look. If you didn't know what the banquet was, there
9 would be a conversation with the Congressman or his wife?
- 10 Chief of Staff: Right. If it wasn't something--
- 11 Jeff Brown: And they would have a campaign justification for any of the events at the Club?
- 12 Chief of Staff: Yes.
- 13 Jeff Brown: Okay.
- 14 Helen Eisner: I'm going to move on.
- 15 Jeff Brown: I'll steal that back. I'm trying to keep some semblance of order to these
16 documents.
- 17 Chief of Staff: Okay.
- 18 Jeff Brown: Thank you.
- 19 Helen Eisner: Another document. Well, actually, should ask you ... What is the Knoxville
20 Quarterback Club?
- 21 Chief of Staff: That's the club that meets during the football season.
- 22 Helen Eisner: Okay, is it just during the football season?
- 23 Chief of Staff: Just during the football season. Well, here is a club that meets during the
24 basketball season but it's not called a Quarterback Club, it's called something else.
25 I forget what they call it.
- 26 Helen Eisner: Okay.
- 27 Chief of Staff: Tip-off Club or something.
- 28 Helen Eisner: And are you a member of that club?
- 29 Chief of Staff: No.
- 30 Helen Eisner: Have you ever been a member of that club?
- 31 Chief of Staff: Yeah, I have been a member ...

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- 1 Helen Eisner: During what time period were you a member?
- 2 Chief of Staff: I was a member ... Let's see ... I wasn't a member last year but I think the year
3 before I was a member and maybe the year before that.
- 4 Helen Eisner: Okay. So, approximately 2015-2016.
- 5 Chief of Staff: Yeah, something like that.
- 6 Helen Eisner: Somewhere in that range.
- 7 What about the Congressman? Is he a member of that club?
- 8 Chief of Staff: He ... Not now, but he has been a member as well.
- 9 Helen Eisner: He has been.
- 10 Chief of Staff: Yeah.
- 11 Helen Eisner: Okay. And what happens when the club meets? What activities do they have,
12 what ... Can you describe that to us?
- 13 Chief of Staff: Well, they have a luncheon and then they have a speaker, a guest speaker.
- 14 Helen Eisner: And ... Oh, go ahead.
- 15 Chief of Staff: Okay, they have a guest speaker, then they honor local athletes, like the athlete of
16 the week, something ... High school athletes. They honor all of those, they honor a
17 former University of Tennessee player. And that's about it.
- 18 Helen Eisner: Do they serve food at those events?
- 19 Chief of Staff: Mm-hmm (affirmative).
- 20 Helen Eisner: Where are they held?
- 21 Chief of Staff: Down at Calhoun's, down here on the river.
- 22 Helen Eisner: Oh, that's a local restaurant?
- 23 Chief of Staff: Mm-hmm (affirmative).
- 24 Helen Eisner: Okay.
- 25 How much is membership in the club?
- 26 Chief of Staff: I think maybe 150 or something like that ... 125, 150, or ...
- 27 Helen Eisner: Is that membership just in the football club or were you ever a member of the
28 other basketball season club?

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- 1 Chief of Staff: I don't know if I ever was a member of the basketball club or not. I might have
2 been at one, at maybe one year but I can't ... I don't ... But I think mostly it's just
3 been the Quarterback Club.
- 4 Helen Eisner: Okay.
- 5 This is DFC_0328.
- 6 A copy. It's a membership application for the Knoxville Quarterback Club for
7 John J. Duncan, Jr.
- 8 Chief of Staff: Mm-hmm (affirmative).
- 9 Helen Eisner: There's a check at the bottom, a copy of the check, from September 10, 2010.
- 10 Chief of Staff: Mm-hmm (affirmative).
- 11 Helen Eisner: At the bottom it says "Duncan/Chief of Staff" in the notation area.
- 12 Chief of Staff: Mm-hmm (affirmative).
- 13 Helen Eisner: It's a check from Duncan for Congress. Why did the ... Well, let me ask first of
14 all, was this ... What was this check meant to pay for?
- 15 Chief of Staff: Well, that was for the dues.
- 16 Helen Eisner: Okay.
- 17 Why did the campaign pay dues for this club?
- 18 Chief of Staff: Well, because Congressman felt like it was one of the best things we could go to
19 politically. I mean, the people who come to that Quarterback Club, they're all very
20 active in the community and in fact, anytime we've been down there, people are
21 talking to him about, you know, bills in the Congress ... Or running ... You know,
22 it's some of the most political people in Knoxville are members of this club.
- 23 Helen Eisner: Okay.
- 24 So, you sort of explained to us that there's a guest speaker, there's honorees ...
- 25 Chief of Staff: Mm-hmm (affirmative).
- 26 Helen Eisner: At what point during the event would you have conversations with these
27 individuals on a political basis?
- 28 Chief of Staff: Before and after.
- 29 Helen Eisner: Okay. How long did these events usually last?
- 30 Chief of Staff: These people are so active, they get down there about 30-45 minutes before it
31 starts and stand around later, so I mean ...
- 32 Helen Eisner: Okay. Would you attend the portion involving the speaker and the honorees?

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- 1 Chief of Staff: Mm-hmm (affirmative). Oh, yeah. He would stay for the entire event.
- 2 Helen Eisner: Okay.
- 3 Was anyone else ...
- 4 Chief of Staff: And he would congratulate these high school students that had, you know, won
5 the awards and so forth, mm-hmm (affirmative).
- 6 Helen Eisner: You said that recently in the past year, you're no longer a member of the club.
7 Why is that?
- 8 Chief of Staff: Well, mainly because his schedule and my schedule was just, you know ... We
9 were not able to attend any of the meetings.
- 10 Helen Eisner: Okay.
- 11 Did anyone attend in your place?
- 12 Chief of Staff: No.
- 13 Helen Eisner: Were there any other members of the Congressman's family who were members
14 of the club?
- 15 Chief of Staff: No, not that I know of, no.
- 16 Helen Eisner: Okay.
- 17 Staying on the topic of sports slightly ... In FEC reports that we've reviewed,
18 we've seen records of tickets purchased for events. Well, tickets for the
19 Washington Redskins, for the Knoxville Icebears, University of Tennessee, I'm
20 sure very popular around here. What were those expenses?
- 21 Chief of Staff: He used to buy those tickets that he would, as far as the Washington Redskins
22 tickets, to give to lobbyists or campaign consultants, or people who have
23 contributed to, mainly contributed to his campaign.
- 24 Helen Eisner: Okay.
- 25 Chief of Staff: And he would give them tickets ...
- 26 Helen Eisner: Were those lobbyists constituents?
- 27 Chief of Staff: And constituents. He would give out to ... I'm not sure who all he gave them to
28 but I know he bought those to give out. There were none for personal use and ...
29 But, he quit ... We got some kind of like memo at some point back a few years
30 back, said that even if you're giving them out for political purposes, that you
31 shouldn't be doing it, so we quit.
- 32 Helen Eisner: Okay.
- 33 Jeff Brown: Do you remember where that memo came from?

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- 1 Chief of Staff: I think it was like in one of ... You know, we get things from ethics from time to
2 time, that just gives you ...
- 3 Jeff Brown: Pink sheet?
- 4 Chief of Staff: Right. So, I think that's how we found out about it.
- 5 Helen Eisner: And how were the tickets distributed?
- 6 Chief of Staff: Don Walker, who was my deputy in Washington, would pretty much call people
7 and offer them tickets. Congressman would say, "Well, call so and so and see if
8 they would like to go to a ... Use the tickets." So he would ...
- 9 Helen Eisner: How was it decided who he would call? It was through the Congressman, did you
10 say?
- 11 Chief of Staff: Well, Congressman would mention some names but then Don knew a lot of the
12 people who had been contributors of ours.
- 13 Helen Eisner: Okay.
- 14 Jeff Brown: Is Don in the ... You said Don worked in the Congressional office?
- 15 Chief of Staff: Right.
- 16 Jeff Brown: Did Don work on the campaign as well?
- 17 Chief of Staff: No.
- 18 Jeff Brown: Okay.
- 19 Chief of Staff: Well, he was not a member of the campaign but he would, when we had events up
20 there in DC, he would attend some of those events.
- 21 Jeff Brown: Is Don based in DC or Knoxville?
- 22 Chief of Staff: Don has been based in DC up until recently.
- 23 Jeff Brown: Okay.
- 24 Chief of Staff: And he has just ... Everybody wants to move to East Tennessee, so Don's from
25 here in Knoxville.
- 26 Jeff Brown: Okay.
- 27 Chief of Staff: So, he and his wife have just recently moved back and so he's working in our
28 Maryville office for the most part now. We had a vacancy over there in the district
29 office and so Don's managing that.
- 30 Helen Eisner: I think you were talking most ... Well, you had said, sort of qualified that you
31 were talking about the Washington Redskins tickets.
- 32 Chief of Staff: Mm-hmm (affirmative).

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- 1 Helen Eisner: Was the process any different regarding the Knoxville Icebears?
- 2 Chief of Staff: It's same thing. He would give those tickets out to constituents pretty much or
3 contributors or same thing.
- 4 Helen Eisner: And same for University of Tennessee?
- 5 Chief of Staff: Mm-hmm (affirmative).
- 6 Helen Eisner: And also for Knoxville Symphony? Those tickets, were they distributed in the
7 same way?
- 8 Chief of Staff: I'm not sure about the symphony tickets. I'm not sure what ...
- 9 Helen Eisner: Okay.
- 10 Chief of Staff: I don't know what that charge is.
- 11 Helen Eisner: Understood.
- 12 Chief of Staff: That could have been a contribution.
- 13 Helen Eisner: How often when you're reviewing the receipts, or when you're reviewing the
14 information that comes to the P.O. Box of Jason, do you see expenditures for
15 gifts, for events, like weddings or baby showers?
- 16 Chief of Staff: Yeah, they give ... The Congressman and Mrs. Duncan, they give gifts for, again,
17 supporters or contributors, baby showers or special occasions type things.
- 18 Helen Eisner: And how did you decide who would receive that type of gift? What's the process?
- 19 Chief of Staff: Well, she would decide. Like I said, it's probably would be a, someone who's been
20 real active in helping in the campaign or either contributing to the campaign
21 somehow.
- 22 Helen Eisner: Okay. And was it mostly Mrs. Duncan who was involved in that process or
23 anyone else?
- 24 Chief of Staff: Well, those type of things would be mostly.
- 25 Helen Eisner: Okay.
- 26 Jeff Brown: Would they have any sort of conversations, would you be a part of any sorts of
27 conversations where the wedding or the baby shower was not only a supporter but
28 sort of a personal friends at the same time?
- 29 Chief of Staff: I just wouldn't have hardly any conversations regarding those kinds of things.
- 30 Jeff Brown: Okay. Would Jason have conversations after looking at the credit card bills?
- 31 Chief of Staff: He may or may not. If it's something that she had authorized or something she had
32 put together why, I don't know if he would question it or not.

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1 Jeff Brown: Well like would you be aware ... how would you ... You said you looked through
2 the AMEX bills and anything that jumps out so there's Babies R Us or there's
3 Things Remembered or whatever it is. Will you have ... Will you call Mrs.
4 Duncan and ask her about that?

5 Chief of Staff: There's times we've done that yes.

6 Jeff Brown: And, much like Club LeConte, she'll explain the campaign justification for ...

7 Chief of Staff: Right, Right, who it was.

8 Jeff Brown: Any expenditure that is a gift of any kind.

9 Chief of Staff: Right.

10 Helen Eisner: Can I move on to a slightly different topic. In August 2012, this would have been
11 around the time of the Republican Convention right before the, I guess the
12 presidential election in 2012. Did you travel to Florida for that convention?

13 Chief of Staff: Yes.

14 Helen Eisner: Okay. And who from the campaign traveled to Florida?

15 Chief of Staff: I think it was just me and the Congressman as far as I know, looking back on that.

16 Helen Eisner: So, looking at records. I'm sorry.

17 Chief of Staff: Unless you, I mean I can't recall anyone else that ...

18 Helen Eisner: I know it was a few years back.

19 Chief of Staff: Yeah.

20 Helen Eisner: I'm sure you've done a lot of traveling since then. Just our understanding based on
21 records is that, and we can show them to you, actually I might as well.

22 Chief of Staff: Okay.

23 Helen Eisner: This is AMEX 0511 through 0522 again it's quite a long range but our focus, I
24 will tell you, is on 0514 through 0515. This is an American Express statement
25 with a closing date of July 23, 2015. And as I mentioned, I believe the relevant
26 portion is ... Oh is this the wrong document? I think I just handed you the wrong
27 document. Yeah, actually I will take that back from you and reserve that. Sorry.
28 All American Express bills. I will take that. I believe this is actually the correct
29 document. Let's see. Here it is. 0511 through 0522. There we go. Okay, and as I
30 said, looking at pages 0514 through 0515. On page, well you can see on 0515,
31 which is page five of the overall packet. You're listed as a traveler there, there's an
32 Expedia booking through Delta. And then on the previous page it says that
33 Barbara J. Griffith's traveled to Tampa.

34 Chief of Staff: No, no she did not.

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- 1 Helen Eisner: Okay. Do you know why there was a charge for her travel?
- 2 Chief of Staff: Shouldn't have been a charge for her because she did not. I thought about taking
3 her just which wouldn't have been paid for by the campaign but she did not.
- 4 Helen Eisner: She did not?
- 5 Chief of Staff: No.
- 6 Helen Eisner: Okay. So is it possible there was a booking and then there was a cancellation or
7 refund?
- 8 Chief of Staff: Yeah, probably something like that I guess. But it wouldn't have ...
- 9 Jeff Brown: Do you remember if Mrs. Duncan went?
- 10 Chief of Staff: No
- 11 Jeff Brown: You don't remember or she didn't go?
- 12 Chief of Staff: Well, I don't know, she didn't, I don't think she went either. I think it was just me
13 and the Congressman, but I know my wife didn't go.
- 14 Helen Eisner: The page where your name is listed includes John Duncan III. Is it possible that
15 he was there?
- 16 Chief of Staff: Well, it's possible but I ... for some reason I didn't think he was there either.
- 17 Helen Eisner: So ...
- 18 Chief of Staff: I don't know if that was ... No. As far as I can remember, just me and him that
19 went.
- 20 Helen Eisner: Okay. Has your wife ever traveled with you as part of the campaign?
- 21 Chief of Staff: No.
- 22 Helen Eisner: Who would have made this booking do you recall?
- 23 Chief of Staff: Well, I'm not sure who would have made that. Exactly.
- 24 Helen Eisner: When you were there, did you stay at the Safety Harbor Resort?
- 25 Chief of Staff: Mm-hmm (affirmative)
- 26 Helen Eisner: Does that sound familiar?
- 27 Chief of Staff: Yes. Yes, my wife has gone with me to campaign events and things like that. You
28 asked if she'd ever traveled with me, she yes.
- 29 Helen Eisner: And where has she traveled with you?

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- 1 Chief of Staff: Well, to ... campaign ... she went with me to the inauguration in DC but it was, we
2 paid for that with our points.
- 3 Helen Eisner: OK
- 4 Chief of Staff: So it wouldn't be on anything there.
- 5 Helen Eisner: So has she ever flown or taken a flight that was paid for by the campaign?
- 6 Chief of Staff: No.
- 7 Helen Eisner: Okay. Speaking of flights, and I know I mentioned John Duncan III may or may
8 not have traveled himself to that particular event. How often does John Duncan,
9 or I'll just refer to him as John I guess that's ...
- 10 Chief of Staff: Mm-hmm (affirmative)
- 11 Helen Eisner: How often does he travel on behalf of the campaign?
- 12 Chief of Staff: Well, travel where? Are you talking about locally or what ...
- 13 Helen Eisner: Let's say not locally so outside of the state.
- 14 Chief of Staff: Not very often I wouldn't think or that I know of. I know he's, has gone with the
15 Congressman on maybe in the past on a campaign event. But I can't tell you when
16 or where.
- 17 Helen Eisner: Okay. Has he ever traveled internationally on behalf of the campaign?
- 18 Chief of Staff: Not that I know of.
- 19 Helen Eisner: Has the campaign ever held any events in United Kingdom or in Scotland?
- 20 Chief of Staff: Not that I know of.
- 21 Helen Eisner: Okay. I just want to ask you a question about one item, which is AMEX 0649
22 through 0662 and the relevant page, feel free to look at everything, but the
23 relevant page is 0651. This is an American Express statement with a closing date
24 of September 22nd 2013. So on the third page of this packet, which is 0651. There
25 is a charge from August 24 2013. I'm sorry. Do you see that on the third page of
26 the packet. I think that's the right page.
- 27 Chief of Staff: Oh yeah. Okay.
- 28 Helen Eisner: For British Airways there's a charge for John James Duncan III. Do you know
29 what that charge was?
- 30 Chief of Staff: No.
- 31 Helen Eisner: What about the charge below it, also August 24, 2013 at a hotel in Edinburgh?
- 32 Chief of Staff: Well it says \$10.48.

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- 1 Helen Eisner: Right.
- 2 Chief of Staff: I mean, no I don't know. I have no idea what that is.
- 3 Helen Eisner: Were any concerns raised about international travel by John Duncan III?
- 4 Chief of Staff: Well there would have been a question at that time if ... So ...
- 5 Helen Eisner: Were there any conversations that you can recall?
- 6 Chief of Staff: I mean I don't recall any of that, but I mean I could probably ask the Congressman
7 and he would know, but I don't recall anything, so.
- 8 Helen Eisner: Again, we're only asking you what you know, so we understand.
- 9 Chief of Staff: Yeah.
- 10 Helen Eisner: Earlier you were talking about inauguration, you know I think you said that you
11 had used points and traveled to inauguration yourself. What campaign events
12 occurred, and again this is talking about January 2017, our most recent
13 inauguration, what campaign events occurred during that time period in
14 Washington DC?
- 15 Chief of Staff: Well we had a pretty large reception in the Rayburn Building that the
16 Congressman hosted along with his family.
- 17 Helen Eisner: Who attended that reception?
- 18 Chief of Staff: Who attended it?
- 19 Helen Eisner: Yes.
- 20 Chief of Staff: Constituents. We sent out invitations to constituents that would be coming to the
21 inauguration, invited them to the event.
- 22 Helen Eisner: Okay, how was the reception paid for?
- 23 Chief of Staff: I think it was paid for through the campaign, but it could have been ... You know
24 there are some of those things you can pay for through official funds and for the
25 inauguration, they had some kind of a, you know letter on that, but I'm not sure
26 which one paid them.
- 27 Helen Eisner: What about any other campaign events that occurred during that period of time?
- 28 Chief of Staff: We had the Tennessee Ball, which it was sponsored by the state, Tennessee State
29 Ball.
- 30 Helen Eisner: Did any fundraising events occur during the inauguration weekend or I guess long
31 weekend?
- 32 Chief of Staff: No, I don't think so.

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- 1 Helen Eisner: Who attended the inauguration from the Congressman's family?
- 2 Chief of Staff: Let's see, we had, if I can remember who all attended, John and his wife attended.
3 I think Zane, his son Zane and his wife, and I can't remember who else attended,
4 if there were others or not.
- 5 Helen Eisner: Was Tara Richardson there?
- 6 Chief of Staff: Tara? She could have been, I'm not sure. Listen I just can't remember.
- 7 Helen Eisner: Sure. As far as John, what was his role during that weekend?
- 8 Chief of Staff: Well he and the family were all entertaining basically the folks at the reception, or
9 constituents that had come up there.
- 10 Helen Eisner: What about any other individuals who had traveled from Knoxville, not members
11 of the family to attend the inauguration?
- 12 Chief of Staff: Oh we had a lot.
- 13 Helen Eisner: As part ... Sort of who may have played a more formal role? Was anyone there
14 who participated in the events or organizing the events?
- 15 Chief of Staff: Pretty much the organizing the events and hosting it would be the family,
16 Congressman and his family and some of our staff.
- 17 Helen Eisner: Okay, and where did the ...
- 18 Chief of Staff: Official staff.
- 19 Helen Eisner: The official staff. Were there any other campaign staffers or campaign volunteers
20 who were there besides John?
- 21 Chief of Staff: Right, just the family.
- 22 Helen Eisner: Where did the family stay when they were in Washington for the inauguration?
- 23 Chief of Staff: I think they stayed at a hotel. I can't remember the name of it. Maybe the
24 Alexander. I'm not sure, but ...
- 25 Helen Eisner: Did you ever see invoices for that hotel stay?
- 26 Chief of Staff: I think I did, right. I remember seeing something about the hotels, right.
- 27 Helen Eisner: Do you know who arranged for that travel, who booked the travel, of the hotel in
28 particular?
- 29 Chief of Staff: I think maybe Mrs. Duncan did.
- 30 Helen Eisner: And besides the individuals you've mentioned, John and Zane you remembered,
31 who else stayed at that hotel in Virginia?

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1 Chief of Staff: I'm not sure. There could have been someone else that stayed out there that we
2 would know, but I'm not sure.

3 Helen Eisner: What about Roger Rhodes? Do you know who that is?

4 Chief of Staff: I think that's Jennifer's father.

5 Helen Eisner: What role has he played? And this is Jennifer Duncan?

6 Chief of Staff: Right. That's John's wife's father, I think.

7 Helen Eisner: What role has he played for the campaign?

8 Chief of Staff: I don't know. I mean I don't ...

9 Helen Eisner: Okay. What about Michael Strickland?

10 Chief of Staff: Michael Strickland was there at the ... And he is one of our best contributors and
11 supporters, so I don't know if he stayed out there or not, but he could have.

12 Helen Eisner: Did he attend ...

13 Chief of Staff: I think maybe I heard ... Mrs. Duncan mentioned that they did stay out there. We
14 didn't stay there, so that's the reason I don't know who all was staying there.

15 Helen Eisner: During the inauguration weekend there was a dinner, you know we received a
16 schedule as part of the production, there was dinner at Prime Rib restaurant, did
17 you attend that dinner?

18 Chief of Staff: No.

19 Helen Eisner: Okay. Do you know who attended that dinner?

20 Chief of Staff: No.

21 Helen Eisner: Do you know who organized the dinner?

22 Chief of Staff: No.

23 Helen Eisner: Okay, all right. At any point were any concerns raised to you about the
24 inauguration expenses?

25 Chief of Staff: No.

26 Helen Eisner: When you saw the invoices, you think you saw the invoices, did any of that raise
27 any concerns for you?

28 Chief of Staff: Invoices of the ...

29 Helen Eisner: Of the hotel. I'm sorry.

30 Chief of Staff: The hotel?

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- 1 Helen Eisner: The Best Western Hotel, that's where they stayed.
- 2 Chief of Staff: No, because that had ... Mrs. Duncan handled all the booking and so forth so I ...
- 3 Helen Eisner: Okay. Did you have any conversations with the Congressman about the booking
4 or the hotel?
- 5 Chief of Staff: No.
- 6 Helen Eisner: Then that expense would have been approved as part of the credit card and then
7 Courtney Kohlhepp would have reported that to the FEC?
- 8 Chief of Staff: Right.
- 9 Helen Eisner: So I have just one more area of questioning, it's one topic.
- 10 Chief of Staff: Can we take a break?
- 11 Helen Eisner: You are ... Absolutely, you can take a break. I just wanted to ... you know, you've
12 been very patient with us and I wanted to give you that warning that it's just one
13 more issue.
- 14 Chief of Staff: One more?
- 15 Helen Eisner: Happy to take a break.
- 16 Chief of Staff: Or if it's a short issue, we can ...
- 17 Helen Eisner: It's up to you. You know, I'd say maybe take about ten minutes or so.
- 18 Chief of Staff: Let's just take a break.
- 19 Helen Eisner: Let's take a break, that's fine.
- 20 Okay, this is Helen Eisner, back on the record here with Chief of Staff. We had
21 another area that we wanted to talk to you about. So looking at FEC disclosures,
22 there are a number of charges in October 2014 and December 2014 at the
23 Greenbrier Hotel in West Virginia. What can you tell us about those
24 expenditures?
- 25 Chief of Staff: Well I remember the Congressman had mentioned to me that he'd like to do
26 something special for some of his very best supporters, people who had gone
27 beyond the call in the campaign and one of his top contributors, as well. I think I
28 recall he said something like that there's a special rate at The Greenbrier, and he's
29 very frugal on things. He said, "I think that would be a good thing to do for the
30 campaign." That's how that came about.
- 31 Helen Eisner: Who ended up attending that trip?
- 32 Chief of Staff: He had Pastor Clarence Sexton and his wife who Pastor Sexton is president of
33 Crown College. His congregation has about 3,000 members each Sunday, but he's

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1 real active politically. He's someone that has helped the Congressman for many
2 years and could help anyone politically. He's a key person in this community to
3 have. You had Brian DeBusk and his wife. Brian and his family are some of our
4 largest contributors. His father is Pete DeBusk. Pete is the chairman of the
5 Lincoln Memorial University. He's also chairman of DeRoyal Industries. That's
6 one of our largest contributors is the DeBusk family. Some of the Congressman's
7 family had been working in the campaign and myself, I was there. I think he
8 knows that I even take vacation days, have taken vacation days to help in the
9 campaign. He knows that I spend a lot of time when I'm not working my regular
10 job, so he asked me to attend. Let's see, who else was there? Did I say Michael
11 Strickland?

12 Helen Eisner: I don't think you've mentioned him yet.

13 Chief of Staff: Michael Strickland, he was there, and his ... It's not his wife yet, but they've been
14 together for a good while.

15 Helen Eisner: Is that Allison Burchett?

16 Chief of Staff: Right, that's it. Allison Burchett.

17 Helen Eisner: Burchett.

18 Chief of Staff: Right. They were there. They're also contributors. Allison worked in the
19 campaign a lot at the headquarters and I think that was...I mean it could have
20 been someone else, but I think that's pretty much it.

21 Helen Eisner: Let me break this down a little bit.

22 Jeff Brown: Before you do, did you mention, maybe I just missed it, Tom and Jane Lowe?

23 Chief of Staff: Yes, Tom and Jane Lowe were there.

24 Jeff Brown: Who are they?

25 Chief of Staff: They've been longtime supporters. Jane worked in the headquarters during that
26 2012 campaign, I guess, or the 2014, whichever one it was. She worked at the
27 headquarters a lot. They've been financial contributors as well as longtime
28 supporters.

29 Jeff Brown: Aside from contributors and supporters, do the Duncans have personal
30 relationships with any of these folks outside of the campaign?

31 Chief of Staff: Of course, they didn't know them all personally, real well, personally.

32 David Goch: I was going to suggest maybe you want to define personal relationship.

33 Jeff Brown: I'm just trying to get a sense from Chief of Staff what sort of social interactions
34 they have together outside of the campaign.

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- 1 Chief of Staff: I can tell you the main reason he did it though is because of what I just mentioned
2 about the contributors and supporters. These folks did more than what anyone else
3 did during the campaign.
- 4 Jeff Brown: I certainly appreciate that. Are these people that the Duncans also socialize with?
- 5 Chief of Staff: Yes, they would know them socially, sure. They'd be friends with them socially as
6 well, not at least people they socialize with every week or every month or
7 whatever, but it would be someone they would know very well, sure.
- 8 Helen Eisner: What about Howard Phillips? Did he attend?
- 9 Chief of Staff: No.
- 10 Helen Eisner: Was there a time when he was considered, or he might have planned to attend?
11 You don't know?
- 12 Chief of Staff: I don't know.
- 13 Helen Eisner: You had talked about the family members, some of the children, so which
14 children? Which of the Congressman's children attended?
- 15 Chief of Staff: I think they all attended.
- 16 Helen Eisner: Did any of the grandchildren attend?
- 17 Chief of Staff: I don't think so.
- 18 Helen Eisner: What about your wife? Did she attend?
- 19 Chief of Staff: Yes.
- 20 Helen Eisner: How many nights was this trip? How many nights did you stay at the Greenbrier?
- 21 Chief of Staff: I can't recall. I'm thinking two or three nights, something like that. Not long.
- 22 Helen Eisner: Who arranged for the trip?
- 23 Chief of Staff: Mrs. Duncan arranged for the trip.
- 24 Helen Eisner: When you were at the Greenbrier, describe to us what you were doing when you
25 were there, if you could give us a sense for that.
- 26 Chief of Staff: Mostly socializing, having dinner together with the group, talking politics, that
27 kind of thing.
- 28 Helen Eisner: The Greenbrier is a hotel that has a lot of amenities. What types of amenities did
29 people utilize or take advantage of during their stay?
- 30 Chief of Staff: I'm not sure about that. I didn't take any. In other words, I don't golf, and I don't
31 know if they even had the golf course open at that time. If anyone else did
32 something, I don't know. I wouldn't know.

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- 1 Helen Eisner: If anyone else golfed?
- 2 Chief of Staff: Right. I don't know if anyone did. I don't think so.
- 3 Jeff Brown: Were there any group scheduled events of any kind amongst that whole group?
- 4 Chief of Staff: We'd all get together for meals.
- 5 Helen Eisner: I'm going to show you a document. This is GB_0053 through 0064. Take a
6 moment and look it over. I'm sorry.
- 7 These look like, it's a hotel folio for the stay.
- 8 Chief of Staff: Right.
- 9 Helen Eisner: Have you seen this document before?
- 10 Chief of Staff: No.
- 11 Helen Eisner: Okay. Is this the type of document that you and Jason would review at the P.O.
12 box for a charge?
- 13 Chief of Staff: No, no. Probably the only thing he would get, would get the American Express
14 bill.
- 15 Helen Eisner: Okay.
- 16 Chief of Staff: I mean, I've not seen any of this before.
- 17 Helen Eisner: If the campaign paid for the charges through the American Express bill, which we
18 understand, the American Express credit card. You saw the statement for the
19 American Express credit card, but you might not have seen this?
- 20 Chief of Staff: Not have seen this, right.
- 21 Helen Eisner: Okay. Did you ask any questions when you received the statement about what
22 charges the campaign would pay for, for the Greenbrier, and what charges the
23 campaign would not pay for?
- 24 Chief of Staff: I think at that time ... I don't know. I can't recall if there's any specific, when Ms.
25 Duncan booked all this, I don't recall any ... where she said "we're going to pay
26 for this, and pay for this, or what."
- 27 Helen Eisner: Okay. What about after the fact?
- 28 Chief of Staff: I don't recall any conversations about charges at all.
- 29 Helen Eisner: Okay. How aware were you of the types of activities that other members of this
30 group who attended the Greenbrier were taking part in?

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- 1 Chief of Staff: Everyone pretty much went their own way, except when we'd be together for
2 meals. I wouldn't have any, it's a pretty large place, so I wouldn't know what
3 anyone else was doing.
- 4 Helen Eisner: On page five of the packet, which is GB_0057, there are charges, kind of in the
5 middle section. There are two different charges at the Greenbrier Spa Salon?
6 Which members, of the group that attended the Greenbrier trip, partook in the
7 Greenbrier spa or salon?
- 8 Chief of Staff: Not me.
- 9 Helen Eisner: What about the golf, which is on that same page?
- 10 Chief of Staff: Actually I wouldn't know about any of those charges.
- 11 Helen Eisner: How about, Fizzy's Land of Oz, was that something that you were aware of?
- 12 Chief of Staff: No.
- 13 Jeff Brown: Do you know what that is?
- 14 Chief of Staff: No. I don't know what that is either.
- 15 Helen Eisner: On the next page, which is GB_0058, there are charges at Twelve Oaks, do you
16 know what Twelve Oaks is?
- 17 Chief of Staff: Twelve Oaks ... not really, but it could be in that resort, there's small café type
18 shops or something like that.
- 19 Helen Eisner: Okay.
- 20 Chief of Staff: I'm not sure, but I just know that there were, besides the main restaurant, there's
21 coffee shops and then there's little shopping areas over there. I don't know.
- 22 Helen Eisner: Does the resort have a casino?
- 23 Chief of Staff: Yes.
- 24 Helen Eisner: Did any members of the group partake in any events or attend the casino during
25 the trip?
- 26 Chief of Staff: I'm not sure. They could have, but ...
- 27 Helen Eisner: Did you go to the casino?
- 28 Chief of Staff: I went there, I think, once.
- 29 Helen Eisner: During that trip?
- 30 Chief of Staff: Right, but I used my own money. I didn't use campaign money.
- 31 Helen Eisner: Who were you with when you went?

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- 1 Chief of Staff: Just me and my wife. Went back there for I think, one of the evenings, and for just
2 a few minutes.
- 3 Helen Eisner: I can see, you know, looking at the charges that are on 0058, at Twelve Oaks,
4 they're not to your room, they indicate John Duncan and Zane Duncan. Did John
5 or Zane attend the casino when they were there?
- 6 Chief of Staff: I don't know.
- 7 Helen Eisner: You don't know, okay. Who would have signed the credit card bill for this stay,
8 for the expenses?
- 9 Chief of Staff: Well, it'd been either the Congressman or Mrs. Duncan would have signed it.
- 10 Helen Eisner: Did Courtney raise any concerns about this bill when she saw the charge at the
11 Greenbrier?
- 12 Chief of Staff: Not that I recall.
- 13 Helen Eisner: Alright. Did you have any conversations with Courtney about this charge?
- 14 Chief of Staff: I don't recall having any conversations about this.
- 15 Jeff Brown: Did you win anything, from them?
- 16 Chief of Staff: Did I win, no. It's not a real large casino back there. My wife and I went, got
17 there, and in fact I think I spent maybe \$20 and didn't win anything. She said,
18 "Let's go."
- 19 Helen Eisner: Sounds like my typical experience.
- 20 I do apologize, I had one minor area. I didn't mean to lie, I forgot I put this at the
21 very bottom of the pile.
- 22 Chief of Staff: Okay, Helen that's it.
- 23 Helen Eisner: Do not mean to be deceptive, you can hold me to this, you can walk out
24 afterwards. I just wanted to ask what the campaign's policy is if a staffer makes an
25 outlay with their own money, and they're a congressional staffer. How do they get
26 reimbursed for that expense that they've made on behalf of the campaign?
- 27 Chief of Staff: Well, the only time I know that that's occurred is when the staff person was
28 working for the campaign as either a volunteer or a paid member of the campaign.
- 29 Helen Eisner: Okay.
- 30 Chief of Staff: I know there's, in fact, I've been a little confused on that. I know that the
31 Congressman can't ask a staff person to go down to the, in fact that's the example
32 in some of those ethics materials I've read. They give an example, the
33 Congressman asking a staff member to go down to the gift shop and buy a gift,
34 and then be reimbursed by the campaign. I know that's not proper. I don't think,

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1 very seldom has it ever been done that the staff member has been reimbursed, but
2 when it was done, it was totally unintentional doing anything improper because
3 they were doing, working as a campaign volunteer. Does that not make a
4 difference?

5 Helen Eisner: I guess I don't want to talk about the law ...

6 Jeff Brown: Is there a policy in the office, on any of that? I know, obviously, there's ethics
7 manuals around, but is that part of a policy in the office at all, what you just
8 described?

9 Chief of Staff: Well, there's not like a written policy, but I have always told our staff to be very
10 cautious about every single thing we do, to make sure that there's no connection
11 or whatever from the campaign to the official duties.

12 Jeff Brown: Is there any ...

13 Chief of Staff: As far as I know, no one has gotten reimbursed. I know what Helen's mentioned
14 there, because I saw, when you all sent that, letter out, that minor amounts by two
15 or three individuals.

16 Helen Eisner: Sure.

17 Chief of Staff: I have cautioned, like I said, all of our staff, not that ... they just can't get, do
18 things for the campaign on official time.

19 Jeff Brown: When somebody starts in the office is there some sort of orientation that they
20 attend, or is there some sort of orientation that the office puts on to walk them
21 through some of these issues?

22 Chief of Staff: Right. We discuss ethics type things quite often. I felt, just like I mentioned
23 earlier, I've told staff. I said, "I don't even want to get in the gray area."

24 Jeff Brown: Mm-hmm (affirmative)

25 Chief of Staff: I want to make sure that if it's something that I'm not quite sure, let's go with what
26 we know is for sure.

27 Jeff Brown: Is there any formal office handbook or anything like that?

28 Chief of Staff: We have office booklets and so forth that ...

29 Helen Eisner: On an occasion, and you mentioned, I'm not trying to hide the ball, we had asked
30 for information about a few occasions when we had noted in FEC reports that
31 there were reimbursements to individuals who were also employed by the
32 congressional office for outlays that they had made. On those occasions, what
33 type of advice or guidance was given to those individuals?

34 Chief of Staff: There again, I think the individuals that got those reimbursements were working
35 in a campaign type situation, and they thought that was proper.

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1 Helen Eisner: Okay. Okay. Who else have you communicated with, council aside of course,
2 about this OCE review? The Office of Congressional Ethics, review.

3 Chief of Staff: Of course when you sent the letters out, I had conversations with different ones
4 that received the letters. Of course I contacted Jason about the couple items that
5 you had mentioned to me on my letter.

6 Helen Eisner: Okay.

7 Chief of Staff: I didn't recall that. That was a ... you had mentioned ...

8 Helen Eisner: What did Jason say to you, when you contacted him?

9 Chief of Staff: Well, he just looked up the information.

10 Helen Eisner: Okay.

11 Chief of Staff: Said he was trying to comply with all of your all's request as best that he could.

12 Helen Eisner: What about any conversation with Courtney Kohlhepp, have you spoken with
13 her?

14 Chief of Staff: No, I haven't spoken to Courtney.

15 Helen Eisner: Okay. What about to try to receive any responsive information with regards to our
16 request? Any conversations with Courtney with regards to the production of
17 documents?

18 Chief of Staff: No.

19 Helen Eisner: No? And with John Duncan III?

20 Chief of Staff: I haven't talked to John, no.

21 Helen Eisner: Okay. Is there anything else that you think we should know based on the line of
22 questioning that we talked about today?

23 Chief of Staff: Well, just, like I mentioned, we tried to do everything exactly the way it should be
24 done, and it's been reported. I think that shows that we thought that it was fine, or
25 that we reported on the FEC report. The Congressman has always had very strong
26 values, all during his career, you know. This is his 29th year in the Congress, and
27 I know that he's a good person and tries to do the right thing, always.

28 Helen Eisner: Listen, we really appreciate you coming in today. I know it was a long time and a
29 lot of questions. Thank you for your time and we'll go ahead and stop the
30 recording.

31

32

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ERRATA SHEET

Page	Line	Correction	Reason
2	34	DELETE JUST	Typo
3	8	DELETE I HAD SOME...OR	TRANSCRIPTION
4	1	DELETE BUT	"
4	27	AND I WILL BE PAID	"
5	13	DELETE WAS	Typo
6	11	DELETE THE	TRANSCRIPTION
6	27	DELETE I DON'T KNOW IF THE CONGRESSMAN WOULD	"
7	3	DELETE I MEAN	"
7	8	SHOULD BE SPONSORSHIP	"
7	9	DELETE SO WE GET	"
7	14	DELETE HERE'S WHAT IT IS	"
8	4	INSERT DOESN'T SEEM CORRECT	"
8	30	DELETE WHERE ITS BEEN	Typo
9	11	ANYTHING SHOULD BE ANY OF THAT	TRANSCRIPTION
10	10	SHOULD BE THE CAMPAIGN	"
12	19	SHOULD BE WORK IN THE ELECTION UNTIL ELECTION IS OVER	"

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name:

Witness Signature:

Date:

11/27/17

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ERRATA SHEET

Page	Line	Correction	Reason
13	12	WAS RESEARCH	TYPO
13	28	THAT PAST HIM	"
14	19	DELETE THAN AFTER LOCATION	TRANSCRIPTION
15	11	MOSTLY YES	"
15	26	MAYBE SHOULD BE MEDIA	"
17	4	I-SHOULD BE WE HAVE	"
21	26	DELETE BUT	TYPO
21	24	SHOULD BE MEANT TO SAY	TRANSCRIPTION
22	8	AUTHORIZED RIGHT TO SIGN	"
23	26	DELETE JUST...YEAH, HE SAID	"
24	23	GOTTEN INSTEAD OF GOT	TYPO
24	24	GIVEN INSTEAD OF GIVE	"
25	9	YES, I WOULD LOOK AT IT AND JASON WOULD	TRANSCRIPTION
25	18	DELETE. OR TAKE THEM TO A MEAL	"
25	23	SHOULD BE OF HIS	"
34	3	SHOULD BE ANY OF THOSE THINGS	"

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Witness Name:

Witness Signature:

Date:

11/27/17

EXHIBIT 7

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Transcript of Interview of Representative Duncan

November 8, 2017

OCE Review 17-2646

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

1 Helen Eisner: Okay. This is November 8, 2017. This is review 17-2646. Speaking is Helen
2 Eisner, joined here by my colleague, Investigative Counsel Jeffrey Brown. We are
3 here with Congressman Jimmy Duncan. He is joined by his counsel, David Goch.
4 Is that the correct pronunciation? Okay. We have given the Congressman a copy
5 of the false statements act. He has signed the acknowledgment form. With that, I
6 think we can go ahead and get started.

7 I want to get some background information about your campaign committee,
8 Duncan for Congress. Who are the current employees of the campaign committee?

9 Rep. Duncan: Well, we've paid a lot of people over the years for all kinds of different things.
10 The only full time employee is my son John.

11 Helen Eisner: And what is John's position or title with the campaign committee?

12 Rep. Duncan: Well, John, ever since he started, he's done everything. He's gone to represent me
13 at all kinds of receptions and dinners and breakfasts. He has gone to numerous
14 funeral homes for me, many. When I was in Washington, he would go to the
15 funeral home, or whatever. He's helped me with, we've talked over every major
16 issue. He's been, I think, a very low paid political consultant. Kept me informed
17 or helped keep me informed about political goings on in my district and in the
18 state of Tennessee. He has, at times, he has gone out and pounded in yard signs.
19 He's done a lot of things that ordinary highly paid political consultants would
20 never do. He's been in charge of my water booth every year at the fair, which Tim
21 Russert said at a chamber luncheon in Knoxville was the best political thing he'd
22 ever heard of. He would, during the 10 days of the fair, get employees or people
23 to work the booth. Plus he would go out and open the booth or close the booth
24 himself and work in it at times when he couldn't get people there.

25 He took over the whole thing on our barbecue, which is our biggest political event
26 of the campaign. He's bought campaign materials. Just about everything I can
27 think of. Helped host or plan and so forth. Campaign advertising. I don't do a golf
28 tournament every year, but I've done a couple of golf tournaments. He's helped
29 with those.

30 Helen Eisner: Okay.

31 Rep. Duncan: I don't know. Probably even some things I'm not even thinking of.

32 Helen Eisner: What about as far as a title? Does he have an official title with the campaign
33 committee?

34 Rep. Duncan: No, I'm not big on titles for anybody really. I've never felt the need to give him a
35 title, but I guess if I gave him one, I'd say campaign manager or something like
36 that. He's done everything political that you can think of and some you would
37 never think of, I guess.

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1 Helen Eisner: When did he start in that capacity performing those responsibilities?

2 Rep. Duncan: He started, I believe, in probably September of 2013.

3 Helen Eisner: Okay.

4 Rep. Duncan: Because I had a young man who was starting to run against me as soon as the
5 2012 election was over. He was a handsome, articulate young man and it was
6 becoming the height of the Tea Party. They were kind of upset with me for two or
7 three reasons. One, well I went home in August of 2013 and for the first time ever,
8 I got attacked at a Republican Women's Meeting with several men there because
9 that was where I really saw people starting to get angry. I voted with John
10 Boehner on something. I could tell. And my district's a Republican district. While
11 you take no election for granted, even the general election, but the real challenge
12 is going to be in the Republican primary.

13 Helen Eisner: Sure.

14 Rep. Duncan: Then two, I had agreed to chair way back, before the long events of the campaign,
15 Lamar Alexander and I are real close friends, and he asked me to chair his
16 campaign. And so all through that ... Well that was more of when we got on into
17 the campaign more, I guess, but I had people coming to me upset because I had
18 agreed to chair Lamar Alexander's campaign. But I told all those people, I said,
19 "Everybody can't be as conservative as I am." I said, "In the '50's, the Republicans
20 had Taft and Eisenhower here. In the '60's we had Nixon and Goldwater. In the
21 '70's, we had Reagan and Bush." I said, "If we isolate ourself to one little segment
22 of the party, we won't win an election." But I knew, I certainly knew at that point
23 that I was going to have a difficult campaign, and it was. It was one of the most
24 difficult that I've been through. What made it really difficult was the people who
25 were opposing me were people who I thought should have been among my best
26 supporters.

27 Helen Eisner: What I want to do is just get out a few more background questions about the
28 campaign. And all of this is very helpful, and I think we're going to go into some
29 of this a little more, but just to understand a few more individuals who might have
30 played a role. You said that your son John was really the one person who's been a
31 full time employee. Besides John, is there anyone else who currently receives a
32 salary for services performed on behalf of the campaign?

33 Rep. Duncan: Well, my son-in-law Jason is the campaign treasurer. And my niece Courtney, we
34 paid her to do the campaign reports. I can tell you that I had hundreds of people
35 working my first campaign in 1988. But as I came along and I kept winning these
36 elections with big margins, you get to a point where you can't get people very
37 excited about a campaign like mine. So I think you end up having, or at least I end
38 up relying more on family. I'm very blessed and we've always had a very close
39 family.

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1 Helen Eisner: Let me ask you about some of those individuals. You mentioned Jason as
2 treasurer. How long has he held that role?

3 Rep. Duncan: I don't know because I've had a few other treasurers, I think, over the years.

4 Helen Eisner: If you could give us sort of an approximate time frame, last five years, 10 years?
5 Ballpark is fine.

6 Rep. Duncan: I just don't know. Rough guess, I don't know. The last three or four or five. I don't
7 know for sure.

8 Helen Eisner: Okay. What about your niece Courtney? What are her responsibilities in the work
9 that she performs for the campaign?

10 Rep. Duncan: Just do the reports, the FEC reports. My dad, many years ago, started using my
11 sister doing that. Then when I first came in, my sister did those for the first few
12 years, I think. Then at some point, she taught Courtney how to do them and
13 Courtney started doing them. She's been doing them for quite a few years.

14 Helen Eisner: What about Bob Griffiths? Does he have a role with the campaign committee?

15 Rep. Duncan: Yes. Well, I knew that ... Sure. Bob has been my closest friend for many years.
16 He started out in the real estate business about the same time I started practicing
17 law, and I used him as a witness in a couple of condemnation cases. He's a
18 veteran of some of the most fighting that went on in Vietnam, Hamburger Hill.
19 I've always had great respect for Bob. We paid Bob a monthly salary because he
20 was always going to political events. He went just about every place with me. Of
21 course, in my job, almost everything is political. But he would go to some of these
22 political events and I didn't want people to say that he was doing ... I thought if
23 we paid him something, that he would ... You know, you can't have staff doing
24 political work. So we paid him for the political work that he did outside the office.

25 Helen Eisner: Okay. And besides those individuals, is there anyone else in your family who has
26 a formal role in the campaign committee?

27 Rep. Duncan: Well, my son Zane did the reports on that PAC.

28 Helen Eisner: The Road to Victory PAC?

29 Rep. Duncan: Right, and then we he got ... When the Governor appointed him to the parole
30 board, he's under a rule that says he can't have outside income. So he taught his
31 wife how to do it, and you've interviewed them.

32 Helen Eisner: What about for the campaign committee? Has Zane performed any work for the
33 campaign committee?

34 Rep. Duncan: Well, everybody in my family has worked in my campaigns throughout my entire
35 time. I mean, it's only been ... First of all, I've run probably some of the cheapest
36 campaigns that have ever ... In these years, when you've got 2 and \$3 million

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1 campaigns, I'd use my family because, first of all, I saw them all the time so I
2 could talk to them and I trust them. I thought that what they were doing was a
3 whole lot cheaper than some Washington lawyer or CPA would do them for. So I
4 think I saved money.

5 Helen Eisner: Okay. You talked about your family performing work on behalf of the campaign,
6 and perhaps not in a formal paid position, but what are some of the
7 responsibilities that someone like Zane would take on? Or has taken on?

8 Rep. Duncan: Well, Zane has done a little bit of what I said John did. Jason's in commercial real
9 estate, and he let us put up signs on all of his properties that they were trying to
10 sell. Zane and John and Jason have gone around to help put up some of these
11 bigger signs and the yard signs. And then on a rare occasion, I've had Zane go
12 speak for me when maybe John was out of town or something like that. I've had
13 John do some of ... I mean, Jason ... Zane do some of that, but Zane's always been
14 working full-time some place and so he wasn't available to do it.

15 Helen Eisner: Okay. What about your daughters, have either of them performed work for the
16 campaign?

17 Rep. Duncan: Well, I love my daughters very much but they're not ... and I'm very proud of
18 them, but they're not very political. My daughter Tara worked ... I know she's
19 campaigned on Election Day at her big precinct. She's brought her and her kids
20 and campaigned. But, I mean, just like for instance, I had a woman in my office a
21 few weeks ago who was a mother at the school where Whitney's daughters go.
22 She told me, she said, "Congressman Duncan, you should be very proud of your
23 daughters," and said, "Everybody at the school loves her," and telling what all she
24 as doing. Well, that helps me politically. In fact, I had it happen just a few
25 minutes ago giving a tour to ... We were giving a tour to 120 kids from her school.
26 One of the mothers came up to me and told me and says, "You should be a proud
27 dad because we all love," and this was a different woman. That kind of stuff ...
28 My family has meant, not only meant everything to me personally but they've
29 meant everything to me politically, frankly.

30 Helen Eisner: Understood. As far as the Road to Victory PAC, you mentioned the role that Zane
31 played. Has John, your other son, played any type of role for the Road to Victory
32 PAC?

33 Rep. Duncan: Well, I think maybe at one point, he might've been doing some of those reports.
34 But I don't ... I think maybe he did some of that.

35 Helen Eisner: When John was hired by the campaign committee, what was the process of setting
36 his salary?

37 Rep. Duncan: Well, there was no real process. It was up to me, I decided that.

38 Helen Eisner: Okay, and how did you decide?

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1 Rep. Duncan: Well, I figured about half of what I had heard that Tom Ingram and Chip
2 Saltsman and some of the more well-known political consultants were charging.
3 They were charging 15 to \$20,000 a month and so forth. I decided that roughly
4 half of what I understood them to charge would be a fair thing. I can tell you, both
5 of my parents grew up in bitter poverty, my mother in Iowa, my dad in Tennessee.
6 They were the two hardest-working people I've ever known. They wouldn't even
7 let us sleep late when we were growing up, and we had to be at work. I made sure
8 that John earned every penny that I ever paid him because one of the worst things
9 I could've done for him would be to pay him for doing nothing. That would go
10 against every bone in my body.

11 Helen Eisner: At the time that he was hired, I think you said approximately September 2013,
12 around that time period-

13 Rep. Duncan: Right.

14 Helen Eisner: -did you have any conversation with the Committee on Ethics about his hiring?

15 Rep. Duncan: No, because I had read and heard that there were a great many family members
16 that are members that were paying family members. I had read that in many
17 articles. I had heard that just in talk, and I knew that it was legal to pay a family
18 member as long as they actually did the work for it. I mean, hindsight's 20/20 and
19 I know that anybody can twist a good thing into something bad if they want to bad
20 enough, but I can tell you this was all, in my opinion, all honest and above-board
21 and ... Excuse me.

22 Helen Eisner: Going to ... just pause the recording if we need to.

23 Rep. Duncan: Yeah. That's just a friend of mine that's -

24 Helen Eisner: Oh, okay.

25 Rep. Duncan: But, I mean, it never occurred to me because I didn't think there was anything
26 wrong with it.

27 Helen Eisner: As far as the work that is performed by the campaign committee, where does that
28 take place?

29 Rep. Duncan: Well, the work that John did took place all over the District. He worked out of the
30 headquarters but much of what he did was done ... His biggest value to me was he
31 went around and he gave speeches for me. He worked with the local Republican
32 committees on some of the campaign, and like I said, going to these funerals. I
33 should've been paying him a lot of mileage and stuff too, but I don't think we ever
34 did that unless he maybe went over to Nashville to the Statesmen's Dinner or
35 something like that.

36 Helen Eisner: You mentioned headquarters, what were those headquarters?

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- 1 Rep. Duncan: Well, the headquarters was first in sort of a strip center off of Kingston Pike. And
2 then later on, we moved it to the office where it is now, on Kingston Pike.
- 3 Helen Eisner: Okay. Was that 111 Center Park Drive? Is that the address for the first one?
- 4 Rep. Duncan: Right.
- 5 Helen Eisner: Okay.
- 6 Rep. Duncan: I couldn't have told you that address, but yes. That's the name of it.
- 7 Helen Eisner: That does sound like the right address?
- 8 Rep. Duncan: Yep. I was there many, many times but I couldn't ... I don't remember the exact
9 address. I never sent a letter or anything to it.
- 10 Helen Eisner: During what time period was the office located at that address?
- 11 Rep. Duncan: I don't know. Probably ... I don't know. Probably for two or three years there and
12 then two or three years at this other one or something like that.
- 13 Helen Eisner: Do you know who it was rented from?
- 14 Rep. Duncan: Well, it was owned by the Schaad Brown company that ... Jason's company.
- 15 Helen Eisner: Okay. What role did you play as far as retaining that office space?
- 16 Rep. Duncan: Well, he showed me, he showed me at least one other place that I didn't really like.
17 I wanted a place in West Knox, because West Knox was the hot area of Knoxville.
18 It's where most of my population is. He might have showed me two other places.
- 19 Helen Eisner: That was yourself? You went to view the locations.
- 20 Rep. Duncan: Yes.
- 21 Helen Eisner: Who was with you when you went through that process?
- 22 Rep. Duncan: I don't remember. Maybe Jason. Maybe John. Maybe both of them.
- 23 Helen Eisner: What was it that you were looking for at that point and time?
- 24 Rep. Duncan: Well, I was looking for a political headquarters where we could do mailings,
25 where we could keep campaign materials. Where we could stuff envelopes, where
26 we could call people, I mean, you know just the regular things.
- 27 Jeff Brown: Did you have a campaign office anywhere else prior to that?
- 28 Rep. Duncan: Well, in past years I have, yes.
- 29 Jeff Brown: Immediately prior to that?
- 30 Rep. Duncan: Immediately prior, no.

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1 Jeff Brown: So what was the importance in I think you said 2013 for securing that office space?

2 Rep. Duncan: Well, just like I said a while ago, I started really getting concerned about my
3 campaign in 2014. I mean, I was always concerned about all of my campaigns,
4 but, I knew at that point in the middle of 2013 I knew I was in for a difficult
5 campaign. Because of the rise of the tea party and because of the things I'd read
6 and the things that I'd heard. The fact that this fellow in his thirties, a handsome,
7 articulate fella whose family were the leaders of the biggest church in Knox
8 County. He ran a very religious campaign and I'm a lifelong Presbyterian, but, I
9 never did really believe in saying, you know vote for me because of the bible or
10 something like that. He did that in a very effective way and so, in fact his
11 campaign seemed to be out of that church in some ways.

12 I mean, I knew I was in for a tough campaign and boy, it sure was proven on
13 down the road. I mean, that was the campaign where Eric Cantor had a dinner
14 with two members that last night and one of them, we were talking about some of
15 the elections and one of my friends told me, he said when we were eating at the
16 Capitol Hill Club the night Eric Cantor lost, when I got the news that he had lost,
17 he said, "I turned white". Because that was, and that was, I think I still remember
18 the date, I think it was May 25th of 2014. Anyway.

19 Helen Eisner: So, coming back to sort of the office space and the process of identifying the
20 space. Were there cost parameters that you were looking for as far as how much
21 you wanted to spend, the limit of how much you wanted to spend for that space?

22 Rep. Duncan: I thought then and think now that Jason would give me as fair price as anybody,
23 and I think he did.

24 Helen Eisner: Um.

25 Rep. Duncan: I don't think it was ridiculous, so that I should report an in kind contribution, but,
26 in West Knox it's pretty, office space is pretty expensive.

27 Helen Eisner: So how did Jason give you sort of as fair a price as anyone? What was that
28 process? Did he show you different properties at different prices?

29 Rep. Duncan: Well, I mean I had, I'm not in commercial real estate, but, I'd heard of, you know,
30 of businesses in some of these newer building paying a much higher price. I didn't
31 want to pay a real high price.

32 Helen Eisner: Okay.

33 Rep. Duncan: I'm very tight with money and so.

34 Helen Eisner: What was the price you ended up paying?

35 Rep. Duncan: Well, I don't remember now.

36 Helen Eisner: Okay.

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1 Rep. Duncan: I thought it was pretty low.

2 Helen Eisner: Was it a discounted price?

3 Rep. Duncan: No, I don't think that. I think it was a fair price. I mean I didn't want to cheat my
4 son-in-law.

5 Helen Eisner: Did Jason suggest the price or did you suggest the price?

6 Rep. Duncan: No, I think he told me what the price would be and it sounded reasonable to me.

7 Helen Eisner: Okay.

8 David Goch: Can I just be clear, isn't there another option? That's sort of leading. It's not
9 whether or not someone's suggesting the price cause.

10 Helen Eisner: I mean if you want to clarify if, I'm happy to understand the full picture. So if,
11 you know, Jason didn't suggest it, or, there was a third option. I certainly would
12 be happy to hear that.

13 Rep. Duncan: Well, no I don't.

14 Helen Eisner: Okay.

15 Rep. Duncan: That's all right.

16 Helen Eisner: That's fine. Moving into Jason's role a little bit more. If you could help me
17 understand how the campaign's finances are managed and overseen. Just really a
18 general sense of that.

19 Rep. Duncan: Well, um. Actually, I left most of that up to Bob and Jason, and Courtney.

20 Helen Eisner: Bob, Jason and Courtney.

21 Rep. Duncan: Yes.

22 Helen Eisner: Okay.

23 Rep. Duncan: I've never, to this day never seen one of those reports.

24 Helen Eisner: You've never seen one of the FEC reports?

25 Rep. Duncan: FEC reports.

26 Helen Eisner: Okay.

27 Rep. Duncan: I had people that I thought were trustworthy and dependable and who knew. Who
28 I thought knew what they were doing and Bob would tell me every once in a
29 while that he was going to meet Jason and something about the campaign and so.
30 I never did, I never did even like raising money, I, in fact it surprised a lot of other
31 members that I never did yet made the first phone call to ask for a contribution.
32 Not one. They'd tell me I could have raised about three times as much as I had

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1 been if I had made personal calls, but, I never did do that. So I just concentrated
2 on, I would do this when we would have a fundraising luncheon and I would go
3 and speak to the group and tell them, answer their questions and thank them for
4 contributing. I just left that up to Bob, and John, and you know, Alan Carmichael
5 and just some of my friends for helping me raise the, you know, help raise the
6 money.

7 Helen Eisner: As far as Bob, Jason and Courtney, what were their sort of distinct, perhaps
8 overlapping roles as far as managing the finances?

9 Rep. Duncan: Their main roles were to do the FEC reports, most of them, and put deposit
10 checks in the bank and those types of things.

11 Helen Eisner: Do you have access to the campaign committee's bank account?

12 Rep. Duncan: Well, I have a campaign credit card.

13 Helen Eisner: Okay, well let's just start with the bank account.

14 Rep. Duncan: No, I don't know anything about the bank account.

15 Helen Eisner: Okay. How aware are you of the campaign's cash on hand as far as how much
16 money they have in their accounts?

17 Rep. Duncan: Every once in a while I would ask Bob how much was in the campaign account.
18 Most of the time he would tell, he'd say he would check with Becky or Courtney
19 or somebody and find out or get up to date. Sometimes he did and sometimes he
20 didn't. Then sometimes I would read in the paper how much was in there. I had a
21 rough idea, but, I never did know the exact amount.

22 Helen Eisner: So it seems like you're mentioning conversations with Bob. How often would you
23 talk to Bob about the campaign's finances?

24 Rep. Duncan: Well, I don't have any certain number on that. I mean, we would, you know,
25 almost every time when we'd go to lunch we'd, we would talk about something
26 political. Maybe some event that was coming up or something related to the
27 campaign, I mean it was just a common thing, or sometimes at night when we
28 were driving around, whatever, of course during the day he was working at his job
29 and I...was working at my job and then two, most of the time up until recent years
30 he was not up in the Washington area very much.

31 Helen Eisner: Would Bob talk to you or does Bob talk to you about the campaign's expenditures?

32 Rep. Duncan: Yes, I guess the biggest role that I've had is we probably get in several hundred
33 requests for contributions, charitable, political, every other way. And even letters
34 from individuals asking for money and all that and he would, most of the time, I
35 assume most of the time, I assume probably all the time, he would ask me did I
36 want to contribute to such and such charity, and if so we'd talk about how much

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1 and then sometimes I would suggest a number and he would come back with a
2 number. Most of the time he did what I suggested as far as a contribution.

3 Helen Eisner: What about when the campaign committee received a bill or an invoice? How
4 often would you be aware of that?

5 Rep. Duncan: I didn't see those.

6 Helen Eisner: And who would see those?

7 Rep. Duncan: Well I guess Jason and Courtney.

8 Helen Eisner: And what would Jason and Courtney do once they received an invoice or a bill?

9 Rep. Duncan: Well I assume they would pay them. They'd pay the bills.

10 Helen Eisner: If they had a question about a particular bill or invoice? Would you ever receive a
11 question from them?

12 Rep. Duncan: Well, I never really had any contact with the Courtney. I mean I don't ... Nothing
13 against her, but I mean I just never had any contact with her.

14 Helen Eisner: Okay.

15 Rep. Duncan: She would always talk to Bob or Jason I guess. I don't know. It's ... You know. I'll
16 tell you, I've been ... I've eased off a little bit in the last four or five years and sent
17 John to do some of these things. But I used to go to every, for almost 25 years I
18 went to everything you could get into. And I was just working nights, and
19 weekends, and holidays. But that Lamar Alexander and I flew in one time. And
20 there was a new restaurant being opened in Knoxville Airport and we talked to all
21 the people and walking out of there, he said he told them that Jimmy had come
22 home and was going to go to five evening Scout ceremonies, four 50th wedding
23 anniversaries, and three churches, and then he said I'm going up to Townsend and
24 contemplating legislation. That's the difference between the six year term, and a
25 two year term.

26 Helen Eisner: Sure.

27 Rep. Duncan: But I was reading and trying to keep up with all the issues. I was giving speeches,
28 I was working at my job, being with constituents and so forth. And I just had
29 people that I thought were dependable and trustworthy, and I still think they are
30 dependable and trustworthy handling all that for me.

31 Helen Eisner: And you said, you were talking about Courtney and I think you said almost never,
32 it's rare to speak with her directly. But what about Jason? How often would you
33 talk to Jason about a bill or invoice?

34 Rep. Duncan: Well I see Jason a lot.

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1 Helen Eisner: And when you see him, how often would you discuss a bill or an invoice or
2 expenditures?

3 Rep. Duncan: Well, not often, but occasionally. But I think really he would meet with Bob on a
4 semi-regular basis or whatever.

5 Helen Eisner: Okay. So is it fair to say that it was Jason and Bob who were receiving the
6 information about invoices or bills?

7 Rep. Duncan: That's correct.

8 Helen Eisner: And you mentioned earlier, credit cards. What credit cards does the campaign
9 have?

10 Rep. Duncan: The only one I know is the ... I had the American Express card. And I told John
11 that I wanted him to have a credit card because I told him, I said I want you to
12 occasionally take out some of the Republicans or people who've helped us in
13 campaigns, take them to lunch. And as I got older, I mean you know, I'm 70 years
14 old and I'm kind of dependent on John and all my kids with helping with the
15 younger crowd, so to speak.

16 Helen Eisner: So, I guess, as far as John's access to the credit card, is that a separate card that he
17 has?

18 Rep. Duncan: Yes, I think so.

19 Helen Eisner: Okay. And what period of time you said you at some point told him you might
20 want him to ...

21 Rep. Duncan: Well I told him more than once, because frankly, I didn't think he was doing
22 enough of it.

23 Helen Eisner: Okay. Do you know when you would ... would John received his own credit card
24 as part of the account?

25 Rep. Duncan: No, but I think it would be fairly soon after he was hired. You know.

26 Helen Eisner: And when credit card statements come in, you know, monthly statements from the
27 American Express bill, how are those reviewed?

28 Rep. Duncan: Bob.

29 Helen Eisner: Okay.

30 Rep. Duncan: Or Jason. I don't know exactly.

31 Helen Eisner: Does Bob ever ask you questions about the credit card statements?

32 Rep. Duncan: Yes.

33 Helen Eisner: Okay. What types of conversations do you have with Bob about the credit card?

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1 Rep. Duncan: Well if he ever saw something on there that he thought was not proper for the
2 campaign to pay, but that didn't happen very much. But, if something was on
3 there then, you know, that I would pay it.

4 But the very first calls I got when I came Congress, a man from my district who
5 was a very close friend of the President of Wilson Sporting Goods said that they
6 wanted to make Dan Quayle a beautiful golf bag and a beautiful set of golf clubs
7 but they wanted to present it to him in person and if I could work that out, they
8 would make a set of golf clubs for me. So I asked Judy Woodbridge, who had a
9 headed my office for my first 13 years, I said "Check and see if I can accept that"
10 She came back and said "No." So I said well it's going to kind of gripe me if Dan
11 Quayle can accept it and I can't. But that's what it turned out. So we rolled a big
12 dolly full of all kinds of things, golf balls and footballs and golf clubs and
13 everything over to Dan Quayle's office at the Capitol.

14 But, the reason I tell you that is, I had great faith in Judy Woodbridge. She
15 worked for three other congressmen before me. In fact, I told her at one point, I
16 said "Judy when you get ready to retire, I want a year's notice so that I can look
17 around for another job." And she, I thought, was a stickler on all that kind of stuff.
18 And then when she retired, Bob took over and it seemed to me that he was a
19 stickler about it. I got ticked off when they said that I couldn't buy the football
20 tickets, for the Redskins tickets anymore. Because I thought that we used them
21 totally for political purposes. But Bob told me I couldn't do that. So I didn't do it.

22 Helen Eisner: And I definitely do want to touch on that issue. I just want to ask you a few more
23 questions about the credit card. And Judy Woodbridge, how do you actually spell
24 her last name? Let me make sure I get that for the transcript.

25 Rep. Duncan: It's Woodbridge.

26 Helen Eisner: Okay. And when did she retire?

27 Rep. Duncan: Well she was with me 13 years. So 1988 to 2001 I guess.

28 Helen Eisner: So in 2001, approximately, is it fair to say that Bob took over financial aspects of
29 the campaign then?

30 Rep. Duncan: Right. Well either 2000 or 2001. Because 13 years. Let's see, I started late in 1988.
31 I was sworn in ... Today ends my 29th year in Congress.

32 Helen Eisner: Wow.

33 Rep. Duncan: And because I was sworn in the day after the election in 1988. And that year the
34 election was November 8, so I started November 9 of 1988 and so she was with
35 me. I think it was 13 years that she was with me. And she was great.

36 Helen Eisner: I want to show you a document which is DFC_0453, that's just the bates number.
37 That's how we keep track of evidence. And this is the cover page of an American

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1 Express statement from August 23, 2013. And really the question I have is about
2 the notation on the front that says "Okay per JJD." Do you know what that would
3 mean?

4 Rep. Duncan: Well, I guess it means that Bob, I assume. I've never seen this before, but I
5 assume it meant that I talked to Bob and that I okayed whatever it was.

6 Helen Eisner: Would Bob speak with you about the campaign credit card statements whenever
7 they came in, or how often would you have a conversation about the statements?

8 Rep. Duncan: Not very often.

9 Helen Eisner: Okay. If you can give us a ballpark range, every few months, once or twice a year?

10 Rep. Duncan: There wasn't any certain time. I mean, I don't really remember all that many times.
11 But there easily could've been ... He could've talked to me about it two months in
12 a row and then gone several months without talking to me about it. I mean, I had a
13 lot of other things on my mind other than this -

14 Helen Eisner: Understood. You said, and you talked a little bit about your son having access to
15 one of the credit cards. When he uses the credit card, does he need to receive any
16 type of advance confirmation or authorization for any purchases he makes?

17 Rep. Duncan: No. I told him at least two or three times to take people out to eat, to take people
18 to ... mainly for lunch but some of the ... I said take some of the officials out or
19 some of the active Republicans or some friends of yours that ... I mean, for
20 instance, the last time I did these TV ads, Man on the Street TV ads, John
21 happened to get some of those people ... I remember he got one close friend of his
22 who ... he got so nervous on TV that they couldn't use his ad but he's a good
23 young man. But I think and hope that John took him out to eat a time or two.

24 David Goch: For the record, your question ... You mentioned a son, you were speaking of John.

25 Helen Eisner: Yes, I'm sorry.

26 Rep. Duncan: I assumed you were.

27 Helen Eisner: Yes. I can be clearer in the future-

28 Rep. Duncan: Yeah, that's fine.

29 Helen Eisner: -speaking about John. With regards to John, have you ever told him to use the
30 campaign credit card to purchase a meal that would only be attended by him, his
31 wife, and other members of your family?

32 Rep. Duncan: Well, I haven't told him to do something like that but it would've been all right
33 with me because I wouldn't have expected him to do that often. But I know too
34 that his wife helped out when he was out putting up yard signs or doing some of

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1 those things. She was helping too, so if she got an occasional meal out of it, then
2 that would've been fine with me cause it would've been real cheap pay.

3 Helen Eisner: Okay. What about meals that were just attended by John and his wife, just the two
4 of them?

5 Rep. Duncan: Well, I don't know. I don't see the charge card so I don't know how often that
6 would've been. I wouldn't have think that would've been very often. But on the
7 other hand, it could've been that he was going or had gone to some event for me
8 and they went out because of that, or maybe he was meeting with somebody else.
9 I think there was a time or two that I heard about that he was meeting with
10 somebody, like some official, and that Jennifer was with him so I wouldn't have ...
11 In other words, she would've helped host the dinner so I wouldn't have seen
12 anything wrong with him paying for the dinner for all three of them or whatever.

13 Helen Eisner: Are you aware of any occasions on which John and Jennifer went to dinner, it was
14 just the two of them, there was no third party there, and did not follow any
15 scheduled campaign event? And they used the campaign-funded credit card.

16 Rep. Duncan: Well, Dave told me ... My lawyer told me about some incident where Courtney
17 saw them on an anniversary or a birthday or something that was ... When I asked
18 about it, they said that was wrong and that that was a night when State
19 Representative Martin Daniel was either meeting them later or had met them
20 before. I don't know the circumstances of that but Jennifer's also helped me
21 enough in my campaigns to ... Like I said, if she got an occasional meal out of it,
22 it would've been fine with me.

23 Helen Eisner: Do you know what restaurant that meal occurred at?

24 Rep. Duncan: What they told me was ... I think ... I don't know, Aubrey's or Ruth's Chris or one
25 of the ... It seems like one of those two.

26 Helen Eisner: When did you learn that Courtney had asked about that particular meal?

27 Rep. Duncan: Well, I don't know. I possibly knew something about it before but ... Dave, I think
28 mentioned it to me when he talked to me the other day.

29 Helen Eisner: How many days ago was that?

30 Rep. Duncan: When was it? Yesterday or day before, or I don't know. It was just ...

31 Helen Eisner: So your counsel told you that Courtney had raised a concern about that particular
32 dinner at Aubrey's?

33 Rep. Duncan: Right.

34 Helen Eisner: Okay. Just so I understand, what was your knowledge of that dinner before the
35 conversation with counsel?

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1 Rep. Duncan: It seems to me in the back of my mind that I heard something about it back ... not
2 long after it occurred-

3 Helen Eisner: Okay.

4 Rep. Duncan: -because it seemed ... I got the impression back then that Courtney was a little bit
5 jealous of John and Jennifer for some reason. I don't know. It's a ... whatever. I
6 don't have a real specific time period or anything like that.

7 Helen Eisner: How did you hear about the concern that Courtney had raised? Who told you?

8 Rep. Duncan: I don't remember that.

9 Helen Eisner: Okay. As far as Courtney is concerned, so she's currently the assistant treasurer of
10 Duncan for Congress. Have you considered making a change in her position?

11 Rep. Duncan: No, not really.

12 Helen Eisner: Okay. Have there been any discussions about her leaving that position?

13 Rep. Duncan: Well, I'm probably going to cut off about everybody and everything that I can,
14 pretty much. I don't know.

15 Helen Eisner: Just so I understand, you're referring to reelection or is there another reason to cut
16 people off?

17 Rep. Duncan: Well, it's the fact that I had decided back in July that I wasn't going to run again.
18 But about the same time that ... and so I've been planning to cut back on
19 everybody. I cut John's salary in half. I felt like I still should pay him because he
20 was still doing all these things for me. Plus they've all had to do a lot of work
21 getting all this stuff together, and I feel real bad that I've dragged my family into
22 all this stuff. They've all been scared to death. They've never been through
23 anything like this before. It's just, I feel sorry that I've done that to them.

24 Helen Eisner: Besides the one particular dinner that we were discussing, at Aubrey's, what other
25 concerns are you aware of about your son John's use of the campaign credit card?

26 Rep. Duncan: I don't have any concerns at all because-

27 Helen Eisner: What about ... Oh, go ahead. I'm sorry.

28 Rep. Duncan: Well, John has the same name as me. He's well known in the community. Every
29 time he goes out in public, he is representing me, and he represents me well
30 because ... John is the sweetest, kindest person I've ever been around. I've never
31 heard him say one mean or critical thing about anybody. I can't say that about
32 anybody else. I mean, he may have done terrible when he was with you all but
33 most of the time, and every other time, he comes across very well to people.
34 When he was ... If he went out to a restaurant, I can assure you that he was ...
35 Every place I go, people want to come up to me and express opinions or ask for

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1 help, or both. I mean, every place. They do the same to John. People come and
2 say, "Tell your dad this or that." Anyway. I'll tell you, I haven't talked to all these
3 people that you've interviewed because it's not something that I want to talk about
4 particularly. But my wife told me that John really felt bad after your interview, so
5 all I did, I didn't even ask him what all you asked him. But I called him up. I told
6 him, I said, "John, in law school, when I ... Some of the finals that I thought I'd
7 done the worst on, I did the best on." I said, "I can tell you this. I'm proud of you.
8 I love you and no father could be more proud of a son than I am of you." That's
9 what I told him, and that's the extent of my conversation with him about this
10 situation.

11 Helen Eisner: Understood. And that's why we're happy to have the opportunity to sit down with
12 you, so we can really understand the facts and understand all the different
13 witnesses we've had the chance to talk to there-

14 Rep. Duncan: In my opinion, John did a whole lot more for me than I was paying him.

15 Helen Eisner: Let me just bring it back a little bit to what we were discussing, as far as that
16 particular expenditure. Beyond the dinner at Aubrey's, are you aware of any other
17 concerns raised by Courtney about John's expenditures using campaign funds?

18 Rep. Duncan: Well, I didn't talk to her. I wasn't mad at her or anything like that. I just ... She
19 moved out of town. I mean, I never did hardly ever see her. She never did that. I
20 don't remember her ever saying to me that she thought John as doing something
21 wrong.

22 Helen Eisner: What about indirect? Besides conversations you've had directly with Courtney?
23 What about conversations with Bob Griffiths about concerns raised by Courtney
24 about John's spending?

25 Rep. Duncan: I don't remember Bob saying to me that Courtney said that John was doing
26 something wrong.

27 Helen Eisner: Did Bob say anything about John's expenditures with the campaign credit card?
28 What conversations have you had with Bob about John and his campaign
29 purchases?

30 Rep. Duncan: I don't remember anything specific. There could have been a time, but I don't
31 remember a specific time. But there could have been a time that Bob told me that
32 John had charged a meal or done something, or was charging some meals that he
33 shouldn't have. If that conversation took place, which I don't remember, but if it
34 did, then my response would have been that I told John to use that credit card to
35 take people out for lunches and so forth.

36 Helen Eisner: Okay. Did John have a-

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- 1 Rep. Duncan: That's the way we did an awful lot of our campaigning, was going out to various
2 restaurants and seeing people out in the public.
- 3 Helen Eisner: Does John have a campaign funded telephone or cell phone?
- 4 Rep. Duncan: Well, I think Dave might have said something about that. I didn't know about that.
- 5 Helen Eisner: Your counsel described to you information about a cell phone that your son John
6 has?
- 7 Rep. Duncan: Yeah, I think so. I think he might have said something about that. I don't
8 remember.
- 9 Helen Eisner: And was that also just a few days ago?
- 10 Rep. Duncan: Yep. Mm-hmm (affirmative).
- 11 Helen Eisner: Okay. Prior to that conversation with counsel-
- 12 Rep. Duncan: I didn't know about that.
- 13 Helen Eisner: You didn't know about that.
- 14 Rep. Duncan: Because I didn't see the bills.
- 15 Helen Eisner: Okay. Who would have known about that?
- 16 Rep. Duncan: If John had a cell phone?
- 17 Helen Eisner: If John had a campaign funded cell phone.
- 18 Rep. Duncan: Well, I guess Bob would have known about that probably. I don't know.
- 19 Helen Eisner: Okay.
- 20 Rep. Duncan: But I don't remember him saying anything to me about it. Bob's got like three cell
21 phones because they ring all the time. Bob may have a campaign cell phone. I
22 don't know for sure on that. But that wouldn't bother me if he did.
- 23 Helen Eisner: Do you know if John's wife Jennifer also has a campaign funded telephone?
- 24 Rep. Duncan: No. I think that's what Dave mentioned to me, something about a cell phone for
25 Jennifer, or something.
- 26 Helen Eisner: And prior to your conversations with counsel?
- 27 Rep. Duncan: No, I did not know about that.
- 28 Helen Eisner: Okay. I want to ask you about a trip to the Greenbrier. There were FEC
29 expenditures from Duncan for Congress at the Greenbrier Hotel in West Virginia
30 in late December of 2014.
- 31 Rep. Duncan: Right.

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1 Helen Eisner: What was that trip?

2 Rep. Duncan: Well, that was my idea. I had read and heard that over the years, many other
3 members had gone to resorts to hold fundraisers and stuff. I even had been to visit
4 some, for Bob Goodlatte, Roy Blunt and several other members. Some other
5 members, not several, I guess. I think I saw that they had some cheap rates for the
6 Greenbrier, so I just thought that that would be a really nice way to say thank you
7 for people that had done so much in my 2014 campaign, which was a very
8 difficult campaign. Also I did it not only to thank them for what all they'd done or
9 pay them for ... Sort of a way of paying for what they'd done for me, but also my
10 dad told me years ago that you can tell in a congressional race, you can tell what
11 kind of campaign you're going to have two years from now on a luncheon dime.

12 When I didn't get the vote, when I was very disappointed with the vote that I got
13 in the 2014 primary, I not only worried about the general election in 2014, but I
14 started worrying about that I was maybe going to have a tougher campaign in
15 2016. So I had some hopes that these people that we took to the Greenbrier would
16 also help me again in the next election.

17 Helen Eisner: Okay. Who were the people who attended the trip at the Greenbrier?

18 Rep. Duncan: Well, it was some of my family and it was Michael Strickland and Allison. It was
19 Reverend Clarence Sexton and his wife. It was Jane Lowe and her husband
20 Tommy. It was Brian Debusk and his wife. We asked Pete Debusk and his wife to
21 go, but they couldn't. I think we asked another couple. And it was Bob Griffitts
22 and his wife.

23 Helen Eisner: Which members of your family attended?

24 Rep. Duncan: I think ... I don't remember specifically. I think most of them. I think there was,
25 I'm not sure. It seemed like there was one of the couples that didn't. I don't
26 remember now.

27 Helen Eisner: Okay. In a letter from September 27, 2017, I think your counsel wrote on your
28 behalf to our office in response to our August 18, 2017 request. You said that
29 three of your adult children attended. This was on the third page of that letter. But
30 we've also seen records, and I'm going to provide those to you, just so you can see
31 it, it's GB_0048 through 0050. I copied that. Just looking through the different
32 names, it looks like John and Jennifer Duncan, Jason and Whitney Brown. Zane
33 and Hallie Duncan and Bryn and Tara Richardson are listed there. Does that help
34 refresh your recollection of whether or not all of your adult children attended?

35 Rep. Duncan: Well, I guess they all did. I thought there was somebody ... but maybe I'm
36 thinking of another trip.

37 Helen Eisner: What about any of your grandchildren? Did they attend the Greenbrier event?

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- 1 Rep. Duncan: Well I think some of them did but they didn't ... they didn't get separate rooms. Or
2 anything like that.
- 3 Jeff Brown: But just to clear for the record this is prior to check in right? These are
4 confirmations.
- 5 Helen Eisner: This is a confirmation.
- 6 Jeff Brown: This doesn't necessarily mean these people did attend.
- 7 Helen Eisner: Well I mean. This is prior to confirmation. Does this in any way refresh your
8 recollection as far as who did in fact attend?
- 9 Rep. Duncan: No. Not really. But I mean I'm not disputing it.
- 10 Helen Eisner: Okay.
- 11 Rep. Duncan: I'm just saying that I don't ... I just remember that there was one of these trips
12 where I think one or more of the couples didn't ... the family couples weren't there.
- 13 Helen Eisner: Okay. During the time that you spent at the Greenbrier, how did you pass the time?
14 What did you do while you were there?
- 15 Rep. Duncan: Read. My wife fussed at me for years because I think I'm probably the most
16 boring person in the world to take a vacation with because when I get free time
17 like that I read. I might have gone ... I don't know, I might have gone for a swim,
18 you know, for a few minutes I don't gamble so I didn't go into the casino. Unless I
19 might have gone ... I don't even remember this but I might have gone in there to
20 look for one of the others. Or something like that-
- 21 Helen Eisner: Why do you mention the casino?
- 22 Rep. Duncan: Well because they gave you a lower rate for the rooms because they had changed
23 it into a ... they had added on a casino.
- 24 Helen Eisner: Okay. Did any members of the family go to the casino while they were there? The
25 Greenbrier.
- 26 Rep. Duncan: Well I think they might have but I couldn't tell you who or how long or anything
27 else but I don't think they ... I certainly don't think they would have gambled, you
28 know, with any campaign money or anything.
- 29 Helen Eisner: Are you aware if we discussed expenditures at the casino with any of the other
30 individuals who we've spoken with as part of this investigation?
- 31 Rep. Duncan: No. Not that I can think of right at the moment.
- 32 Helen Eisner: Who arranged for the trip as far as booking the rooms?
- 33 Rep. Duncan: I think my wife took care of that.

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1 Helen Eisner: Okay.

2 Rep. Duncan: I don't ... I don't ... the reason I have a hesitancy is that she used to raise money for
3 the Boys and Girls Clubs and so I remember there was a time or two that she had
4 contacted the Greenbrier to get them to donate rooms for an auction that she put
5 together. But either my wife or ... I think probably her since she had contacted the
6 Greenbrier on some prior occasions about the auction.

7 Helen Eisner: Okay. And how was the ... before all these individuals that you had invited to
8 attend.

9 [Noise] Sorry we've got train tracks . . .

10 Rep. Duncan: That's alright.

11 Helen Eisner: You invited some individuals to attend. You named them in addition to that, some
12 members of your family. What did you explain to those individuals as far as how
13 the trip would be paid for?

14 Rep. Duncan: Well I don't know that I ... I don't know if I explained anything. I just ... I just
15 more or less we just invited them as a ... to show our appreciation for all they had
16 done in the campaign.

17 Helen Eisner: Did you have conversations with them explaining that the rooms would be taken
18 care of and they wouldn't have to pay for the rooms personally?

19 Rep. Duncan: I doubt ... you know, I doubt it. I think they probably ... I think common sense
20 would have told them that I wouldn't have invited them to the Greenbrier and
21 make them pay for it.

22 Helen Eisner: Was there an expectation that they would have to pay personally for any aspects
23 of the trip?

24 Rep. Duncan: Well I didn't think they would go up there and shop or whatever. I mean I just ... I
25 don't know.

26 Helen Eisner: Okay. And how was the trip paid for?

27 Rep. Duncan: Well I guess it was paid for out of the campaign account. Because it was a
28 campaign event. In my opinion.

29 Helen Eisner: What campaign events took place during your time at the Greenbrier?

30 Rep. Duncan: Primarily just a couple of dinners that I think that we had ... I think we had a
31 couple of dinners where the whole group got together but I think almost every
32 meal that I ate there was ... It was eaten with one or more of the others involved.

33 Helen Eisner: Okay. I want to ask about a slightly different topic, which is inauguration of this
34 year January 2017. Which members of your family attended inauguration?

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- 1 Rep. Duncan: Well I think I probably invited all of them.
- 2 Helen Eisner: Okay. And which ones ended up attending?
- 3 Rep. Duncan: Well I don't remember exactly. They may ... that may be why I'm thinking that
4 somebody didn't come to the Greenbrier. Because I think there was somebody
5 who didn't come to the inauguration. One of the couples.
- 6 Helen Eisner: Okay.
- 7 Rep. Duncan: I can't remember. I think that ... most of them came.
- 8 Helen Eisner: And what was the reason that your family attended inauguration?
- 9 Rep. Duncan: Well we had ... we had several hundred constituents who were coming up here for
10 the inauguration, and I wanted them to help me entertain. You know the
11 constituents who were coming at that point I still thought that I was probably
12 going to run for reelection. I didn't make that decision until just, you know, just
13 over these ... over these last few months I went back and forth not just ... it wasn't
14 just one day I think I'm going to run and one day I think I wouldn't. I went back
15 and forth on an hourly basis sometimes. And I didn't really ... I didn't really start
16 that ... I mean ... I thought a tiny bit about it but at that time of the inauguration I
17 still thought there was a probability that I was going to run one more time.
- 18 Helen Eisner: Okay. The members of your family who did attend inauguration ... wait for the
19 train to pass. Where did those family members stay while they were in
20 Washington?
- 21 Rep. Duncan: Well we found the ... I read about these places that charge three and four thousand
22 or some huge fees and stuff. So we found a, what we thought was the cheapest
23 place that they could stay in the course of the inauguration.
- 24 Helen Eisner: Okay. And do you know where that was?
- 25 Rep. Duncan: Yeah. It's a motel right across from where my apartment is.
- 26 Helen Eisner: Is that the Best Western?
- 27 Rep. Duncan: Mm-hmm (affirmative)-
- 28 Helen Eisner: I'm sorry. Just-
- 29 Rep. Duncan: Yes.
- 30 Helen Eisner: Okay. And you might not remember but can you tell us who stayed at the Best
31 Western?
- 32 Rep. Duncan: I think most of them. I-
- 33 Helen Eisner: This might be helpful.

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- 1 Rep. Duncan: I think-
- 2 Helen Eisner: Go ahead. I'm sorry.
- 3 Rep. Duncan: I think just about all of them I believe.
- 4 Helen Eisner: So, as far as specifics this is a BWP_0001 through 0012. These are records from
5 the Best Western Hotel. Two copies there. So names that are included here, Roger
6 Rhodes. Do you know who Roger Rhodes is?
- 7 Rep. Duncan: Yes. That's my ... that's John's father-in-law.
- 8 Helen Eisner: Okay. And why did he attend the inauguration?
- 9 Rep. Duncan: Well. I guess John and Jennifer invited him. I didn't remember him being there.
- 10 Helen Eisner: What about Michael Strickland?
- 11 Rep. Duncan: Well, he attended, but I think he even gave me a thousand dollar campaign
12 contribution after that.
- 13 Helen Eisner: Okay. What's the connection between the thousand dollar contribution and this
14 event?
- 15 Rep. Duncan: Well, I think maybe in his mind, he was paying for his room. I don't know for
16 sure.
- 17 Helen Eisner: Okay.
- 18 Rep. Duncan: But I didn't ask him to do that. His fiance, Allison, went a lot of places with Lynn
19 during the campaign, and continues to do so. And he, for instance, he does
20 lighting for Barbra Streisand and all these famous people, the most famous people
21 in music. They did some lighting for the, I think the last barbecue that we had in
22 the 2016 campaign. I don't know. He's been a contributor and a campaigner.
- 23 Helen Eisner: Okay. During that weekend, what activities did he participate in with you and
24 your family?
- 25 Rep. Duncan: Well, we had an all-day reception and a room in the Rayburn Building. We had
26 breakfast food. Then later on, we had lunch food and so forth. Then we went out
27 for two dinners with constituents. We went to one at the Oceanaire and we went
28 to one at the Prime Rib.
- 29 Helen Eisner: Which constituents attended the Prime Rib dinner?
- 30 Rep. Duncan: Well, I don't remember exactly. I think most of my family members and probably
31 Michael and Allison. I don't remember for sure.
- 32 Helen Eisner: All right. What about the Oceanaire dinner? Who attended that dinner?
- 33 Rep. Duncan: Well actually that was a dinner hosted by Dr. Hashemian.

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- 1 Helen Eisner: I'm sorry. Can you say the last name one more time?
- 2 Rep. Duncan: Well he's a nuclear scientist. They call him Hash, but it's H-A-S-H-E-M-I-A-N, I
3 think. Something like that. That's probably pretty close.
- 4 Helen Eisner: Okay. He hosted the dinner. Who else attended?
- 5 Rep. Duncan: I think pretty much the same people, but there was some trouble getting around
6 that night because of the traffic. And I'm not sure that everybody got there.
- 7 Helen Eisner: Okay. Did any fundraising take place at that dinner?
- 8 Rep. Duncan: Well, I didn't get a check, but he's been one of my contributors.
- 9 Helen Eisner: Okay.
- 10 Rep. Duncan: And he's hosted ... He's been a strong supporter of mine.
- 11 Helen Eisner: I also want to ask you about some trips that we've seen, and I'm happy to share
12 records. In December 2009 and 2010 to New York City. They seem like they're
13 part of a Republican event. What is that event?
- 14 Rep. Duncan: Well, the National Republican Executive Committee, the NREC. I think just
15 about every December for many years is hosted, has done a trip and urged
16 members to come. It's a lot of lobbyists and so forth, and they have receptions and
17 dinners, and so forth. And it's a good thing for members to come to because they
18 solidify relationships with various heads of associations or PACs that you hope
19 will contribute to you later on.
- 20 Helen Eisner: For some of the records from that time period, there are flight records showing
21 that, for example, in I believe 2010, Zane and Hallie attended that event. Why did
22 they attend that year?
- 23 Rep. Duncan: Well, once again, I think it's a good thing. It helps me get along better with other
24 members of the Congress when I have certain family members that go to things
25 with me. It's, once again ... Zane and Hallie have been involved in all of my
26 campaigns since they've reached adulthood and helped me in numerous ways.
27 And almost every other member at those New York trips, it's a family type thing.
28 Almost every member has had family from small children on. I don't think we
29 ever took any small children, though, on those trips, but most of them do, or many
30 of them do.
- 31 Helen Eisner: Another trip that I want to ask you about. It might be helpful if I show you the
32 record for this.
- 33 Rep. Duncan: You don't have to show me the records. I just-
- 34 Helen Eisner: Okay.
- 35 Rep. Duncan: I believe you.

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1 Helen Eisner: This one is a little more specific, but if we need it, we can refer to it. It is a trip,
2 the flight reservations in August of 2010 for John and I think his then wife
3 Lindsay, to travel to Montana. Do you know why they traveled to Montana?

4 Rep. Duncan: Well, no I didn't know about that trip until just the last day or two. And they said
5 there was some, that they used my frequent flyer miles, so they weren't paid for
6 any ... So no airplane fees came out of my campaign. They said something about
7 there might have been a small \$25 or something baggage charge or something, but
8 that I don't know.

9 Helen Eisner: There were charges that were associated, I believe, with the frequent flyer usage
10 for their flights. There's two \$10 charges, two \$75 charges and two \$25 charges.
11 My question is, this is an American Express statement from August 23, 2010,
12 starting with AMEX_0265. Who would have reviewed this statement in 2010?

13 Rep. Duncan: Well, I guess Bob would have. I don't think Jason was my treasurer back then.

14 Helen Eisner: Okay. Did Bob raise any questions with you about these particular flight charges?

15 Rep. Duncan: Not that I remember, but I think in the last couple days, I've heard that Harlow
16 Sumerford was on that trip. He used to work for me.

17 Helen Eisner: And who did you hear that from?

18 Rep. Duncan: I don't remember, maybe Dave. Maybe my wife. I don't know. I had talked to my
19 wife about it, but even with her, I haven't talked to her in the detail that you might
20 think because I understand her thing went on for a couple of hours, and I probably
21 spent 15 minutes at the most talking about this.

22 Helen Eisner: I'm going to ask about a different topic. We understand that you're a member of
23 Club LeConte in Knoxville. How do you use the club?

24 Rep. Duncan: Well I haven't used it very much at all really. I went three different times. It
25 seemed like three different times. I picked out the poorest school in my district,
26 the lowest income, and told them that the eighth grade ... Tried to pick out one
27 that didn't have a huge class, and I would take all those kids to eat lunch in what is
28 the fanciest restaurant or place in Knoxville. Then we took them to, because I like
29 books and I like to read ... In all these schools, I've told kids, I've said, "There's
30 nothing simple anymore. You need to be a good reader no matter what you want
31 to do." I tell those kids, "You go to ..." We take them to a bookstore and tell them
32 they can buy a book. We also gave them a tour of the courthouse area, where my
33 Knoxville office is. Then on one of those trips, they got to go over to UT and
34 meet with Pat Head Summitt, who is a longtime friend of mine.

35 I did that, and then another time I read in the paper where there like 50 African-
36 American sixth graders who they were teaching etiquette to. I decided it would be

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1 a good thing to take all those kids up there. I haven't used that much at all, other
2 than those things occasionally maybe.

3 Helen Eisner: Why does your campaign committee pay membership dues to the club?

4 Rep. Duncan: So I can do those things that I just told you about.

5 Helen Eisner: Okay.

6 Rep. Duncan: So that I can take people to eat there every once in a great while. I might go there
7 once a year.

8 Helen Eisner: What events have been organized by members of your family at the club?

9 Rep. Duncan: Well, Lynn did the ... I think she did some baby showers, or wedding showers, or
10 something for various women who had supported us in campaigns, which I
11 always thought that was a good thing to do because just like I relied on my kids to
12 help me with young people, I relied on my wife to help me with women.

13 Helen Eisner: What knowledge did you have of her planning these events? What was your role
14 in planning events?

15 Rep. Duncan: Well, I didn't have any role on those. I didn't even go. I knew she was doing them.

16 Helen Eisner: What was your understanding how they were being paid for?

17 Rep. Duncan: Well, I assumed that the campaign would pay for them.

18 Helen Eisner: Why was the campaign paying for those events?

19 Rep. Duncan: So that I would do, in part, appreciation for people who had helped me in
20 campaigns, and in part to help me get votes from women. I'm a man. I need help
21 with women in campaigns.

22 Helen Eisner: Were any of the events that were organized at Club LeConte organized on behalf
23 of your family members or your children?

24 Rep. Duncan: No, not that I can remember. Well, no. Wait. I think we had one of Zane's
25 birthdays there.

26 Helen Eisner: Okay. When was that?

27 Rep. Duncan: Well, I don't know. It's been several years. I think it was ... I'll tell you when I
28 think it was, it was when he turned 18, which would've been 13 years ago because
29 I remember ... My big memory of that is, is that he said at one point, "So this is
30 what beer tastes like," and everybody busted out laughing. There were a lot of my
31 constituents who were there.

32 Helen Eisner: Okay. How often do you dine Club LeConte in the restaurant personally?

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1 Rep. Duncan: Personally? Not very often. Not often at all. Usually maybe just go up there to
2 give a speech to a civic club. I went up there and spoke to a group of bankers at
3 one time. I have eaten there, but not very often.

4 Helen Eisner: When you eat there, do you know how those meals are paid for?

5 Rep. Duncan: Well, no. I assume that Club LeConte sends a bill like everybody else.

6 Helen Eisner: Who would receive that bill?

7 Rep. Duncan: Well, I guess Jason, or Bob, or somebody.

8 Helen Eisner: Okay.

9 Rep. Duncan: Some of those you're going way back to.

10 Helen Eisner: Let me ask you about one specific ...

11 Rep. Duncan: All right.

12 Helen Eisner: ... event. This is CLC_0223. This is from February 14, 2015. There's a receipt for
13 a dinner. It says there were four guests at the top. As you can see-

14 Rep. Duncan: Yes, I remember that.

15 Helen Eisner: Who attended this dinner?

16 Rep. Duncan: That was me, and my wife, and Dave Haynes, president of one of the banks, and
17 his wife Jane Ann Haynes. They were active politically. He's been a contributor.
18 His son is our most recent state Republican chairman. They have three sons and a
19 daughter, and I think two or three of them have been interns for me, but I always
20 called Ryan my third son because from the time he was a little boy he went to
21 political events with me. From the time he was ...

22 Helen Eisner: So you've known-

23 Rep. Duncan: Bob Griffiths. Bob, whom I love, respect, and everything, but Bob is an absolute
24 neat freak. He's never had a speck of dust in one of his cars. I remember one time
25 we were going a Republican event and had this brand new Cadillac, and had Zane,
26 who was six, and Ryan, who was seven, with me. Ryan said, "Jim, I've got a
27 booger on my finger. What should I do with it?" I said, "Just rub it on the side of
28 the seat where nobody will see it." Boy, Bob's head jerked and the car jerked, and
29 I thought, "Oh, gosh. We're going to have a wreck." I was just joking.

30 Helen Eisner: So this is a family you've known for quite some time?

31 Rep. Duncan: Yes, I've known them for a long time. In the 2014 campaign, Jane Ann and Ryan
32 stood there at the precinct of my opponent and worked the whole day for that
33 campaign. They even went to church with my opponent. I really appreciate what
34 they ... They've helped me through the years.

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- 1 Helen Eisner: The Knoxville Quarterback Club, looking at some of the FEC expenditures we've
2 seen due expenses there. What were those dues expenses and why did the
3 campaign pay for them?
- 4 Rep. Duncan: Same as all these other things. That is a public event where they bring in these big
5 outside speakers and they would have, generally, 200 very prominent or very
6 successful people who would go to that event. I've told people up here that
7 Tennessee football is the biggest thing in my district and that the colors orange
8 and white are almost more patriotic than red, white, and blue where I'm from. It
9 very much helped me in my campaigns to go to that club when I could.
- 10 Helen Eisner: How often would you attend?
- 11 Rep. Duncan: Not as often as I would've liked because a lot of times they met on Mondays, and
12 sometimes we were in session on Monday, but I would go whenever I could. I've
13 been to many, many of their meetings.
- 14 Helen Eisner: Another Knoxville based organization, it looks like the Knoxville Symphony
15 League. It looks like your wife Lynn was a member of that league and that the
16 campaign committee paid for her memberships dues. Why did the campaign pay
17 for those dues?
- 18 Rep. Duncan: Well, because that may be one of the most prominent groups, and very successful
19 people, and very important influential people who I would hope would be
20 supportive of me in my campaigns. That's one of the many, many, many ways that
21 I campaigned, going to some of those events. Some of those symphony things.
- 22 Helen Eisner: How active was Mrs. Duncan in the league?
- 23 Rep. Duncan: Well, I don't know. I don't think she was as active in that as in a lot of other things,
24 but she was involved in a lot of things.
- 25 Helen Eisner: Let me show you another document.
- 26 Rep. Duncan: I thought most of what that was, was these Pops concerts that we went to where
27 they had these big crowds, where we saw a lot of people connected to the
28 symphony. Anyway.
- 29 Helen Eisner: Okay. You would attend the Knoxville Symphony?
- 30 Rep. Duncan: Yes.
- 31 Helen Eisner: Was that as a part of a group, or?
- 32 Rep. Duncan: No, just me and Lynn. They have huge ... They have real big crowds at those
33 things. You're talking about ... I would frequently try to attend events in my
34 district that had, I don't know, 2000 or 2500 people. Those are good things to go
35 to.

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- 1 Helen Eisner: Sure.
- 2 Rep. Duncan: Plus, I enjoyed them. I don't think there's any rule about us not enjoying some of
3 them, you know.
- 4 Helen Eisner: Hopefully. This is another document, DFC_0168. Give you a moment to look that
5 over. Sorry for all the documents.
- 6 This is ... We received this from your campaign committee. It's an invoice from
7 Holston Hills Country Club, and there's a check from Duncan for Congress, it's
8 paying this amount, \$258.40. Do you know whose signature that is on the check?
- 9 Rep. Duncan: No.
- 10 Helen Eisner: Okay.
- 11 Rep. Duncan: No, I don't know whose signature that is.
- 12 Helen Eisner: Okay. Part of this expense-
- 13 Rep. Duncan: At one point, Darryl Aikens was my treasurer. Maybe that's his signature.
- 14 Helen Eisner: Darryl Aikens? Does that make sense for 2010, around that time period?
- 15 Rep. Duncan: Well, it's hard for me to remember that. Yes, it may...
- 16 Helen Eisner: Or Dean Rice?
- 17 Rep. Duncan: Oh, Dean Rice.
- 18 Helen Eisner: Is that possible?
- 19 Rep. Duncan: Yeah, that's who that would be. Dean Rice, probably. Yeah.
- 20 Helen Eisner: Are you a member of Holston Hills Country Club?
- 21 Rep. Duncan: Yes, I am.
- 22 Helen Eisner: Part of this expense, the \$194.02, includes membership dues.
- 23 Rep. Duncan: I didn't pay that. What I did, I paid all of that ... I paid all of my membership dues
24 and I paid for my food and things like that out of my own pocket, unless I took
25 somebody ... I took our governor there one time to play golf. I took Tom Ridge
26 when he was Secretary of Homeland Security. Occasionally I took other members
27 of Congress there. I took, what's the senator from Pennsylvania who ran for
28 president? I can't think of him.
- 29 David Goch: Arlen Specter?
- 30 Rep. Duncan: No, the other one.
- 31 Helen Eisner: Santorum?

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1 Rep. Duncan: Yeah, Santorum. I took him there one time. He came and he played golf with me.
2 He'd come in to Knoxville to speak to an event. When I took people who had
3 worked in my campaigns or who were helping me in my campaigns or whatever, I
4 would pay sometimes ... Sometimes I would pay for their meals or whatever.

5 Helen Eisner: Okay. Part of what I'm trying to understand, too, is as context for this, there's a
6 disbursement with the FEC for the campaign committee from that same date,
7 November 4, 2010, for \$63.58. You can see there's a notation on the check in
8 the ... Right next to the signature, for \$63.58. That amount. But the check amount
9 in total is \$258.40. I guess I was trying to understand why the FEC disbursement
10 would be listed as \$63.58 but the check amount is \$258.40.

11 Rep. Duncan: It could be that somebody made a mistake.

12 Helen Eisner: Okay.

13 Rep. Duncan: I don't know. Wasn't anything I told anybody to do, I can tell you that. I can tell
14 you, if you add up all the checks that I've written to Holston Hills Country Club,
15 you would see that I've paid practically all of it out of my own pocket.

16 Helen Eisner: Okay.

17 You talked a little bit about what ... How often does the campaign committee pay
18 for ... We were talking about showers and baby showers earlier, but how often do
19 they pay for gifts? Gifts for special occasions, like a wedding gift or a baby gift.
20 How often does that happen?

21 Rep. Duncan: I think fairly often, fairly regularly.

22 Helen Eisner: Who is in charge of that process?

23 Rep. Duncan: Well, Lynn probably would have been in charge of some of that. Sometimes those
24 things would come to the house. Maybe there may have been some times when
25 they might have come to the office or something and maybe Bob would have
26 done about that.

27 Helen Eisner: What role would you have played in deciding who might receive a gift for that
28 type of occasion?

29 Rep. Duncan: Well, a lot of times, Lynn would ask me, do I know Such-and-such who was
30 graduating from high school or something, and maybe she didn't know them or
31 something like that, and I'd say, "Oh yes, well, that's So-and-so who's a fire
32 fighter or head of the fire fighters' union or something like that, that's his son or
33 daughter" or something like that. I don't really ...

34 Helen Eisner: Okay.

35 Rep. Duncan: Know.

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1 Helen Eisner: If your wife made that type of expenditure, would she usually have a . . . typically
2 have a conversation with you about it, or were there times when that information
3 went straight to Bob Griffiths or another possibility as far as how that information
4 was relayed?

5 Rep. Duncan: Well, probably both. Probably ... Maybe she would call Jason and tell him she
6 needed a check, or tell Bob or something.

7 Helen Eisner: Earlier you were talking a little bit about athletic tickets and specifically
8 Washington Redskins tickets. I know that the campaign over a period of years
9 purchased Washington Redskins season tickets. Who was in charge of deciding
10 how those would be distributed?

11 Rep. Duncan: Well. I guess it was a combination, but I'd say that probably usually I would be ...
12 A lot of times I would tell Don to try to find somebody who had contributed to us
13 to offer the tickets to. Sometimes we would hold ... I found out it was kind of a
14 popular thing to have a little drawing or whatever into one of my fundraising
15 luncheons or something like that. Sometimes, I mean ... Other people used to
16 know. One time, Jenny Stansberry, who's head of my Knoxville staff, I think her
17 husband was a big Cowboys fan, and I think she used the tickets one time.

18 Then I remember when they first moved from RFK out to Jack Kent Cooke
19 Stadium, I thought because it was a much bigger stadium, I thought we would get
20 a lot better seats. I was disappointed in the seats that I saw, but then the first
21 person that went to use them was a Democratic mayor of one of our towns, and a
22 lawyer in Knoxville. And he came back and took his son, and he came back and
23 told me that those were great seats. He really enjoyed it, because they were close
24 to the stadium, or close to the field.

25 Helen Eisner: We spoke with Don Walker, and he told us that oftentimes, he did talk about the
26 raffle drawing, but he said oftentimes he went through a process of distributing
27 them, the tickets, to Washington Redskins games, to lobbyists.

28 Rep. Duncan: That's true.

29 Helen Eisner: Okay. And what was your role in determining which lobbyists would receive the
30 tickets?

31 Rep. Duncan: Wasn't any role to it. I just would tell Don to try to find somebody who had come
32 to some of our fundraisers, or who'd helped us out in campaigns.

33 Helen Eisner: And, at some point, you stopped distributing the tickets. Why was that?

34 Rep. Duncan: Well, you mean when we stopped buying the tickets?

35 Helen Eisner: Stopped buying the tickets.

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1 Rep. Duncan: Bob told me that the NRCC had put down a ruling that we couldn't pay for sports
2 tickets out of the campaign, and I disagreed with that. But once he told me, we
3 stopped doing it.

4 Helen Eisner: Was it the NRCC, or the FEC, or any type of. . . ?

5 Rep. Duncan: I thought it was some lawyer, I thought it was somebody with the NRCC. I mean,
6 I may be wrong on that, but-

7 Helen Eisner: That's where ... okay. So, it came through Bob, the communication that-

8 Rep. Duncan: As soon as I heard that we couldn't do it, I stopped doing it. But I did tell Bob that
9 I thought that was totally wrong, because I thought those tickets had really helped
10 us politically over the years. And so, that was the ... And from that, Dave told me
11 that Don said that I took my grandson. I think he may be thinking of ... Every year
12 for about the last four, maybe five years, I've taken my grandson on a trip for his
13 birthday. It's not always been, it would never ... Sometimes, it, his birthday is
14 September 29th, but sometimes it didn't, later than that. But a couple of years ago,
15 I took my grandson to the Redskins game, but we bought ... By that time we'd
16 been told we couldn't, I think we couldn't, either we'd been told that we couldn't
17 do that anymore, or somebody else was using the tickets, and I bought two tickets
18 out of my own pocket from FedEx.

19 Helen Eisner: Okay. So, as far as the Washington Redskins tickets, has any member of your
20 family ever used those Washington Redskins tickets that were purchased with
21 campaign funds?

22 Rep. Duncan: I don't remember a specific time, but there is a possibility. Now, and I personally,
23 in 50 years time ... See, what happened, when my dad first came to Congress, and
24 he was a sports fan, and he saw in the paper that they have this waiting list for
25 Redskins games. So, he just sat down and wrote them a letter, and asked them to
26 add his name to the waiting list. And they sent him back an application for tickets.
27 So, he started buying those tickets way back, but I don't think he ever went.

28 And, see, I mean Redskins fans around here probably wouldn't believe this, but
29 my family and my best friends were all at home in Knoxville. I thought it was
30 very lonely to stay here on the weekends if I had to because of the Saturday or
31 Sunday session. So, I almost was never here, plus I was a much bigger fan of
32 college football. And I would go home and go to the Tennessee games.

33 And so, you know, I do remember one time I took former Congressman Van
34 Hilleary, when he was in the Congress, I took him to the game. Me and him went,
35 and I went to probably, I don't know, I probably went to six or seven Redskins
36 games over all the years. But a couple of those times would have been way back. I
37 think I took Lynn back when we were first, early in our marriage. But I also, a
38 couple of those time, I remember one time I went with a lobbyist, and took my
39 two boys. And another time, I went and I sat with the FedEx people. But that was

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1 back ... But you could do that. It was alright to do that a few years ago. That's
2 before they changed the rules.

3 Helen Eisner: What about season tickets purchased by the campaign for the Knoxville Ice Bears
4 and the University of Tennessee? How were those distributed?

5 Rep. Duncan: Well, sort of the same way, except Don wouldn't have been involved in those
6 because he was up in Washington. So, we would try to, if we found, those were a
7 little harder to give away. So, we would give those away, but I went a few times
8 on those tickets. But there again, I did that because at the Knoxville hockey games,
9 is sort of a lower-middle income people. It's a lot of people that don't, and I found
10 that, I thought those were really good things for me to go to politically.

11 Helen Eisner: So, they were distributed through the Congressional office in the district?

12 Rep. Duncan: Well, I don't, there wasn't any certain way. I think I probably told Bob to try to
13 give them away, sometimes. Or I would try to, or maybe I would try to give them
14 away. I gave them one time, I remember, I gave them one time to my minister and
15 his son. And then, we would, most of the time those seats, a lot of the time those
16 seats were empty. But when I was 15, when I was a kid, I sold programs at the UT
17 football games, and popcorn and cokes at the UT basketball games, and I sold
18 programs at the Knoxville Knights hockey games. And so, you know, I enjoyed
19 the hockey. But I really went times when I was tired and didn't really want to go,
20 but I thought it was good politically.

21 Helen Eisner: A few more specific questions. In 2010, and again this is going back a few years,
22 but I just want to see if you have any knowledge of any campaign-related events
23 that occurred at a Chuck E. Cheese restaurant in Knoxville?

24 Rep. Duncan: No, I really don't remember that. I did go to Chuck E. Cheese the other day with
25 my four-and-a-half year old grandson, but we just went there for the games and
26 the rides and stuff. I don't remember that.

27 Helen Eisner: When it comes to campaign staff, who also work in the congressional office, is
28 there any sort of policy as far as when they, and this is the congressional staff who
29 make an expenditure with their own personal money on behalf of a campaign. Is
30 there any type of policy, as far as that type of activity, or reimbursing them for
31 that activity?

32 Rep. Duncan: Well, let me tell you. I've hired, I think, very intelligent people, and I think all of
33 them know that we've had conversations about, we don't keep political materials
34 at the offices. In '96, I had a young veterinarian who ran against me in a campaign,
35 for 13 months against me, but he was a far-left wing Democrat, but he did put on
36 an active campaign.

37 But he showed up way early in the office up here, telling that he was a, claiming
38 to be a supporter, trying to get, he wanted to get some stickers and some

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1 campaign material. And I didn't know about it, but Scott Fisher, he still works for
2 me, told him, "No, we don't do that kind of stuff. We don't have anything
3 campaign-related here."

4 And so, but I was, maybe Dave or somebody told me that there was something
5 about Jenny Stansberry, who has run my Knoxville office ever since I first came
6 in there, and that she paid for somebody. We reimbursed her because she had
7 bought some things from Chick-fil-a, a tray from Chick-fil-a for our election night
8 party in 2016. That sort of surprised me because I would've thought, "Well, if I
9 had her pay for that out of her own pocket that would've been like forcing her to
10 make a campaign contribution." I would've been in more trouble if we hadn't
11 reimbursed her. It was very much, certainly ... On election night, we knew we
12 were going to have a lot of people.

13 Helen Eisner: Okay.

14 Rep. Duncan: I think that's very much campaign related.

15 Helen Eisner: At this point, had the campaign implemented any policies with regards to that
16 type of activity?

17 Rep. Duncan: No. I don't have any written policies. I think we've told people over the years that
18 we just don't do campaign stuff out of the congressional offices, and I don't think
19 we ever have. Other than the fact that everything I do is political. When I visit
20 with constituents up here, it's official, but it sure helps me politically.

21 Helen Eisner: There's one other category, and it's a slight departure from what we've been
22 discussing, that I want to ask you about. I'm going to provide you a FEC form that
23 was filed by the Road to Victory PAC or your leadership PAC. This is
24 miscellaneous text FEC form 99 that was filed on June 16, 2015. I'll give you a
25 moment to familiarize yourself with it if you haven't seen this.

26 Rep. Duncan: Yes, I heard about this.

27 Helen Eisner: Okay. This filing seems to be about this variance for \$37,727.93. What was the
28 variance and why did it occur?

29 Rep. Duncan: Well, I think that ... I'm not sure I understand exactly what happened, but I think
30 we reported that the money had been contributed, but the checks were lost or
31 misplaced, and they were never cashed. We messed up and cheated ourselves out
32 of \$7,500.

33 Helen Eisner: Is that what it was about \$7,500?

34 Rep. Duncan: To my knowledge, that's what it was.

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- 1 Helen Eisner: It's our understanding that an outside accounting firm, Rodefer Moss, was hired.
2 Did you ever see any reports or materials that they produced related to the
3 variance?
- 4 Rep. Duncan: I think I may have read something, but I don't specifically remember. My
5 impression or my understanding was that the FEC decided that in our favor.
- 6 Helen Eisner: Okay. Who was responsible for overseeing the issues related to this variance from
7 the PAC?
- 8 Rep. Duncan: Well, I think that John was handling it at that time. I think.
- 9 Helen Eisner: That John was handling the variance issue?
- 10 Rep. Duncan: No, I think he was handling the PAC.
- 11 Helen Eisner: Let me just break that down. He was handling the PAC at the time that the issue
12 occurred. Is that separate from the accounting work ... Let me rephrase the
13 question. As far as the accounting work that the PAC hired Rodefer Moss to
14 conduct, who oversaw that accounting work?
- 15 Rep. Duncan: I don't know for sure. I think John was ... Jimmy Rodefer has been a friend of our
16 family, and so I think maybe Zane handled it. I think Zane handled it. Zane ended
17 up handling that at some point. Now, what point that was, I'm not really sure.
- 18 David Goch: Just be clear because it's not accounting. Are you talking the bookkeeping?
- 19 Helen Eisner: The bookkeeping. The accounting firm that conducted the bookkeeping related to
20 the variance that resulted ... In the miscellaneous text the statement is, "During an
21 external audit completed during the first half of 2015," so specifically that
22 external audit. My question was, who from the PAC oversaw-
- 23 Rep. Duncan: I don't remember. John or Zane handled the ...
- 24 Helen Eisner: Okay.
- 25 Rep. Duncan: One of the two handled that. I can't tell you for sure.
- 26 Helen Eisner: What is your understanding of why the variance occurred?
- 27 Rep. Duncan: Because somebody misplaced the checks or whatever.
- 28 Helen Eisner: Okay.
- 29 Rep. Duncan: They didn't get cashed. We cheated ourselves in that case. That's my
30 understanding.
- 31 Helen Eisner: Let me provide you with, this is RTV 0010 through 0013. This is the document at
32 the top. It reads, "Detail of variances between FEC report and bank account
33 activities." The date range here is 2005 through 2011. I'm also happy to show you
34 if it's helpful Excel spreadsheets that were created as part of the external audit to

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1 demonstrate the total leading to the \$37,000 accounting. This document, the first
2 one I showed you, RTV 0010, it shows and indicates that there multiple,
3 potentially 100 or more here, disbursements and deposits that weren't accounted
4 for.

5 Rep. Duncan: Well, that's the first I've heard of that. I thought that everything got accounted for
6 and all that was given to the FEC.

7 Helen Eisner: This is the first time that you're hearing that it could've been potentially more than
8 three receipts that weren't accounted for?

9 Rep. Duncan: Yeah. Well, I think.

10 David Goch: Isn't this primarily outside the scope of what you're looking into? This goes back
11 to 2005, right?

12 Helen Eisner: Well, we're looking into current violations-

13 David Goch: Well, no. Just for the sake of when you're asking him about his knowledge. We've
14 been focusing on a different time period.

15 Helen Eisner: Well, I guess what I'm focusing on is understanding this 2015 filing, in reference
16 to this, so these documents help me understand this particular filing in regards to
17 the \$37,000. That's why I'm referring to these.

18 Rep. Duncan: I didn't handle it, so I didn't know that ... The only thing I knew was that we had
19 gotten three contributions from Burnette and his sons, and that for some reason
20 they were misplaced or something, never cashed. If there was other bookkeeping
21 problems, I don't know, but I know this, it was resolved to the satisfaction of the
22 FEC and I can assure that nobody has ever taken personal money from any of
23 these accounts.

24 Helen Eisner: In this document, which again, helps me understand this variance that was
25 reported in 2015, this is RTV 0007 through 0008. I'll provide you a copy of that.
26 Sorry. Here you go. On the second page, it goes through a period of years, 2005
27 through 2011. Again, you can see that it's leading to the yearly variance amount
28 that was reported of \$37,000. In 2006, it indicates that non-recorded
29 disbursements were \$75,576.19. What is your knowledge of those disbursements?

30 Rep. Duncan: I don't have knowledge of any of this, of any kind of bookkeeping errors. I relied
31 on the accountant and Dave's law firm handled that for us, and then resolved it to
32 the satisfaction of the FEC, and so I don't know all the elaborate little details.

33 Helen Eisner: Okay.

34 Jeff Brown: When you say resolved it to the satisfaction of FEC, what was the interaction with
35 the FEC that you recall?

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1 Rep. Duncan: Well, I think at some point Dave's firm told me that it had been resolved and the
2 FEC was not taking any action. That they didn't find anything improper. That they
3 weren't going to even fine us or anything else, so it didn't sound ... Apparently, in
4 my opinion, the FEC looked into in detail and they must've been satisfied. I've
5 heard of them and read about them fining people in other situations and so forth,
6 so I think it's pretty clear that nobody did anything wrong other maybe some
7 sloppy bookkeeping or something. I think they were satisfied that nothing crooked
8 went on about it.

9 Jeff Brown: Do you recall receiving copies of correspondence from the FEC on any of that or
10 were you made aware of these things through counsel?

11 Rep. Duncan: Well, no. I think I was first made aware of it ... It seems like I was first made
12 aware of it by Zane, but I don't remember specifics on it.

13 Jeff Brown: Well, I was more referring to the resolution of it. Do you remember seeing any
14 sort of correspondence from the FEC regarding the resolution of it?

15 Rep. Duncan: I may have, but I don't recall it at this time.

16 Helen Eisner: Just a question about an e-mail. This is RTV_0240 and I recognize that you are
17 not on this e-mail. This is an e-mail between Zane Duncan -- I'm sorry. Here you
18 go. -- and Jimmy Rodefer. You can see at the bottom there's an attachment,
19 RTVPACReport.PDF, which actually the PAC has provided us too as part of the
20 production. Zane says, "That looks good to me. I will show it to Dad and talk
21 about it and get back to you." Did Zane show you a copy of any report?

22 Rep. Duncan: I think he showed me something from the accountant that sounded pretty good to
23 me or something, but we turned it over to Dave's firm and I thought they did a
24 good job on it. I wasn't happy about it. I was unhappy about it, but I wasn't
25 particularly ... I didn't really fully understand why it happened, and I worried
26 about it until they ... At first, I was real upset, and then when they started getting
27 stuff from the accountant that made it sound like it was not anything all that bad,
28 and it seemed to me that in the end all we had done was just, like I say, cheated
29 our own campaign out of some money that we should've gotten. I'm going to have
30 to apologize, but I think in a few minutes-

31 Helen Eisner: Yes. We are wrapping up.

32 Rep. Duncan: I don't mind coming back.

33 Helen Eisner: I have about just a few questions. I don't think it'll be more than five minutes
34 really. Yeah, very close to the end here.

35 Rep. Duncan: I'll come back after the votes if you need me to, but ...

36 Helen Eisner: We hope to try to avoid that.

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1 Rep. Duncan: I'm always trying to be fanatical on not missing votes.

2 Helen Eisner: As far as this text, what role did you play in drafting or editing the text that was
3 ultimately provided to the FEC? That's the miscellaneous text document that was
4 filed-

5 Rep. Duncan: No role whatsoever.

6 Helen Eisner: Okay. When did you first learn that there was a variance or a bookkeeping error?

7 Rep. Duncan: Well, I don't remember. It would be whenever we got in touch with the
8 accountant and the lawyer.

9 [Phone rings]

10 Helen Eisner: Sorry.

11 Rep. Duncan: Hello.

12 Helen Eisner: How long prior to this filing was it that you got in touch with the accountant and
13 the lawyers for this issue?

14 Rep. Duncan: Well, it wouldn't have been long at all because I told them to go ahead and get in
15 touch with the ... I just told them to get it worked out.

16 Helen Eisner: Who did you tell to get it worked out?

17 Rep. Duncan: Well, I guess I told Zane because I think he was the first one who told me about it.

18 Helen Eisner: When you received our request for information, what was the process that you
19 undertook to identify documents that were responsive to our request?

20 Rep. Duncan: Well, I was down in Florida when it first came in, and so Bob told me he didn't
21 want to ruin my time in Florida, so he didn't tell me until I got back. As soon as I
22 got back I called you all.

23 Helen Eisner: Okay.

24 Rep. Duncan: I told you that I really felt very sincerely that we hadn't done anything wrong, but
25 I said this whole process was ... I wasn't criticizing you all because I understand
26 you have a job to do, but I told you that the process was distasteful and, obviously,
27 common sense will tell you that here I am at the end of a long career that's been a
28 pretty good career. It's sure a miserable thing to think of it ending in this way, but
29 anyway ...

30 Helen Eisner: Did you ask anyone from your congressional office to search congressional
31 records, office records, office e-mails for documents in response to our request?

32 Rep. Duncan: I didn't want most of the people that work for me to know that this was going on,
33 so I didn't ask anybody other than Bob. I've talked to Bob about it, but then we

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1 were paying Bob politically, so I didn't mind asking him occasionally to do
2 political work.

3 Helen Eisner: Has anyone conducted a search of congressional records from your official office
4 that might be responsive to our request for information?

5 Rep. Duncan: Well, if you've asked for something, I don't know. I think we've tried to give you
6 everything that you've asked for and I think we've tried to cooperate in every way
7 possible.

8 David Goch: Isn't the question more appropriate whether or not the Congressman believes that
9 Bob carried out the inquiry as directed to him?

10 Helen Eisner: Well, I guess I was trying to understand if there was anyone else who might've
11 been involved in that process or if it's his understanding that those records have
12 been searched.

13 Rep. Duncan: No. If I was supposed to have some employees search some records, then ... If I'm
14 supposed to do that I'll do it.

15 Helen Eisner: Okay. We just wanted to make sure that if there was any congressional
16 correspondence, official correspondence that would've been responsive that is
17 something that would've been a part of the production. Ultimately, we sort of
18 leave that in your hands to feel confident that you've provided us everything that
19 was asked for. We have received a lot of material.

20 Rep. Duncan: As far as I know, we've provided everything that you've asked for, and if there's
21 anything else we'll do whatever we need to, but I didn't want to go tell all my
22 employees I'm being investigated.

23 Helen Eisner: Sure. Final question, really. You've mentioned a few times learning recently from
24 counsel information. What other information have you learned about questions
25 that we've asked other interviewees or witnesses in this review, that we have not
26 covered up to this point?

27 David Goch: Excluding conversations?

28 Helen Eisner: Well, actually ... I'm sorry?

29 David Goch: Excluding conversations that he's had with counsel, other than to the extent that
30 he's offered that up. There's still an attorney-client privilege that's in place, so it-

31 Helen Eisner: Well, we can have that conversation offline. In fact, in a congressional
32 investigation attorney-client privilege is not something that we recognize, but we
33 do want to respect it. At the same time, if you have had conversations with
34 counsel, I think that would be appropriate.

35 Rep. Duncan: Well, I haven't had many conversations at all, even with Dave. The one I'm
36 closest to is Bob, and I didn't even discuss it with Bob. I didn't even discuss with

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1 my kids, other than what I told you about what I said to John. I didn't ask him
2 what he was asked. Trying to be completely honest with you, my wife and I
3 probably had a 15-minute conversation about it, and then we went on talking. We
4 talk several times a day.

5 Helen Eisner: Sure.

6 Rep. Duncan: But I guess I have a bad tendency of when something bad happens I try to ...
7 Instead of confronting I try to get ...

8 David Goch: Yes, sir.

9 Rep. Duncan: ... it out of the way.

10 Helen Eisner: Well, I think we are finishing.

11 Jeff Brown: I've got one more.

12 Helen Eisner: We'll just pause it.

13 Jeff Brown: Back on the record, just one final question, Congressman Duncan. Any of the
14 stuff we've talked about today, has any of those issues ever come up with the
15 Committee on Ethics?

16 Rep. Duncan: No.

17 Jeff Brown: Okay. That's it.

18 Helen Eisner: Great. Thank you for your time.

19

20

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ERRATA SHEET

Page	Line	Correction	Reason
4	18	MOST IS SUPPOSED TO BE WORST	
5	27	WHITNEY IS AFTER WORD LOVE	
11	22	EVENING SHOULD BE BODY	
13	8	WOODBRIDGE SHOULD BE WHITBRED - ALSO ON L. 14	
19	11	ALSO ON LINES 23 & 25 A LUNCHEON DINE - SHOULD BE ON ELECTION NIGHT	
24	14	EXECUTIVE SHOULD BE CONGRESSIONAL & NRCC IS NRCC	
25	34	IS SHOULD BE WAS	
31	16	KNOW SHOULD BE GO	
8	13	SHOULD HAVE BEEN A PAUSE AFTER ERIC CANTOR - THEN IT SHOULD HAVE THE WORD I - I HAD THE DINNER WITH TWO MEMBERS - NOT ERIC CANTOR.	

ALSO - ON HOLSTON HILLS, I PAID ALL DUES + FOOD FOR ME PERSONALLY,
BUT SOMETIMES PAID FOR FOOD OR FEES FOR CONSTITUENTS
OUT OF THE CAMPAIGN.

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: JOHN T. DUNCAN, JR

Witness Signature: 

Date: Nov. 24, 2017

EXHIBIT 8

Transcript of Interview of Duncan for Congress

Assistant Treasurer

November 1, 2017

Review No. 17-2646

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1 Helen Eisner: Okay. This is November 1, 2017. This is review 17-2646. Speaking is Helen
2 Eisner from the Office of Congressional Ethics. I'm joined by Paul Solis, also
3 from the Office of Congressional Ethics. We are joined Duncan for Congress
4 Assistant Treasurer ("Campaign Assist. Treasurer"). We have provided Campaign
5 Assist. Treasurer a copy of the false statements act and she has signed the
6 acknowledgement form. We have also let her know that the interview will be
7 recorded today and that she will receive a copy of the transcript of the recording.
8 Joining us by phone is Campaign Assist. Treasurer's counsel, Heidi Abegg.
9 Unless there are any other questions, we will go ahead and get started. Great, so
10 just some basic background questions. How are you currently employed?

11 Assist. Treas.: Currently with the campaign or on my other job?

12 Helen Eisner: In-

13 Assist. Treas.: Just the-

14 Helen Eisner: All the different forms of employment that you have.

15 Assist. Treas.: Well, I work for Congressman Duncan's campaign. I'm the Assistant Treasurer.
16 Then I also work for Capital Gymnastics. I'm the Office Manager and I'm in
17 training for the Assistant Director of Operations.

18 Helen Eisner: Okay. Just starting with Capital Gymnastics, how long have you held that
19 position?

20 Assist. Treas.: Two years.

21 Helen Eisner: Okay.

22 Assist. Treas.: Well, I mean, I obviously didn't start out with the training part, but I mean, I've
23 worked in the office the whole time. I've been there for two years.

24 Helen Eisner: Sure. Okay.

25 Assist. Treas.: Then I also teach some dance on the side.

26 Helen Eisner: Okay.

27 Assist. Treas.: Then I run some shows on the side too, some production, so I do a little bit of
28 everything.

29 Helen Eisner: Okay.

30 Assist. Treas.: I wear a few different hats.

31 Helen Eisner: Then the Assistant Treasurer role-

32 Assist. Treas.: Yes.

33 Helen Eisner: How long have you held that position?

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1 Assist. Treas.: On payroll, I started in 2011.

2 Helen Eisner: Okay.

3 Assist. Treas.: But I started training two years before that.

4 Helen Eisner: All right. Can you break that down for us? On payroll, what do you mean by that
5 for 2011?

6 Assist. Treas.: About two years before, so about 2009 I started doing data entry, and training,
7 and learning the system. I was assisting my mother who had done it forever. It
8 was just to kind of learn the system, and then just to kind of help her out some.
9 Then she ended up running for office in 2011. It was a special election, it came
10 out of nowhere, and it all came up ... She had three months to plan a whole
11 campaign, so she was like, "Can you do this? Can you take over?" Because I had
12 been trained, I ended up taking over for that. It wasn't something that had been
13 planned, it just kind of came about that way.

14 Helen Eisner: Okay. Your mother, what is her name?

15 Assist. Treas.: Her name is Becky Massey.

16 Helen Eisner: Okay.

17 Assist. Treas.: Senator Becky Massey.

18 Helen Eisner: What was her position with the campaign committee?

19 Assist. Treas.: She just did the reports. I don't know if she had an official title, but she filed the
20 reports since about ... Since when Congressman Duncan first ran. She was doing
21 the accounting for her father who had the office before him, so she had been
22 doing it forever.

23 Helen Eisner: Okay. From 2009 approximately until 2011, the work that you were doing, the
24 training, that was a volunteer position? Okay, so there was no ... You were not
25 paid for that?

26 Assist. Treas.: Correct.

27 Helen Eisner: Correct, okay. Then if you could sort of walk us through from 2011 on, how did
28 your responsibilities sort of evolve? What was the process of ... Were you
29 immediately Assistant Treasurer? If you could sort of explain that to us.

30 Assist. Treas.: Pretty much, yes. I mean, they had a meeting with ... Then that was decided that I
31 was going to take over doing the reports. I still had her as counsel to ... On my
32 side, to pretty much help ... I was doing it full-time, but she was pretty much
33 helping direct me if I had questions. Then she would check my work, check to
34 make sure there was no errors. She kind of helped for probably about a year just
35 to really help ... Actually, sometimes I still call her and ask her questions if there's

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1 something that I question about if this is ... Something that's different that I don't
2 normally see. Have you seen this before? What would you do in the past? I get
3 her advice sometimes.

4 Helen Eisner: Who else did you work with in 2011 besides your mother on campaign related
5 finances as you sort of explained?

6 Assist. Treas.: Well, I ended up also taking over ... Hers is at state level, but I ended up helping
7 run her campaign, as well as doing her books.

8 Helen Eisner: Okay. What about for the campaign committee Duncan for Congress?

9 Assist. Treas.: Yes.

10 Helen Eisner: Was there anyone else you worked with?

11 Assist. Treas.: Like direct, like ...

12 Helen Eisner: Other employees on payroll who you worked with.

13 Assist. Treas.: I worked with Jason, who was the regular ... Jason Brown who is the Treasurer.
14 We communicate monthly.

15 Helen Eisner: Okay. Was that in 2011 that you started working with him, or when did you?

16 Assist. Treas.: Yes, yes. Actually, I'm pretty sure that's when he started, because there wasn't ...
17 I'm pretty sure that's around the time he started, but I don't know the exact date he
18 started.

19 Helen Eisner: All right. Going back to that sort of point in time in 2011 when you came onto the
20 payroll, what was the hiring process for you to come onto the payroll? Who made
21 that decision?

22 Assist. Treas.: The Chief of Staff, Bob Griffiths I know was involved with that.

23 Helen Eisner: Okay. What was his involvement?

24 Assist. Treas.: I mean, he was the one that made the final decision.

25 Helen Eisner: Okay. What conversations did you have with Mr. Griffiths about the terms of your
26 employment as far as what ... Was there a contract?

27 Assist. Treas.: There was not a contract.

28 Helen Eisner: Okay. What-

29 Assist. Treas.: It was all verbal. Just that I was going to take over doing the reports and then I
30 was also going to add to and do the thank you notes for any donations. Then it
31 was pretty informal. It was just kind of ... I was already doing the stuff to some
32 degree, it was just now it was going to be officially mine.

33 Helen Eisner: Okay. What are the thank you notes?

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1 Assist. Treas.: Anytime a campaign receives a donation, we send thank you notes to the donors.

2 Paul Solis: By donation, you mean, excuse me, a contribution?

3 Assist. Treas.: I'm sorry, a contribution, yes.

4 Paul Solis: Okay.

5 Helen Eisner: Okay. What about in these verbal conversations about your employment? What
6 requirements were put on you as an employee? What were you required to do?

7 Assist. Treas.: Basically what I ... I was required to do, to submit the FEC reports and to do the
8 thank you notes. That was pretty much all that was required of me.

9 Helen Eisner: What about requirements as far as hours of work, or keeping track of your hours?

10 Assist. Treas.: It was always seen as the actual report that was done, versus, hours, because every
11 report requires different amounts of time. Because, I mean, obviously during a
12 campaign year you've got a lot more activity, so it was never addressed how many
13 hours I would ... It wasn't an hourly thing. It was actually like, "This is a report.
14 Your ... " I mean, so they just decided to spread it out over a year, versus, paying
15 for each individual report.

16 Helen Eisner: Okay. Can you sort of break that down for us as far as when it's a campaign or not
17 a campaign year, what's typical as far as the number of hours per month that you
18 work?

19 Assist. Treas.: Again, it really just varies. Obviously, right when a report is due, you end up
20 doing a lot more work because you've got ... You finally have all of the
21 information. During a campaign year you have six reports that are due, and so I
22 know that right before the report's due I usually ... I do work every month, but
23 right when a report's due I probably sit down and spend three full days on it, on
24 the finished work.

25 Helen Eisner: Okay. Just a few more background questions. Besides your role as Assistant
26 Treasurer and what you've explained to us about reporting responsibilities, what
27 other work do you do for the campaign?

28 Assist. Treas.: That's it.

29 Helen Eisner: That's it. What about involvement in policy or substantive issues?

30 Assist. Treas.: I'm not involved with any of that.

31 Helen Eisner: Okay. Does the campaign have a post office box?

32 Assist. Treas.: Yes.

33 Helen Eisner: Okay. Where is that?

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- 1 Assist. Treas.: I've never been to that post office box, so I can't ... I mean, I know that they have
2 one, because we pay for it, but I don't know actually where it is.
- 3 Helen Eisner: Just generally do you know if it's-
- 4 Assist. Treas.: I'm assuming ... If I have to guess, I'm assuming it's at the main post office in
5 Knoxville-
- 6 Helen Eisner: Okay.
- 7 Assist. Treas.: On Weisgarber.
- 8 Helen Eisner: That's fine. It's perfectly okay-
- 9 Assist. Treas.: Right.
- 10 Helen Eisner: Not to know and-
- 11 Assist. Treas.: Yeah, because I've just never been.
- 12 Helen Eisner: Assume, or let us know where you think it might be. Who checks that post office
13 box?
- 14 Assist. Treas.: From my understanding, Bob Griffiths and/or Jason Brown, who is the Treasurer.
15 I don't ... Again, I've never been with them, so I ... I know that Jason does check
16 it, but I don't ... I'm assuming Bob does too, but I don't know. I can't prove that.
- 17 Helen Eisner: Okay. When you receive mail from the campaign, how do you receive it?
- 18 Assist. Treas.: Now that I live in Austin, I get it in the mail. They send it in the mail to me and I
19 get packages once a month.
- 20 Helen Eisner: Who sends those packages?
- 21 Assist. Treas.: Jason Brown.
- 22 Helen Eisner: Okay.
- 23 Assist. Treas.: Before I moved here two years ago, I would just go to the office and pick it up.
- 24 Helen Eisner: Okay. What office is that?
- 25 Assist. Treas.: I would go pick it up from Jason Brown.
- 26 Helen Eisner: Okay. Where is his office?
- 27 Assist. Treas.: I'm trying to think of the name of the street. I can picture where it is. It's off of
28 Northshore. I don't know the name of the street off the top of my head.
- 29 Helen Eisner: Okay. Is that his personal office?
- 30 Assist. Treas.: Yes.

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- 1 Helen Eisner: His personal office for campaign work, or-
- 2 Assist. Treas.: No, for his other job.
- 3 Helen Eisner: Okay. Do you know what his other job is?
- 4 Assist. Treas.: It's real estate.
- 5 Helen Eisner: Okay. Is that Schaad Brown?
- 6 Assist. Treas.: Yes.
- 7 Helen Eisner: Okay. You said that you get a package in the mail about once a month. What is in
- 8 that package when you receive it?
- 9 Assist. Treas.: In the package is all of the contributions that were received that month and then
- 10 all of the expenses that were written that month, as well as just any bank
- 11 statements. Usually my monthly check is in there. But pretty much it's all of the
- 12 information that I need to submit the reports.
- 13 Helen Eisner: Okay.
- 14 Assist. Treas.: Which is the disbursements and the receipts, all of that that's needed.
- 15 Helen Eisner: Okay. That comes from Jason, who would collect that information.
- 16 Assist. Treas.: Correct.
- 17 Helen Eisner: Jason is the one.
- 18 Assist. Treas.: Yes.
- 19 Helen Eisner: Okay. Just so I can understand, piecing this all together, it comes to you and then
- 20 you are responsible for putting together the FEC reports.
- 21 Assist. Treas.: Correct.
- 22 Helen Eisner: Okay. Who else works in that process of putting together the FEC reports?
- 23 Assist. Treas.: It's just me.
- 24 Helen Eisner: Okay. Does anyone review the reports once you put them together?
- 25 Assist. Treas.: Hm-mm (negative).
- 26 Helen Eisner: Okay. What about Jason? Does he have any role in filing the reports themselves?
- 27 No.
- 28 Assist. Treas.: No.
- 29 Helen Eisner: Okay. What about the Congressman, Congressman Duncan? Does he play a role?
- 30 Okay. Sorry, when you nod your head, just for the recording.

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- 1 Assist. Treas.: Oh, I'm sorry. No.
- 2 Helen Eisner: Okay. You had mentioned your mother, Becky Massey. What role does she play
3 in reviewing the reports?
- 4 Assist. Treas.: At this point, just if I have specific questions.
- 5 Helen Eisner: Okay.
- 6 Assist. Treas.: That first year when I was doing it on my own, I would make sure that she would
7 check everything just to clarify that I did everything right.
- 8 Helen Eisner: Okay. If you can go through generally for us the process of accounting for
9 campaign expenses and disbursements. I know you've talked to us about
10 information that's sent to you eventually, but what is the campaign's overall
11 process for accounting for disbursements?
- 12 Assist. Treas.: Can you ask the question again?
- 13 Helen Eisner: Sure. You were talking about receiving statements and information that you need
14 as far as putting together the FEC reports. If you can explain to us, generally,
15 what the campaign's process is for accounting for disbursements and expenses.
- 16 Assist. Treas.: Basically, when charges come through, or bills of any sort, Bob and Jason
17 basically are the first line of that. They look at the charges and Bob agrees if it's
18 an expense that can go out and the Treasurer then would write the checks for
19 those expenditures. They're the ones that approve all of the charges and all of the
20 expenditures.
- 21 Helen Eisner: How do they receive information about the charges or expenditures?
- 22 Assist. Treas.: Most things come through the P.O. box.
- 23 Helen Eisner: Break that down for me a little bit more. What are the things that would come
24 through the P.O. box? What types of information about expenditures?
- 25 Assist. Treas.: If there was a bill, if there was a campaign year and they needed campaign t-
26 shirts, the bill would come or printing or any of that nature would come. The bill
27 would be sent to the P.O. box and then they'd pick that up and approve if that was
28 an expense that was okay. At that point, Jason again would write the check and
29 send it off.
- 30 Helen Eisner: Okay. How do you know, once you receive the information, whether or not an
31 expenditure was given? Heidi, we just want to make sure you're still with us here.
32 We had a little bit of interference.
- 33 Assist. Treas.: Static. Yeah.
- 34 Helen Eisner: Did we lose you? Okay. Let's pause the recording.

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- 1 Paul Solis: We'll pause the recording.
- 2 Helen Eisner: Okay, this is Helen Eisner back on the record here with Campaign Assist.
3 Treasurer. The call had dropped for a moment, but we do have Ms. Abegg back
4 on the phone with us. We'll go back into the interview. We were asking you about
5 the process for accounting for different expenditures and I think I was asking you
6 how you know if you've received approval for any particular expenditure.
- 7 Assist. Treas.: It's been approved if it's gone through Jason. If it get sent to me, it was approved.
8 On certain things, it does have Bob's initials, but obviously there's a few things
9 that a check was written and it's a normal expense and it doesn't have his initials
10 on it because it's a monthly thing that we always pay.
- 11 Helen Eisner: Right.
- 12 Assist. Treas.: But obviously all of the other ones, for the most part, have his initials on them.
13 But if it's gone through Jason, it's been sent out, it's been through that approval
14 process.
- 15 Helen Eisner: Okay. Besides Mr. Griffiths and Jason Brown, is there anyone else who would be
16 involved in that process? Are those the only two individuals?
- 17 Assist. Treas.: Not to my knowledge. I don't ever go into the office so I don't know what is done
18 there. I don't know specifically.
- 19 Helen Eisner: What about Mr. Griffiths? When you say "go into the office," is he in the office
20 working with Mr. Brown?
- 21 Assist. Treas.: No, Jimmy's office in Knoxville, or in DC if they're ever- I'm not a part of those
22 conversations, is my point.
- 23 Helen Eisner: Understood. When you say "Jimmy's office in Knoxville," is that where Mr.
24 Griffiths works in the Knoxville district office or is that another office?
- 25 Assist. Treas.: Again, I really don't know where specifically his office is because I don't ever go
26 to the office.
- 27 Helen Eisner: Understood.
- 28 Assist. Treas.: I don't want to speculate.
- 29 Paul Solis: Do you know if he has an office?
- 30 Assist. Treas.: I don't know.
- 31 Paul Solis: If you had to-
- 32 Assist. Treas.: If I have to guess, he works in Jimmy's office in Knoxville.
- 33 Paul Solis: When you have to communicate with him in some way, make a phone call, how
34 do you do that?

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- 1 Assist. Treas.: Just through phone. Actually, I take that back. I used to take thank you notes out
2 to Jimmy's office and Bob was there. That would be Bob's office is. Sorry.
3 Process of elimination.
- 4 Paul Solis: Again, to just reiterate Helen's question, you say Jimmy's office. Is that the
5 Knoxville Congressional District office?
- 6 Assist. Treas.: Yes.
- 7 Helen Eisner: Okay. We've gone through the approval process, but who is authorized to make
8 disbursements? Besides the approval process, who can write checks or make any
9 other payments on behalf of the campaign?
- 10 Assist. Treas.: From my knowledge, I know Jimmy is and Bob and Jason. I think once or twice
11 in the past, I have received something that Lynn has written, his wife.
- 12 Helen Eisner: Okay.
- 13 Paul Solis: Is that the Congressman's wife?
- 14 Assist. Treas.: Yes.
- 15 Helen Eisner: Does the campaign have a bank account?
- 16 Assist. Treas.: Yes.
- 17 Helen Eisner: Okay. Just what institution is that at? Do you know the bank?
- 18 Assist. Treas.: BB&T.
- 19 Helen Eisner: Okay. Who can make withdrawals from that account?
- 20 Assist. Treas.: I don't know.
- 21 Helen Eisner: Okay. But when you've seen checks written, it's the individuals that you just
22 named?
- 23 Assist. Treas.: Yes.
- 24 Helen Eisner: Okay. Can you make withdrawals from the bank account?
- 25 Assist. Treas.: I don't think so.
- 26 Helen Eisner: Okay. What about credit cards? What credit cards does the campaign have?
- 27 Assist. Treas.: American Express.
- 28 Helen Eisner: Whose name is that in?
- 29 Assist. Treas.: Congressman Duncan.
- 30 Helen Eisner: Is that just one account or are there multiple credit card accounts?

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- 1 Assist. Treas.: There are two cards on that account.
- 2 Helen Eisner: Who are the two ... What are the two cards?
- 3 Assist. Treas.: Do you mean the numbers or-
- 4 Helen Eisner: No, not the numbers. Sorry. That's okay. We don't need to know that.
5 Specifically, there are two cards. Why are there two cards?
- 6 Assist. Treas.: There's one that Jimmy uses and there's one that his son uses.
- 7 Helen Eisner: When you say his son, which son?
- 8 Assist. Treas.: John Duncan.
- 9 Helen Eisner: Okay. Those are the only two individuals who can use the cards, the Congressman
10 and his son, John Duncan. Okay.
- 11 When does John Duncan use the card?
- 12 Assist. Treas.: When it's campaign related. For example, he has ... I'm trying to think off the top
13 of my head what would be some ... I mean whenever it's campaign related, he
14 uses the card.
- 15 Helen Eisner: Okay.
- 16 Paul Solis: How do you know that?
- 17 Assist. Treas.: I do call and check and see. I get explanations of what things are for.
- 18 Helen Eisner: You call John Duncan to check?
- 19 Assist. Treas.: Mm-hmm (affirmative).
- 20 Helen Eisner: What is that process. Just explain to us. Is this monthly you have a phone
21 conversation with him or how does that work?
- 22 Assist. Treas.: Mm-hmm (affirmative).
- 23 Helen Eisner: Yes.
- 24 Assist. Treas.: Actually sometimes it's just text. I'll say, "What are these charges for? I need
25 explanations for."
- 26 Helen Eisner: How long has he had a credit card for the campaign?
- 27 Assist. Treas.: Off the top of my head, I do not know. I honestly have no idea.
- 28 Paul Solis: How long have you been checking with him, sending texts or communicating
29 with him about charges? How many years back would you say?
- 30 Assist. Treas.: When he started working for the campaign, I know for sure ... I honestly don't
31 know an exact date.

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- 1 Paul Solis: Have you been doing this for the past two years, three years?
- 2 Assist. Treas.: Two years for sure, yes.
- 3 Helen Eisner: You said phone conversation –
- 4 Assist. Treas.: Three years, yes. Again, I'm a very specific person. I'd like to give you specific
5 dates, I just on the top of my head, don't know.
- 6 Paul Solis: That's okay.
- 7 Helen Eisner: We only want you to tell us what you know. I understand that.
- 8 You said that you have phone conversations. Is it always by phone? How do you
9 communicate with him about those specific charges?
- 10 Assist. Treas.: Either phone or by text.
- 11 Helen Eisner: I'm actually going to show you the first document here, going to be showing you
12 more documents. I'm going to read what's called the bates number. It's just so we
13 can track what document we provided you for the transcript. This AMEX_1119-
14 1128. I'll give you a copy of it. You can feel free to take as detailed a look as you
15 want, but I'm going to sort of direct your attention towards a very straight forward
16 question and I will let Heidi know that the closing date on this is August 23, 2017.
17 That's the statement that we're looking at. It's a 10 page document.
- 18 You had mentioned that there were two separate cards. I am happy to give you a
19 moment to look it over if you'd like that.
- 20 On page 3 of the statement which is AMEX_1121, I see there it says under New
21 Charges, there are two accounts listed. One for John J. Duncan and one for John J.
22 Duncan, Jr. Are those the two accounts that you were referring to?
- 23 Assist. Treas.: Yes, ma'am.
- 24 Helen Eisner: Which one is the one for the Congressman and which one is the one for his son,
25 John Duncan?
- 26 Assist. Treas.: The Congressman would be John J. Duncan and his son would be John J. Duncan,
27 Jr.
- 28 Helen Eisner: Okay. I've usually seen him referred to as John –
- 29 Assist. Treas.: That's interesting because his son is really the third, John J. Duncan, III.
- 30 Paul Solis: Do those numbers next to the names give you any indication to specify what card
31 or what account with what individual? I realize it's difficult to probably remember
32 but if those numbers today mean anything to you?
- 33 Assist. Treas.: They don't mean anything to me. I've always known that the first ones are the
34 Congressman's and the second one is for his son.

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- 1 Helen Eisner: I think that's actually the only question we have about this document.
- 2 Assist. Treas.: Okay.
- 3 Helen Eisner: I guess I'll mention that the statement, as you had said earlier, is in, the account is
4 in the name of the Congressman. You can see from the first page it says John J.
5 Duncan. That's AMEX_1119. That's the Congressman as far as the first page of
6 the statement and just the overall account appears to be in the name-
- 7 Assist. Treas.: Oh, at the top?
- 8 Helen Eisner: ... of John J. Duncan. Is that the Congressman?
- 9 Assist. Treas.: Yes.
- 10 Helen Eisner: Okay. Does the Congressman see these statements?
- 11 Assist. Treas.: I am not sure.
- 12 Helen Eisner: Okay.
- 13 Assist. Treas.: I'm unaware if he does or don't.
- 14 Helen Eisner: You mentioned that one of the accounts, the credit is his account, when he makes
15 expenditures, does he provide any information about those expenditures?
- 16 Assist. Treas.: If I have questions, I call Bob.
- 17 Helen Eisner: Bob? Okay. Another document-
- 18 Assist. Treas.: Then, if he has questions, he asks the Congressman.
- 19 Helen Eisner: You would ask Bob and then Bob Griffitts would ask the Congressman directly?
- 20 Assist. Treas.: Yes.
- 21 Helen Eisner: How often does that happen that there are questions?
- 22 Assist. Treas.: Every report.
- 23 Helen Eisner: Every report, okay. What about Jason Brown? What role does he play when there
24 are questions about the American Express transactions?
- 25 Assist. Treas.: I am unaware of that. That's one thing that Bob Griffitts usually initials and Jason
26 pays.
- 27 Helen Eisner: Okay.
- 28 Assist. Treas.: Then I know the rules and regulations more than Jason does, so I'm the one that
29 brings up the questions if there's a questionable charge.
- 30 Helen Eisner: I'm going to show you another document which is DFC_0453 through 0460.
31 Here's the copy of it. Again, a fairly discreet question but take as much time as

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1 you want to look it over. This is American Express statement with a closing date
2 of August 23, 2013.

3 Assist. Treas.: Okay.

4 Helen Eisner: On the first page of the packet here, of the statement, I see it says, and this is
5 handwritten, "Okay per JJD". Who is JJD?

6 Assist. Treas.: Congressman Duncan.

7 Helen Eisner: Who would have written that notation?

8 Assist. Treas.: Bob Griffitts.

9 Helen Eisner: Is that sort of the process you were explaining that Bob Griffitts-

10 Assist. Treas.: Yes.

11 Helen Eisner: Bob Griffitts would have written this after a conversation with the Congressman
12 or some type of discussion with him? Don't want to-

13 Assist. Treas.: I don't know 100%.

14 Helen Eisner: Okay.

15 Assist. Treas.: But that would be my understanding.

16 Paul Solis: Is this something you typically see, a handwritten notation from Bob with an
17 indicator like that, "Okay per JJD"? Is this something that you would commonly
18 see?

19 Assist. Treas.: I would see it some.

20 Paul Solis: Not all statements would have that notation?

21 Assist. Treas.: Sometimes it would just have his initials on it.

22 Paul Solis: Whose initials?

23 Assist. Treas.: Bob Griffitts' initials.

24 Paul Solis: Would every statement have some notation by Bob, either with his initials or like
25 this document with "Okay per JJD"?

26 Assist. Treas.: Every credit card statement or just every bill in general?

27 Paul Solis: Either, so both.

28 Assist. Treas.: No.

29 Paul Solis: The first question is the credit card statements, would they all have a notation on
30 them?

- 1 Assist. Treas.: Most times, but not always?
- 2 Paul Solis: Other bills?
- 3 Assist. Treas.: Again, most times but not ... I mean sometimes but not always. Again, if it was a
4 normal monthly bill, obviously, like that it's been going on for years, sometimes I
5 would not see an initial on it. Sometimes I would but I can't say 100% every time.
- 6 Helen Eisner: Okay. This document that we were showing you, starting with DFC_0453, when
7 it says "Okay per JJD" on the front, what does that mean?
- 8 Assist. Treas.: I take that as it's been approved. For example, this specifically has "JJD to pay"
9 because it ended up being a personal expense, and so he actually paid out of his
10 personal pocket for at least those two right there. He paid for those, so when we
11 paid the bill, the campaign didn't pay the full amount.
- 12 Helen Eisner: Okay. And what you're referring to is DFC_0456, that's page four of the
13 statement? Is that what you're looking at, the handwritten notes on that page?
- 14 Assist. Treas.: Yes.
- 15 Helen Eisner: Okay.
- 16 Assist. Treas.: The bottom two where it says "JJD to pay."
- 17 Helen Eisner: Okay. Just so I understand overall, so you see the statement that says "Okay per
18 JJD" and sometimes there are handwritten notes on the inside and that informs
19 you of how to treat certain transactions?
- 20 Assist. Treas.: Yes.
- 21 Helen Eisner: Okay.
- 22 Assist. Treas.: Like I mentioned, every report, I'm communicating with the campaign, with Bob
23 and with Jason. But that's just to try to help clear up up-front what it's been
24 approved for or what the expense is for.
- 25 Helen Eisner: Okay. You had mentioned earlier, you're the one who really knows the FEC rules
26 and the process. When it comes to American Express statements, what is it that
27 you're looking for as far as the FEC rules?
- 28 Assist. Treas.: Can I add something from earlier?
- 29 Helen Eisner: Absolutely.
- 30 Assist. Treas.: Even though I was trained by my mother, I also have gone to FEC training
31 sessions as well to help further my knowledge. I didn't say that in my background
32 earlier.
- 33 Helen Eisner: Oh, okay. Sure.

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- 1 Assist. Treas.: Basically, just all of the rules and regulations, seeing if an expense is actually
2 campaign-related-
- 3 Helen Eisner: Okay.
- 4 Assist. Treas.: -versus personal-related.
- 5 Helen Eisner: When would you itemize a particular expenditure here, on the FEC report?
- 6 Assist. Treas.: If in FEC file, F-E-C File, I'm reporting, you actually can ... If it's a credit card
7 statement, you can split it and then it automatically itemizes things that are over
8 \$200 on the report, but you can enter each thing individually. The program
9 actually does that for you, so I list every item. I think it's better to list it than to it
10 actually not be listed. I mean, if it wasn't to meet that \$200 threshold.
- 11 Helen Eisner: Okay. I think we're done with that document actually-
- 12 Paul Solis: Heidi –
- 13 Helen Eisner: -unless you had another question?
- 14 Paul Solis: Heidi, are you still there?
- 15 Heidi Abegg: I'm here.
- 16 Paul Solis: Okay.
- 17 Helen Eisner: Okay. The next document I'm going to show you is JD 0018 through 0019. I will
18 give you a chance to look this over. This is an email chain, mostly on October 6th,
19 2014. The email addresses involved are from John Duncan. Can you confirm to us
20 whether that is the Congressman's son John Duncan or that would be the
21 Congressman himself?
- 22 Assist. Treas.: That would be the Congressman's son.
- 23 Helen Eisner: Okay. And then is that your email address that's for Campaign Assist. Treasurer?
- 24 Assist. Treas.: Yes.
- 25 Helen Eisner: Okay. Okay, and just let me know whenever you're ...
- 26 Assist. Treas.: I'm ready.
- 27 Helen Eisner: Okay. The communication sort of at the bottom, it runs over to the second page of
28 this, so on to 0019, is a discussion of certain credit card expenses, transactions.
- 29 Assist. Treas.: Yes.
- 30 Helen Eisner: You say, "I am entering data for the FEC report and I'm working on the credit
31 card statement itemization for the card that you have. Either I need you to send
32 me copies of the receipts so I can figure out the correct itemizations of each
33 purchase, or I need you to explain each purchase so I can list the required

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- 1 descriptions." I think you were talking about this a little bit before, but how often
2 is the process that you would have John Duncan sort of explain to you the purpose
3 of the transaction versus receiving receipts?
- 4 Assist. Treas.: Well, most things, I receive receipts for. With ... Sorry, I was trying to read what
5 that said at the bottom. And then some things are turned in to Jason report ... I
6 mean receipt-wise. With it being on the ... Excuse me, I'm ... With it being on the
7 credit card receipt, explanations also are ... They're also the same thing, or the
8 receipt is the same thing.
- 9 Helen Eisner: Can you sort of explain –
- 10 Assist. Treas.: I'm sorry, I trying to figure out how to word it.
- 11 Helen Eisner: Yeah. I mean, maybe I can reword my question.
- 12 Assist. Treas.: Okay.
- 13 Helen Eisner: I think the discussion that you're having here, and correct me if I'm wrong, with
14 John Duncan, is either let me know what these transactions were or-
- 15 Assist. Treas.: Correct.
- 16 Helen Eisner: -provide the receipts. I guess my question is how often is the process that you
17 receive receipts versus receiving explanations? Which is more typical as far as
18 understanding the transactions?
- 19 Assist. Treas.: More typical is explanation.
- 20 Helen Eisner: Okay. Is that just with John Duncan or with the Congressman as well?
- 21 Assist. Treas.: Are you referring just to the credit card –
- 22 Helen Eisner: Just to the credit card.
- 23 Assist. Treas.: It's more explanation.
- 24 Helen Eisner: Okay. How often do you see receipts?
- 25 Assist. Treas.: I receive receipts in all packages.
- 26 Helen Eisner: Okay.
- 27 Assist. Treas.: Are you still referring to this-
- 28 Helen Eisner: Still to the credit card and just receipts-
- 29 Assist. Treas.: Receipts –
- 30 Helen Eisner: -related to the credit card transactions, I should clarify. How often do you receive
31 receipts related to the credit card transactions?
- 32 Assist. Treas.: I receive some but not all.

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- 1 Helen Eisner: Okay.
- 2 Assist. Treas.: Actually, I don't receive very many receipts when it comes to the credit card.
- 3 Helen Eisner: Okay. So-
- 4 Assist. Treas.: How often, I don't ... I mean, every month, I get receipts. But what I'm saying is ...
5 Are you asking percentage-wise or-
- 6 Helen Eisner: Give us a percentage, just generally. Are you saying most of the time, you receive
7 an explanation?
- 8 Assist. Treas.: Pretty much, yes.
- 9 Helen Eisner: Okay. Is there any type of policy in the campaign itself about people keeping
10 receipts for credit card transactions?
- 11 Assist. Treas.: I'm not aware of the exact ... I mean, they try to get receipts for everything. It's ...
12 I'm not really sure how to answer that question.
- 13 Helen Eisner: If there's no policy or no requirement to keep receipts, that's fine if that's the
14 answer.
- 15 Assist. Treas.: I mean, obviously, we ask for as many receipts as possible.
- 16 Helen Eisner: Okay.
- 17 Paul Solis: I mean, has it ever been explained to you that there is a policy about that, that Bob
18 or Jason or the Congressman has explained to you sort of an informal rule about it
19 or something like that?
- 20 Assist. Treas.: From Bob's words, all receipts are supposed to be turned in to Jason.
- 21 Helen Eisner: Okay. Bob's words to you or to anyone else?
- 22 Assist. Treas.: In conversations, any time that I've brought up reminding I need receipts, he's said
23 that everybody is supposed to turn in receipts to Jason.
- 24 Helen Eisner: Okay. Who's the everybody in that situation?
- 25 Assist. Treas.: Well, I mean, Jimmy and Bob and John.
- 26 Helen Eisner: Okay. And then how often do they turn in all receipts to Jason?
- 27 Assist. Treas.: I don't know that exact answer.
- 28 Helen Eisner: Okay. Just an approximate ... I realize you don't know the exact answer, but
29 would you say most of the time or most of the time, they do not turn in all
30 receipts?
- 31 Assist. Treas.: I don't-
- 32 Helen Eisner: Okay.

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- 1 Assist. Treas.: I have not been to Jason's office, inside to see his files.
- 2 Paul Solis: But you receive this package from Jason, right?
- 3 Assist. Treas.: Correct.
- 4 Paul Solis: In it, are there receipts?
- 5 Assist. Treas.: There are some.
- 6 Paul Solis: There are some, but not all corresponding to the credit card statements?
- 7 Assist. Treas.: Correct.
- 8 Paul Solis: And then, do you ever make requests to him?
- 9 Assist. Treas.: Yes.
- 10 Paul Solis: Okay and does he then provide you with more receipts, or sometimes no ... how
11 does that work?
- 12 Assist. Treas.: Sometimes I get more ... it's my understanding that there aren't receipts for all of
13 these. And it was my understanding that this counts as a receipt if there's an
14 explanation.
- 15 Helen Eisner: And then, if there's an explanation, are you referring to the type of conversation
16 that you had in this email with John Duncan?
- 17 Assist. Treas.: Yes, for any of the expenses that I have questions about.
- 18 Helen Eisner: Okay. Once you received the statements out of the monthly packet, what happens
19 to those documents after you've completed the FEC reporting process, what do
20 you do with them?
- 21 Assist. Treas.: I have them filed.
- 22 Helen Eisner: Okay, and where are they filed?
- 23 Assist. Treas.: The last two years are filed at my current residence. And then the ones above that
24 are in storage ... the older ones, so they're kept ... honestly, I think we have them
25 probably back like 10 years.
- 26 Helen Eisner: Okay.
- 27 Assist. Treas.: But Jason keeps records, has copies, and I have copies. So we both have copies of
28 all of these ... or all of the records.
- 29 Helen Eisner: And for the last two years that you are maintaining, when you do receive receipts,
30 do you ... to those receipts get placed into those records, as well?
- 31 Assist. Treas.: Mm-hmm (affirmative).
- 32 Helen Eisner: You do? Okay.

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1 Assist. Treas.: I have binders with all of the documents that I have received, or that I have
2 received for these reports.

3 Helen Eisner: I'm sure that's a lot of binders. Okay. And then, again, sort of an FEC-specific
4 type of question, how do you determine the purpose statement to put into the FEC
5 report, once you've looked at the American Express credit card transaction?

6 Assist. Treas.: You mean, the description?

7 Helen Eisner: Yes.

8 Assist. Treas.: We were told that you ... the more information that you can provide, the better.
9 And obviously, if it's a basic expense, but if it's a fundraising expense, you know,
10 we try to add what specific fundraiser expense, like you know, supplies or what
11 not. So basically, just trying to be as clear as possible what the expenses were for.

12 Helen Eisner: Okay. If you have questions about that, as far as the description, who do you ask,
13 as far as what to put into the description section of the FEC report?

14 Assist. Treas.: I mean, they have a help line, you can call and ask questions.

15 Helen Eisner: Okay.

16 Assist. Treas.: And I've also studied other people's reports, and nope, not like certain expenses
17 are not as ... they're not uniform ... like you know, some people might say it one
18 way, somebody might say it another way, but they still explain the same thing.
19 And the whole point of ... that I remember learning was that, the FEC is set up to,
20 for ... so that the general public could look in and see what those ... that money
21 was spent for. And if you are clear of what that expense was for, then the general
22 public can see you know, what that money was spent for.

23 Helen Eisner: If you do have a question, besides the reference to the FEC materials as far as
24 how to describe it, but a question about a particular expenditure and how to
25 describe it in the FEC report, what conversations have you had with Bob Griffiths
26 or Jason Brown about how to describe an expenditure in the FEC report?

27 Assist. Treas.: It doesn't happen much ... so I mean, I've asked their opinion, and most times
28 they ... that I've asked Bob that opinion, he's referred me back to the person that
29 trained me, just because ... we're more in ... we study that more, than he does-

30 Helen Eisner: Okay.

31 Assist. Treas.: ... the actual definition of what that ... But, again I mean, if I'm clear about what
32 the money goes towards, that's the whole point, is being ... transparent. I mean,
33 the point is to try to be honest and transparent of what that money went towards.

34 Helen Eisner: If you could help us understand, just kind of narrowly, what the process is when
35 you receive travel-related expenditures. How is that any different? What's the
36 process for approving travel-related expenditures by the campaign committee?

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- 1 Assist. Treas.: If it's a travel-related expense, I contact Bob Griffiths, or I am ... to see what that
2 expense was for, specifically ... and ask him how it's campaign-related.
- 3 Helen Eisner: Okay. And is it just Bob Griffiths, or is anyone else involved when it's travel-
4 related?
- 5 Assist. Treas.: If it was on John's bill, I would ask him what that specific one was for, because he
6 knows what that would be for.
- 7 Helen Eisner: And then, once you ask John, do you then ask Bob, as well, about an expenditure
8 that was on John Duncan's credit card statement, or the portion of the credit card
9 statement for his account, or do you just refer-
- 10 Assist. Treas.: I have ... not every time. There's ... again, this goes through Bob to get approved,
11 and there's a few of them that are yearly, that it's the same thing he's going to
12 that's campaign-related, and it's been approved before. So, I do ask but not every
13 single time.
- 14 Helen Eisner: Okay. And is there any type of advance permission required for travel
15 expenditures?
- 16 Assist. Treas.: Advance, what do you mean?
- 17 Helen Eisner: In advance getting any type of permission before that type of expenditure is made.
- 18 Assist. Treas.: I don't know the answer.
- 19 Helen Eisner: Okay.
- 20 Assist. Treas.: I would assume, just because they talk about everything, I would assume, but I am
21 not in that conversation so I don't know.
- 22 Helen Eisner: Okay.
- 23 As far as a travel, are there any other differences in the process that you go about, as
24 far as looking at expenditures, and reporting them to the FEC, is there anything
25 different about travel?
- 26 Assist. Treas.: Just that I ask that, if it's campaign-related, I mean any expense has to be
27 campaign-related.
- 28 Helen Eisner: Okay, and what about ... sorry go ahead.
- 29 Assist. Treas.: Oh, I don't know how's ... that's all I do, I mean, that's what you weigh everything
30 by.
- 31 Helen Eisner: And what about as far as records, receipts, invoices, are there any different
32 requirements for providing invoices or receipts, when travel is involved?
- 33 Assist. Treas.: Not to my knowledge.

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1 Helen Eisner: Okay.

2 Moving on to a slightly different topic, you talked a little bit about ... we talked
3 about, you know, the fact that you don't live in Knoxville, so I know that. It's not
4 typical for you to go to some of the offices where people are working. But, where
5 does the campaign have offices?

6 Assist. Treas.: There is one on Kingston Pike ... I don't know the exact address, I can tell exactly
7 where it is, what it's across from, where I mean ... I don't know the exact address.

8 Helen Eisner: Okay.

9 Assist. Treas.: It's at the corner of Papermill ... it's across from P. F. Chang's ... I don't the exact
10 address.

11 Helen Eisner: Is it 6906 Kingston Pike-

12 Assist. Treas.: I don't know.

13 Helen Eisner: That's fine. Okay, and the one that you're referring to, what is it, have you been
14 there?

15 Assist. Treas.: I've driven by there, but I've never been there.

16 Helen Eisner: Okay.

17 And how do you know that the campaign has an office-

18 Assist. Treas.: Actually, I am sorry. There was one time I dropped off Thank You notes to John
19 there.

20 Helen Eisner: It's okay.

21 Assist. Treas.: I forgot about that, so I'm sorry.

22 Helen Eisner: When was that, approximately?

23 Assist. Treas.: Three years ago, maybe?

24 Helen Eisner: Okay.

25 Besides that office, where else has a campaign had office space?

26 Assist. Treas.: Since 1988 ... I haven't been involved with campaign though. I mean obviously,
27 it's ...

28 Helen Eisner: Well let's talk about during that period of time that you've been involved, you
29 started doing work for the campaign on a volunteer basis in about 2009, in 2011
30 you came onto payroll, as you described it. From 2009 on, where have you know
31 the campaign to have office space?

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- 1 Assist. Treas.: That one, specifically, I think there was one down closer towards Farragut. I'm
2 sorry, before they moved there it was further west but that was for a very short
3 time.
- 4 Helen Eisner: Okay.
- 5 Assist. Treas.: It was just a few months so I don't ... It was very short.
- 6 Helen Eisner: That was before the one that you dropped off the-
- 7 Assist. Treas.: Mm-hmm (affirmative).
- 8 Helen Eisner: Who works at that office space?
- 9 Assist. Treas.: I don't know because, like I said, I only dropped off that one package, the thank
10 you notes to John. I don't know who works in that space.
- 11 Helen Eisner: The time you dropped off the thank you notes, who was working there?
- 12 Assist. Treas.: He came outside. I didn't even go inside.
- 13 Paul Solis: He is the Congressman's son, John Duncan?
- 14 Assist. Treas.: Correct.
- 15 Helen Eisner: You're pretty familiar with campaign transactions, who else besides yourself, Bob
16 Griffitts you mentioned, Jason Brown, who else is employed by the campaign?
- 17 Assist. Treas.: I do know he has some contract workers during election time that help with events
18 but they're not on a monthly basis.
- 19 Helen Eisner: Where do they work when they do perform work?
- 20 Assist. Treas.: For the most part, it's at events. It's at functions.
- 21 Helen Eisner: Do they work from an office?
- 22 Assist. Treas.: Not that I'm aware of. Again, it's mostly like outside work.
- 23 Helen Eisner: Besides John Duncan, the Congressman's son, have you known anyone else to
24 work in the office where you dropped off the thank you notes?
- 25 Assist. Treas.: Again, I don't know. Again, because I've never been inside. I don't know anything
26 about that.
- 27 Helen Eisner: Okay, what about Bob Griffitts, do you know if he works from the office space
28 that you described?
- 29 Assist. Treas.: I can't ... I really don't know.
- 30 Helen Eisner: Do you know why ... I think you mentioned there was an office that was further
31 west. Do you know why that office was acquired? Sorry, just for the recording.

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- 1 Assist. Treas.: No.
- 2 Helen Eisner: What conversations were you involved in at the time that they started to pay rent
3 for the office, about the need to rent office space?
- 4 Assist. Treas.: I'm pretty sure it was a campaign year. When you have campaigns you have
5 places that people come and pick up yard signs and stuff like that. That,
6 obviously, was a legitimate expense. You have a place for, you know, their
7 headquarters.
- 8 Helen Eisner: That office, the one that was further west, do you know if there were yard signs?
- 9 Assist. Treas.: I don't. I'm just saying during an election year, you know, you've got a space that
10 people come, volunteers come, pick up yard signs and whatnot. Most campaigns
11 that I've known about have headquarters, and I'm pretty sure that was a campaign
12 year.
- 13 Helen Eisner: Was that considered the headquarters of the campaign?
- 14 Assist. Treas.: I'm assuming it was but I don't know 100%.
- 15 Helen Eisner: Did you ever send any packages to those addresses? That's a no, sorry-
- 16 Assist. Treas.: No. I'm sorry.
- 17 Helen Eisner: No problem. What about did you receive packages that were sent from those
18 addresses.
- 19 Assist. Treas.: Uh uh. (Negative)
- 20 Helen Eisner: No. What knowledge do you have of the process of negotiating a contract, a lease,
21 for those two offices for that space?
- 22 Assist. Treas.: I'm sorry, can you ask the question again?
- 23 Helen Eisner: What can you tell us about the process of negotiating for a lease for that office
24 space, for both of those office spaces?
- 25 Assist. Treas.: I wasn't involved with it. I don't know what the process was.
- 26 Helen Eisner: Have you seen those offices? Have you seen those leases? I'm sorry, the leases for
27 the rental space?
- 28 Assist. Treas.: No.
- 29 Helen Eisner: Do you know how much they pay on a monthly basis for rent?
- 30 Assist. Treas.: It's around \$600.
- 31 Helen Eisner: Okay, so \$600 a month, approximately.
- 32 Assist. Treas.: Approximately. I'm pretty sure that's the number.

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1 Helen Eisner: What about late fees or late charges, how often has the campaign committee been
2 assessed any type of late charges?

3 Assist. Treas.: For rental?

4 Helen Eisner: Yes, for rental payments.

5 Assist. Treas.: I haven't paid any late fees for rental.

6 Helen Eisner: Okay.

7 Assist. Treas.: Or I haven't reported any late fees for rentals.

8 Helen Eisner: You've mentioned John Duncan, the Congressman's son, a few times. What
9 specifically is his role for the campaign committee?

10 Assist. Treas.: I don't know his job title.

11 Helen Eisner: Does he have a job title?

12 Assist. Treas.: I just know he works for the campaign. I don't know his job title.

13 Helen Eisner: What are his responsibilities? When you say he works for the campaign, what
14 does he do?

15 Assist. Treas.: I know he works a lot with ... Any time on elections he works with helping to put
16 together all the events that I know for sure are locally. I know that he helps with a
17 lot of communication with ... I'm trying to think. What else did he?

18 I know that he has meetings a lot with ... And I know that he goes to like all of the
19 events, like functions. He helps represent the Congressman in Knoxville. That
20 would probably be his biggest thing, is when, he helps represent, if the
21 Congressman can't go to an event he goes in his place to represent, to show
22 support in the community.

23 Helen Eisner: Do you know approximately how long he's held that position or that role for the
24 campaign?

25 Assist. Treas.: I don't know the specific year but it would be around possibly three to four years.
26 Again, I don't know. I'd have to look at my books to know the specific date.

27 Helen Eisner: Do you know why he was hired?

28 Assist. Treas.: He knows the community. He knows the ... He's been a part of campaigns his
29 whole life, so that he's an expert in the field. He just was an integral part of the
30 campaign.

31 Helen Eisner: Why was he hired at the time that he was hired, which I believe was
32 approximately mid-2013? Does that timeframe make sense to you? I know you
33 said about three or four years.

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- 1 Assist. Treas.: That timeframe ... I would be three or four years, so that would be about, yeah,
2 around there.
- 3 Helen Eisner: Do you know why he was hired during that timeframe? Sorry, that's-
- 4 Assist. Treas.: I'm sorry, no, I don't know.
- 5 Helen Eisner: How did you find out that he was hired?
- 6 Assist. Treas.: I was told by Bob Griffitts that he was hired.
- 7 Helen Eisner: What did Bob Griffitts say to you about the reason that he was hired?
- 8 Assist. Treas.: He didn't give me a reason. I don't usually question the Chief of Staff on his
9 reasoning for hiring employees. I don't feel like that was part of my job title.
- 10 Helen Eisner: What was that conversation then? The conversation you had with Bob Griffitts,
11 what was that conversation about?
- 12 Assist. Treas.: Just that John Duncan was going to be coming on to staff to work for the
13 campaign.
- 14 Helen Eisner: Okay. Who played a role in determining his salary when he was hired?
- 15 Assist. Treas.: I do not know.
- 16 Helen Eisner: Okay. Do you know what his salary is?
- 17 Assist. Treas.: It's fluctuated. When he started or in the past few months or what it is now or
- 18 Helen Eisner: Actually, if you could just kind of describe to us the fluctuation that you
19 mentioned.
- 20 Assist. Treas.: I don't remember the exact numbers when he started but I do know that it went up
21 during the campaign year. That's why I wish I had my books in front of me.
- 22 Helen Eisner: Instead of referencing the numbers, if you could sort of explain to us the reasons
23 for the fluctuations, instead of the specifics. It seems that you remember some
24 fluctuations, you mentioned a campaign year. What were the other fluctuations?
- 25 Assist. Treas.: Oh, that's ... I don't know how to answer that. I just know that the numbers were
26 changed a few times, I don't ...
- 27 Helen Eisner: Okay. I guess, then, more specifically, what can you tell us about the reason for
28 the changes? You'd mentioned one, which was a campaign year. Is there anything
29 else that you know about the changes, themselves, and why they occurred?
- 30 Assist. Treas.: Uh-uh (negative).
- 31 Helen Eisner: No? Okay.
- 32 Assist. Treas.: I don't know.

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- 1 Helen Eisner: Does he keep timecards or any other accounting for the number of hours that he
2 works?
- 3 Assist. Treas.: Not that I'm aware of. I mean, I don't know. I've never seen anything.
- 4 Paul Solis: How are you aware to make a disbursement or report a disbursement for his
5 salary?
- 6 Assist. Treas.: My job is not to decide on the amount. My job is to report what the campaign has
7 chosen to pay.
- 8 Paul Solis: Right. That's my question, is how are you informed about that decision to pay him
9 a certain salary? Who tells you that?
- 10 Assist. Treas.: Back to the whole process, when a check is written, that goes through Bob and
11 through Jason. That's the first step of the approval process. Once I was informed
12 up front that he was going to be on staff as a campaign worker, they make the
13 decisions. I just report.
- 14 Paul Solis: It would be a check payable to John Duncan, and then it would be sent to you?
- 15 Assist. Treas.: I get copies of all of the checks that were written.
- 16 Helen Eisner: What is American Public Strategies?
- 17 Assist. Treas.: That is his company, John Duncan's company.
- 18 Helen Eisner: What is that company?
- 19 Assist. Treas.: I do not know.
- 20 Helen Eisner: Do you know when they were formed?
- 21 Assist. Treas.: I do not know.
- 22 Paul Solis: How do you know it's John Duncan's company?
- 23 Assist. Treas.: I was informed that it was.
- 24 Paul Solis: By whom?
- 25 Assist. Treas.: I don't remember who told me.
- 26 Helen Eisner: At some point, the campaign started paying American Public Strategies. Why did
27 they start paying American Public Strategies?
- 28 Assist. Treas.: Because that was his company. I don't know.
- 29 Helen Eisner: That's fine. Does anyone else work for American Public Strategies?
- 30 Assist. Treas.: Not to my knowledge.
- 31 Helen Eisner: Where is American Public Strategies based?

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1 Assist. Treas.: I do not know.

2 Paul Solis: When you would see any type of disbursement or copies of checks cut to
3 American Public Strategies that would come to you, did you ever ask Bob or
4 anyone else about what they were for?

5 Assist. Treas.: Again, it was a monthly bill. It had been approved the first time. I just kept paying
6 it, because it was an approved expenditure.

7 Helen Eisner: I'm going to show you, move on from that document, actually, a copy of an FEC
8 report. This is a report that, it's the most recent report, I believe. It was filed
9 October 15, 2017. What I've provided is, it's a 37 page report. I provided the first
10 page, so you can see where it came from, and also page 25. There you go.

11 Assist. Treas.: Okay.

12 Helen Eisner: In this form, page 26 is the page that I've provided. There are two disbursements
13 to American Public Strategies there. The mailing address is 6906 Kingston Pike.
14 Do you know why that was the mailing address for American Public Strategies?

15 Assist. Treas.: I do not.

16 Helen Eisner: Who would have provided you that address information?

17 Assist. Treas.: Sorry, I'm trying to think back. I honestly don't remember.

18 Helen Eisner: Would it be the same sort of people that you've been talking about, Jason Brown,
19 Bob Griffiths? Would this have come directly from John Duncan?

20 Assist. Treas.: I honestly, I don't remember. I'd like to give you an answer, I really just don't
21 remember.

22 Helen Eisner: As we understand it, this address, 6906 Kingston Pike, I know you didn't
23 remember exactly the address of one of the campaign offices, but as we
24 understand it, this is the address where the campaign has been paying rent and
25 currently pays rent to lease office space. Is there any reason that the disbursement
26 would have gone to the same address where the campaign leases office space?

27 Assist. Treas.: Maybe. My only guess would be that that company didn't have a mailing address,
28 and I knew that he worked at that location. You have to have a mailing address to
29 put to submit, would be my, if I have to guess.

30 Helen Eisner: Have you had any conversations about what mailing address to use for American
31 Public Strategies?

32 Assist. Treas.: Again, my guess is probably that I didn't have an address, and I didn't get an
33 answer. To submit the report on time, I used that same address, would be my
34 guess.

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- 1 Helen Eisner: If it's been being used for a period of years, does that change your answer in any
2 way, that that's the same address that has been used for American Public
3 Strategies?
- 4 Assist. Treas.: Again, I really don't know. Because every time you submit a report, you don't put
5 a new address in. It's in your database. It pulls up. It might have been something
6 that I didn't notice to re-ask.
- 7 Helen Eisner: That's fine. If you don't know the answer, that's okay. I want to move on to a
8 different topic. What is Club LeConte? Have you heard of Club LeConte?
- 9 Assist. Treas.: Yes.
- 10 Helen Eisner: What is it?
- 11 Assist. Treas.: It is a restaurant where you can hold fundraisers and events. They do have
12 personal dining, but you can also hold events, and have meetings, and whatnot.
13 On a side note, I've been to a number of functions there.
- 14 Helen Eisner: What functions have you attended there?
- 15 Assist. Treas.: Fundraisers for non-profit organizations.
- 16 Helen Eisner: Can you go to the restaurant, anyone could go to the restaurant, or do you have to
17 have special access to go to the restaurant or go to an event there?
- 18 Assist. Treas.: My understanding is you have to be a member.
- 19 Helen Eisner: How does the campaign use Club LeConte?
- 20 Assist. Treas.: From my understanding, they've used it for lunch meetings and a few other small
21 events with groups of people related to the campaign.
- 22 Helen Eisner: Have you attended any of those events?
- 23 Assist. Treas.: Uh-uh (negative).
- 24 Helen Eisner: How does the Congressman use the club?
- 25 Assist. Treas.: Again, from my understanding, it has to do with, he has lunch meetings
26 and ...dinner meetings at that location.
- 27 Helen Eisner: Okay. What about for reasons that aren't related to the campaign? How does the
28 Congressman use the club?
- 29 Assist. Treas.: I don't know his personal use there.
- 30 Helen Eisner: Looking at the FEC disbursements, there are disbursements for dues expenses.
31 What are those expenses?

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- 1 Assist. Treas.: To be able to use, to dine there and have board meetings. It is a location that a lot
2 of business people have a membership because it is very ... to have more
3 professional meetings.
- 4 Helen Eisner: And you need a membership to have access to the club, to have those meetings?
- 5 Assist. Treas.: It's my understanding that you do.
- 6 Helen Eisner: Okay. How did you come to that understanding?
- 7 Assist. Treas.: Just knowledge of what it is.
- 8 Helen Eisner: When you receive information about the club in order to approve an expense,
9 what form does that information come in?
- 10 Assist. Treas.: I do get receipts, or the bills attached to their ... the checks that they wrote.
- 11 Helen Eisner: And who provides those receipts?
- 12 Assist. Treas.: Well, the receipt is mailed to the P.O. box. It's then approved and then the check
13 is sent out, and then I get copies of both of those.
- 14 Helen Eisner: Okay, so that that's an expense where the check is already written when it comes
15 to you?
- 16 Assist. Treas.: Correct.
- 17 Helen Eisner: Correct. What events have you attended at Club LeConte that were organized by
18 members of the Congressman's family?
- 19 Assist. Treas.: I've never been to one.
- 20 Helen Eisner: Okay. What ... besides attending, what events do you know about that have been
21 organized by members of the Congressman's family, that have been held at the
22 club?
- 23 Assist. Treas.: I do not know.
- 24 Helen Eisner: Okay. Would you receive invoices about an event that was organized by the
25 Congressman's family?
- 26 Assist. Treas.: The only thing that I get is, again, that statement, and that it was approved. I don't
27 know family-wise, I don't know what they do there.
- 28 Helen Eisner: Okay. Let me show you a document. This is CLC0152. I'll give you a moment to
29 take a look at it.
- 30 Assist. Treas.: Okay.
- 31 Helen Eisner: Have you seen any of this information before, any of these invoices?
- 32 Assist. Treas.: I've seen invoices similar to this, yes.

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- 1 Helen Eisner: What about this specific invoice?
- 2 Assist. Treas.: I don't remember seeing this one.
- 3 Yeah, again, I wish I had my books in front of me because honestly I don't
4 remember seeing this one in my books.
- 5 Helen Eisner: Okay. At the top it says Lynn Duncan's baby shower brunch, and it says July 16,
6 2016. Do you know what that brunch was?
- 7 Assist. Treas.: I do not.
- 8 Helen Eisner: And what conversations have you had about any type of baby showers that were
9 held at Club LeConte?
- 10 Assist. Treas.: I haven't.
- 11 Helen Eisner: Have you seen any records about any baby showers or engagement parties or
12 wedding showers held at the club?
- 13 Assist. Treas.: Mm-hmm (negative).
- 14 Helen Eisner: And have you had any conversations with anyone about baby showers, wedding
15 showers, engagement parties held at the club?
- 16 Assist. Treas.: Mm-hmm (negative).
- 17 Helen Eisner: Okay.
- 18 Paul Solis: That's a no?
- 19 Assist. Treas.: I'm sorry, no. No, I have not seen that one.
- 20 Helen Eisner: Okay. If a receipt came in to the campaign committee, it would go to the P.O.
21 box, Jason Brown, Bob Griffiths, would see information, you would get the check
22 and potentially an invoice from the club-?
- 23 Assist. Treas.: Yes.
- 24 Helen Eisner: But you might not see a receipt like this?
- 25 Assist. Treas.: I will add, every once in a while I do get something like the Club LeConte receipt
26 or a bill, and it'll say past due, and I wouldn't have gotten on the month before-
- 27 Helen Eisner: Okay.
- 28 Assist. Treas.: And so it's ... I don't get the full explanation of what it is, so ... yeah, I didn't see
29 this one, the actual breakdown.
- 30 Helen Eisner: When it says past due, what do you do in that situation?
- 31 Assist. Treas.: I mean, it was paid. It, again ... sorry, I'm just trying to think about the last time
32 one of these came through. So sometimes there's two months on a statement that I

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1 get, and I just use it like it would be a normal ... I guess sometimes, to be honest, I
2 guess sometimes with a few of these that I get on a regular basis, I don't ask
3 specifically each one.

4 Helen Eisner: Okay.

5 Assist. Treas.: Which is wrong on my part. I should, but on a regular charge that's been
6 approved, it happens on a regular basis. I sometimes just file it like it was ... or
7 report it like it was the last month.

8 Helen Eisner: So at that point the payment has already been made? So you're taking in the
9 records, so you can report it, but the payment was already made?

10 Assist. Treas.: Yes.

11 Helen Eisner: Okay. Understood. We can move on from that. Do you have any more questions
12 about that -

13 Moving on to a slightly different topic-

14 Paul Solis: I guess I would just confirm is that the way it always works with Club LeConte
15 charges? The situation you described where the payments already been made.
16 You're just receiving copies of that payment?

17 Assist. Treas.: Yes, with every payment, everything I get has already been paid. I get copies of
18 everything at the end. I'm the last line of the-

19 Paul Solis: Right. Okay.

20 Assist. Treas.: I have never once approved a charge.

21 Helen Eisner: Understood. We've seen, looking at FEC reports, there are certain disbursements
22 for gift expenses? What's the process when you receive information about an
23 expenditure made by the campaign for a gift expense? What do you receive, and
24 how do you usually process that type of expense?

25 Assist. Treas.: I tend to ask who the gift was for, and it's for a constituent, and my knowledge is
26 that is allowed by the FEC.

27 Helen Eisner: Okay. And who provides you information about who received the gift?

28 Assist. Treas.: I usually ask ... I usually ask Bob who ... or I ask the campaign who the gift
29 expense was for.

30 Helen Eisner: Okay, and how often does the campaign make gift expenditures for constituents?

31 Assist. Treas.: Maybe a few times a year. I know it's not monthly. My guess would be a few
32 times a year.

33 Helen Eisner: What's the reason that those particular constituents receive gifts?

- 1 Assist. Treas.: I do not know.
- 2 Helen Eisner: Okay. I'm going to provide you one specific example. Let me just go through my
3 documents here and get to the right one. We're jumping to AMEX_0872 through
4 0879. This is an American Express statement from July 23, 2015. Specifically,
5 I'm going to point you towards page 0876, and on that page there is an
6 expenditure from July 21st, 2015 at Toys "R" Us for \$217.97. Just focusing just
7 on that expenditure, do you know what that expenditure was?
- 8 Assist. Treas.: I don't. It's all in my notes-
- 9 Helen Eisner: Okay.
- 10 Assist. Treas.: -in my book. I don't-
- 11 Helen Eisner: I have another document to show you in combination with that, which might be
12 helpful. That document is JD_0175 through 0177. Take a minute and take a look
13 at that.
- 14 Assist. Treas.: Okay.
- 15 Helen Eisner: You mentioned some information might be in your notes. What notes are you
16 referring to when you ...
- 17 Assist. Treas.: When I have questions, I usually write ... especially with the American Express.
18 When I call, I write down next to that who ... explanations on all of my
19 documentation.
- 20 Helen Eisner: Okay. On the American Express statements that you keep for records, your notes
21 are on those?
- 22 Assist. Treas.: Mm-hmm (affirmative).
- 23 Helen Eisner: Okay. Do you also save a blank copy of the same statement, or would the records
24 just include the one that has your notes on it?
- 25 Assist. Treas.: It would just include the one with my notes on it.
- 26 Helen Eisner: Okay. Did you have a chance to look over that second document I provided?
- 27 Assist. Treas.: Mm-hmm (affirmative).
- 28 Helen Eisner: At the top of this email chain, it's ... This is really a receipt from Toys "R" Us, and
29 then there's an email communication that is from John Duncan. I believe that is
30 the Congressman's son's email address? Is that correct? Okay.
- 31 This says, "From John Duncan. This was for mom when she did the shower for
32 Amber Greaves." Do you know who Amber Greaves is?
- 33 Assist. Treas.: I do not.

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- 1 Helen Eisner: Okay. Is Greaves a name ... any other members of the Greaves family, any -
- 2 Assist. Treas.: Is it a family name?
- 3 Helen Eisner: Well, her last name is Greaves. Are you familiar with any other Greaves? No?
- 4 Okay. I'm sorry, if you could just say -
- 5 Assist. Treas.: Oh, I'm sorry. I'm a very visual person, so-
- 6 Helen Eisner: No, no. I understand, just want to make sure we get it for the recording.
- 7 Assist. Treas.: I feel like I've heard the name before but, again, I deal with names all time so I
- 8 don't know if it ... I personally don't know a Greaves.
- 9 Helen Eisner: Okay. And any shower that was thrown by Lynn Duncan during this time period,
- 10 July 2015? Are you familiar with any shower? Again, I'm having you-
- 11 Assist. Treas.: Oh. I mean, I don't know any of the specifics of it.
- 12 Helen Eisner: Okay. Do you know anything generally about it?
- 13 Assist. Treas.: I'm sure I wrote this name down when I asked who the expense was for, but I
- 14 don't know specifics of the shower.
- 15 Helen Eisner: Okay. That's fine. I'm going to keep moving on here unless ... Paul, feel free to
- 16 break in if you have any questions. I'm going to show you another document,
- 17 moving on from those. This is AMEX_0926 through 0936. This is, again, an
- 18 American Express statement from closing date ... let's see, January 22nd, 2016.
- 19 I'm going to, again, ask you about a specific transaction but feel free to take as
- 20 much time as you need to look it over.
- 21 Assist. Treas.: Okay. Which one do you have in question?
- 22 Helen Eisner: So the question is on page AMEX_0928, which is page three.
- 23 Assist. Treas.: Okay.
- 24 Helen Eisner: There is a disbursement ... or a, sorry, transaction at "Litton's Direct to You" for
- 25 \$2,991.92. And then as a follow-up to that, I have an FEC report, which is dated
- 26 April 15th, 2016. It's two pages again. I have the first page up so you can see what
- 27 it is but just the relevant portion. It's a 42-page report in total, it's page 25.
- 28 Assist. Treas.: Yes.
- 29 Helen Eisner: That shows the disbursement for Litton's for that amount. It says-
- 30 Assist. Treas.: Catering expense?
- 31 Helen Eisner: Yeah. Do you know what that was?
- 32 Assist. Treas.: Well, Litton's is a restaurant. They cater food to events, and so it was ... I don't
- 33 know the specific event. Again, it would be on my notes. I don't know specifically

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- 1 off the top of my head, but ... 12/29 ... I mean, I do know they have a Christmas
2 party around Christmas time.
- 3 Helen Eisner: Okay. Was there any point in time when this particular disbursement for the
4 reporting was amended or changed?
- 5 Assist. Treas.: You mean on this bill?
- 6 Helen Eisner: Yes, for this FEC report.
- 7 Assist. Treas.: I honestly don't remember. I ...
- 8 Helen Eisner: If not, that's fine.
- 9 Assist. Treas.: I wouldn't think so, but I don't know.
- 10 Helen Eisner: Okay.
- 11 Assist. Treas.: I don't know off the top of my head.
- 12 Helen Eisner: Okay. That's fine, we can move on from that expense. How often does the
13 campaign committee ... or do you see receipts related to travel for the
14 Congressman's family?
- 15 Assist. Treas.: I do know that ... How often? I'd say a few times a year.
- 16 Helen Eisner: Okay. What are the circumstances when the family travels?
- 17 Assist. Treas.: Well, most of what I see ... that it would be for John Duncan, the son, because he
18 works for the campaign and he goes to certain functions that he needs the travel
19 expense for.
- 20 Helen Eisner: Okay. When you see an expense, has it already been written out and approved,
21 related to travel? And that's a, sorry, yes?
- 22 Assist. Treas.: Yes.
- 23 Helen Eisner: Okay. When John Duncan travels, where does he travel?
- 24 Assist. Treas.: Sometimes he travels to D.C., and I know specifically ... I think in August, he
25 went to Nashville to an event.
- 26 Helen Eisner: What was that event?
- 27 Assist. Treas.: It was the Statesmen Dinner, where he was representing the Congressman.
- 28 Helen Eisner: Okay. Have you ever raised any concerns about travel conducted by John
29 Duncan?
- 30 Assist. Treas.: In regards to travel expenses, he's always explained to me how it's campaign-
31 related.
- 32 Helen Eisner: Okay. And those were conversations that you had with John Duncan?

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1 What about conversations with Bob Griffitts about travel by the family? Have you
2 ever raised any concerns in those conversations?

3 Assist. Treas.: Yes.

4 Helen Eisner: Okay. Can you tell us about what circumstances you've raised concerns in?

5 Assist. Treas.: Just stressing the point that everything that the family spends needs to be
6 campaign-related, that it all boils down to that.

7 Helen Eisner: Can you give us specific examples of when you have raised concern?

8 Assist. Treas.: For example, during ... not the last campaign, but there was a lot of gas expenses
9 on his credit card and that is still a travel ... Well, it's not a travel expense but it ...
10 and just basically saying, "What are these for?" And they're for putting up yard
11 signs. But just basically saying, "You need to make sure that they are sticking
12 with campaign and that they're not being used for personal use."

13 Helen Eisner: When you say "on his credit card," is that on John Duncan's credit card or on the
14 Congressman's credit card?

15 Assist. Treas.: On John Duncan's credit card.

16 Helen Eisner: The conversations you had were with Bob Griffitts or with-

17 Assist. Treas.: Yes.

18 Helen Eisner: -John Duncan? With Bob Griffitts, okay.

19 Assist. Treas.: And usually I tell John too as well. I tell both parties.

20 Helen Eisner: And what was their response to your concern?

21 Assist. Treas.: Bob always said, "I understand. I'll make sure that it happens."

22 Helen Eisner: Okay. Besides gas expenses, on what other occasions have you raised concerns
23 about family travel expenditures paid for by the campaign?

24 Assist. Treas.: Sorry, I'm going backwards on certain ... All of the ones this year have been
25 addressed that they were events associated with the campaign.

26 Helen Eisner: Okay, and then besides this year.

27 Assist. Treas.: I'm sorry. Like I said, I'm working backwards.

28 Helen Eisner: Oh, you're working backwards. I'm sorry. I'll let you.

29 Assist. Treas.: With travel, really the only one that was a red flag to me was all of the gas
30 expenses, but that was just, again, during that campaign year. That was confirmed
31 that that was just for putting up yard signs and ... and going to events.

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- 1 Helen Eisner: Okay. You said with travel, were there any other expenses that you raised
2 concerns about besides travel?
- 3 Assist. Treas.: Yes.
- 4 Helen Eisner: Okay, and what were those specific examples?
- 5 Assist. Treas.: A lot of the food expenses.
- 6 Helen Eisner: Food expenses by who?
- 7 Assist. Treas.: By John.
- 8 Helen Eisner: And during what time period?
- 9 Assist. Treas.: Just since I started to notice. I don't know the exact time period. I just know that,
10 that was always a concern.
- 11 Helen Eisner: What was concerning to you about the food expenses?
- 12 Assist. Treas.: That there were a lot of food expenses on his bill ... his credit card statement.
- 13 Helen Eisner: And who did you talk to about those concerns?
- 14 Assist. Treas.: I've talked to them, to John and to Bob.
- 15 Helen Eisner: What were you told ... let's start with by John?
- 16 Assist. Treas.: Basically, in a nutshell, I was told that he was taking ... They were business
17 meetings.
- 18 Helen Eisner: Okay.
- 19 Paul Solis: What kind of business?
- 20 Assist. Treas.: That's about all I got.
- 21 Paul Solis: From Bob and John or individually?
- 22 Assist. Treas.: Yes. Both.
- 23 Helen Eisner: Okay.
- 24 Paul Solis: How did they communicate this to you?
- 25 Assist. Treas.: Over the phone.
- 26 Paul Solis: If you're seeing these types of charges, again, this is specifically on the credit card
27 that's popping up, right, these meal expenditures, and you're having these
28 concerns about John's expenditures, did you have the same concerns about the
29 other credit card and the other account with the Congressman?
- 30 Assist. Treas.: I did not.

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- 1 Paul Solis: Why would you have concerns about the expenditures with John but not the
2 Congressman about how meals were being expended on the card?
- 3 Assist. Treas.: That's a vague question. I don't know.
- 4 Paul Solis: Was there something concerning about John's role in the campaign or the amount
5 of work he was doing, anything like that?
- 6 Assist. Treas.: Again, I wasn't around to see. I don't know. Can I take a break?
- 7 Paul Solis: Yes.
- 8 Helen Eisner: You can.
- 9 Assist. Treas.: Can I take a breather?
- 10 Helen Eisner: We'll pause the recording.
- 11 Okay. This is Helen Eisner. We had paused the recording for a moment so
12 Campaign Assist. Treasurer could speak with her counsel, and now we're back on
13 the recording.
- 14 Paul Solis: Before we took a break, I believe the last question I asked you was recounting
15 some of the things you responded to Helen's question regarding concerns you had
16 raised, specifically for example, meal charges by John Duncan. My question to
17 you was, Was there something specific about John's credit card expenditures that
18 gave you reason to have concern as opposed to the Congressman's? What
19 specifically about his charges gave you concern?
- 20 Assist. Treas.: Part of the reason I needed to take a break, it was felt like a very vague question. I
21 do better with very specific questions. I guess there were a few charges that were
22 questionable or that were red flags for me.
- 23 Paul Solis: Okay. Can you elaborate on that further? Why were they red flags?
- 24 Assist. Treas.: I had observed that they were used for personal use.
- 25 Paul Solis: And how did you come to that determination ... that observation?
- 26 Assist. Treas.: One night I was out and saw him and his wife at dinner, and then that charge
27 ended up on the credit card statement.
- 28 Paul Solis: Okay. Where did that meal take place, that sighting of he and his wife?
- 29 Assist. Treas.: Again, I can picture it; the name's going blank. Going all of his credit card
30 statements.
- 31 Paul Solis: It's a restaurant in Knoxville?
- 32 Assist. Treas.: Yes. Aubrey's.
- 33 Paul Solis: Did you just see him on one occasion?

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- 1 Assist. Treas.: And then an anniversary dinner showed up on the credit card statement.
- 2 Paul Solis: And how do you know it was an anniversary dinner?
- 3 Assist. Treas.: Because I saw pictures on Facebook of them at dinner at that place and then the
4 charge showed up on that exact date.
- 5 Paul Solis: Are there other examples of Aubrey's or other places where it was confirmed?
- 6 Assist. Treas.: Those are the only two that were confirmed.
- 7 Paul Solis: Okay.
- 8 Helen Eisner: Where was the anniversary dinner restaurant? Was that Aubrey's as well?
- 9 Assist. Treas.: That was Ruth's Chris.
- 10 Helen Eisner: And regarding those two charges, what conversations did you have with John
11 Duncan about those charges?
- 12 Assist. Treas.: I brought them up with Bob.
- 13 Helen Eisner: What did Bob say?
- 14 Assist. Treas.: That they won't happen again.
- 15 Paul Solis: Did charges at Aubrey's appear afterwards?
- 16 Assist. Treas.: Yes. And, again, I asked for credit card ... or, again, I asked for credit card
17 statements and I never ... I mean, not credit card statements ... receipts and John
18 was supposed to send them to me and I still have never gotten one to this date.
- 19 Paul Solis: Other than those two confirmed instances that you just brought up, were there
20 other charges even after you discussed this with Bob that would come up on the
21 credit card statement that you would question whether they were personal in
22 nature?
- 23 Assist. Treas.: Those are the only two that I personally observed.
- 24 Paul Solis: Okay. Other than direct observation though, did other charges come up that you
25 had questions over?
- 26 Assist. Treas.: Well, that caused me to question ... charges in general. But ...
- 27 Paul Solis: Did you ever raise the concerns with John himself?
- 28 Assist. Treas.: Yes.
- 29 Paul Solis: And what was his response?
- 30 Assist. Treas.: I didn't on those two specific occasions, but in general I said, "I need receipts of
31 every expense you have and tell me who you're taking to lunch, for those lunch

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1 meetings," and I still have not ... And I've asked many times and I still haven't
2 gotten them.

3 Helen Eisner: Over what period of time are we talking about for asking?

4 Assist. Treas.: Few years.

5 Helen Eisner: And how often do you ask for receipts?

6 Assist. Treas.: Every few months.

7 Helen Eisner: And how often has he provided receipts?

8 Assist. Treas.: Never.

9 Helen Eisner: What conversations have you had with Bob Griffiths about that?

10 Assist. Treas.: He says that he's turning them into Jason and that he's supposed to be, but that's
11 about as far ... but, again, I have never seen one.

12 Paul Solis: What does the Congressman know about this?

13 Assist. Treas.: I honestly never talked to the Congressman about any of ... I don't have any
14 communication with the Congressman directly. I go through those lines of
15 communication.

16 Paul Solis: Are you aware at all through those lines of communication that the Congressman
17 knows about some of these charges made by his son?

18 Assist. Treas.: I honestly don't know. I probably talk to him once, maybe twice a year, or see him
19 at functions once or twice a year.

20 Paul Solis: This is the Congressman you're talking about? Yes?

21 Assist. Treas.: Yes. That's- I don't ever talk to him about ... We never talk about campaign stuff.

22 Paul Solis: Is there any charges that come from John's credit card where you receive receipts
23 documenting the expense?

24 Assist. Treas.: No.

25 Helen Eisner: What do you know about John Duncan's personal financial situation?

26 Assist. Treas.: Like, his finances?

27 Helen Eisner: His finances.

28 Assist. Treas.: Other than what he gets paid by this campaign, that's the only thing I know that
29 has to do with his finances.

30 Paul Solis: Does he draw an income from American Public Strategies?

31 Assist. Treas.: I would assume so.

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- 1 Paul Solis: Why would you assume that?
- 2 Assist. Treas.: Because that's his company.
- 3 Paul Solis: I believe we, excuse me if we reiterate this question, but do you know what the
4 company does?
- 5 Assist. Treas.: I do not.
- 6 Helen Eisner: Do you know whether the Congressman had any involvement in the establishment
7 of American Public Strategies?
- 8 Assist. Treas.: I don't know what the communication is between them. I don't know.
- 9 Paul Solis: At this point, have you just stopped asking for receipts from John's credit card
10 expenses?
- 11 Assist. Treas.: I bring it up every few months, or about the time the report's due and I'm getting
12 all of my last-minute stuff together.
- 13 Paul Solis: What is the response from Bob or Jason or John?
- 14 Assist. Treas.: They're just not there, that I know about. I mean, nobody sends them to me, even
15 when I ask.
- 16 Paul Solis: Okay. Do they ignore your requests, or do they have any type of response for
17 them?
- 18 Assist. Treas.: I'm pretty much told it's supposed to be happening. That's about all I get.
- 19 Helen Eisner: Did any of your requests come in email form?
- 20 Assist. Treas.: I don't believe so. I think there might have been a few text messages, but no
21 emails.
- 22 Helen Eisner: Do you have those text messages?
- 23 Assist. Treas.: Probably not, unless I could get my old phone to work. It might be on there, but
24 the screen went out and I have a really, really old phone that I'm using now.
- 25 Helen Eisner: Sure.
- 26 Assist. Treas.: I'm trying to think ... It's been, that I've had for a few months using ... My other
27 one is in my car, cracked. You can't see the screen.
- 28 Helen Eisner: I think we'd ask you after the interview is over ...
- 29 Assist. Treas.: I'm saying, I don't know.
- 30 Helen Eisner: You don't think that they'll ... If there's any way that they exist and you can
31 provide them to us, we'd like them. We understand if the records don't exist, but

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- 1 to the extent there are text messages that memorialize any communication about
2 the receipts from John Duncan, we'd like to receive a copy of those.
- 3 Assist. Treas.: I'd love to help you. I don't, like literally, my phone screen. Unless somebody
4 wants to pay to fix my phone.
- 5 Paul Solis: Sure, sure. For the time being, we'll just put it as, maybe we'll make a formal
6 request, but from this point on, I guess we just ask that should the screen be
7 repaired in some way or whatever, just don't delete them.
- 8 Assist. Treas.: Right.
- 9 Paul Solis: Just hold them. We can follow up later with your attorney about that issue.
- 10 Assist. Treas.: Okay.
- 11 Paul Solis: Just apart from the meals and all the receipts that you've requested for John's
12 expenses, I mean, does this thing also include things like travel as well? Where
13 you've asked, "Why was he doing that? What was the purpose of the travel?"
14 Have you asked questions like that?
- 15 Assist. Treas.: Well I have, again, I ask what he was traveling for, and I've just been told what it
16 was for. Usually he tells me the event that it was for and then I basically ... It's
17 usually, it's always campaign related, but he just usually has to give me a few
18 explanations of what it was for. Doesn't break it down.
- 19 Paul Solis: Is there anything besides travel and meal expenditures that he's using the card for?
20 Just generally if you can recall.
- 21 Assist. Treas.: I'm just looking at, really quickly, I mean ... He does printing and mailing
22 sometimes, or mailing sometimes.
- 23 Paul Solis: These gifts for constituents, does that all come from the Congressman's card, or is
24 that ever his card?
- 25 Assist. Treas.: It's usually the Congressman's card. Every once in a while he puts it on his card.
- 26 Paul Solis: Ever receive receipts for those charges?
- 27 Assist. Treas.: I do not.
- 28 Paul Solis: Okay.
- 29 Helen Eisner: I'm going to ask you a few specific questions then and show you a few more
30 documents. We're hoping to not take up too much more of your time. We just
31 want to try to get through these final points. Was there something that you wanted
32 to add looking at that document?
- 33 Assist. Treas.: Cell phone.

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1 Helen Eisner: Cell phone bill? That's on John Duncan's credit card? What can you tell us about
2 that cell phone account?

3 Assist. Treas.: That he uses it for the campaign, is what I've been told.

4 Helen Eisner: Who told you that?

5 Assist. Treas.: I don't remember specifically.

6 Helen Eisner: Have you ever received an invoice or receipt for that cell phone?

7 Assist. Treas.: It's just on the credit card statement.

8 Helen Eisner: Does anyone else have a cell phone that's paid for by the campaign?

9 Assist. Treas.: Not to my knowledge. I don't report any of that ... It doesn't go through the credit
10 card statement or the bank statement, so no.

11 Helen Eisner: Okay. I'm going to show you a credit card statement AMEX_0649 through 0652.
12 This is closing date September 22, 2013. Again, I'm going to ask you about a
13 specific transaction here, which is on page three, which is 0651 bates number. The
14 transactions were from August 24th, 2013. Specifically, there's a British Airways
15 transaction. Do you know what that is?

16 Assist. Treas.: I don't remember.

17 Helen Eisner: It says, "Passenger name John James Duncan the third." Do you recall John
18 Duncan flying internationally during that time period? The charge below it is at a
19 hotel in Edinburgh. Does that refresh your memory at all about this travel?

20 Assist. Treas.: No. I'd have to look at my notes. I'm sorry. I really don't remember.

21 Helen Eisner: That's fine.

22 Paul Solis: Do you know if there was any Duncan for Congress campaign event or anything
23 going on in the UK during 2013?

24 Assist. Treas.: No, I don't remember. Wish I had my notes in front of me. I don't know.

25 Helen Eisner: Okay. This is an FEC report filed January 21, 2017. It's a 14-page report. I'm just
26 providing you with the first page and then page nine, so that's the second page
27 there. On page nine there's disbursement to the Best Western Pentagon for
28 \$4,405.40. What do you know about this particular disbursement?

29 Assist. Treas.: What year is this?

30 Helen Eisner: This was ... I can actually be more specific to help you out here. This was
31 November 2016 was the disbursement. As we understand it, it was for payment
32 for hotel rooms in January 2017 in Washington DC.

33 Assist. Treas.: That would have been for the inauguration hotel rooms.

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- 1 Helen Eisner: Who stayed in those hotel rooms?
- 2 Assist. Treas.: I do know there was some family but I don't know who specifically.
- 3 Helen Eisner: Did you see any invoices related to this stay? Or hotel records?
- 4 Assist. Treas.: I don't believe I received receipts for those.
- 5 Paul Solis: How do you know it was family?
- 6 Assist. Treas.: Because I feel like when I asked about this one, they told me it was for hotel
7 rooms for the inauguration and I knew that some family members were going to
8 the inauguration and so-
- 9 Paul Solis: How did you know that?
- 10 Assist. Treas.: Facebook.
- 11 Paul Solis: So you saw on the family members' Facebook accounts that they were attending
12 the inauguration?
- 13 Assist. Treas.: Yes.
- 14 Paul Solis: Did you raise any concerns about that?
- 15 Assist. Treas.: I did not.
- 16 Helen Eisner: Do you know if any individuals who stayed in the hotel rooms were not members
17 of the family?
- 18 Assist. Treas.: I'm not sure.
- 19 Helen Eisner: Based on the-
- 20 Assist. Treas.: I mean I can assume. I mean I can guess but I don't know. I mean I don't think
21 that's ... I don't know the exact answer.
- 22 Helen Eisner: Okay. In this process, it's fine for you to guess or tell us based on your knowledge
23 of looking at Facebook. You know, your understanding of who might have stayed
24 in the hotel rooms.
- 25 Assist. Treas.: I mean it could have been some people that had worked for the campaign that
26 have been ... Helped, supporters that have helped run, like help out throughout the
27 years. I do know in the past that he has done that. But I don't know specifically.
- 28 Helen Eisner: What was happening in the Facebook photos that you viewed?
- 29 Assist. Treas.: I think I just saw one or two pictures of the family members at the inauguration on
30 Facebook.
- 31 Helen Eisner: Okay.

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- 1 Paul Solis: Then how did you become aware then that it correlated to the expense that you
2 were given?
- 3 Assist. Treas.: Well, like I said, I don't know 100% sure who that's for.
- 4 Paul Solis: But you just saw the expenditure or the bill from the hotel, you saw the Facebook
5 pictures and then you made that connection yourself?
- 6 Assist. Treas.: With your question at hand, yes.
- 7 Paul Solis: Okay.
- 8 Helen Eisner: Which members of the family did you see?
- 9 Assist. Treas.: So I am trying to like in my head look back ... I mean part of me is thinking it was
10 all of the kids, but I don't know.
- 11 Helen Eisner: Sure. What about grandchildren? Were there grandchildren present?
- 12 Assist. Treas.: Probably.
- 13 Helen Eisner: But based on your viewing of photos of the event.
- 14 Assist. Treas.: Again, I don't sit there and analyze pictures. I just like ... You see a million of
15 them ... I was like I-
- 16 Helen Eisner: Understood. What about an individual named Roger Rhodes? Do you know who
17 that is?
- 18 Assist. Treas.: Mm-mm (negative). Do not.
- 19 Helen Eisner: What about Michael Strickland?
- 20 Assist. Treas.: I know who that is.
- 21 Helen Eisner: Was he present in those photos?
- 22 Assist. Treas.: I don't remember seeing him in those photos.
- 23 Helen Eisner: Was he at the inauguration?
- 24 Assist. Treas.: I have no idea.
- 25 Helen Eisner: Who is he?
- 26 Assist. Treas.: Mike Strickland owns Bandit Lites, which is a worldwide event lighting company
27 that's based out of Knoxville Tennessee.
- 28 Helen Eisner: What is his relationship with the Congressman?
- 29 Assist. Treas.: I know he's donated to the campaign. That's the only relationship I know. He's
30 definitely not family. But I know that I have reported his, some donations in the
31 past. Or contributions. Correct.

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- 1 Helen Eisner: There-
- 2 Paul Solis: You had mentioned ... Just real quick, Helen. You had mentioned that you know
3 that the Congressman, and correct me if I'm wrong at all, you know that the
4 Congressman had taken supporters on trips or taken them somewhere where there
5 might be a hotel expense for supporters. I believe you said that. Is that correct?
- 6 Assist. Treas.: I do know of one time. Yes.
- 7 Paul Solis: What time was that?
- 8 Assist. Treas.: It was probably a year or two years ago. It was people that had been very integral
9 in his campaign. I know that, but I don't know ... But specifically, yes, I know of
10 one time.
- 11 Paul Solis: Where did they go?
- 12 Assist. Treas.: Like it was around ... Oh, I can't ... I wish I had my notes.
- 13 Paul Solis: That's okay. Just the best you can. Is it The Greenbrier?
- 14 Assist. Treas.: Possibly.
- 15 Helen Eisner: In West Virginia?
- 16 Assist. Treas.: That sounds familiar. But, sounds familiar. I can't-
- 17 Helen Eisner: Even without knowing the name, what was this location? What was the location
18 that they attended? Was it a city, the country? Where were they going?
- 19 Assist. Treas.: All I know, I mean it was supposed to be a nice hotel. That's all I know.
- 20 Helen Eisner: What were they supposed to do at the hotel?
- 21 Assist. Treas.: I don't know.
- 22 Paul Solis: You said that's all you know is it's a nice hotel. How do you know that?
- 23 Assist. Treas.: Well, it was ... I heard it was a popular hotel like ...
- 24 Paul Solis: Who did you hear that from?
- 25 Assist. Treas.: And then probably when I pulled up the address off the website, it looked nice. I
26 don't ...
- 27 Paul Solis: Okay so you recall going to the website of this place? Okay.
- 28 Assist. Treas.: Because I would have needed the address for the reports.
- 29 Paul Solis: Did you ask any questions about the expenses related to this trip?
- 30 Assist. Treas.: I did ask what it was for and was told that that was an expense that they had
31 agreed or that the campaign had approved.

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- 1 Paul Solis: Who did you ask about it?
- 2 Assist. Treas.: I had asked Bob.
- 3 Paul Solis: Did you receive any records related to the expenses there? No. That's a "no"?
- 4 Assist. Treas.: No. Just the credit card statement.
- 5 Helen Eisner: Let me actually just show you. This is an FEC statement filed July 14th 2015. It's
6 54 pages. We're providing the first page again, and then pages 21 through 22. If
7 you could look at pages 21 and then really page 22, there's a \$12,440.60 expense
8 in, this was December 17th 2014. Is this the location that you were referring to?
- 9 Assist. Treas.: Yes.
- 10 Helen Eisner: Okay.
- 11 Paul Solis: Has there been any other instances that you can recall, of something like this?
- 12 Assist. Treas.: Since I've been doing this other than the inauguration? Not that I know of. I mean
13 and since I've been doing these? No.
- 14 Helen Eisner: Did you have any conversations with Mr. Griffiths or anyone from the campaign
15 about how to report the Best Western or Greenbrier to the FEC?
- 16 Assist. Treas.: How to report?
- 17 Helen Eisner: We talked earlier about descriptions. Was there any conversation about how to
18 report description of those disbursements to the FEC?
- 19 Assist. Treas.: From what I remember, I just asked what they were for.
- 20 Helen Eisner: Okay.
- 21 Paul Solis: And the response was what?
- 22 Assist. Treas.: That it was a travel expense for ... They were taking some of their people that had
23 been integral in their campaign throughout the years.
- 24 Paul Solis: Do you know if any family attended?
- 25 Assist. Treas.: Yes.
- 26 Paul Solis: How do you know that?
- 27 Assist. Treas.: I think I might have seen it on Facebook. I don't know exactly how I know but-
- 28 Paul Solis: Okay, and what family members, that you can recall, attended that trip to the
29 Greenbrier?
- 30 Assist. Treas.: Specifically, I don't know.
- 31 Paul Solis: Okay.

- 1 Assist. Treas.: But-
- 2 Paul Solis: What about generally? You said you know some family attended so, which ones?
3 The best you can recall.
- 4 Assist. Treas.: I would think his kids did.
- 5 Paul Solis: The Congressman's kids?
- 6 Assist. Treas.: Yes.
- 7 Paul Solis: But you're not able to recall specifically, which one of the kids would have been
8 there?
- 9 Assist. Treas.: If you're asking me to speculate-
- 10 Paul Solis: Well, I'm not. You just when I asked you if there were family there, you said,
11 "yes". I asked you how you knew that. You said, "I assume it's from Facebook."
12 So I'm just asking, the best you can recall via those pictures or the information
13 you saw on Facebook, how you were able to understand that it might have been
14 his children.
- 15 Assist. Treas.: Actually the more I think about it, I think with that one I definitely did ask. I
16 asked because that was such a big charge, I think I did ask my mom about that
17 one. Again, who had trained me, and she seems to know everything about ... And
18 I think she also told me the whole family was going.
- 19 Paul Solis: Did she say to you how she knew that?
- 20 Assist. Treas.: No.
- 21 Paul Solis: Do you know how she knew that? You said she seems to know everything. I'm
22 wondering how she would know that.
- 23 Assist. Treas.: Well, she's the Congressman's sister, so she knows the family. And she talks, she
24 just she knows everything like-
- 25 Paul Solis: Did she go to the Greenbrier?
- 26 Assist. Treas.: She did not.
- 27 Paul Solis: Did she specify to you, which children went?
- 28 Assist. Treas.: I think all of the kids went and that's just again, I don't know 100%. I just
29 understand.
- 30 Paul Solis: Okay.
- 31 Helen Eisner: Besides the specific expenditures that we've talked about, are there any other
32 specific expenditures that you have been concerned about regarding personal use?

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- 1 Assist. Treas.: Well I do know there was one expense, but when I after going to training found
2 out that it was considered personal use and they immediately took that off the ...
3 But because I think in the past it was allowed and then it wasn't. It might have
4 been a new thing and I know for a fact they immediately took that one off.
- 5 Helen Eisner: And what was that?
- 6 Assist. Treas.: It was tickets to a sporting event, which they would give out to constituents. But I
7 do know that that was-
- 8 Helen Eisner: When you say, "took it off", do you mean-
- 9 Assist. Treas.: They stopped using campaign money for it.
- 10 Helen Eisner: They stopped doing that. Okay.
- 11 Assist. Treas.: Like that was very, a very quick turnaround like-
- 12 Helen Eisner: Who made that decision to stop?
- 13 Assist. Treas.: All I know is I communicated with Bob and he said, "Oh, we don't want to do
14 anything that is not ethical," and it never came across my ... It was never on any
15 statements after that point.
- 16 Paul Solis: So after you went to the training, you relayed the information you learned at the
17 training to Bob? Is that a "yes"?
- 18 Assist. Treas.: Yes.
- 19 Helen Eisner: Any other expenditures?
- 20 Assist. Treas.: Not that I can think of off the top of my head.
- 21 Helen Eisner: What conversations have you had with the Congressman about the OCE's
22 investigation? About our office's investigation.
- 23 Assist. Treas.: Zero.
- 24 Helen Eisner: Okay. What about-
- 25 Assist. Treas.: I didn't even know it was happening until I got the email from Heidi-
- 26 Helen Eisner: Okay.
- 27 Assist. Treas.: ... which kind of made me feel like I was in the dark. Like they didn't have-
- 28 Paul Solis: We won't get into the-
- 29 Assist. Treas.: No I-
- 30 Paul Solis: ... communication from your lawyer but just who have you talked to besides your
31 lawyer about our investigation?

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1 Assist. Treas.: I obviously have mentioned it to my mom, because I wanted some advice on you
2 know, because she has trained me for all of this and she's my mom. I mean ...

3 Paul Solis: Okay.

4 Helen Eisner: And anyone else besides your mother?

5 Assist. Treas.: Mm-mm (negative)

6 Helen Eisner: And has anyone tried to contact you, of course attorneys aside, about the Office of
7 Congressional Ethics investigation?

8 Assist. Treas.: Mm-mm (negative).

9 Helen Eisner: Is there anything else you think that we should know?

10 Assist. Treas.: Nope.

11 Helen Eisner: Just final point, we ... Oh, go ahead.

12 Assist. Treas.: Actually no that was already addressed. Sorry. We're good.

13 Helen Eisner: Okay. Final point. We certainly, you know, understand conversations with
14 counsel, but to the extent that you can keep this review confidential, it just
15 protects everyone's interests, so we do appreciate that and looking forward, just
16 keeping confidential the questions that we asked here today.

17 Assist. Treas.: Okay.

18 Helen Eisner: Well, thank you for your time. Really appreciate it. We're going to turn off the
19 recording now.

EXHIBIT 9

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

Transcript of Interview of Rep. Duncan's Son A

November 6, 2017

Review No. 17-2646

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

- 1 Helen Eisner: Okay, this is November 6, 2017. This is review 17-2646. Speaking is Helen
2 Eisner from the Office of Congressional Ethics. I'm joined by Investigative
3 Counsel Jeffrey Brown. We are here with Rep. Duncan's Son A ("Son A"), and
4 his counsel, Heidi Abegg. We have given Son A a copy of the False Statements
5 Act and he has signed the acknowledgement form. Is it okay to refer to you as
6 Son A?
- 7 Son A: Yes.
- 8 Helen Eisner: Okay. I'm just going to put this in the middle here. Heidi, do let us know if we
9 need to call anyone else in as counsel on the phone. Want to start with some
10 background questions. Where are you currently employed?
- 11 Son A: I'm working still for my dad's campaign and then I also do some real estate work
12 on the side for Exit Realty here in town.
- 13 Helen Eisner: Okay. I just want to take both of those ... Just sort of parse those out, so for the
14 real estate company, you said Exit?
- 15 Son A: Yes.
- 16 Helen Eisner: Okay. How do you spell that?
- 17 Son A: E-X-I-T.
- 18 Helen Eisner: Oh it's just Exit. Okay. What is your role for them?
- 19 Son A: I'm a realtor.
- 20 Helen Eisner: How long have you been a realtor at Exit Real Estate?
- 21 Son A: I got my license a little over a year ago but I've been with Exit for just a few
22 months.
- 23 Helen Eisner: Okay. Where were you previously?
- 24 Son A: With Realty Executives.
- 25 Helen Eisner: How does it work? Are you a contract employee?
- 26 Son A: Yeah, yes, just a complete independent contractor and so ...
- 27 Helen Eisner: Understood.
- 28 Son A: It's pretty much nights and weekends and occasionally I might have to go to a
29 training class or a closing during the day but that's ... Eventually I'd like it to be
30 more of a full-time job but so far it's just been part-time.
- 31 Helen Eisner: Okay. You mentioned your dad's campaign. That's Duncan for Congress?
- 32 Son A: Yes.

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- 1 Helen Eisner: Okay. What is your role with Duncan for Congress?
- 2 Son A: Yes, I've been the campaign manager.
- 3 Helen Eisner: For how long?
- 4 Son A: Since July of 2013.
- 5 Helen Eisner: We've talked about Exit Real Estate and your real estate license, campaign
6 manager role. Prior to that, where were you working?
- 7 Son A: Before July-
- 8 Helen Eisner: Yes.
- 9 Son A: -13, I was in the Knoxville County Trustees office.
- 10 Helen Eisner: What was your position there?
- 11 Son A: I was the Trustee.
- 12 Helen Eisner: Okay. What period of time was that?
- 13 Son A: That was from September 2010 through July 2013.
- 14 Helen Eisner: Okay. You became campaign manager for Duncan for Congress in July of 2013.
15 Prior to that, did you have any other type of role or position with the campaign
16 committee?
- 17 Son A: Yeah, I was always helping out. Never had an official title or anything but was
18 always involved in some aspect of the campaign.
- 19 Helen Eisner: Okay. Can you explain that to us a little bit more? I understand this is your
20 father's campaign but what did it mean to be helping out?
- 21 Son A: Really just volunteering and doing anything I could to help his campaign.
- 22 Helen Eisner: Okay. Would you attend events?
- 23 Son A: Yes.
- 24 Helen Eisner: How often?
- 25 Son A: It's hard to say. Sometimes it would be more frequent than others and then also in
26 2010, I was in my own campaign too and there for a while I was attending events
27 for myself rather than him. Just always kind of in the matter of how much I'm
28 needed to ... How much he's asked me to do.
- 29 Helen Eisner: Okay. That's your father, how much he asked you to do?
- 30 Son A: Mm-hmm (affirmative).

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- 1 Helen Eisner: What about for the campaign's leadership PAC, which is the Road to Victory
2 PAC. What positions have you held for Road to Victory?
- 3 Son A: I was in charge of doing the reports and actually don't know the dates that I
4 would've done those for but there was a period of time for a few years I would do
5 the reports.
- 6 Helen Eisner: Do you know sort of approximately what that time frame was?
- 7 Son A: It would've started around '05 or '06 and it would've gone up until the time I went
8 into office.
- 9 Helen Eisner: Until about 2010?
- 10 Son A: Mm-hmm (affirmative).
- 11 Helen Eisner: Okay. Why did you leave that position for Road to Victory ... From the position
12 of doing the reports for Road to Victory?
- 13 Son A: I think there's just a ... I had a lot going on with my own stuff and campaign
14 related work. I still was doing the PAC reports for a few months after I went into
15 office but eventually I think it was just something that I wanted off my plate.
- 16 Helen Eisner: Okay. When you say doing the reports, do you mean the FEC reports?
- 17 Son A: Yes.
- 18 Helen Eisner: Okay. What is American Public Strategies?
- 19 Son A: It's a company that I had started shortly after I started working on the campaign
20 and so it's a sole proprietorship or a d/b/a company. As I started it, I had in mind
21 that I would try to take on all kinds of different clients and build up a business
22 that way. Eventually pretty much just totally been focused on my dad's campaign
23 with it and haven't really developed it like I had planned on it one time.
- 24 Helen Eisner: What was the goal as far as the type of work you'd be doing with the clients
25 through American Public Strategies?
- 26 Son A: Really just consulting and political work and that sort of thing. I thought too about
27 doing government relations work as well and never really got that developed.
- 28 Helen Eisner: Okay. Would the clients have been focused in Knoxville or where were you
29 targeting as far as the political consulting or government relations work? Where
30 would the focus have been geographically?
- 31 Son A: Yeah, it would've been around here.
- 32 Helen Eisner: Okay. Approximately when was that entity formed?
- 33 Son A: Sometime in 2013.

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- 1 Helen Eisner: Who did you work with to form American Public Strategies?
- 2 Son A: Really just myself.
- 3 Helen Eisner: Were you planning on working with anyone, partners, or other employees?
- 4 Son A: Not at that point. I talked to like a friend of ours, a lawyer in town, Jeff Hagood.
5 We had talked about doing different things together which never really
6 materialized but we had talked about doing some things. Then in a little bit later
7 on, I started doing a little bit of work with a man in North Carolina named Gordon
8 Myers. That wasn't through American Public Strategies. He has a company called
9 GSM & Associates, but American Public Strategies was all just me on my own.
- 10 Helen Eisner: Okay. What is GSM & Associates?
- 11 Son A: Gordon had been real estate for most of his career but he had also been a
12 fundraiser and really more of a democratic fundraiser. He had started doing some
13 government relations work and so I met him here in town. We started talking
14 about working together in pursuit of some things but it didn't really last that long.
- 15 Helen Eisner: Okay. What period of time was that?
- 16 Son A: That would've been in 2015.
- 17 Helen Eisner: American Public Strategies, what is its current status?
- 18 Son A: Status as far as?
- 19 Helen Eisner: Is it active?
- 20 Son A: Yes, I'm still operating it with the campaign.
- 21 Helen Eisner: Does it have any other clients?
- 22 Son A: Yeah, there is a ... So I've done some work for a company called NPI Business
23 Solutions in town and now there's ... It's actually an embroidery company as well
24 as a property management group that I'm going to be doing a little bit of work for.
- 25 Helen Eisner: Okay.
- 26 Son A: And, neither of those ... It's not really politically related like as the intention when
27 I started the company and so it's more sales driven, I guess
- 28 Helen Eisner: Okay. Sales driven.
- 29 Son A: Mm-hmm (affirmative).
- 30 Helen Eisner: And where is American Public Strategies based?
- 31 Son A: Out of my house.

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- 1 Helen Eisner: Okay. So when you perform work for American Public Strategies do you perform
2 it at your house?
- 3 Son A: Yeah I will, except for the campaign work. I would be at the campaign
4 headquarters.
- 5 Helen Eisner: Okay.
- 6 Son A: There hadn't been a whole lot of work unrelated to the campaign from American
7 Public Strategies to this point, so.
- 8 Helen Eisner: So in approximately in July 2013 you said that's when you started working for the
9 campaign committee as campaign manager. How did that come about?
- 10 Son A: My dad had talked about it for a while, so at that point he already had somebody
11 running full time against him at 2014 and just kind of based on the political
12 environment, he felt he was going to have to step up his campaign operation
13 somehow and so he started talking to me about taking over and heading it up.
- 14 Helen Eisner: Okay. And how long were you looking for work before you came on as campaign
15 manager?
- 16 Son A: I had been in the trustee's office and was leaving and so I hadn't really done any
17 job interviews or anything like that and so he had approached me with that idea
18 that sounded like something that I really could get passionate about.
- 19 Helen Eisner: Had your dad been interviewing any other candidates?
- 20 Son A: Not that I know of.
- 21 Helen Eisner: Was anyone else besides your father, the Congressman, involved in the process of
22 hiring you to work for the campaign committee?
- 23 Son A: He's the only one that I had talked to about it specifically. I know that he had
24 talked to Bob Griffitts.
- 25 Helen Eisner: Okay. Do you know what he talked to Bob Griffitts about?
- 26 Son A: No. Just right before I started and he had mentioned to me at one point, he said, "I
27 had talked to Bob about it and Bob was fine with everything".
- 28 Helen Eisner: Did you have a contract? An employment agreement for the position?
- 29 Son A: No.
- 30 Helen Eisner: How was salary set?
- 31 Son A: It was just set at \$6,000 a month, originally.
- 32 Helen Eisner: And how is that determined?

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- 1 Son A: It was ... I know we had talked about what other campaigns were paying
2 consultants and different things that ... my dad had said several times and he
3 would talk about specific consultants that he knew and would say, "Yeah I think
4 you could do a better job than he does and he's getting this" which were kind of
5 higher amounts and so he just kind of settled in on \$6,000.
- 6 Helen Eisner: So, he looked specifically at what other consultants were earning for work on
7 behalf of other campaigns?
- 8 Son A: Yeah and I know ... I don't know how exactly he looked but I know some of them
9 had been mentioned in articles and that sort of thing.
- 10 Helen Eisner: Do you know the names of any of those consultants that he was ... doing that
11 assessment in comparison to you?
- 12 Son A: One, who he specifically talked about was Tom Ingram, who's here in Tennessee
- 13 Helen Eisner: Did you or your father, the Congressman, have any conversations with the
14 Committee on Ethics about your hiring?
- 15 Son A: I know I did not.
- 16 Helen Eisner: And in your position do you have to keep track of your hours or log your time in
17 any way?
- 18 Son A: No.
- 19 Helen Eisner: How many hours a week do you work for the campaign committee?
- 20 Son A: Every week is kind of different and there's definitely cycles, so it's hard to put a
21 specific hour on it, but it's definitely been full time work.
- 22 Helen Eisner: Full time since July 2013?
- 23 Son A: Yeah
- 24 Helen Eisner: And consistently full time?
- 25 Son A: Well there were definitely be periods where it's less busy than other times, but
26 there will be periods come up where it's every night I'm going to things in
27 addition to the business hours during the day and weekends too.
- 28 Helen Eisner: Do you go to the campaign office every day?
- 29 Son A: Yes.
- 30 Helen Eisner: Okay
- 31 Heidi Abegg: Can we patch Dave in?
- 32 Helen Eisner: Oh yes. Let's just pause the recording while we do that.

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1 Okay. This is Helen Eisner. We had paused the recording momentarily and
2 counsel David Goch has now joined the call and we'll continue the interview. We
3 were talking about how many days a week you go to the campaign office and you
4 said every day.

5 Son A: Yeah. Every day Monday through Friday

6 Helen Eisner: Monday through Friday.

7 And when you're performing work for the other clients you mentioned on behalf
8 of American Public Strategies when does that work occur?

9 Son A: It would ... There hasn't really been a lot of work that has been done, but it would
10 be done from my house or their office outside of the campaign.

11 Helen Eisner: Okay. Why do you perform your work on behalf of the campaign committee from
12 an office? Why wouldn't you perform that work from your home as you do with
13 other American Public Strategies clients?

14 Son A: My dad had always liked the idea of having a permanent political office that was
15 open and I know his dad had an office in West Knoxville at one point and he had
16 been in office and so he's just always liked the idea of a separate campaign office
17 to handle anything political in addition to his official office.

18 Helen Eisner: Okay.

19 Jeff Brown: Does the Congressman work out of that office ever?

20 Son A: Yeah he has ... so we have within the office there's two small offices and so one
21 office is for me and one is for him to come into, which he does occasionally and
22 then we have a conference table where we'll have meetings every now and then.

23 Helen Eisner: Who else from the campaign committee works out of that office?

24 Son A: Just me on a day in day out basis and there's been times in the campaign where
25 we've had volunteers to come in and do specific things.

26 Helen Eisner: Who else from the campaign committee do you work with?

27 Son A: Yes, so it's primarily day in and day out it's just me.

28 Helen Eisner: Okay. Who are the other employees of the campaign committee?

29 Son A: Well you got Jason Brown, he's the Treasurer and Courtney Massey, Kohlhepp is
30 in charge of doing the reporting.

31 Helen Eisner: What about Bob Griffitts?

32 Son A: Yeah Bob has done political work over the years too.

33 Helen Eisner: Okay, and did they ever work from that office?

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1 Son A: Um, Bob will come in occasionally for meetings or to discuss things, and so he's
2 probably the only one on that list that's done anything there.

3 Helen Eisner: Okay.

4 Jeff Brown: Before July of 2013 when you came on, who was primarily running campaign
5 related activities?

6 Son A: I would say, probably, it would have been Bob and my dad.

7 Jeff Brown: Okay, when you came on did you absorb any of Bob's responsibilities?

8 Son A: Yeah, I think so. And I, I definitely still, and you know, when there's decisions to
9 be made I'll still talk things over with Bob. He's still very much involved but I
10 think I have absorbed anything that he was doing.

11 Helen Eisner: I want to just show you a document. This is JD_0067, and it's a document that
12 you produced to our office and when I say the number that's just sort of an
13 identification number so we know what we're referring to. I will give you a
14 moment to look at this.

15 So the subject is "Duncan for Congress Insurance Proposal" and in the first
16 sentence there you say, and let me just confirm that this is your email address, is
17 this your personal email address?

18 Son A: Yes, yes.

19 Helen Eisner: Okay.

20 You say, well, the second sentence I'll actually read, "The Campaign Manager had
21 mailed a check a while ago but it never cleared. I'm not sure what address he sent
22 it to." Who is the Campaign Manager you're referring to there?

23 Son A: Yeah, I think that would have been a, I probably would've meant to say the
24 Campaign Treasurer.

25 Helen Eisner: Okay. Yeah, I'm just trying to get a sense, cause I'm not sure what the formal titles
26 were. It seems like there were a lot of people involved in different degrees. But,
27 you would have been referring to the Treasurer there?

28 Son A: Yeah, I would say.

29 Helen Eisner: Okay, and that would have been Jason Brown?

30 Son A: Yes.

31 Helen Eisner: When, in your work for the campaign, who did you report to?

32 Son A: Yeah, I would say I reported to my dad.

33 Helen Eisner: Okay. And how often would you talk to your dad about campaign related issues?

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- 1 Son A: Probably... daily to at least every few days. Pretty often.
- 2 Helen Eisner: And in what form would you have those conversations.
- 3 Son A: Yeah, either over the phone or one on one conversations.
- 4 Helen Eisner: Initially, I think for the first few months, when you were employed, the FEC
5 disbursements seemed to go to you directly. And at some point I believe in about
6 November of 2013 they started going to American Public Strategies. Why did that
7 change occur?
- 8 Son A: Yeah, so it had always been my intention to start the company and so I think
9 initially I started working just on my own. Then once I had it set up, I just told
10 them to start making the checks to the company instead of me.
- 11 Helen Eisner: Why? Why did it make a difference to send them to you verses to the company?
- 12 Son A: Because um, like I said, the company I had the idea of doing more political work,
13 I tried to get other clients that I thought it would be more professional and
14 legitimate to do it under our company name rather than just my name.
- 15 Helen Eisner: Okay. Did the campaign have any type of contract with American Public
16 Strategies?
- 17 Son A: Not written.
- 18 Helen Eisner: Was there an informal, not written, was there another type of contractor
19 agreement?
- 20 Son A: Yeah, yes I mean any kind of agreement would have just been verbal.
- 21 Helen Eisner: Okay.
- 22 I'll show you another document, this is JD_0090-0091. Again, this is a series of
23 emails that I believe you provided to our office, here you go. I will give you a
24 moment to take a look at that.
- 25 So, I just want to go through some of this, this second email between you and I
26 think you confirmed earlier that that was your email address and Jason Brown, the
27 Campaign Treasurer. Was this the first communication you'd had with Jason
28 about the need for campaign office space?
- 29 Son A: I'm not sure.
- 30 Helen Eisner: Okay, in the...
- 31 Son A: I would assume it's not, just since I start out, "The office space just needs to be
32 small", that would imply that I guess that we'd at least talked on the phone.
- 33 Helen Eisner: Okay. Why did the office space need to be small?

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- 1 Son A: Yeah, just you know because if it were just me working out of it day in and day
2 out we didn't need some huge facility.
- 3 Helen Eisner: Okay. You said, right after that you said, "I'm pretty open to about anything but
4 would prefer it to be out west." Why would you prefer it to be out west?
- 5 Son A: Yeah, I think, so West Knoxville's sort of the population center of the county or of
6 this area.
- 7 Helen Eisner: Okay.
- 8 Son A: So, I think it makes sense to have the campaign office there. As opposed to being
9 in a rural part or...
- 10 Helen Eisner: On the next page in this exchange you mention "I am going to be partnering with
11 Jeff Hagood on some consulting," and I think you were discussing that earlier. I
12 think, correct me if I'm wrong but you said that never really came to fruition?
- 13 Son A: No.
- 14 Helen Eisner: And you say, "He has room in his office at River View but I'd prefer to be on my
15 own and out west." Did Jeff Hagood offer you office space?
- 16 Son A: Yeah, well, so, so in talking about his consulting, his idea of us working together
17 and so he had mentioned there would be space for me to work out of his office to
18 do the consulting. So what I would have been talking about here, I thought that
19 could be a short term fix for the campaign office as well, but uh...
- 20 Helen Eisner: So you might've done campaign work out of Jeff Hagood's office? Is that...
- 21 Son A: Yeah, I think that's, and I really don't even remember this too much. But I think
22 that's what I would've been implying here.
- 23 Helen Eisner: Okay. In the first sentence of that paragraph you say, "The space will be leased
24 from dad's campaign and I would do some work for him out of it." You say, "I
25 would do some work for him out of it." Was there other work that you planned on
26 doing in that office space?
- 27 Son A: No, at this time it would've only been campaign work and I'd talked to my dad a
28 few times back then when we talked about whether I'd build the company up and
29 take on different clients. And he said that if that ever came to fruition that we
30 would need to discuss my company sharing a portion of the rent if I wanted to use
31 the office for anything else, besides the campaign. And so we did have that
32 conversation.
- 33 Helen Eisner: Did you have that conversation... when did you have that conversation?
- 34 Son A: Yeah, just, that would've been in 2013 right on the front end.

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- 1 Helen Eisner: Okay. Did you ever need to follow up on that conversation...
- 2 Son A: No.
- 3 Helen Eisner: ... with regards to performing work for other clients from that space.
- 4 Son A: No.
- 5 Helen Eisner: Okay. We've been talking about office space but I just want to clarify that in July
6 2013 when you started working, where was the office space that you started
7 working from?
- 8 Son A: Yeah, so originally the campaign headquarters was on Center Park Drive.
- 9 Helen Eisner: That's the 111 Center Park Drive?
- 10 Son A: Yes.
- 11 Helen Eisner: And why was that property selected?
- 12 Son A: As you can see in these emails, I was looking at different space with Jason, and so
13 we had actually gone and physically had showings at several locations. And then
14 he had mentioned somewhere along the way, he said hey, you know, we manage
15 this building, would be able to get you a pretty good price. It was about half price
16 or maybe a little more than half of some of these others that we were looking at.
17 And yeah, Jason also said, no pressure or anything but you're welcome to look at
18 it, but he was careful also not to try to force anything on us.
- 19 Helen Eisner: And was it just Jason who was involved in the process, or was anyone else, any
20 other brokers involved in the process of finding that property?
- 21 Son A: Yeah, it just would have been him. As you can see in this email, there for a while
22 I was calling just the listing agents that had different office spaces listed.
- 23 Helen Eisner: You said that Jason said they would be able to give you a pretty good price. Why
24 was that?
- 25 Son A: I think it was because they would manage the property, so they were in control of
26 the pricing, where you know a lot of these others the prices would just be set by
27 an owner that we may not have known.
- 28 Helen Eisner: How much more expensive were the other properties that you were looking at?
- 29 Son A: I don't remember the specifics, but it seems like some of them were double or
30 nearly double the price of the campaign office.
- 31 Helen Eisner: And is that for the same amount of space or more space, less space?
- 32 Son A: Yes. Yeah, a lot of them were actually less space than the first office.
- 33 Helen Eisner: Were they in similar locations?

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- 1 Son A: Mm-hmm (affirmative).
- 2 Helen Eisner: So you ended up acquiring property at 111 Center Park Drive. Do you know what
3 the market value was of that property?
- 4 Son A: No.
- 5 Helen Eisner: Was it more than what the campaign ended up paying?
- 6 Son A: Yeah, I don't know. I mean my guess is that they probably would have been able
7 to rent it out for more than what we were paying. I think we got a pretty good deal
8 out of it.
- 9 Helen Eisner: Were there any other terms of that contract that gave you a deal or a discount on?
- 10 Son A: Not that I know of.
- 11 Helen Eisner: Did the campaign committee have to pay a security deposit for the property?
- 12 Son A: I don't remember.
- 13 Helen Eisner: Do you remember any conversations about security deposit?
- 14 Son A: No.
- 15 Helen Eisner: Who paid the rent?
- 16 Son A: Jason would have paid the rent.
- 17 Helen Eisner: Let me just make sure I understand. Jason had some type of ownership connection,
18 or some type of business relationship with the property at 111 Center Park Drive.
19 Did he profit in any way from the rental at that property?
- 20 Son A: I'm not sure.
- 21 Helen Eisner: What about Brian Brown? Was he involved in this process?
- 22 Son A: So Brian, I think had more of an active role as the property manager.
- 23 Helen Eisner: And how often would you interact with Brian?
- 24 Son A: Very rare. I mean, I would see him around and say hello every now and then, but I
25 never had much interaction with him.
- 26 Helen Eisner: So Jason was responsible for paying the rent.
- 27 Son A: Mm-hmm (affirmative).
- 28 Helen Eisner: Do you know if he paid the rent on time?
- 29 Son A: I'm assuming that he did, but I never really was involved in that.

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- 1 Helen Eisner: Did you ever have any conversations about late payments or late charges that the
2 campaign incurred?
- 3 Son A: No.
- 4 Helen Eisner: Did you ever have any conversations with the property manager about late
5 payments for the rental property?
- 6 Son A: No.
- 7 Helen Eisner: Do you know if any late payments were waived for the campaign committee?
- 8 Son A: Not sure.
- 9 Helen Eisner: The office eventually moved. What was the new location for the office?
- 10 Son A: The new location is 6906 Kingston Pike.
- 11 Helen Eisner: And why did the office move?
- 12 Son A: Jason told me that they had that space available and I liked the idea of a location
13 there even better than the original one because Kingston Pike is kind of the main
14 road of Knoxville, and so it's an even more central location for people to come to.
- 15 Helen Eisner: Why was that important?
- 16 Son A: I think during the campaign when people are coming by to get yard signs and
17 bumper stickers and that sort of thing, you want to be in a really good location.
- 18 Helen Eisner: So how would people know to come to that office for yard signs and bumper
19 stickers?
- 20 Son A: We would put a sign out, just during those months of the campaign, it actually
21 would say "headquarters" on it, but it was a big 4x4 sign that would sit out at the
22 road. The other times of the year, besides those few months where you're kind of
23 running the campaign to the general public we would take the sign down.
- 24 Helen Eisner: Did more people end up coming to that second office space for yard signs and
25 bumper stickers than to the other office space?
- 26 Son A: I don't know. For one thing, so we moved into that office after the primary of '14
27 was over, and so the '14 primary was when we were really making more of a push
28 for signs than before, but I know a lot of people came in '14 and would complain
29 about the office being maybe a little harder to find, so we were happy to get that
30 Kingston Pike location.
- 31 Helen Eisner: Besides the sign that you sort of described to us that was up around election
32 season, was there any other signage outside the office that indicated it was
33 Duncan for Congress office space?

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- 1 Son A: No.
- 2 Helen Eisner: And why not?
- 3 Son A: I think we just didn't really feel the need to advertise it, except for those few
4 months of the real active part of the campaign.
- 5 Helen Eisner: And during that active part of the campaign, who else worked in that office space?
- 6 Son A: It would primarily be volunteers. We did have a lady named Phyllis Severance
7 who we paid a little bit to work, and Jane Lowe would come in just about every
8 day, and then just various people here and there would come in. But Phyllis and
9 Jane were the main two.
- 10 Helen Eisner: And you said Jane Lowe was in about every day. How often was Phyllis
11 Severance in the office?
- 12 Son A: She was probably every day, as well.
- 13 Helen Eisner: And was that during that period of time before the 2014 election or the 2014
14 primary?
- 15 Son A: Mm-hmm (affirmative). Yes.
- 16 Helen Eisner: So before the primary or until the general election, as well?
- 17 Son A: Yeah, the primary.
- 18 Helen Eisner: Getting back to the office space itself. So the office moved to 6906 Kingston Pike.
19 What was the process of negotiating the rental terms for that new property?
- 20 Son A: I don't really remember.
- 21 Helen Eisner: Do you know who was involved in it?
- 22 Son A: Yeah, I know Jason was. And I think I do remember Jason mentioned it would be
23 around the same price, or the same price.
- 24 Helen Eisner: Was it a bigger space or a smaller space?
- 25 Son A: It was probably a little bit smaller.
- 26 Helen Eisner: Was that a full-occupancy building or were there a lot of other tenants in that
27 building?
- 28 Son A: Yes.
- 29 Helen Eisner: Okay. Why did Jason say it would be the full price? Was there any other
30 conversation about that? Or the same price, I'm sorry.
- 31 Son A: Yeah, I don't know.

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- 1 Helen Eisner: Okay. Did Jason mention any types of deals or discounts related to the rental
2 amount?
- 3 Son A: Not to me.
- 4 Helen Eisner: Okay. Do you know what other tenants in the building were paying?
- 5 Son A: No.
- 6 Helen Eisner: Has your rent increased at all during the time period that the campaign has
7 occupied that space?
- 8 Son A: Not that I'm aware of.
- 9 Helen Eisner: Okay. Was there any discussion of that at the time you entered the lease?
- 10 Son A: No.
- 11 Helen Eisner: Okay. What about since you've rented that property? Has there been any
12 discussion of increasing the rent?
- 13 Son A: No.
- 14 Helen Eisner: Okay. Was there any decision not to increase the rent? So, the option came up for
15 renewal? Actually, I should ask. Let me ask that question, Ed. After a year, did
16 you have to renew the lease?
- 17 Son A: I don't remember ever renewing it.
- 18 Helen Eisner: Okay so, who would've been responsible for renewing the lease?
- 19 Son A: Yes. I'm assuming Jason would've been involved with that.
- 20 Helen Eisner: Okay.
- 21 Son A: The office space has been used as the campaign headquarters for other campaigns
22 too, just because of that location. I know there's been a senate campaign out of
23 there and a governor's campaign then we had a state rep campaign down the hall
24 last year.
- 25 Helen Eisner: For that space, what is your knowledge or awareness of any late fees for late
26 rental payments?
- 27 Son A: I'm not sure.
- 28 Helen Eisner: Okay. So, Jason would've handled all of that? It was also Jason who was involved
29 in negotiating the terms?
- 30 Son A: Yes.
- 31 Helen Eisner: Was Brian Brown involved in that as well for that property? 6906.

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- 1 Son A: Yeah. Brian will manage the property.
- 2 Helen Eisner: Okay. Was Brian involved in the lease and crafting the lease for that property?
- 3 Son A: I'm guessing he was but, I wasn't involved in those discussions.
- 4 Helen Eisner: Okay. At the campaign office, is there telephone service?
- 5 Son A: Not currently. There has been. I guess at the old office we had several phone lines.
- 6 Helen Eisner: At 111 Center Park Drive?
- 7 Son A: Yes.
- 8 Helen Eisner: Okay. Then at 6906, is there phone service there?
- 9 Son A: No, it's my cell phone.
- 10 Helen Eisner: Okay. That's a campaign funded cell phone?
- 11 Son A: Yes.
- 12 Helen Eisner: What company is that funded through?
- 13 Son A: Through the campaign.
- 14 Helen Eisner: I'm sorry, what telephone company do you receive your cell phone service
15 through?
- 16 Son A: Through AT&T.
- 17 Helen Eisner: Okay. Who else from the campaign receives AT&T service through that account?
- 18 Son A: Yeah. We have the internet connected through the hotspot and then my wife ... I
19 have her phone on that account too.
- 20 Helen Eisner: Okay. That's a cell phone?
- 21 Son A: Yes.
- 22 Helen Eisner: Okay. There are two cell phones on that AT&T account?
- 23 Son A: Yes.
- 24 Helen Eisner: How do you use your cell phone on that account?
- 25 Son A: I use it for any phone calls that need to be made.
- 26 Helen Eisner: Is that for campaign related calls?
- 27 Son A: Yes.
- 28 Helen Eisner: What about for non-campaign related calls? Do you use that cell phone?

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- 1 Son A: Yes. I'll receive personal calls on it.
- 2 Helen Eisner: Okay. What about your wife? Does she receive personal calls on that phone?
- 3 Son A: Yeah, she will.
- 4 Helen Eisner: Okay. How is that phone account paid for?
- 5 Son A: Yeah. Through the campaign.
- 6 Helen Eisner: Okay. Do you personally receive invoices each month for the cell phone? Who
7 receives them?
- 8 Son A: I know it's just been on a reoccurring payment.
- 9 Helen Eisner: Okay.
- 10 Jeff Brown: Is that the only cell phone that you and your wife have?
- 11 Son A: No. I actually I have another phone that I can use for, I guess, other business
12 related matters but ...
- 13 Jeff Brown: Is that a cell phone?
- 14 Son A: Yes.
- 15 Jeff Brown: Okay. Is that other phone used for anything personal?
- 16 Son A: Yeah, from time to time. Most of the personal calls I get will be on this.
- 17 Jeff Brown: AT&T?
- 18 Son A: Yes.
- 19 Jeff Brown: Original? Okay.
- 20 Helen Eisner: When you receive calls related to your real estate business and your work as a
21 realtor, which phone do you receive calls to for that type of work?
- 22 Son A: Yeah. I've actually been trying to make sure that everything is switched over
23 where that campaign number isn't going to be out there. I know it did get put on a
24 few things here and there but I've been trying to fix that.
- 25 Helen Eisner: Okay. We will make sure that if any information were to ever become public, that
26 we would redact this, but can you tell us what that personal phone number is?
- 27 Son A: Yeah, on the other number?
- 28 Helen Eisner: The AT&T account number that is on the ... that is paid for by the campaign.
- 29 Son A: Oh. It's [REDACTED].
- 30 Helen Eisner: [REDACTED] ?

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- 1 Son A: Yes.
- 2 Helen Eisner: Okay. We've looked at some of the FEC disclosures for the campaign committee
3 and you can see that when you receive ... Well, I guess the salary that is paid to
4 American Public Strategies, the address for that salary goes to ... Well, currently it
5 goes to 6906 Kingston Pike. Previously, it went to 111 Center Park Drive. Why
6 did it go to those addresses?
- 7 Son A: Yeah. I'm not sure. I think, just because I would've been there during business
8 hours. Yeah, they probably used those addresses, but yeah, I think it should have
9 been my home address listed.
- 10 Helen Eisner: Okay.
- 11 Jeff Brown: They being who? They should've used?
- 12 Son A: Courtney.
- 13 Jeff Brown: Okay.
- 14 Helen Eisner: What is Courtney's role with the campaign committee?
- 15 Son A: She would prepare the FEC reports.
- 16 Helen Eisner: Okay.
- 17 Jeff Brown: Have any American Public Strategy meetings been held out of either of the
18 campaign offices?
- 19 Son A: No.
- 20 Helen Eisner: When you need to make purchases on behalf of the campaign in your role as
21 campaign manager, what's the process? How do you make purchases on behalf of
22 the campaign?
- 23 Son A: Yeah. I have an American Express card that I can make purchases with and then I
24 can also call Jason and ask for a check for different things.
- 25 Helen Eisner: Okay. The American Express card, how long have you had that credit card?
- 26 Son A: From the time I started working with the campaign.
- 27 Helen Eisner: From July 2013?
- 28 Son A: Yes.
- 29 Helen Eisner: Okay. Did you have an American Express credit card linked to the campaign that
30 you could use before that point in time?
- 31 Son A: No.

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- 1 Helen Eisner: Okay. Who else has a campaign credit card?
- 2 Son A: I know my dad does. Bob Griffitts may. I'm not sure. I know he did at one point.
- 3 Helen Eisner: When you need to make expenditures with the credit card, is there a preapproval
4 process? Do you need to get any type of prior approval before making a purchase?
- 5 Son A: No.
- 6 Helen Eisner: What is the process? What do you do when you make a credit card expenditure?
7 How is it accounted for?
- 8 Son A: Yeah. I think it ... and I know my kind of weak spot has been hanging onto
9 receipts and documenting everything, but typically if a purchase is made and then
10 the statement would come, and then I might get a phone call from Courtney or
11 something asking what these charges were.
- 12 Helen Eisner: You said your weak spot. What do you mean by that?
- 13 Son A: Yeah. I know that I ... I'm not the best at, or haven't been you know as good as I
14 should be at hanging onto receipts and documenting exactly what everything is
15 for.
- 16 Helen Eisner: Is there a campaign policy that requires you to have receipts?
- 17 Son A: No, there's not a written policy.
- 18 Helen Eisner: Okay. Why would you ... In what circumstances would you need to have receipts?
- 19 Son A: Yeah. I know that documentation is preferred for everything.
- 20 Helen Eisner: Okay. You said Courtney might call you? How often would Courtney call you
21 related to those receipts?
- 22 Son A: It would be whenever she would be doing the reports.
- 23 Helen Eisner: What would she ask you in those phone calls?
- 24 Son A: Yeah. She might ask what a specific charge was and what something was for.
- 25 Helen Eisner: Has she ever raised concerns with you about particular charges?
- 26 Son A: Well, she would just ask questions, I think, about what a specific charge might be.
- 27 Helen Eisner: Were there any times when Courtney asked you whether a particular charge was
28 campaign related?
- 29 Son A: I don't know if specifically she asked if the charge was campaign related or not.
30 She might ask for clarification on what exactly that charge was for.

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- 1 Helen Eisner: Has she ever asked you to provide a receipt to prove that a charge was campaign
2 related?
- 3 Son A: I don't know.
- 4 Jeff Brown: How often would you have conversations with Courtney?
- 5 Son A: Yep. Probably every few months, I'd say, how she would be doing the reports.
- 6 Jeff Brown: What's the process for Courtney getting the materials that she needs in order to
7 prepare those reports?
- 8 Son A: Yeah. Jason would be, I guess, ahead of Courtney so Jason would keep track of
9 the documentation and that sort of thing and then send it to Courtney, and then I
10 guess Courtney would check with me if there were questions about anything.
- 11 Helen Eisner: When you collect receipts, when you do have the receipts, who do you provide
12 those to?
- 13 Son A: Yeah. I'll give those to Jason.
- 14 Helen Eisner: Jason. Okay. Has Courtney asked you for receipts?
- 15 Son A: Yeah, actually she did it one time and so I was always a little bit confused at
16 whether I should send it to Jason or to Courtney, and I know there has been talk
17 about that Courtney may not be doing the reporting much longer, and so I pretty
18 much still kept sending them to Jason over Courtney.
- 19 Helen Eisner: How long has there been talk that Courtney might not be doing the reporting
20 much longer?
- 21 Son A: For a while.
- 22 Helen Eisner: Just ... If you can be more specific, a period of months or years?
- 23 Son A: Probably more like years and the change never really took place.
- 24 Helen Eisner: When Courtney asked you for receipts, have you ever provided Courtney receipts
25 directly?
- 26 Son A: I don't know. Yeah, I'm sure I probably have at some point or I may say, "Well,
27 Jason has those or ..." I don't know.
- 28 Helen Eisner: Did Jason ever ask you to provide him with the receipts instead of providing them
29 to Courtney?
- 30 Son A: Yeah, I don't know if he specifically said, "Give these to me and not Courtney."
31 Yeah, I don't know.
- 32 Helen Eisner: What did he say?

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1 Son A: Yeah. I don't really remember these ...

2 Helen Eisner: Do you know when that conversation might've happened?

3 Son A: No.

4 Helen Eisner: Okay.

5 Jeff Brown: Can we go back to Courtney? Why has there been discussion about her maybe not
6 performing the FEC responsibilities.

7 Son A: I know my dad had mentioned it to me several times probably over the past
8 couple of years and I don't know all the reasons. I think a big part of it may be
9 that she relocated to Texas.

10 Jeff Brown: What do you understand her role to be relative to Jason's?

11 Son A: Yeah. I think Jason does the day-to-day functions of a treasurer and is the one
12 writing checks and depositing them into the bank account, and then Courtney is in
13 charge of the actual reporting for compliance.

14 Jeff Brown: It's Courtney who has to be able to provide descriptions, FEC descriptions for
15 whatever disbursements the campaign might make. Is that accurate?

16 Son A: Yes.

17 Jeff Brown: If Courtney is confused about any of that, who does she call?

18 Son A: Yeah. I know she's called me before and has probably called Jason.

19 Jeff Brown: Has she ever raised any concerns about any of the expenditures that she sees on
20 the American Express bill?

21 Son A: If she has, it hasn't been to me directly other than maybe asking for clarification
22 on what something was.

23 Jeff Brown: What about him directly?

24 Son A: Just someone else?

25 Jeff Brown: Yeah. Are you aware of Courtney complaining that she can't do her job because
26 she doesn't have receipts or something like that?

27 Son A: No.

28 Helen Eisner: What about Bob Griffiths? What role does he play in this process of looking at the
29 credit card transactions?

30 Son A: I'm not sure. I think Bob would ... I don't know if he always does, but I know at
31 least sometimes he'll look over the statements too.

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- 1 Helen Eisner: Okay. Has Bob Griffiths ever asked you to provide receipts for a credit card
2 transaction?
- 3 Son A: Yes. Jason has said to me a few times "Bob was asking if we had receipts on this
4 or that."
- 5 Jeff Brown: I'm stuck a little bit on ... Even if the receipts are provided, with respect to the
6 Amex bill, they may or may not provide much more detail. Are there notations
7 along with the receipts that explain what the charges are for? I'm assuming there's
8 some receipts that don't provide detailed explanations of what all the charges were.
9 Is there a practice or is it just the practice –
- 10 Helen Eisner: Okay this is Helen Eisner back on the record speaking with Son A in review
11 number 17-2646. It's November 6, 2017. We had a prior recording that cut off and
12 now we are back on the record.
- 13 Jeff Brown: Son A I think I was asking you, what is the process for providing receipts to Jason
14 or Courtney? And providing explanations for what a purchase was?
- 15 Son A: Yeah and that's what we ... yeah and I'm sure we should have developed more of a
16 standard or fine-tuned process. But it's been very informal and so I may just have
17 a stack of receipts and then every so often just go drop them off at Jason's office.
18 Yeah that's about it.
- 19 Jeff Brown: Would you ever see the AMEX bills?
- 20 Son A: I have occasionally, but actually not regularly.
- 21 Jeff Brown: Were there any occasions on which you made personal charges on that and then
22 reimbursed the campaign? You know, for accidental usage on the card?
- 23 Son A: No
- 24 Helen Eisner: I want to kind of get into some specifics of that. Well actually if you could
25 describe to us, generally what are the category of purchases that you make when
26 you use the credit card?
- 27 Son A: So there'd be supplies for the office. And that's included ink cartridges for the
28 printer or paper, postage, that sort of thing. Then there's been times when we were
29 doing the yard signs really heavy. With the 4 x 4's and 4 x 8's. Seemed like we
30 kept having to run to Lowe's or Home Depot or different hardware stores and
31 getting these big posts and zip ties and that sort of thing. And there's been plenty
32 of meals on there.
- 33 Helen Eisner: What about gas expenditures? Is that something that you would use the credit card
34 for?

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- 1 Son A: Yeah and it's been kind of rare but particularly when we were doing the yard signs.
2 We would ... So I was pretty much driving a truck every day that we were only
3 using for yard signs and just driving around the district constantly and going
4 through gas. And so I would just fill it up on those days.
- 5 Helen Eisner: Okay. What about any expenditures for gas besides that type of driving. Any
6 other expenditures for gas?
- 7 Son A: Yeah there may have been a few times. When if it was just campaign related. But
8 I know we've done mileage reimbursements for the longer travel.
- 9 Helen Eisner: Did Courtney ever ask you to provide receipts related to gas expenditures or
10 mileage?
- 11 Son A: Yeah I know she ... I do remember her asking about the gas. There were a lot of
12 gas charges back during that campaign. And I know at one time she had said she
13 doesn't remember seeing any gas charges like this in the past. And I do remember
14 her asking about that.
- 15 Helen Eisner: And did you provide her with receipts related to those expenditures?
- 16 Son A: Yeah no I'm not sure, you know I really had receipts.
- 17 Helen Eisner: And were all those gas expenses related to the campaign?
- 18 Son A: Yes.
- 19 Helen Eisner: What about meal expenditures? How often do you purchase meals, restaurants,
20 lunch, dinner using the campaign credit card?
- 21 Son A: Yeah it's been pretty often. And that's something my dad's always encouraged me
22 to take people out to eat. And so that's been pretty regular the whole time.
- 23 Helen Eisner: And who are the people that you're taking out to eat on those occasions?
- 24 Son A: He said pretty much anybody that could be helpful politically anytime.
- 25 Helen Eisner: Okay.
- 26 Son A: And he's said on occasion it's fine if it's just me and Jennifer to go to some
27 different restaurants where we're going to be either talking to different people
28 about the campaign or about the political issues and building relationships that
29 way.
- 30 Helen Eisner: Can you explain that to me? So, Jennifer is your wife?
- 31 Son A: Yes.
- 32 Helen Eisner: So what is that type of meal? You and your wife go to dinner and then what
33 happens?

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- 1 Son A: Well, yes so we might go to just a different restaurant where we know we're going
2 to be running into a lot of people and making connections or having conversations
3 about politics, or my dad, or the campaign and that sort of thing.
- 4 Helen Eisner: Do you know in advance who you're going to run into at those restaurants?
- 5 Son A: No.
- 6 Helen Eisner: And your father the Congressman has told you that you should engage in that type
7 of conduct?
- 8 Son A: Yeah he said on occasion that he thinks that's fine and I think and really that's
9 been just as effective as going to some of these republican dinners where you may
10 see the same people every time. And so it seems like we've met a lot of different
11 people that way.
- 12 Jeff Brown: What sort of restaurants?
- 13 Son A: Just different restaurants around town.
- 14 Jeff Brown: Such as?
- 15 Son A: I don't know. I go to Aubrey's restaurant a lot and so then the large majority of
16 those are with other people, but that's a place where you're always going to see a
17 lot of people there.
- 18 Helen Eisner: Any other restaurants?
- 19 Son A: Yeah well there's a, as we talked about earlier, there's lot of restaurants around
20 Knoxville.
- 21 Helen Eisner: Okay. So, how many times would you say that ... How many times a month do
22 and your wife go out to dinner with that expectation that you might run into
23 people who are constituents and then pay for that with the campaign credit card?
- 24 Son A: I don't even know, probably not even monthly. So it's rare that it would be just us.
- 25 Helen Eisner: Okay. How many times has that happened?
- 26 Son A: I'm not sure.
- 27 Helen Eisner: Okay. Would it be more than 10?
- 28 Son A: Yeah I'd say probably more than 10.
- 29 Helen Eisner: For that type of meal where you and your wife go out to dinner, has Courtney
30 ever asked you for receipts about those meals specifically?
- 31 Son A: No, and I don't really know that Courtney's ... yeah I don't think she has.

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- 1 Helen Eisner: Okay. Did Courtney ever run into you at a restaurant, where you and your wife
2 were dinning alone, without other people and then ask you about that expenditure?
- 3 Son A: No.
- 4 Helen Eisner: Okay. Have you ever gone out for a special occasion, lunch or dinner, at a
5 restaurant with the expectation that you might run into other constituents?
- 6 Son A: Yeah, special occasions meaning like?
- 7 Helen Eisner: Like an anniversary or a birthday?
- 8 Son A: Yeah, and not so much with that expectation. I did have a birthday dinner that was
9 the same week of the 2014 primary. And so we went to Ruth's Chris and the way
10 that one came up is me and Jennifer were driving around taking up all the yard
11 signs for the days after the election. And my dad had said, "well take her to Ruth's
12 Chris as a thank you." And so we thought well wait a couple of days and just
13 make that the birthday. I think that's the only special occasion I remember doing
14 that.
- 15 Helen Eisner: And did anyone request receipts related to that particular dinner?
- 16 Son A: I don't know
- 17 Helen Eisner: What about Courtney did you have any conversations with her related to that
18 dinner?
- 19 Son A: Not that I remember.
- 20 Helen Eisner: What month is your birthday?
- 21 Son A: August
- 22 Helen Eisner: What about the cell phone that we're talking about ... We were talking about
23 earlier, and the AT&T account. Has anyone requested more information from you
24 about that account and how it's used from the campaign?
- 25 Son A: Yeah I mean ... I know Jason had asked for receipts early on when we first started.
- 26 Helen Eisner: When you first started...
- 27 Son A: With the campaign.
- 28 Helen Eisner: In 2013?
- 29 Son A: Yes. So I don't know that I've really been asked for the AT&T receipts.
- 30 Helen Eisner: Okay. So when Jason asked for receipts, was that still at the time that there was a
31 landline at 111 Center Park Drive? Or was that after that period of time?
- 32 Son A: I don't know. Yeah it may have been ... Yeah, I really don't know.

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- 1 Helen Eisner: Okay. What is your father's awareness, the Congressman, of the fact that you have
2 that AT&T account, and that it's paid for by the campaign committee?
- 3 Son A: Yeah, no. He's aware of it.
- 4 Helen Eisner: How often do you travel on behalf of the campaign?
- 5 Son A: It just all sort of depends. But not very often.
- 6 Helen Eisner: Okay.
- 7 Son A: Well except ... There's local travel all the time. As far as going around maybe to
8 the different counties. But overnight travel's not that common.
- 9 Helen Eisner: Are there any special rules when it comes to travel, out of state, as far as getting
10 advanced approval for any type of expenditure?
- 11 Son A: No, we don't have any standard procedures and writing or anything.
- 12 Helen Eisner: And if you were to ... If there was a reason for campaign travel out of the state,
13 would you use your credit card? Or is that something that you ... How would that
14 be paid for?
- 15 Son A: Yeah, it would probably be done with a credit card.
- 16 Helen Eisner: Okay. And, do you save receipts or invoices related to that type of travel?
- 17 Son A: No, I've been pretty bad about that.
- 18 Helen Eisner: Okay.
- 19 Son A: I may have saved a few and ...
- 20 Helen Eisner: After you return from travel, does anyone ask you for those receipts or invoices?
- 21 Son A: Yeah, no, Jason has asked before.
- 22 Helen Eisner: Okay. And have you been able to provide Jason with those receipts or invoices on
23 any occasions?
- 24 Son A: Yeah I'm sure whatever I've ... And some hotel receipts and stuff, which I've
25 typically I'd be emailed, and so that's an easy record to send over.
- 26 Helen Eisner: Okay. I'm going to ask you a question, this is AMEX_0203 through 0212. This is
27 an American Express bill with a closing date of November 22, 2009. The page
28 that I want to draw your attention to is 0206, which is the fourth page of that
29 packet. Towards the bottom there's a charge. November 18, 2009 for a flight on
30 U.S. Airways to LaGuardia Airport. Do you know why you traveled to New York
31 in 2009? It looks like the date of departure is listed as December 11. So, looks like
32 the travel itself occurred in December of 2009.

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- 1 Son A: Yeah, that, I guess, would have been an NRCC fundraiser in New York.
- 2 Helen Eisner: Okay. And what was the reason that you attended that fundraiser?
- 3 Son A: Yeah, just to accompany my dad.
- 4 Helen Eisner: And what events did you participate in during the fundraiser?
- 5 Son A: Yeah, I really don't remember. I know there would have been dinners, and
6 receptions, and that sort of thing but I ... Yeah, I don't specifically remember that
7 many details.
- 8 Helen Eisner: Above this purchase there's another purchase for Lindsay Duncan. Is that a
9 relative of yours?
- 10 Son A: Yes, that's my ex-wife.
- 11 Helen Eisner: Okay, and why did she travel to New York during the 2009 event?
- 12 Son A: Yeah, the same reason as me. Just to accompany my dad to ...
- 13 Helen Eisner: Did you participate in events during the whole trip to New York? Or were there,
14 related to the NRCC fundraiser, was there any time you were participating in
15 events without ... That were not sponsored by the NRCC fundraiser?
- 16 Son A: Yeah, no, we would have had our down time, or free time. Wouldn't be tied up
17 with the fundraiser the whole time but-
- 18 Helen Eisner: And what did you do during your down time in New York?
- 19 Son A: Yeah, I think we just walked around and explored.
- 20 Jeff Brown: Did Lindsay attend NRCC related events as well?
- 21 Son A: Yeah, I think ... Yeah, she would have gone to anything that I would have.
- 22 Helen Eisner: Did you attend any NRCC fundraising events in other years, besides 2009? In
23 New York, I should be specific.
- 24 Son A: Yeah, that's ... I know my parents have sometimes. That's, I think, the only one
25 I've been to.
- 26 Helen Eisner: Okay. Let's see ... Another document is AMEX_0265 through 0272. This is an
27 American Express statement with a closing date of August 23, 2010. There you
28 go. And the page that I want to draw your attention to is 0268, which is the fourth
29 page. So towards the bottom there are charges for U.S. Airways on August 16,
30 2010. You can see it says your name, Son A, also Lindsay Duncan, and the date
31 of departure is August 21, 2010. It looks like the destination there is listed as
32 Bozeman, Montana. Do you know what that trip was?
- 33 Son A: Yeah, that's just a trip that we had taken, with several friends.

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- 1 Helen Eisner: Okay. Was there a campaign purpose for the trip?
- 2 Son A: It wasn't to go to any kind of campaign event or anything, but ... I know we had
3 used frequent flier miles, and I'm ...
- 4 Helen Eisner: What did you do when you were in Montana?
- 5 Son A: Yeah, that was more of a trip with some other couples.
- 6 Helen Eisner: Okay. And did you stay in Bozeman, or did you travel elsewhere?
- 7 Son A: We stayed in Big Sky.
- 8 Helen Eisner: Okay. Was it a ski ... Well, I guess this was August so it wasn't ski season but ...
9 So, it was in Big Sky.
- 10 Did you have any conversations with your father about that trip and who would
11 pay for it?
- 12 Son A: Yeah, I don't remember.
- 13 Helen Eisner: Okay. What about the campaign manager at the time?
- 14 Son A: Yeah, not that I know of.
- 15 Helen Eisner: Okay. There's another document, AMEX_0649 through 0622. This is an
16 American Express statement with a closing date of September 22, 2013. There's a
17 copy of that. The third page is the page that I want to ask you about, which is
18 0651.
- 19 Did you travel in ... I guess that would have been August 2013 to United
20 Kingdom, or to Scotland?
- 21 Son A: Yes, I did.
- 22 Helen Eisner: Okay. And what was that trip?
- 23 Son A: That was dealing with my dad on a CODEL.
- 24 Helen Eisner: Okay. And so it was a congressional delegation trip?
- 25 Son A: Yes.
- 26 Helen Eisner: How was your airfare paid for, for that trip?
- 27 Son A: Yeah, I think it was paid for by some ... I think the Scottish government.
- 28 Helen Eisner: Okay.
- 29 Son A: I'm not completely positive.
- 30 Helen Eisner: Did anyone else accompany you on that trip besides your father?

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- 1 Son A: No. Well, other than that we were with the delegation.
- 2 Helen Eisner: Other members of the delegation. But any members of your family?
- 3 Son A: No.
- 4 Helen Eisner: Okay. So that was a House of Representatives funded by CODEL to the United
5 Kingdom?
- 6 Son A: I think this one was actually funded by the Scottish government rather than the
7 House.
- 8 Helen Eisner: Oh, I'm sorry. You did say that. By the Scottish government, Okay, I understand.
9 Did you stay at this hotel, the now, I'm not going to be able to pronounce that
10 correctly, but I think that's a hotel in Edinburgh, it seems to indicate?
- 11 Son A: Yes.
- 12 Helen Eisner: And at that time, did you have a campaign credit card?
- 13 Son A: I probably did.
- 14 Helen Eisner: So it looks like this is September of 2013. So, that was during the period of time
15 when ... Previously to that, during the period of time in August, 2010, when you
16 went to Montana, Did you have a campaign credit card, or any type of card?
- 17 Son A: No.
- 18 Helen Eisner: Okay. At that point in time, were you using your father's credit card for any types
19 of purchases?
- 20 Son A: No.
- 21 Helen Eisner: Okay. So who would have made those purchases on that credit card?
- 22 Son A: Yeah, I'm not sure. I think it would have ... And I was surprised to see those on
23 there, but I think it probably would have been tied to his frequent flyer miles
24 somehow.
- 25 Helen Eisner: Okay.
- 26 Son A: But I'm not sure how exactly that would have happened.
- 27 Helen Eisner: Did you use his frequent flyer miles for that travel?
- 28 Son A: Yes.
- 29 Helen Eisner: Okay. As far as this charge for ... At the ... It's \$10.48, but do you know what that
30 was for?
- 31 Son A: Yeah, I don't.

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- 1 Helen Eisner: Okay. What about the charge above for the \$62.46? Do you know what that was
2 for?
- 3 Son A: I'm not sure.
- 4 Helen Eisner: Okay. I have another American Express statement to show you. This is AMEX
5 0696 through 0703. And it has a closing date of February 20, 2014. And the page
6 I want to show you is 0699. Of course, always feel free to take your time and take
7 a look at everything. But, the questions I have will be on that page, 0699.
- 8 So, there's a flight there to Washington. You can see. Where it says, "Son A
9 Duncan" would that have been you or your father?
- 10 Son A: That would be me.
- 11 Helen Eisner: Okay. And then Jennifer Duncan, I think you said, is your wife. Is that correct?
- 12 Son A: Yes.
- 13 Helen Eisner: Okay. And why did you fly to Washington D.C. in this period of time? It looks
14 like the departure was March, 2014.
- 15 Son A: Yeah, I think that that would have been for the CPAC Convention in Washington.
- 16 Helen Eisner: Okay. And what was your role in the CPAC? Or what was your reason for
17 attending?
- 18 Son A: Yeah, just to go and make potential connections. And, talk to different campaign
19 vendors and see the speeches on policies from people that were clearly running
20 for higher offices.
- 21 Helen Eisner: And did your wife also attend the CPAC Convention?
- 22 Son A: Yes.
- 23 Helen Eisner: Okay. And what was the reason that she attended?
- 24 Son A: The same as me.
- 25 Helen Eisner: Okay. And she attended ... Was she an official, registered attendee of the
26 conference?
- 27 Son A: Yes.
- 28 Helen Eisner: Okay. And where did you stay when you were there?
- 29 Son A: I know we've gone twice. And I know there was ... So one year we stayed at ...
30 Which was probably this year. So I think we stayed at the venue just for part of
31 the time, and then we stayed at the W Hotel one night.
- 32 Helen Eisner: Okay.

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- 1 Son A: Is that what you're asking about?
- 2 Helen Eisner: Yeah, just where you stayed. Is that 2014, would have been the W Hotel one night
3 and at the venue for one night? Or are you talking about 2017?
- 4 Son A: No. Yeah, it would have been a few years ago where ... So we were kind of last
5 minute people. I know the hotel was full. So then we saw the W was even like a
6 cheaper rate than where the event was, so we did like a night there, and then
7 maybe one or two nights. I don't remember how long we were there. And I know
8 the next year we went back, and that year we ended up staying at I think out at the
9 Crowne Plaza in Arlington.
- 10 Helen Eisner: Okay.
- 11 Son A: And just driving in. And it was a whole lot cheaper than ...
- 12 Helen Eisner: What role does your wife, Jennifer, play for the campaign committee?
- 13 Son A: She ends up doing a lot of different ... She basically is involved in ... I mean, not
14 everything I'm involved with, but, you know, with a lot of it. And so she's
15 definitely played a big role.
- 16 Helen Eisner: Okay. We'll move on from that document. This is DFC_1292. So this is really just
17 a copy of two checks, and the second check was written out to you for \$541. The
18 memo says, "Mileage reimbursement" and then the notation below says, "Mileage
19 trip to D.C. White House Christmas party." And it looks like this was 2016. Did
20 you attend the White House Christmas party in 2016?
- 21 Son A: Yes.
- 22 Helen Eisner: Okay. And who asked you to attend?
- 23 Son A: My dad.
- 24 Helen Eisner: Okay. And did you attend alone, or who else attended?
- 25 Son A: Jennifer also attended.
- 26 Helen Eisner: Did your father attend?
- 27 Son A: Yes.
- 28 Helen Eisner: Okay. How did you get there?
- 29 Son A: We would have driven.
- 30 Helen Eisner: Driven. Okay. Was the reason you went to Washington to attend the Christmas
31 party? Or was there any other reason for that particular trip?
- 32 Son A: Yeah, I think that ... I don't remember exactly what else we did, but I mean, that
33 definitely would have been the primary reason.

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- 1 Helen Eisner: Okay. And why did your father want you to attend that Christmas party?
- 2 Son A: Yeah, I think just to have the opportunity to go and meet all the people that are
3 there and just the experience of it all.
- 4 Helen Eisner: Okay. Where did you stay when you were there in December, 2016?
- 5 Son A: I think we stayed at his apartment.
- 6 Helen Eisner: Okay. And that's your father's apartment?
- 7 Son A: Yes.
- 8 Helen Eisner: Okay. In January of this year, for inauguration, it looks like there were some hotel
9 charges related to ... the campaign had some hotel charges related to inauguration.
10 What was your role in organizing the hotel stay during inauguration?
- 11 Son A: Yeah. I'm pretty sure I actually ... I would've been the one to book them. I know
12 I'd talked to my mom about ... We looked at different hotel options, and so I know
13 we stayed at the Best Western, which is actually next to the apartment there that
14 I'm ...
- 15 Helen Eisner: Okay.
- 16 Son A: But it was ... so I guess right after election night, then ... Yeah. She had called and
17 said, "Well, we better book a block of rooms because these would go..." We
18 actually sort of misread the excitement around the convention. We thought that
19 everything would fill up pretty quickly and that it was necessary to reserve the
20 rooms. And then it turned out that a lot of people ended up canceling their
21 reservations that they had made before the election, so they weren't as hard to
22 come by as we thought. But at the time, the Best Western I know was the cheapest.
- 23 Helen Eisner: Okay. And you booked the rooms yourself?
- 24 Son A: Yes.
- 25 Helen Eisner: Okay. Who did you book the rooms for?
- 26 Son A: We booked, I think five rooms total. I'm not really sure when we initially booked
27 that we even knew exactly who was going to come. I know that there had been
28 talk about different people from the district who were going to stay there and then
29 plans had changed. But I know it ended up being just us and my brother Zane and
30 my sister, Tara, and her family and Roger Rhodes, who is my father-in-law, and
31 then Mike Strickland and Allison Burchett.
- 32 Helen Eisner: Okay. What was the reason for your attendance at inauguration?

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- 1 Son A: My dad would ... Yeah, he hosted an open house and a breakfast, so both before
2 and after the inauguration, and so he wanted to have the family members there to
3 greet everybody from the district who was coming in.
- 4 Helen Eisner: Okay. What did you ... You ended up attending that-
- 5 Son A: Mm-hmm (affirmative).
- 6 Helen Eisner: -event? Where did that take place?
- 7 Son A: That was at the Rayburn Building.
- 8 Helen Eisner: Okay. How long did you stay at that event?
- 9 Son A: I'm not sure. I know we got there really early that morning. Yeah, there would've
10 been like the morning portion and then we went to the inauguration festivities and
11 then went back there for a few hours afterwards.
- 12 Helen Eisner: Okay. What other campaign-related events occurred during that weekend?
- 13 Son A: Yeah. I know there were just different events going on throughout town that
14 different people were going to. I guess that my dad's open house would've been
15 the only, like official campaign event.
- 16 Helen Eisner: Okay. At some point during the weekend, was there a dinner at the Prime Rib
17 restaurant?
- 18 Son A: Yes.
- 19 Helen Eisner: Okay. Who attended that dinner?
- 20 Son A: Yeah, I don't remember ever ... I mean, I think it was our whole group, and then I
21 know there was another group separately from Knoxville who was in at the same
22 time at the restaurant.
- 23 Helen Eisner: Was that coordinated?
- 24 Son A: No.
- 25 Helen Eisner: Okay. Did Michael Strickland and Allison Burchett attend that dinner?
- 26 Son A: I think they did. I'm ...
- 27 Helen Eisner: Okay. What is the relationship between the Congressman and Michael Strickland?
- 28 Son A: Yeah. I mean, they get along and are friends ... I don't think they've known each
29 other a particularly long time but, yeah, he's definitely been a good supporter.
- 30 Helen Eisner: Why was he invited to stay in the Best Western hotel room?

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- 1 Son A: It would've been from my mom, and so I'm not completely ... Well, I mean, I
2 think just because of their support for my dad.
- 3 Helen Eisner: Okay. Did the campaign committee end up paying for his room?
- 4 Son A: I think that they reimbursed the campaign for the room.
- 5 Helen Eisner: Why do you think that?
- 6 Son A: I think just because he probably didn't want to be taking anything from the
7 campaign.
- 8 Helen Eisner: Do you have specific knowledge that he did reimburse the campaign for the room?
- 9 Son A: Yeah, so I don't know if it was ... and it may have been a contribution, but I don't
10 remember the specifics. But I remember there was some sort of reimbursement, it
11 seems like.
- 12 Helen Eisner: Okay. What about Mr. Rhodes? Why did he attend the inauguration?
- 13 Son A: Yeah. So he was up there anyway. He was going to be going, and he had a room
14 booked like way outside of town. My sister, Whitney, and Jason decided not to go
15 and so we had one open up and so offered it to him. He stayed, and he offered to
16 reimburse for it. I think my mom said that wasn't necessary.
- 17 Helen Eisner: Okay. Did he attend the event at the Rayburn Building that-
- 18 Son A: Yes.
- 19 Helen Eisner: Okay. Did he attend the dinner at the Prime Rib?
- 20 Son A: Yes.
- 21 Helen Eisner: Was he there alone or was he with anyone?
- 22 Son A: He was there alone.
- 23 Helen Eisner: Okay. Did you receive any invoices related to the Best Western stay?
- 24 Son A: Yeah. I know I was looking for them when I was putting things together. I think I
25 submitted the emails I had. I don't know that I saw invoices afterwards.
- 26 Helen Eisner: Okay. Did anyone from the campaign ask you for invoices or bills related to the
27 Best Western stay?
- 28 Son A: I'm not sure.
- 29 Helen Eisner: Okay. We've also seen records of travel in December 2014 to the Greenbrier
30 Resort in West Virginia. What was that trip?

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- 1 Son A: Yeah. See, that was after the election. My parents wanted to have a sort of
2 weekend to say thank you to some of the people who had worked the hardest or
3 done the most in the election.
- 4 Helen Eisner: Okay. Who ended up attending the Greenbrier?
- 5 Son A: Yeah. It was our family and then Clarence Sexton, who's the Pastor at Temple
6 Baptist Church, and his wife and Jane Lowe, Brian Debusk and his family, Bob
7 and Barbara Griffitts, and Mike Strickland and Allison.
- 8 Helen Eisner: How were those people selected to attend that trip?
- 9 Son A: Yeah, my parents.
- 10 Helen Eisner: Okay. What was it about them, to the best of your understanding, that they had
11 done ... the people your parents selected to attend?
- 12 Son A: Yeah. I think they just felt like those were sort of the people who had, in their
13 mind, I don't know, done the most or at least gone far above and beyond.
- 14 Helen Eisner: Clarence and Evelyn Sexton, are they friends of your parents?
- 15 Son A: Yeah, they're friends. I don't know how often they're really hanging out.
- 16 Helen Eisner: Okay.
- 17 Son A: But yeah, everybody there would have been a friend in some form.
- 18 Helen Eisner: Okay. Somebody who your parents would socialize with outside of the campaign?
- 19 Son A: Yeah. I don't know. It's hard to say. My dad has always said that he's campaigning
20 in everything he does, but I think he's legitimately friends with the Sextons for
21 sure.
- 22 Helen Eisner: Okay. And what about with Brian Debusk and, I believe, his wife who attended?
- 23 Son A: Yeah. They are also friends.
- 24 Helen Eisner: Okay. So he was friends with everyone else? Is that correct?
- 25 Son A: Yeah. And some more so than others in different types of relationships.
- 26 Helen Eisner: Sure. How many nights were you there at the Greenbrier?
- 27 Son A: I think it was just two.
- 28 Helen Eisner: Okay.
- 29 Son A: Not sure.
- 30 Helen Eisner: So who else from your family attended?

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- 1 Son A: It would have been me and Jennifer and Zane and Holly and Whitney and Jason
2 and their girls and Tara and Bryn, and their kids.
- 3 Helen Eisner: And did your children attend as well?
- 4 Son A: No.
- 5 Helen Eisner: And just so you know, anytime children's names are mentioned, we'll make sure
6 to redact any information.
- 7 Son A: Yeah. Ours weren't born yet.
- 8 Helen Eisner: Oh, okay. So they probably weren't there. Okay. And so during the period of time
9 that you were there, what types of events took place? If you could just give us a
10 sense of what activities occurred, what you were doing when you were there.
- 11 Son A: We had dinners each night. Do your records ... Were we there two or three?
- 12 Helen Eisner: My understanding is it was about three nights. I don't know if everyone stayed the
13 same amount of time, but it looks like it was December 14 through December 17,
14 2014.
- 15 Son A: Yeah. I think that I was only there for two nights, but I know there were dinners
16 each of those nights and then we would ... See the dinners were the only formal,
17 set thing where the whole group would be together. Yeah, I remember one night
18 there were speeches and that sort of thing, but most of the day it was just loose.
- 19 Helen Eisner: Okay. And what did you do, yourself, during the day?
- 20 Son A: I don't really remember everything. I think it was just a lot of exploring and I
21 remember spending a lot of time with my dad, talking. And we had a breakfast
22 with my parents and some of the other people that were there.
- 23 Helen Eisner: Okay. Had you been to the Greenbrier previously?
- 24 Son A: Yes.
- 25 Helen Eisner: Okay. And on what occasions?
- 26 Son A: Yeah. I don't really remember a specific occasion.
- 27 Helen Eisner: Okay. What about, have you ever golfed there separately from this trip?
- 28 Son A: No.
- 29 Helen Eisner: Okay. How was this trip paid for?
- 30 Son A: It was from the campaign.
- 31 Helen Eisner: And how do you know that?

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- 1 Son A: I guess that I don't know that I know specifically. I don't think I was the one that
2 booked the rooms or paid for it, but it was understood it was a campaign,
3 campaign trip.
- 4 Helen Eisner: Who do you think did book the rooms?
- 5 Son A: I'm not sure.
- 6 Helen Eisner: Okay. Were you told at any point by your father or your mother that everything
7 was paid for? If you could just explain that to us, how payment was discussed.
- 8 Son A: I don't really remember.
- 9 Helen Eisner: Okay. When you were there, did you pay for anything personally?
- 10 Son A: Yeah. I think we would have paid for things in the gift shop. I remember my wife
11 bought some boots at one of the stores there.
- 12 Helen Eisner: Okay.
- 13 Son A: But we wouldn't pay for food or anything.
- 14 Helen Eisner: Let me show you GB_0053 through 0064. Here you go. This is the, looks like the
15 guest folio; it's a 12 page document related to that stay, arrival December 14,
16 2014 through December 17, 2014. Have you seen this before?
- 17 Son A: No.
- 18 Helen Eisner: Okay. And just let me know when you've had a chance to-
- 19 Son A: Oh, yeah.
- 20 Helen Eisner: Okay. So it's the fifth page of the packet, it's GB_0057. I wanted to ask you about
21 some of the transactions there. For example, on, so this is December 15, 2014,
22 there is a \$494.87 transaction at the Greenbrier Spa and Salon. It says from Son A
23 Duncan. Do you know if that was you or your father?
- 24 Son A: I definitely don't remember it being me.
- 25 Helen Eisner: Okay. Is it possible it was your wife?
- 26 Son A: Yeah, I'm not sure.
- 27 Helen Eisner: Okay. Did anyone attend the Greenbrier Spa and Salon during their time there?
- 28 Son A: Not that I'm aware of.
- 29 Helen Eisner: Okay. What about golf? Did you participate or play golf in any way when you
30 were there?
- 31 Son A: No.

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- 1 Helen Eisner: Not during that trip?
- 2 Son A: No.
- 3 Helen Eisner: Okay. So the charges through 6204, I see Son A Duncan, and I realize you and
4 your father have the same name. Would that not have been your room? If that was
5 a golf charge, would that have been someone else's room?
- 6 Son A: Yeah, I'm not sure.
- 7 Helen Eisner: All right. What about bowling? Did you participate in any type of bowling when
8 you were there?
- 9 Son A: Yeah, I don't remember bowling either.
- 10 Helen Eisner: Did you partake in any activities or any of the amenities that the hotel offers
11 during the trip?
- 12 Son A: Yeah. I mean we ate and I was there at the restaurants and we did a Bunker Tour.
- 13 Helen Eisner: What about Fizzy's Land of Oz? Do you know what that is?
- 14 Son A: No.
- 15 Helen Eisner: On the next page there are charges at Twelve Oaks. What is Twelve Oaks?
- 16 Son A: I'm not sure.
- 17 Helen Eisner: Okay. I see, just for comparison sake, a little further up on that page, there's
18 another ... You go to transportation valet parking, there's a transaction here that
19 says Son A Duncan, 2174. Do you know if that was your room number or it was
20 room number 6204? Does any of that refresh your memory?
- 21 Son A: No, it doesn't.
- 22 Helen Eisner: Okay. Did you drive to the hotel?
- 23 Son A: Yes.
- 24 Helen Eisner: Okay. You would've parked there as well?
- 25 Son A: Yes, we would've.
- 26 Helen Eisner: Okay. Does the hotel have a casino?
- 27 Son A: Yes.
- 28 Helen Eisner: Okay. Did you go to the casino while you were there?
- 29 Son A: Yeah, I know one of the nights we ... There was a big group that went.
- 30 Helen Eisner: Did your father go to the casino as well?

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- 1 Son A: Not that I remember.
- 2 Helen Eisner: Okay. Does the casino have a bar?
- 3 Son A: Yeah. I think they had like a bar in a restaurant. Actually, I know my dad, I think
4 would've eaten in the restaurant there.
- 5 Helen Eisner: Okay. At the casino, how was that paid for as far as the gambling itself?
- 6 Son A: Oh, yeah just with our personal money.
- 7 Helen Eisner: Okay. What about the bar expenses? How was that paid for?
- 8 Son A: I'm not sure.
- 9 Helen Eisner: Did Zane go golfing during his time at the Greenbrier?
- 10 Son A: Yeah, I don't know. I know it was pretty cold so I'm not-
- 11 Helen Eisner: Yeah.
- 12 Son A: Not sure that any people would've been golfing.
- 13 Helen Eisner: Okay. What about this Greenbrier on Ice? Do you know what that is?
- 14 Son A: No. I think they have an ice skating rink set up so I'm guessing that, that ... I don't
15 know if that would be a show or the actual ice skating or what.
- 16 Helen Eisner: Okay. During the day, did you spend time with any of the friends who were
17 invited?
- 18 Son A: Yeah, I mean we would ... Yeah, just visit.
- 19 Helen Eisner: Okay.
- 20 Son A: Kind of with everybody.
- 21 Helen Eisner: What were the guests doing, the friends who were invited. What were they doing
22 during the day?
- 23 Son A: Yeah, I think everybody was just sort of on their own and just kind of doing
24 whatever they wanted to do.
- 25 Helen Eisner: Okay.
- 26 Jeff Brown: Did they have an understanding that whatever or sort of activities they were doing
27 would be paid for by the campaign as a thank you?
- 28 Son A: I don't really don't know.
- 29 Helen Eisner: Okay. After the trip, what conversations did you have with Jason Brown about
30 payment for the Greenbrier expenses?

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- 1 Son A: I'm not sure that I had any.
- 2 Helen Eisner: Okay. What about with Courtney? Did you have any conversations with her?
- 3 Son A: Yeah, I don't think so.
- 4 Helen Eisner: Okay. Do you know if the campaign committee paid for the entire trip to the
5 Greenbrier?
- 6 Son A: Yeah, I don't know how much of this would've been campaign funded or if any of
7 this would've been reimbursed from my parents or whoever but ...
- 8 Helen Eisner: Okay. Slightly different question. Well, actually very different question. We're
9 almost done. You had talked earlier about the period of time, I think you said
10 from 2006 to about 2010, when you had worked for the Road to Victory PAC?
- 11 Son A: Mm-hmm (affirmative).
- 12 Helen Eisner: Are you aware that in 2016, the Road to Victory PAC filed documentation with
13 the FEC noting that there was a \$37,000 cash correction?
- 14 Son A: Yes.
- 15 Helen Eisner: Approximately \$37,000.
- 16 Son A: Mm-hmm (affirmative).
- 17 Helen Eisner: What do you know about that variance and cash correction?
- 18 Son A: Yeah, I don't really know a whole lot. I know that Zane was kind of the one ... I
19 think working with Heidi to get that straightened out.
- 20 Helen Eisner: Do you know what resulted in the variance?
- 21 Son A: No.
- 22 Helen Eisner: Okay. During your time working for the PAC, what was your knowledge of any
23 receipts or contributions that came into the committee, to the PAC that were not
24 reported to the FEC?
- 25 Son A: Yeah, I'm ... So I wasn't aware of anything was not being reported.
- 26 Helen Eisner: Okay. Who would've been aware of that?
- 27 Son A: I don't know. I think that from my understanding and I think the problem when I
28 was doing the reports also would've been that I'm ... I never saw the actual bank
29 statements and so I think I would just be getting lists, either lists or copies of
30 checks from either the treasurer at the time or Don, who was just in here.
- 31 Helen Eisner: Don was involved in the PAC at that point in time?

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- 1 Son A: Yeah, I don't know that he had an official role but he was always involved in
2 some of the fundraisers or writing checks as contributions.
- 3 Helen Eisner: Don would've seen the bank statements for the Road to Victory PAC?
- 4 Son A: I don't know really what level of involvement he had. I know the treasurer during
5 that time was Christopher Hogan.
- 6 Helen Eisner: Okay. Did he have access to the bank accounts?
- 7 Son A: Yes.
- 8 Helen Eisner: Did you coordinate with him as far as FEC disbursements and receipts and
9 reporting?
- 10 Son A: Yeah and that's one thing that we should've done a better job on. I would get a list
11 or copies of checks every month and then would do the report.
- 12 Helen Eisner: Okay. In 2006, based on the information we've seen, it seems like there was a
13 substantial amount or substantial number of disbursements that were not reported
14 to the FEC. When did you come to realize that those had not been reported to the
15 FEC?
- 16 Son A: Yeah, really not until years later when Zane said something.
- 17 Helen Eisner: Okay. Those disbursements, were any of them used for purposes that were not
18 related to the PAC or the campaign?
- 19 Son A: Not to my knowledge.
- 20 Helen Eisner: Okay. To your knowledge, have any funds from the Road to Victory PAC been
21 used for personal use and not for campaign purposes?
- 22 Son A: No.
- 23 Helen Eisner: What about from the campaign committee to your knowledge, have funds from
24 the campaign committee been used for personal use?
- 25 Son A: No.
- 26 Helen Eisner: Okay. Besides counsel, who else have you spoken with and I know you talked a
27 little bit about this, but who else have you spoken with about our investigation?
- 28 Son A: Just members of my family and that would really be it.
- 29 Helen Eisner: Has anyone relayed to you any questions that we asked them during our
30 interviews?
- 31 Son A: No.
- 32 Helen Eisner: Okay. Has anyone given you a narrative as far as how to answer our questions?

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1 Son A: No.

2 Helen Eisner: Okay. What conversations have you had with your father about the review?

3 Son A: Very few and I'm ... it's actually been a little frustrating. I've been kind of wanting
4 to talk more but we just haven't talked much about it at all.

5 Helen Eisner: Okay. As far as searching for records and response to our request, what was the
6 process that you went through to find those records?

7 Son A: Just going through any ... Basically anything that I can find that I thought would
8 answer the question.

9 Helen Eisner: Okay. That would be from your personal records?

10 Son A: Yes.

11 Helen Eisner: Okay. Based on the questions we've asked here today, is there anything else that
12 you think we should know?

13 Son A: I don't think so.

14 Helen Eisner: Okay. Alright, we'll go ahead and thank you for your time and stop the recording.

15

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ERRATA SHEET

Page	Line	Correction	Reason

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name: 

Witness Signature: 

Date: 11-27-17

EXHIBIT 10

From: John Duncan [REDACTED]
Subject: Re: Mailing List?
Date: May 6, 2014 at 1:13 PM
To: Charley Sexton [REDACTED]



Looks good to me.

Sent from my iPhone

On May 6, 2014, at 12:00 PM, Charley Sexton <[REDACTED]> wrote:

Hi John:

Here is the final list for mailing. They manually removed the duplicate home addresses.

Please review and let us know if this list is OK to use.

Thanks!

Charley

Begin forwarded message:

From: Russell Printing Options | Steve Lowery <[REDACTED]>
Subject: RE: Mailing List?
Date: May 6, 2014 at 11:40:58 AM EDT
To: 'Charley Sexton' <[REDACTED]>

Charley,

We have manually removed the duplicates by street address, so this trimmed the list down some. Please have him look this over and advise if it's OK to use.

Thanks,

Steve

Begin forwarded message:

From: John Duncan <[REDACTED]>
Date: May 6, 2014 at 10:43:58 AM EDT
To: Charley Sexton <[REDACTED]>
Subject: Re: Mailing List?

Thanks Charley! That would be fine to proceed. It's ok to remove those who have run out of state.

I also noticed a few duplicate addresses from the two lists. Is there an easy way to take those out?

Sent from my iPhone

On May 6, 2014, at 10:31 AM, Charley Sexton <[REDACTED]> wrote:

Hi John:

Revised mailing lists from the printer are attached. See his

revised mailing lists from the printer are attached. See his comments below.

Let me know if you want us to proceed with the good list.

Thanks - Charley

Begin forwarded message:

From: Russell Printing Options | Steve Lowery

<[REDACTED]>

Date: May 6, 2014 at 10:02:12 AM EDT

To: 'Charley Sexton'

<[REDACTED]>

Subject: RE: Mailing List?

Good morning, attached is the final sorted mailing list and the list of bad addresses. Most of these can probably be corrected if someone wants to look them up. Since we are mailing these out first class, some of the bad ones could possibly be delivered. They will get any back that can't be delivered. We run NCOA on the list and there are 17 people on the good list who have moved out of state. Do you want those removed? Please let me know how he wants to proceed.

Thanks,

Steve

Begin forwarded message:

From: John Duncan

<[REDACTED]>

Date: May 6, 2014 at 9:17:13 AM EDT

To: Charley Sexton

<[REDACTED]>

Subject: Re: Mailing List?

Charley,

Attached is the mailing list. This is taken from public campaign records and some of the info is old. Please have them use whatever system they have to verify the addresses. I'm sure it is going to kick out a bunch of them

going to kick out a bunch of them.

I've also attached your A list. I took out some names of people who I don't believe would be interested as well as most of the elected officials (my Mom really doesn't want them there).

Once they whittle this down, take out duplicates, etc. let me know how many names we have. I have another list, which is a little more political (but not donor types) that I can pull some additional names from.

Thanks,
John

On Mon, May 5, 2014 at 1:09 PM,
Charley Sexton

<[REDACTED]>
wrote:

10-4. Thanks!

On May 5, 2014, at 12:32 PM, John
Duncan

<[REDACTED]> wrote:

> Thanks. I will send you the list late
this afternoon/tonight

>

> Sent from my iPhone

>

>> On May 5, 2014, at 11:59 AM,
Charley Sexton

<[REDACTED]>
wrote:

>>

>> Hey John:

>>

>> Hope you had a good weekend!

>>

>> Just checking in on the mailing
list for the kickoff invitation. The
invitations are printed and ready to
go.

>>

>> Attached is a copy of our A-list
that Bob wanted us to send you.

>>

>> Please let me know if you have
any questions.

>>

>> Thanks!

>>

>> Charley

>>

>> <A Only.xlsx>

<Duncan mailer corrected list.xlsx>

<Duncan mailer bad address list.xlsx>

<Duncan list 2.xlsx>